



EUROPEAN UNION  
European Structural and Investing Funds  
Operational Programme Research,  
Development and Education



UNIVERSITY OF  
CHEMISTRY AND TECHNOLOGY  
PRAGUE

# Biotechnology in Food Industry

Lecture I

Petra Patáková  
petra.patakova@vscht.cz

The published materials are intended for students of the University of Chemistry and Technology, Prague as a study material. Some text and image data contained therein are taken from public sources. In the case of insufficient quotations, the author's intention was not to intentionally infringe the possible author(s) rights to the original work.

If you have any reservations, please contact the author of the specific teaching material in order to remedy the situation.



Dílo podléhá licenci Creative Commons 4.0 Česko  
Uveďte původ - Zachovejte licenci

# Biotechnology in Food Industry

Introduction to the topic – common principles of microbial technologies I



# Content

- Feedstock and its adjustment
  - common and future feedstock
- Sterilization, cleaning

# **Cultivation of microorganisms**

## **composition of cultivation medium**

- Carbon – starch, saccharose
- Nitrogen – ammonium ions
- Phosphorus - salts
- Macroelements– K, S, Ca, Mg, Na
- Microelements (trace elements) – Fe, Zn, Mn, Cu, Co, Se
- Complex sources – yeast autolysate, whey..



# Feedstock of first generation

## a) saccharose containing

molasses (waste stream from sugar industry), sugar beet, sugar cane



## b) starch containing

corn, maize, potatoes, cassava



## c) Other – jerusalem artichokes (inulin)

# Mollasses (sugar beet juice)

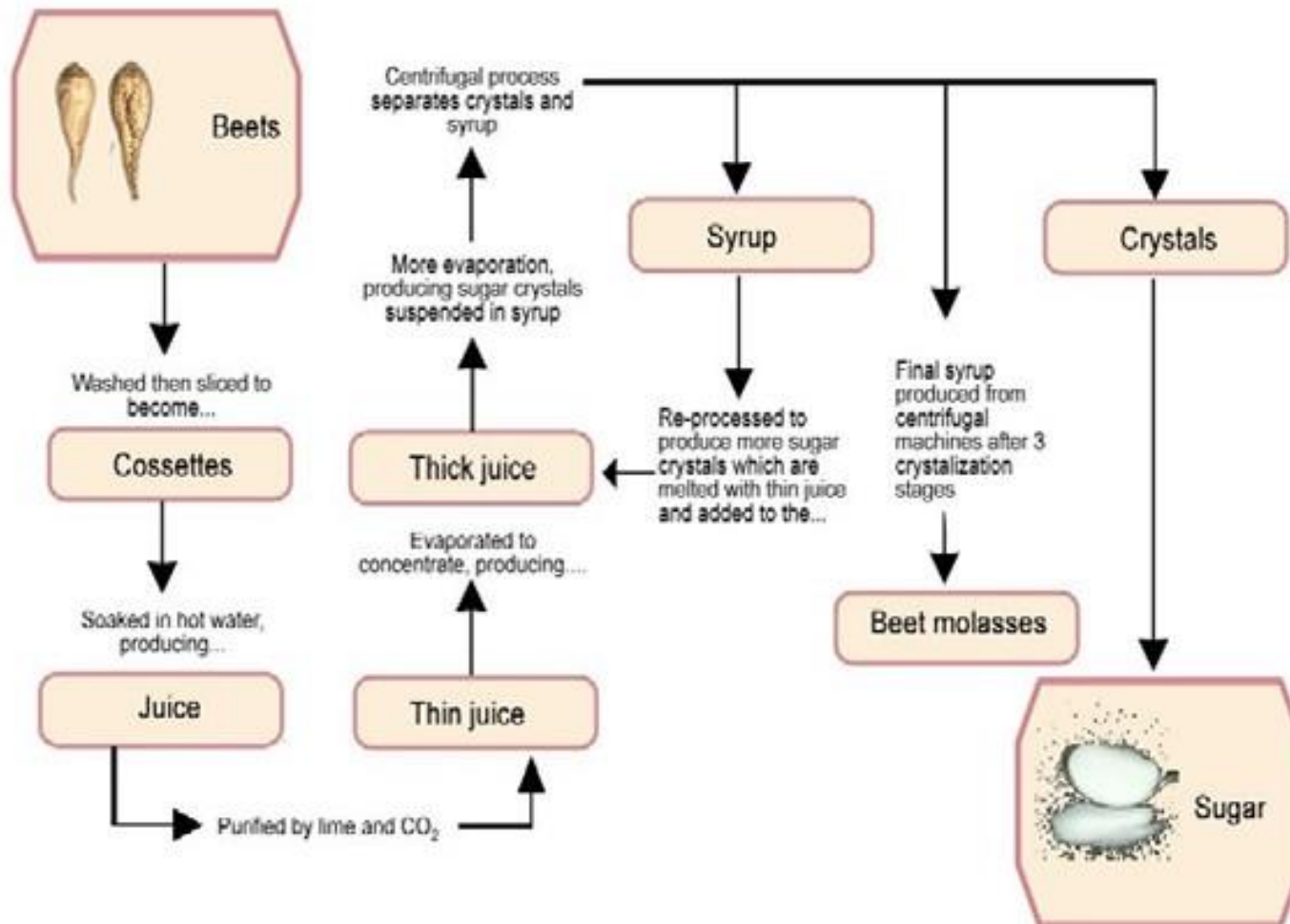
- Sucrose content around 50%, utilized. N 0.18-0.65, inorganic salts, organic N-compounds, colorants, colloids
- Buffering capability - alkaline pH
- Microbial pollution
- Variable quality - fermentation test



# Mollasses cultivation medium

- Clarification - acidic or alkaline
- Centrifuging - sludge separators
- Pasteurization, sterilization
- Nutrient supplementation -  $\text{NH}_4\text{OH}$ ,  $(\text{NH}_4)_2\text{SO}_4$ ,  $\text{K}_2\text{HPO}_4$ ,  $\text{MgSO}_4$ , biotin ...
- Acidification
- Adjustment of concentration

# Feedstock pre-treatment



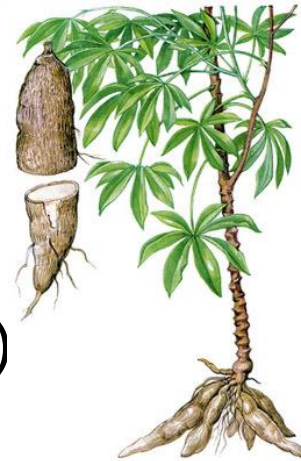


**Corn, maize** – milling, two step enzyme hydrolysis of starch (liquefaction- thermostable  $\alpha$ -amylase + saccharification - glucoamylase) (in past acid hydrolysis)



triticale

**Cassava** – peeling, cutting, two step enzyme hydrolysis of starch (liquefaction + saccharification)



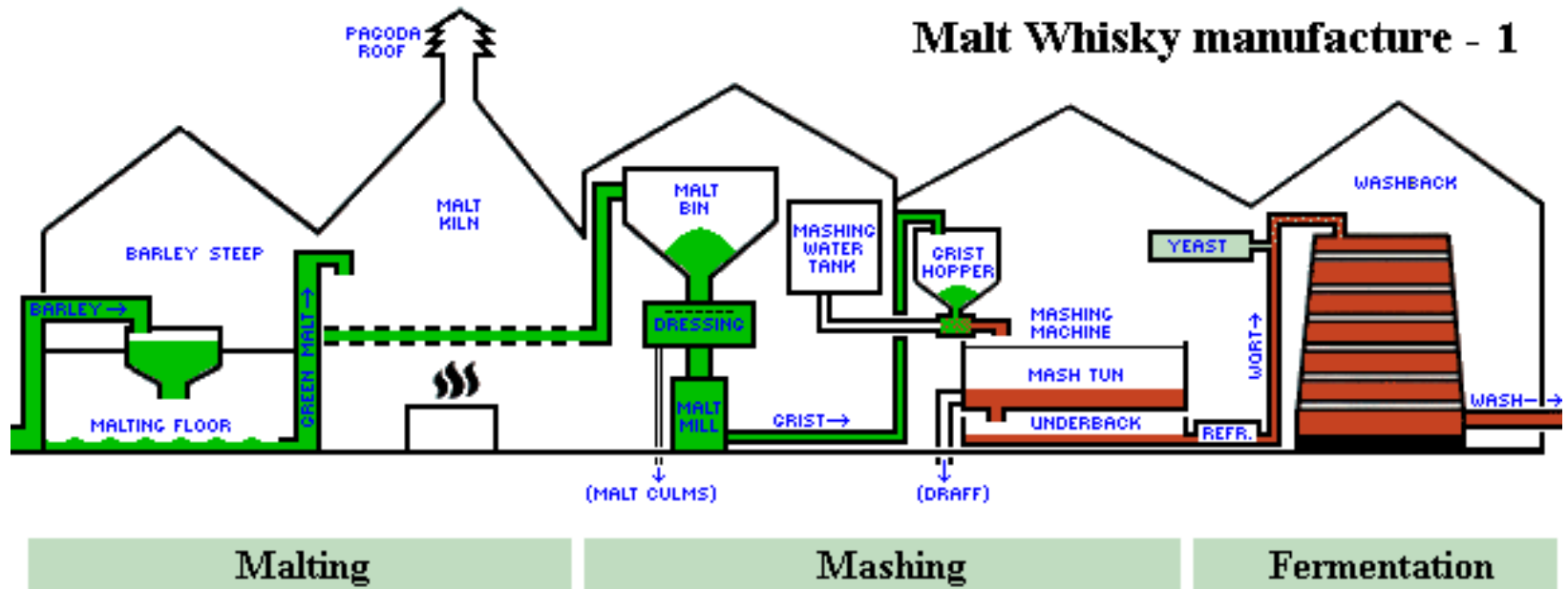
*Manihot  
esculenta*  
Cassava,  
manioc,  
tapioca

**Jerusalem artichokes** – washing, cutting, water extraction of inulin, inulinase treatment or acid hydrolysis

*Helianthus  
tuberosus*,  
topinambur



# Malt whisky manufacturing



<http://www.dcs.ed.ac.uk/home/jhb/whisky/glossary.html>

# Other sources of carbon

- Whey
- Sulfite extracts
- Cellulose
- Lignocellulose waste hydrolysate
- Waste paper and bark
- Distilleries slops
- Wastes from fruit processing



# Feedstock of second generation (non-food biomass) and its pre-treatment

Agricultural waste – wheat and rice straw, corn stover, bagasse...



Municipal waste, paper

Fast growing trees (poplar, willow), *Miscanthus*, sawdust, twigs...





# Feedstock availability and price

## Wheat straw

- multiple uses – animal bedding, fertilizer, construction material, production of biogas, pellets for burning, shoes, packaging material
- Collection area (transport cost)

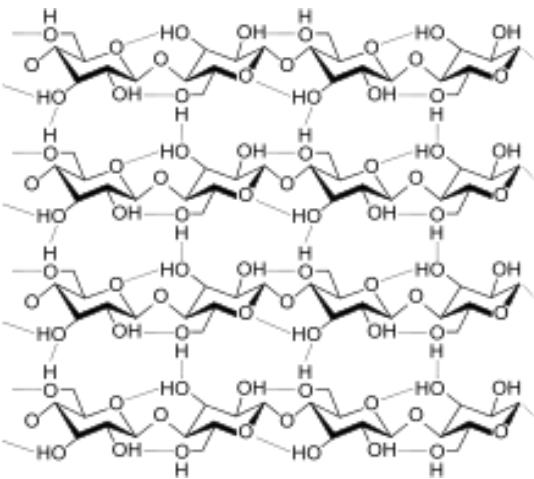
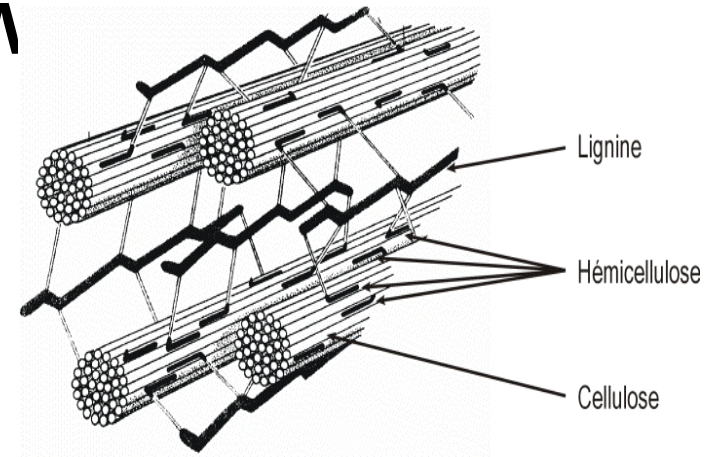
## Wood

- Construction material, wood chips and pellets...
- High price

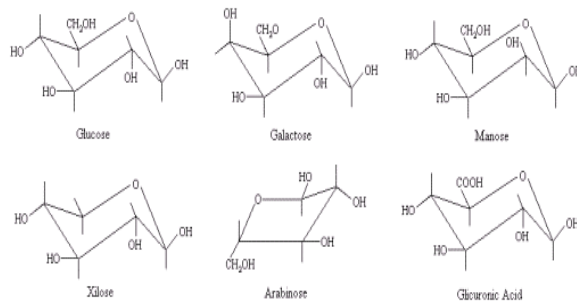
## MSW (municipal waste)

- Necessary sorting
- Can have **negative cost** (tax on landfills)

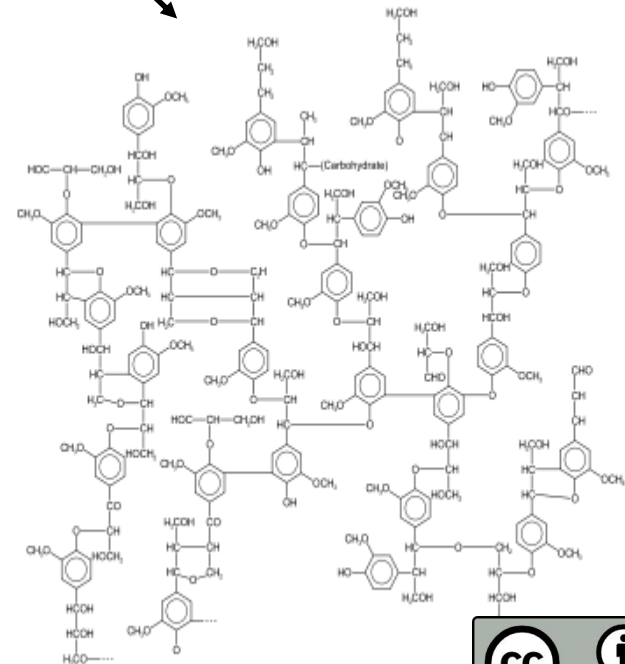
# Wheat straw



**cellulose**



**hemicellulose  
sugars**



# Different lignocellulose materials



Wheat straw

36 % cellulose  
16 % hemicellulose  
27% lignin  
6% ash



*Miscanthus*

39 % cellulose  
15 %  
hemicellulose  
26 % lignin  
3 % ash



Poplar wood

31 %  
cellulose  
15 %  
hemicellulose  
35 % lignin  
2 % ash



Dry poppyheads

15% cellulose  
12% hemicellulose  
30% extractives  
20% lignin  
10% ash

## Usual current pre-treatment approach

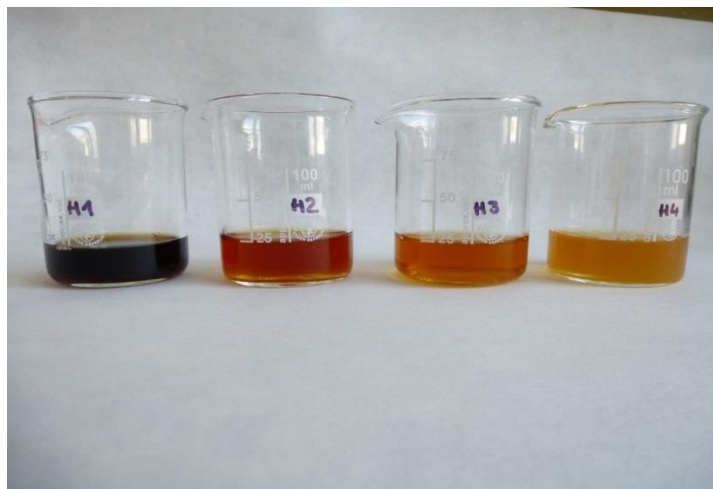
1. Mechanical – cutting, milling
2. Physico-chemical – acid/alkaline hydrolysis, ammonium expansion (AFEX), steam explosion...
3. Enzyme decomposition - endocellulase, Exocellulase (cellohydrobiolase), cellobiase (beta-glukanase) Novozymes, Genencor – enzymes obtained from fungi especially  
*Trichoderma reesei*

# Types of physico-chemical pre-treatment

1. **Dilute acid** – increased accesibility of cellulose X low lignin removal, inhibitors
2. **Dilute alkali** – decreased crystallinity of cellulose, lignin removal, no inhibitors X solubilization of hemi cellulose
3. **Steam explosion** – cellulose digestibility, lignin redistribution X inhibitors, incomplete decomposition, high energy
4. **Organosolv** – biomass fractionalization, less energy X explosion hazard, environemtal concern, loss of hemi cellulose
5. **AFEX (ammonia fiber explosion)** – lignin removal, decreased crystallinity of cellulose, low inhibitors X environmental concern, price



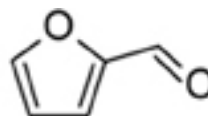
# Process selection



Different hydrolysates

## Selection criteria:

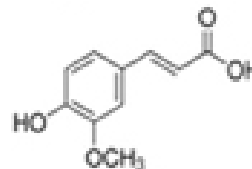
- Feedstock
- Minimization of inhibitors formation
- Economic demands (chemicals, heat, equipment..)
- Environmental concerns (waste..)



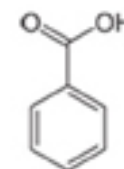
Furfural



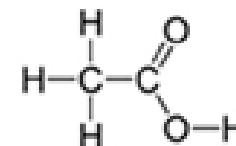
HMF



Ferulic acid



Benzoic acid



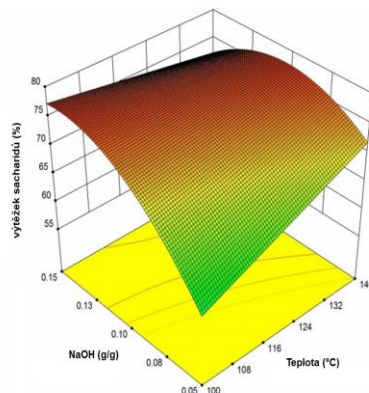
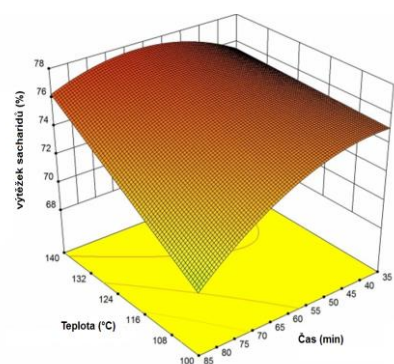
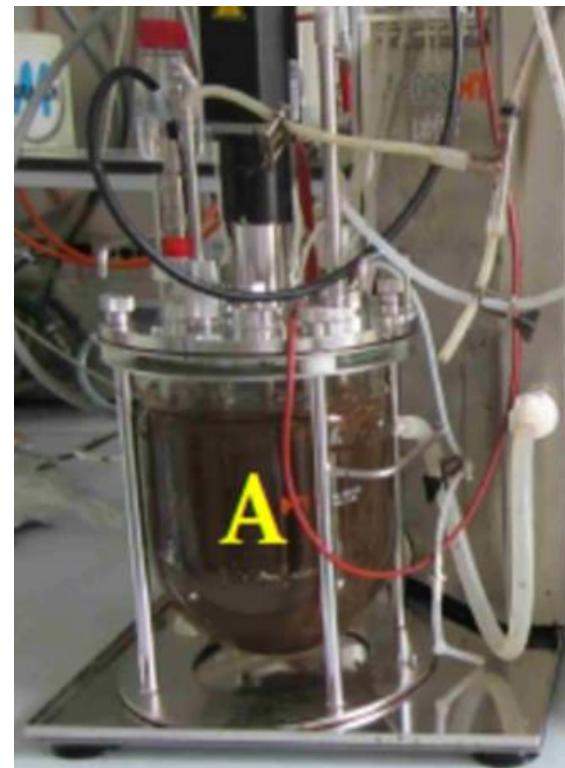
Acetic acid



Vanillin



# RSM optimization of alkali pre-treatment of wheat straw



**Optimal conditions** : temperature 80 °C, 40 min,  
dosing 0.18 kg NaOH + 0.06 kg Ca(OH)<sub>2</sub> per 1 kg  
of straw

# Main bottlenecks of lignocellulose utilization

- High hydromodule – low concentration of sugars (~4%)
- Mixed substrate - hexoses and pentoses
- Inhibitors formation (mainly 2-furaldehyde, hydroxymethyl-2-furaldehyde) – problems in fermentation and enzyme decomposition
- Demanding pre-treatment
- High cost and high dosing of cellulolytic enzymes
- Low concentration of product

# Combined approaches toward substrate pre-treatment and fermentation

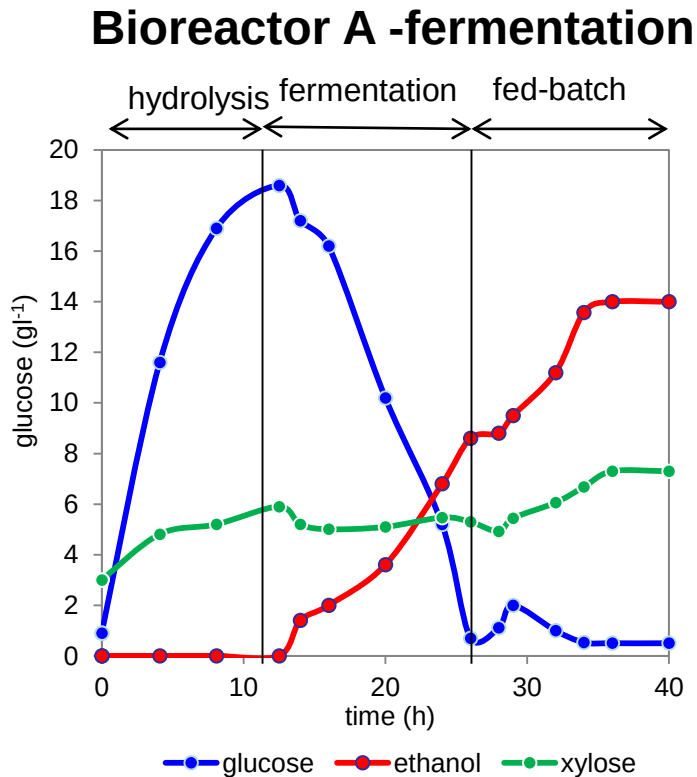
**SHF (separate hydrolysis and fermentation)** – optimal conditions for both hydrolysis and fermentation X time consuming

**SSF (simultaneous saccharification and fermentation)** – simultaneous application of enzymes and microorganisms X compromised conditions for both processes

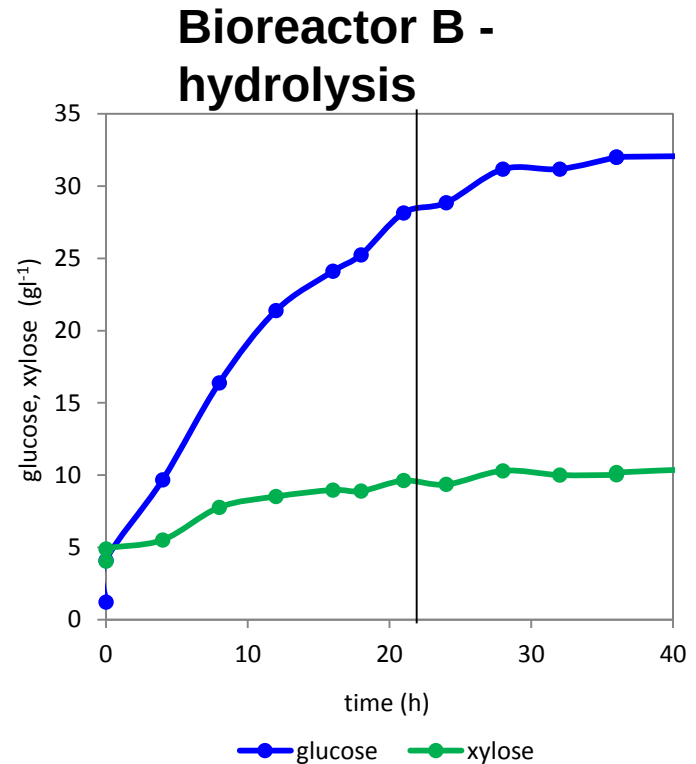
**CBP (consolidated bio-processing)** - use of microorganism or microbial consortium able to decompose cellulose and produce required metabolites

# Fed-batch delayed SSF (dSSF)

**FED-BATCH PROCESS** – increase of sugar concentration by feeding new (concentrated) substrate



feeding  
←



**yield from cellulose 0.37 g/g, ethanol concentration 18 % v/v**

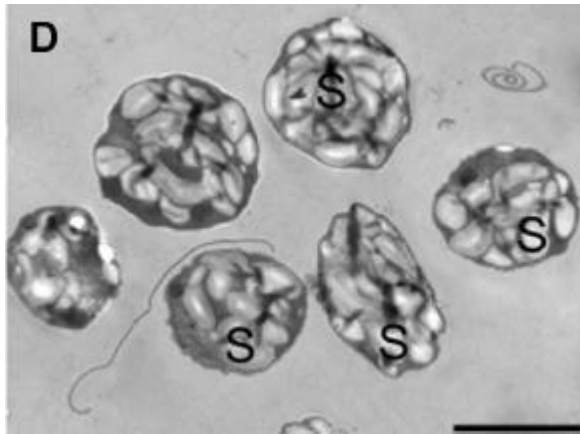
# Comparison of efficiency of selected CBP processes

Category I – cellulase producers, category II – ethanol producers

| microorganism                                                                   | feedstock               | Ethanol (g/l)  | Yield (%)    | Productivity (g/l/h) |
|---------------------------------------------------------------------------------|-------------------------|----------------|--------------|----------------------|
| <b>Category I</b>                                                               |                         |                |              |                      |
| <i>Clostridium thermocellum</i>                                                 | cellulose (unspecified) | 23.0           | 40           | 0.02                 |
| <i>Fusarium oxysporum</i>                                                       | Cellulose (unspecified) | 14.5           | 53           | 0.1                  |
| <b>Category II</b>                                                              |                         |                |              |                      |
| Engineered <i>S.cerevisiae</i>                                                  | Acid pre-treated straw  | 26             | 63           | 0.27                 |
| <b>consortium</b>                                                               |                         |                |              |                      |
| <i>C.thermocellum</i><br><i>Thermoanaerobacterium</i><br><i>saccharolyticum</i> | <b>Avicel</b>           | 38             | 90           | 0.3                  |
| <b><i>S.cerevisiae</i></b>                                                      | <b>Corn, sugar beet</b> | <b>100-140</b> | <b>95-98</b> | <b>2.8-4.0</b>       |

# Feedstock of third generation - algae

Fresh water micro algae – *Chlorella*  
(starch accumulation in cells – up to 60% of dried weight)



Brányiková et al., 2009



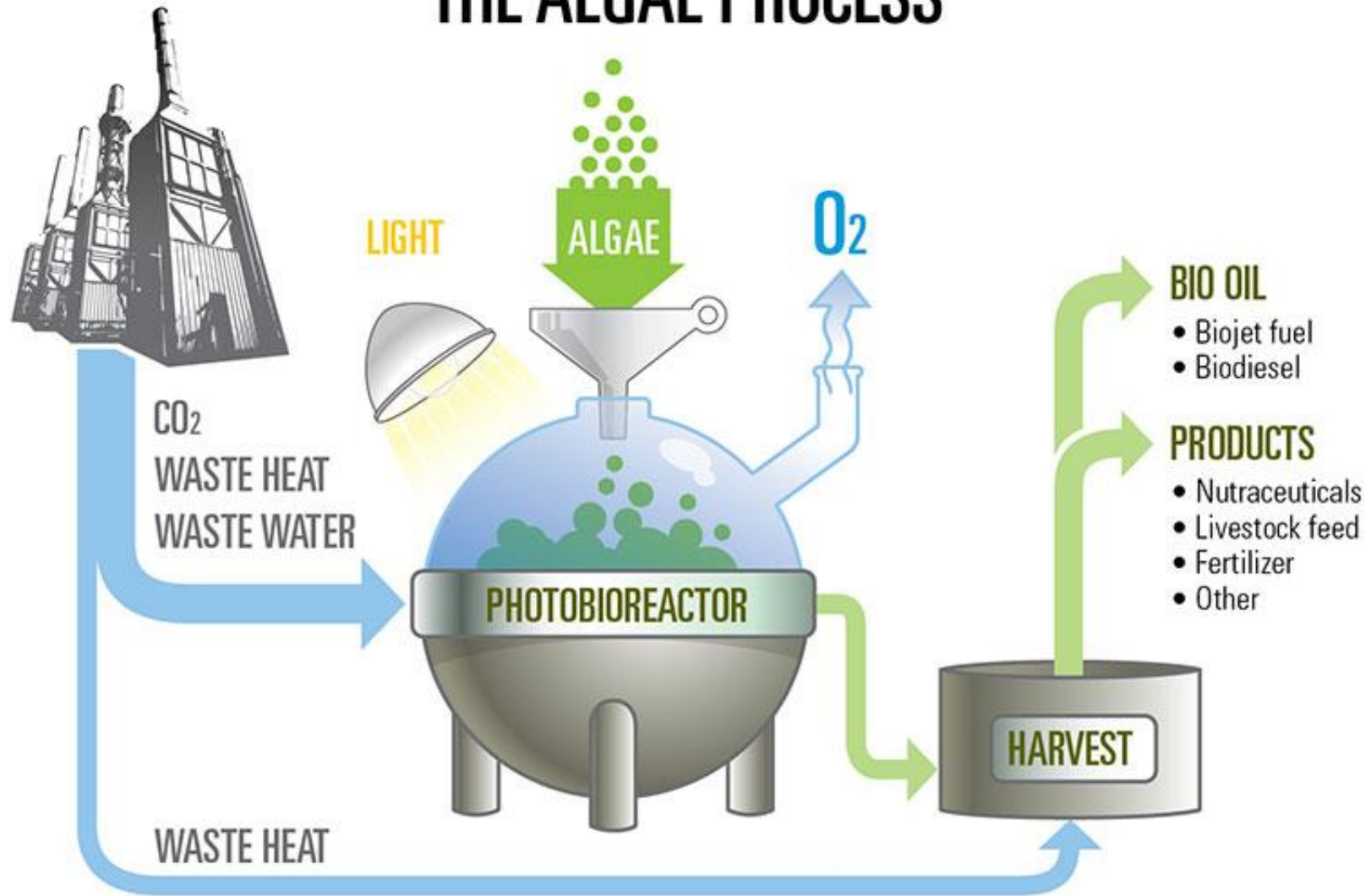
Sea weeds – macro algae *Ulva*, *Gracillaria*... (starch accumulation in cells)

## Pretreatment

Harvesting (sedimentation, centrifugation..), drying, desintegration of cells, enzyme hydrolysis (possible use of both starch and cellulose wall)



# THE ALGAE PROCESS



# Outdoor algae cultivation in convenient conditions



*Cyanotech's facility on the Big Island of Hawaii*

# Biorefinery

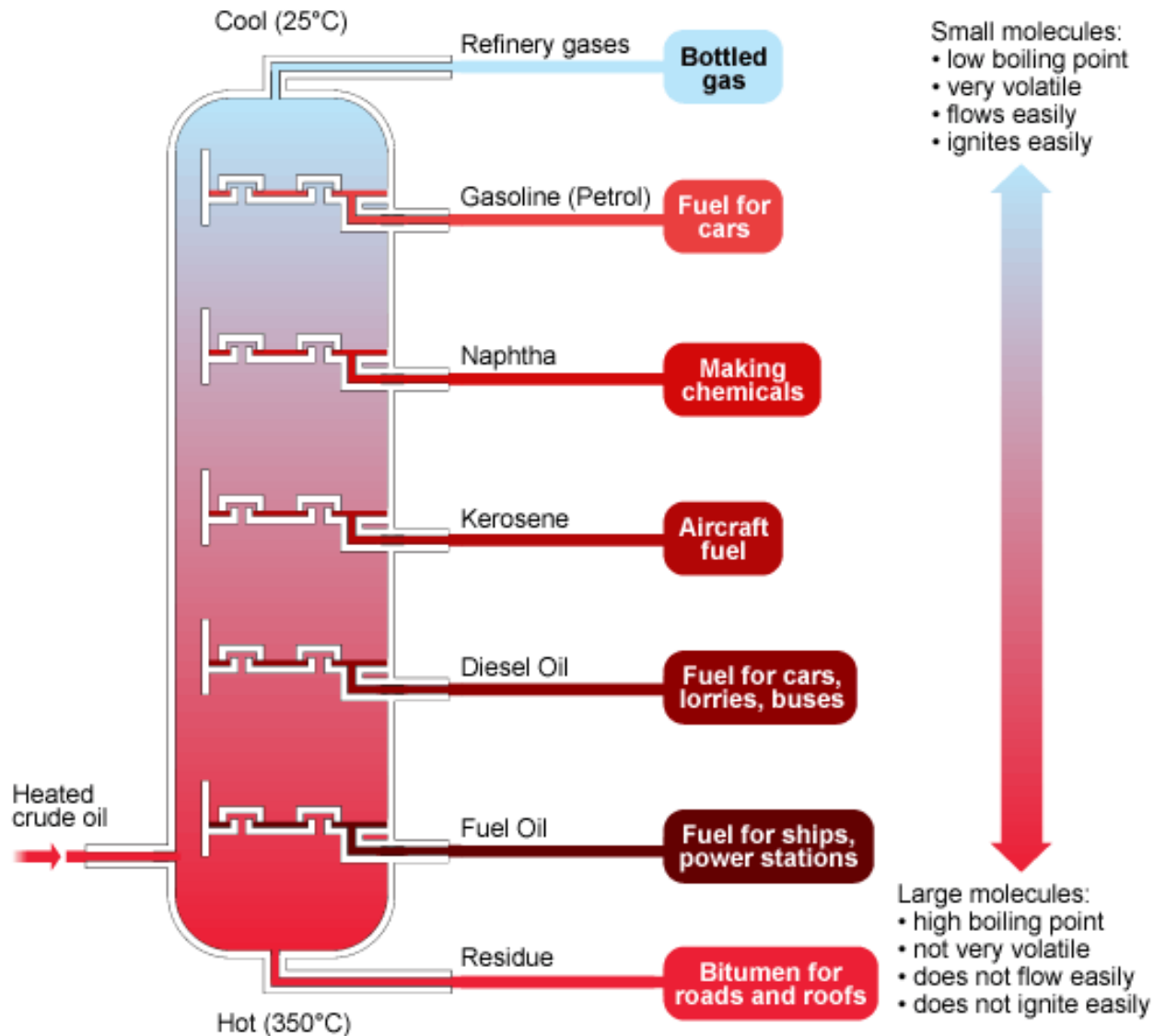
A biorefinery is a facility that integrates biomass conversion processes and equipment to produce fuels, power, and chemicals from biomass. The biorefinery concept is analogous to today's petroleum refineries, which produce multiple fuels and products from petroleum.

<http://www.nrel.gov/biomass/biorefinery.html>

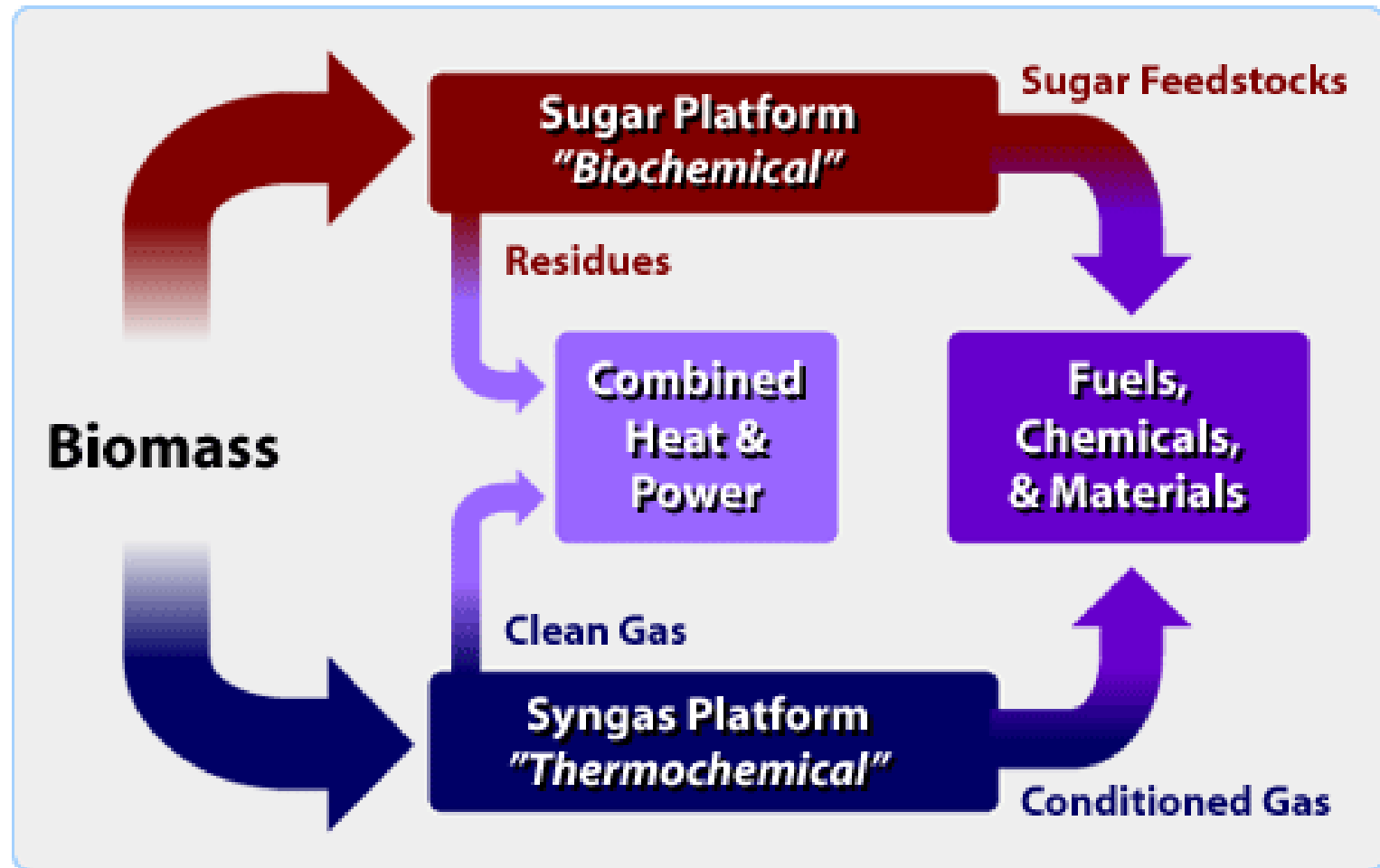
NREL – National Renewable Energy Laboratory (USA)



# Petroleum refinery

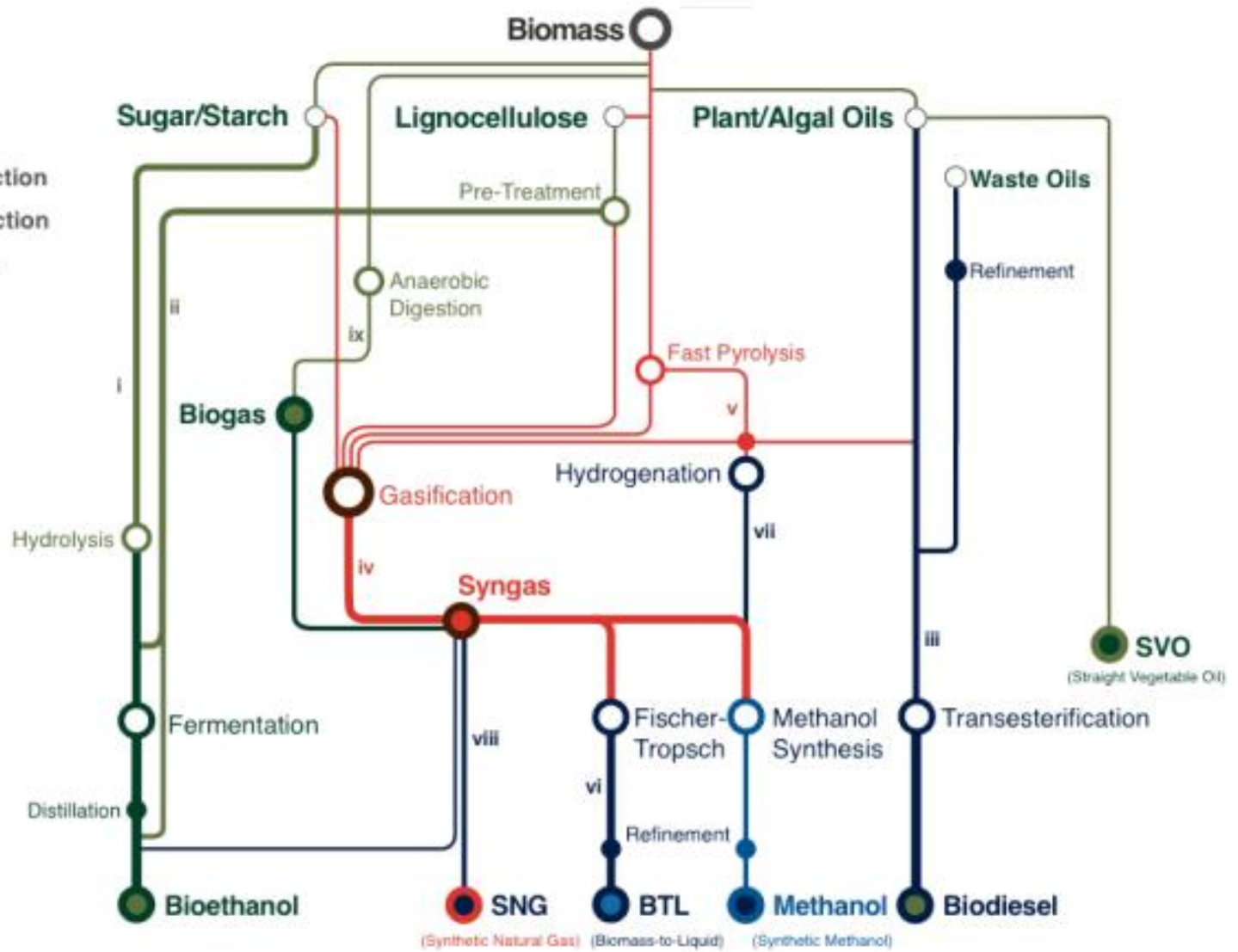


## Biorefinery Concept



<http://www.nrel.gov/biomass/biorefinery.html>

# Biofuel flow (whole plants)





# Nitrogen source

## 1) Basic resources

ammonium salts

nitrates

urea

amino acids

2) Natural sources - autolysates, peptones,  
yeast extract, whey ...

# Phosphorus sources

- Phosphates
- Complex resources
- Macro- and microelements
- Salts - sulphates, chlorides or complex raw materials

# Defoaming agents

Oils and fats, alcohols, sorbitan derivatives, polyethers, silicones ..

Influence of the viscosity and surface tension of the culture medium

X toxicity to cells

# Water

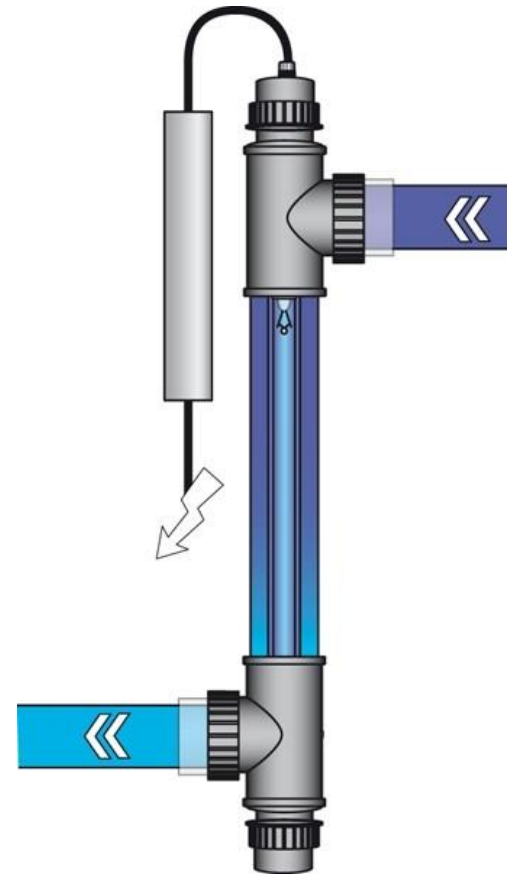
Hygienic requirements

Technology requirements - salt content

Water treatment - distillation, deionization

# Sterilization

- Thermal
- Filtration (for substances sensitive to heat)
- UV irradiation (water, space)
- Chemical (Petri dishes ...)



# Sterilization in situ X ex situ

- In situ –in bioreactor
- Flow sterilization (pasteurisation) of the culture medium
- CIP and SIP for a bioreactor



## **CIP –cleaning-in-place (also SIP – sterilization in place and WIP – washing in place)**

- Closed piping system connected by tight valves with a production line
- Suitable for beverage industry, dairy, syrup production, oil ...
- Suitable where classic cleaning requires the whole line to be dismantled
- Contains dispensing pump, detergent source, ...

# Cycle of CIP- example

1. 3x rinse with water (length 20s, interval 40s)
2. Add detergent to water, steam spraying to achieve the desired temperature
3. Circulation of heated washing solution (10-12min.)
4. 2x rinse with water (length 20s, interval 40s)
5. Rinse with acidic solution (pH 4.5-5, 3min.)
6. Draining of washing water, drying

# Safe deposit of microbial strains

## 1. Freezing with cryoprotectives

10% glycerol, 5% DMSO

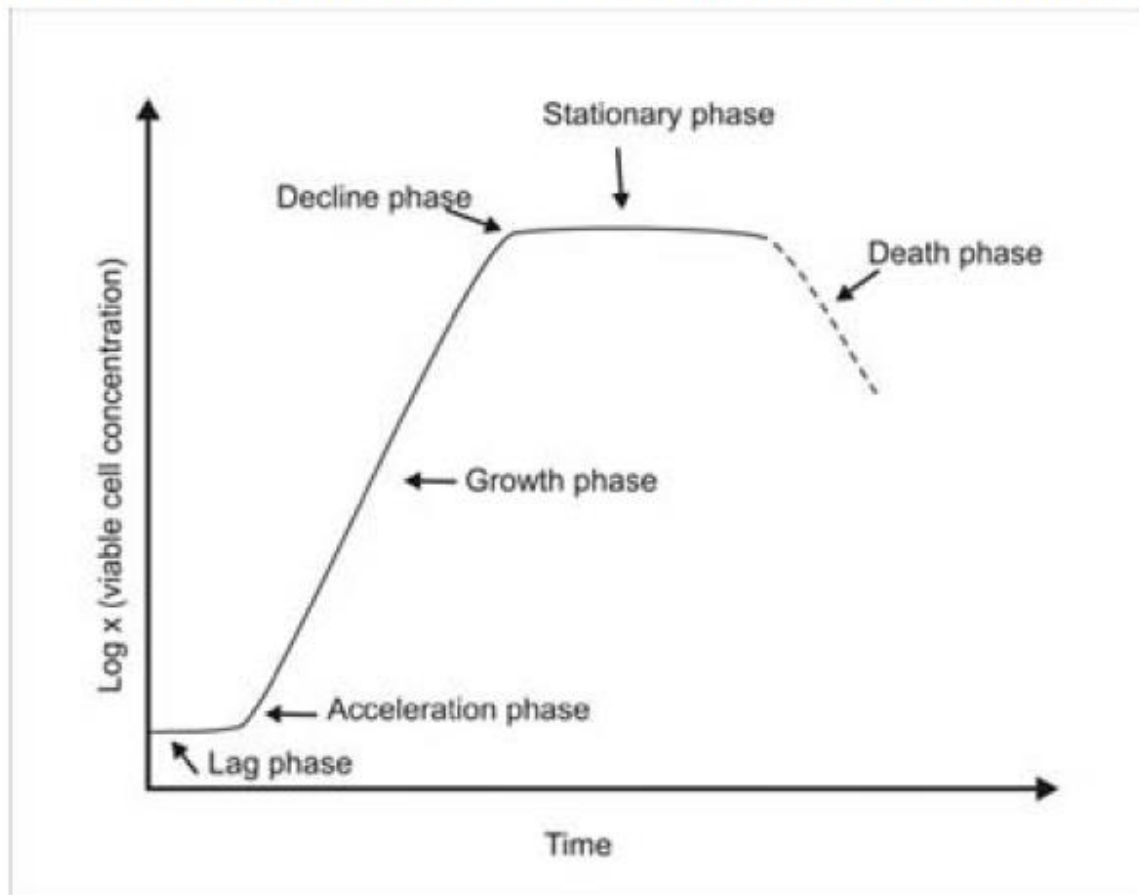
## 2. Lyophilization

12% saccharose, dried milk

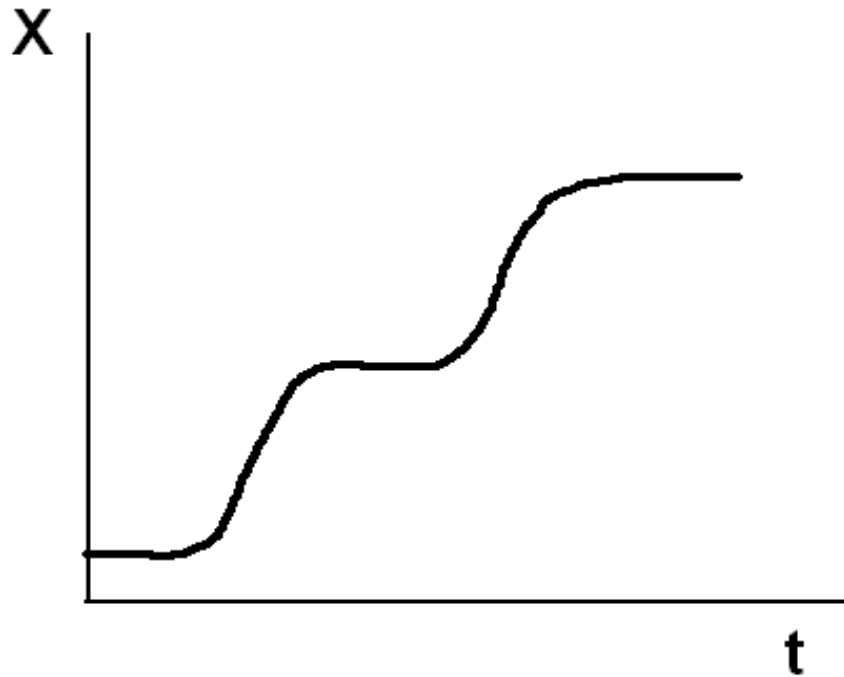
## 3. Other ways

# BATCH CULTIVATION

- TRANSIENT SYSTEM
- CONCENTRATIONS CHANGING IN TIME PERIOD



# Diauxia



# SPECIFIC GROWTH RATE

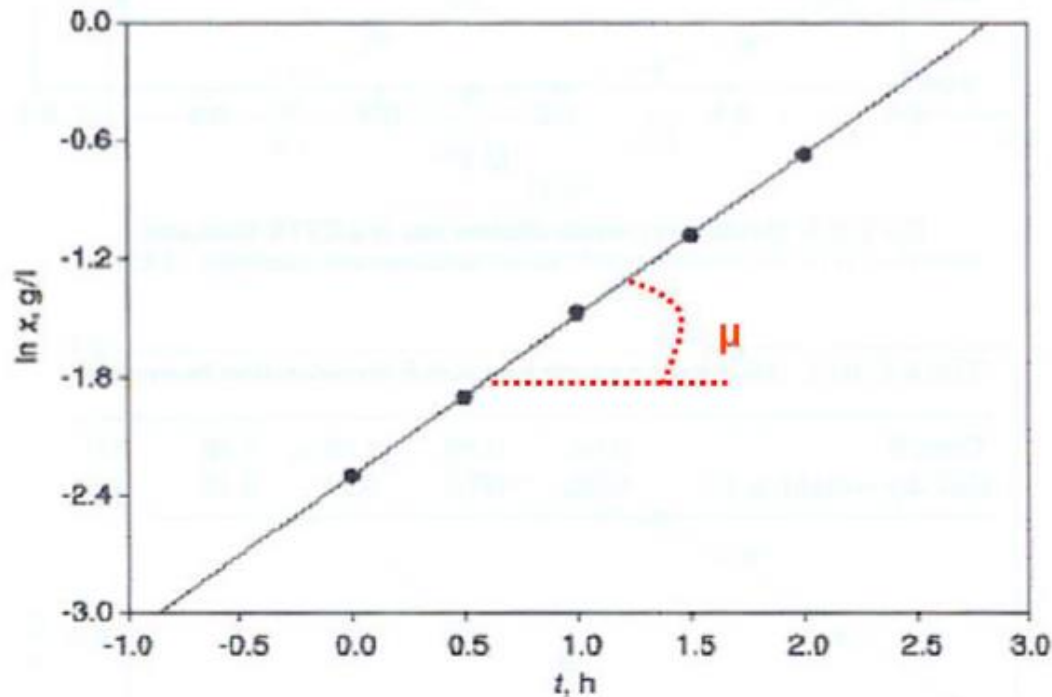
- **GROWTH RATE** – proportional of concentration of biomass  
$$\frac{dX}{dt} = \mu X \longrightarrow \mu = \frac{1}{X} \frac{dX}{dt}$$
- **SPECIFIC GROWTH RATE** - growth rate related to one unit of biomass
- Amount of biomass originated from 1 g of biomass per one hour
- **UNIT:**  $\left(\frac{g}{l.h}\right) \cdot \left(\frac{l}{g}\right) = (h^{-1})$
- **WHAT MEANS  $\mu = 0.2 \text{ h}^{-1}$  ?**

# CALCULATION OF $\mu$ FROM EXPERIMENTAL DATA

$$X = X_0 e^{\mu t} \quad \text{LINE EQUATION:}$$

$$\ln X = \ln X_0 + \mu t$$

**SEMILOGARITMIC PLOT**  
**SLOPE OF THE LINE -  $\mu$**





# YIELD

---

CONVERSION OF SUBSTRATE TO PRODUCT,  
BIOMASS,....

$$Y_{X/S} = -\frac{dX}{dS}$$

$$Y_{P/S} = -\frac{dP}{dS}$$

- UNIT: g.g<sup>-1</sup>

# PRODUCTIVITY

---

- AMOUNT OF PRODUCT PER TIME PERIOD PER VOLUME

$$p_{\max} = \frac{c_{\max} - c_0}{t_0 - t_k}$$



EUROPEAN UNION  
European Structural and Investing Funds  
Operational Programme Research,  
Development and Education



**UNIVERSITY OF  
CHEMISTRY AND TECHNOLOGY  
PRAGUE**

# Biotechnology in Food Industry

## Lecture II

Petra Patáková

[petra.patakova@vscht.cz](mailto:petra.patakova@vscht.cz)

The published materials are intended for students of the University of Chemistry and Technology, Prague as a study material. Some text and image data contained therein are taken from public sources. In the case of insufficient quotations, the author's intention was not to intentionally infringe the possible author(s) rights to the original work.

If you have any reservations, please contact the author(s) of the specific teaching material in order to remedy the situation.



# Biotechnology in Food Industry

## Introduction to the topic – common principles of microbial technologies II



# Content

- Type of cultivation process
- Bioreactor equipment
- Product separation
- Summary of the bioprocess

# Laboratory/Process Microbial Cultivation

## In liquid medium

- composition of the medium
- Cultivation in shaken flasks

## On a solid substrate

- Substrate composition
- Cultivation on agar plates

Cultivation in bioreactor

Type of cultivation (batch, fed-batch, continuous)

Monitoring process parameters

# Solid substrate cultivation

- Water activity
- Particle size
- pH of the substrate
- Aeration and mixing
- Heat removal



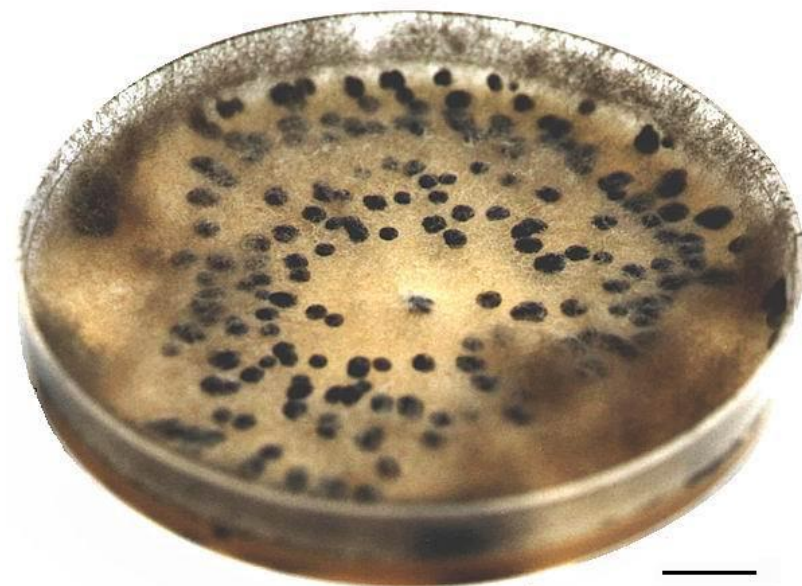


Fig.3 Rice grains after 7 days cultivation of *M.purpureus* at 30<sup>0</sup>C

# DIFFERENCES SSC X SLC?

| CULTIVATION ON SOLID SUBSTRATE                  | CULTIVATION WITH LIQUID MEDIUM                                      |
|-------------------------------------------------|---------------------------------------------------------------------|
| MEDIUM– SOLID                                   | MEDIUM- LIQUID                                                      |
| LOW LAYER OF SUBSTRATE                          | HIGH DEPTH OF MEDIUM                                                |
| CELLS GROW ON SUBSTRATE SURFACE                 | CELLS GROW IN MEDIUM                                                |
| GRADIENT OF NUTRIENTS, HEAT                     | NUTRIENTS DISSOLVED HOMOGENOUSLY                                    |
| TRANSPORT OF NUTRIENTS, HEAT AND OXYGEN LIMITED | TRANSPORT PROCESSES ARE NOT LIMITED                                 |
| OXYGEN MAINLY IN GAS PHASE                      | OXYGEN DISSOLVED IN LIQUID                                          |
| COOLING REALISED using gas phase                | COOLING REALISED USING external cooling (cold water in double wall) |
| MONITORING AND CONTROL COMPLICATED              | MONITORING AND CONTROL IS COMMONLY USED                             |
| PRODUCT CONCENTRATED                            | PRODUCT DISSOLVED IN LIQUID                                         |

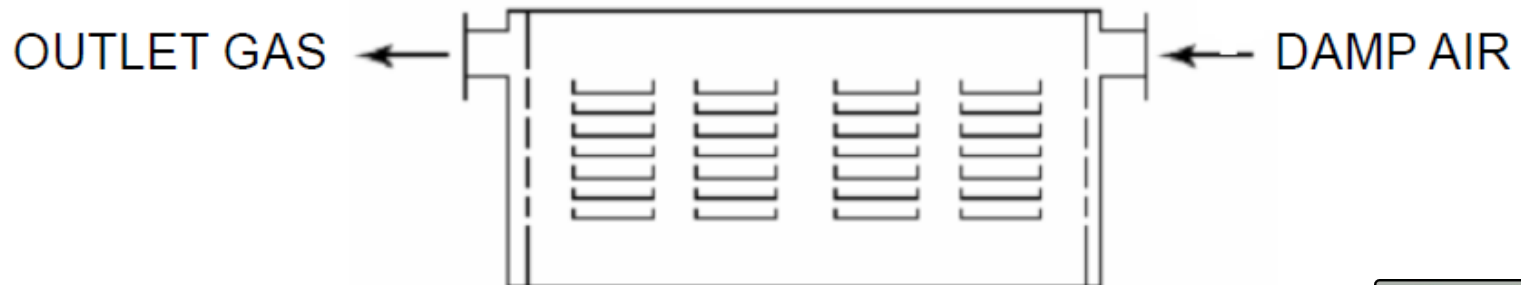
# SOLID STATE BIOREACTORS (SSB)

- LOW WATER ACTIVITY
- NON-HOMOGENIC
- GRADIENT OF NUTRIENTS, pH, HEAT...
- CULTIVATION OF MOLDS
- DIFFERENT TYPE AND TECHNOLOGICAL LEVEL



# SSB – TRAY BIOREACTOR

- SEVERAL TRAYS IN TEMPERATED CHAMBER
- PERFORATED BOTTOM
- LOW DEPTH OF SUBSTRATE
- AERATION
- NON-ASEPTIC
- MANUAL MIXING
- TEMPEH, KOJI



# Laboratory propagation





# Cultivation (fermentation) process

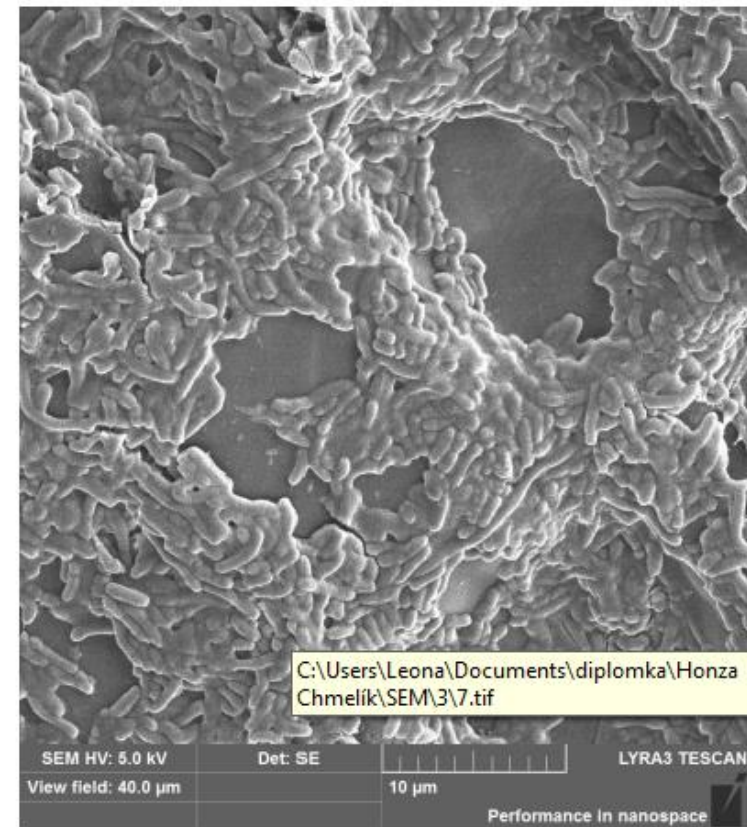
## Bioreactor



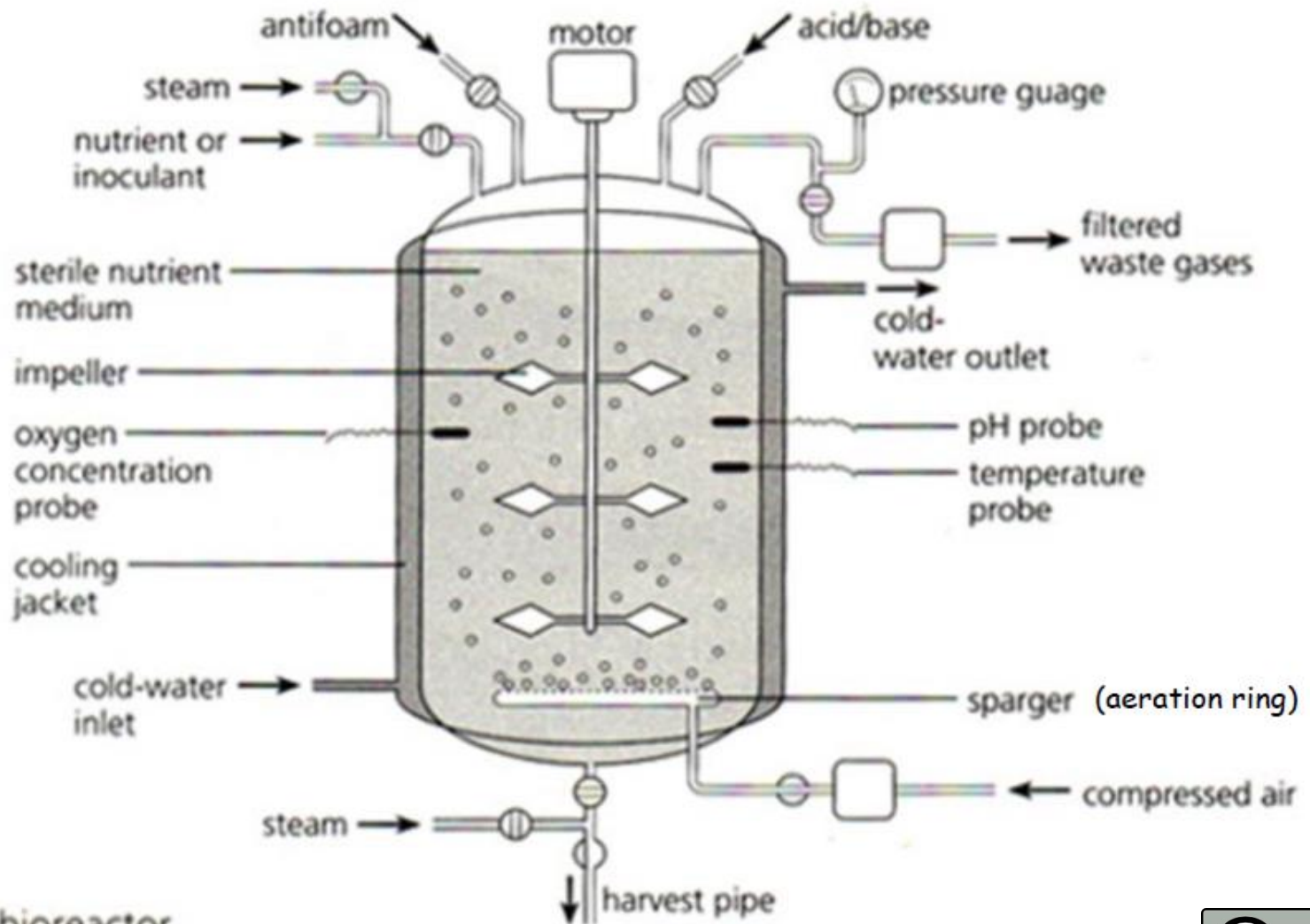
- Preparation of the culture medium (broth)
- Preparation of the inoculum (microorganism)
- (sterilization)
- Fermentation (cultivation)
- Product acquisition (isolation and purification)

# TYPES OF BIOREACTORS

## FREE CELLS, IMMOBILIZED CELLS



# STIRRED BIOREACTOR - PARTS



A bioreactor



# Bioreactor equipment

## Mixing

Mechanical

Pneumatic

Hydraulic

Mixing function - Homogenization of air content and dispersion

X shear force



# Bioreactor equipment

Aeration

Aerating wreath, nozzle

Sometimes associated with mixing!

Probes

pH, temperature,  $O_2$ ,  
defoaming ...



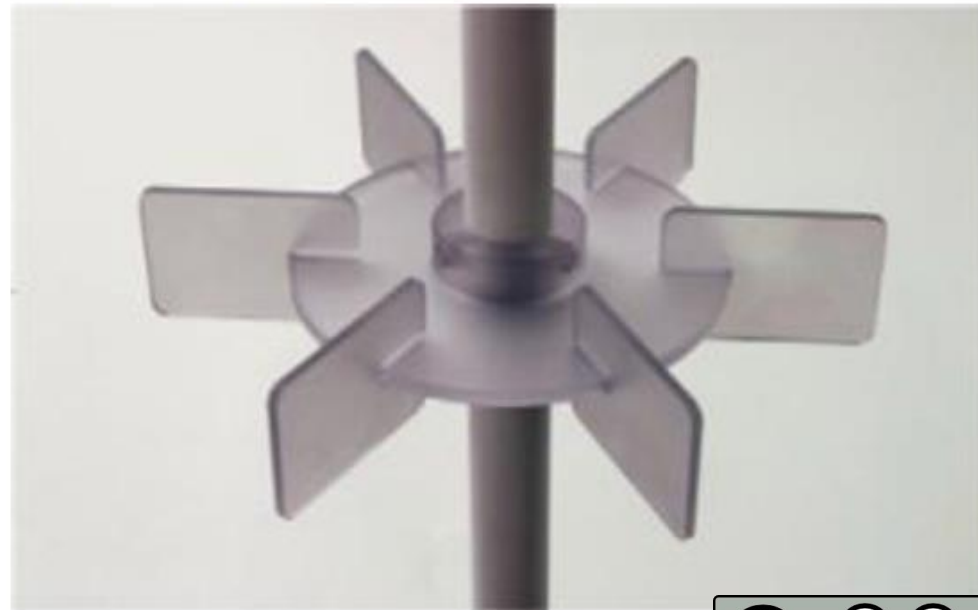
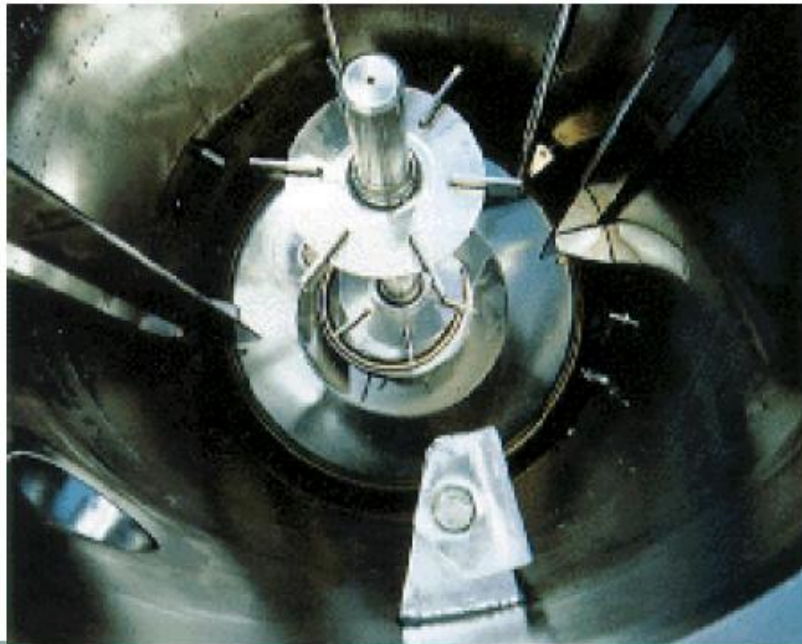
# STIRRED BIOREACTOR - STIRRER

- **HOMOGENIZATION EFFECT**

TRANSFER OF NUTRIENTS, ENERGY, HEAT,  
OXYGEN, METABOLITES

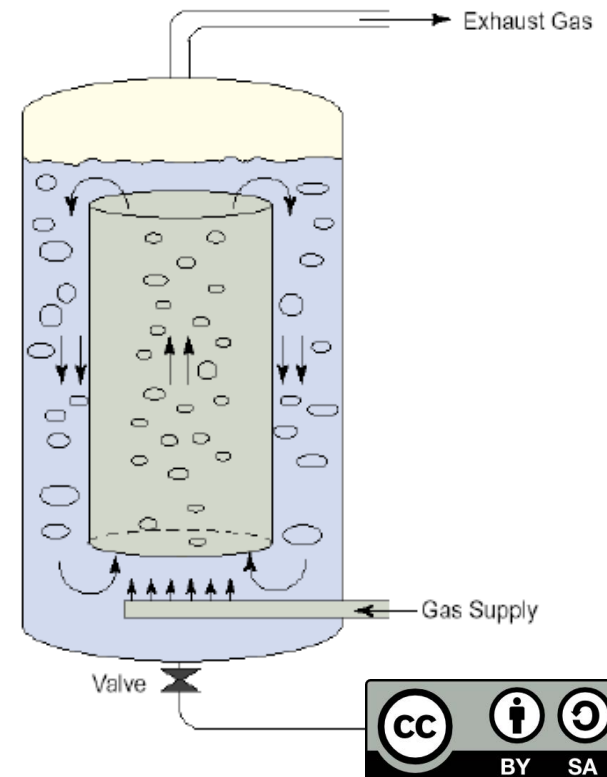
- **DISPERGATION EFFECT**

OXYGEN TRANSFER FROM GAS TO LIQUID



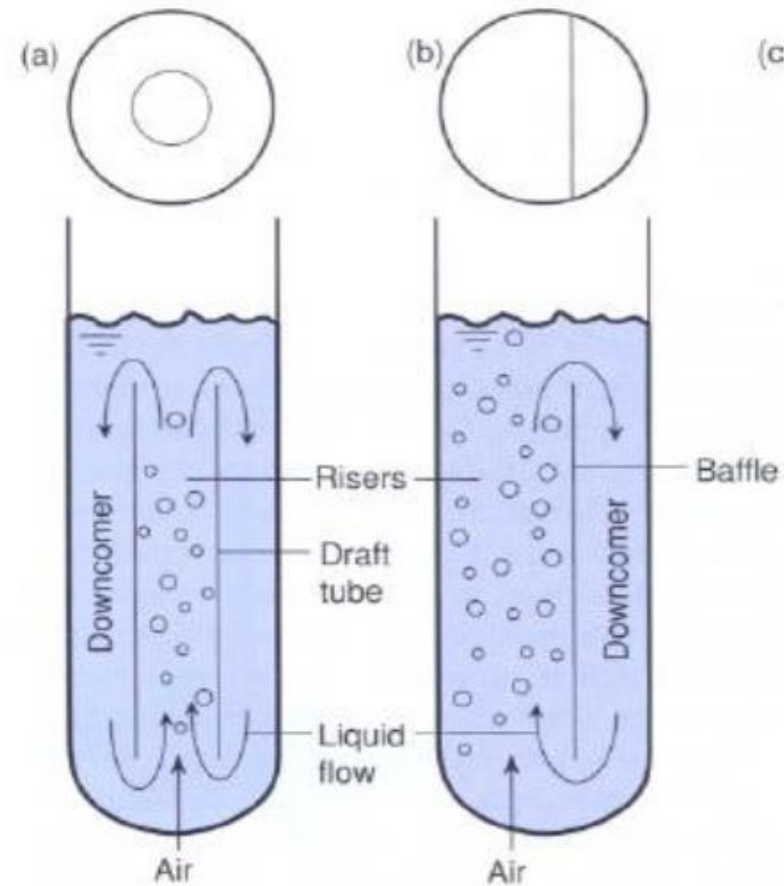
# PNEUMATICALLY AGITATED BIOREACTORS

- **AIR-OPERATED MIXING**
- **SHEAR STRESS SENSITIVE CELLS**
- **AEROBIC CULTIVATION**
- **LOW OXYGEN TRANSFER RATE**



# AIR-LIFT BIOREACTORS

- **HIGH  $h/d$**
- **CIRCULATING TUBE or FITTING** – increased dispergation, increased holding time





# Types of microbial cultivation

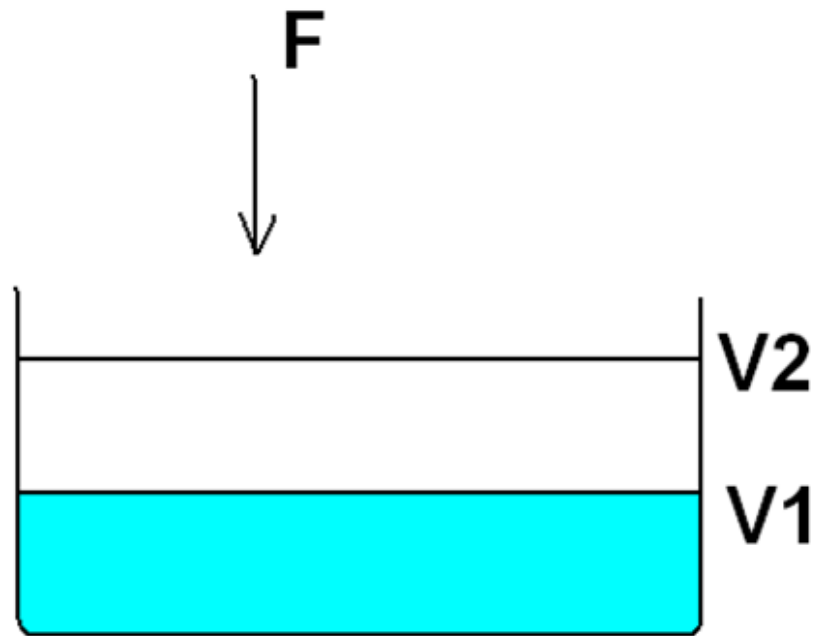
1. Batch
2. Fed-batch
3. Continuous

Batch cultivation

Constant volume



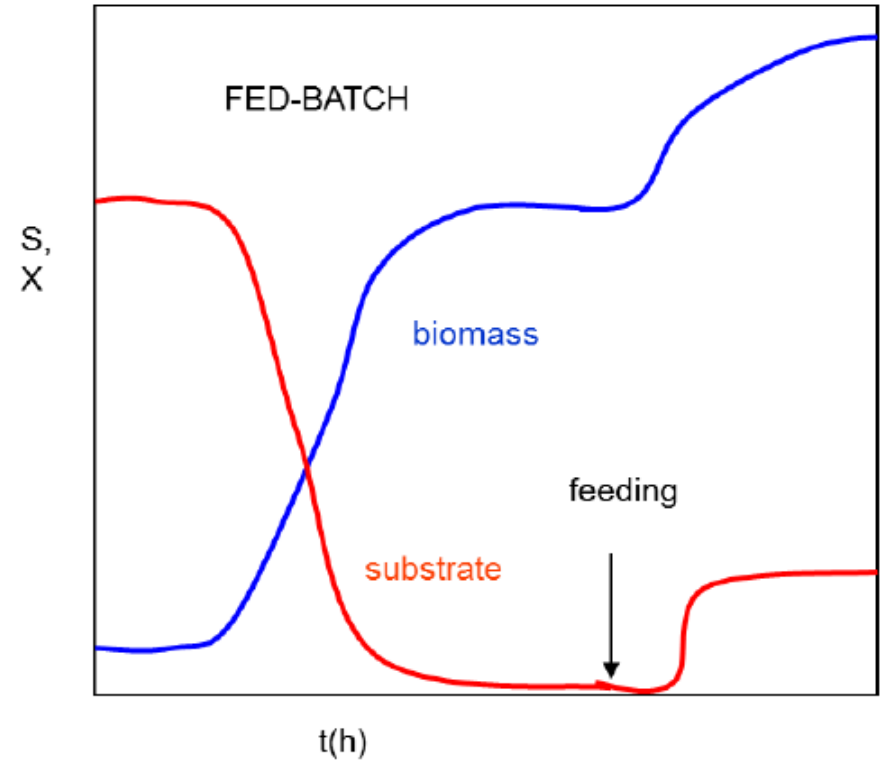
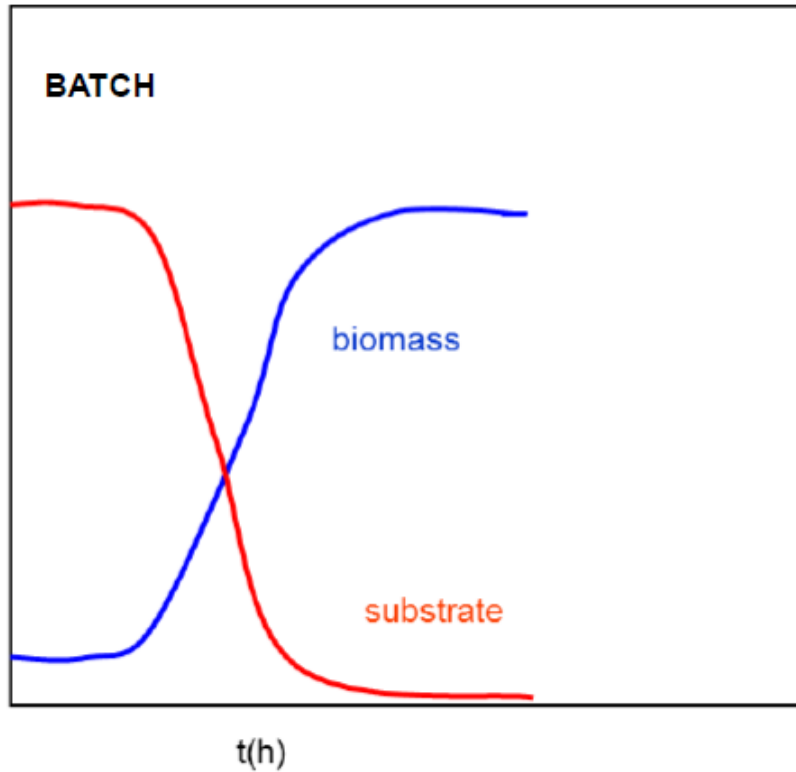
# Fed-batch cultivation



Reduction of substrate inhibition



# GROWTH IN FED-BATCH



# **FED-BATCH IS USED FOR:**

---

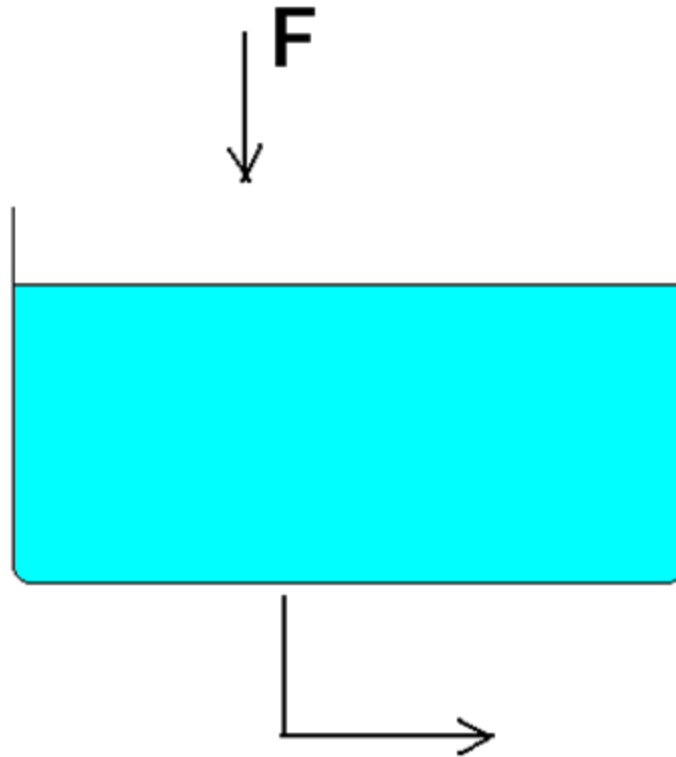
- **INCREASED PRODUCTIVITY** – more substrate addition -prolonged cultivation time
- **SUBSTRATE INHIBITION** – growth is inhibited by high concentration of substrate (ethanol, methanol..)
- **CRABTREE EFFECT** – high concentration of glucose – ethanol production at aerobic condition
- **HIGH CELL DENSITY CULTURES** – growth is supported by exponential substrate addition (SCP, recombinant proteins,....)
- **VISCOSE MEDIA** – microbial polymers (dextran, xanthan) – dilution of media (mixing, aeration)

# FEEDING RÉGIME

---

- **BASED ON EXPERIENCE**
- **ACCORDING TO MODEL** (based on cultivation data)
  - continuous, discontinuous, constant, linear, exponential
- **DIRECT REGULATION** – based on actual concentration of substrate
- **FEEDBACK REGULATION** – based on on-line measured signal of parameter connected with cell metabolism (pH, O<sub>2</sub>, CO<sub>2</sub>)

# Continuous cultivation

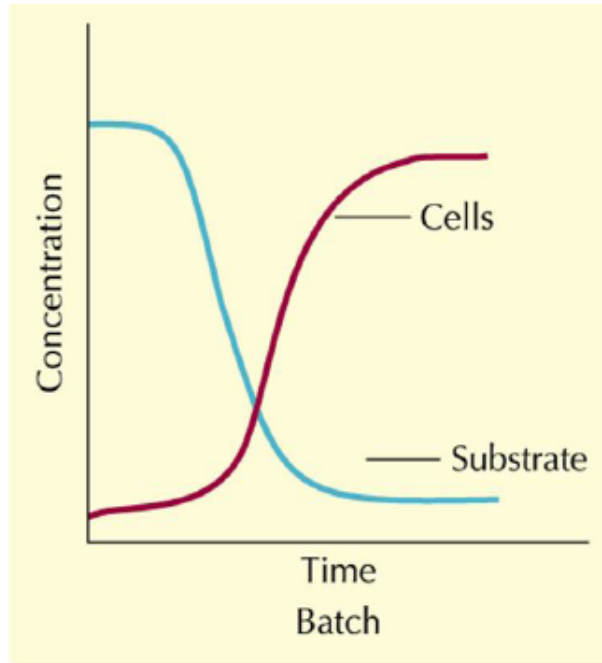


Dilution rate:  
 $D = F/V$

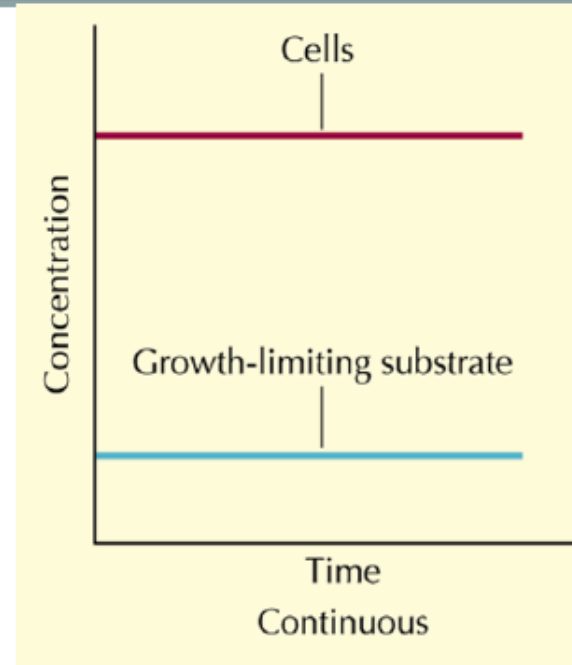
$D < \mu$  (if not gradual  
flooding of  
microorganisms from  
the system)

Constant volume, reduction of product inhibition

# CONTINUOUS CULTIVATION



NUTRIENTS DEPLETION –  
GROWTH STOPPED



CONTINUOUS NUTRIENTS  
ADDITION – CONSTANT  
GROWTH AND PRODUCTION,  
HOMOGENOUS CULTURE,  
STEADY STATE

# CONTINUOUS CULTIVATION

---

- DILUTION RATE:  $D = \frac{F}{V}$
- UNIT:  $\text{h}^{-1}$
- STEADY STATE – concentration of biomass, metabolites and nutrients in bioreactor is constant and independent on time (dependent only on cultivation condition)
- CHEMOSTAT – constant dilution rate, grow is limited by availability of one nutrient (source of carbon)

# CHEMOSTAT

- $F=\text{const}$ ,  $V=\text{const}$ ,  $D = \frac{F}{V}$  ,  $D=\text{const}$
- GROWTH RATE CONTROLLED BY NUTRIENT AVAILABILITY

$$\mu = \mu_{\max} \frac{S}{K_s + S}$$

- STEADY STATE:  
CONCENTRATION OF NUTRIENTS = const  
SPECIFIC GROWTH RATE = const and equal to  
DILUTION RATE  $\mu=D$  in the interval  $0 < D < \mu_{\max}$



# STEADY STATE

- $F = \text{const}$ ,  $V = \text{const}$ ,  $D = \frac{F}{V}$ ,  $D = \text{const}$
- GROWTH RATE CONTROLLED BY NUTRIENT AVAILABILITY

$$\mu = \mu_{\max} \frac{S}{K_s + S}$$

- STEADY STATE:
  1. CONCENTRATION OF NUTRIENTS = const
  2. SPECIFIC GROWTH RATE = const and equal to DILUTION RATE  $\mu = D$  in the interval  $0 < D < \mu_{\max}$
  3. concentration of biomass, product, metabolites = const

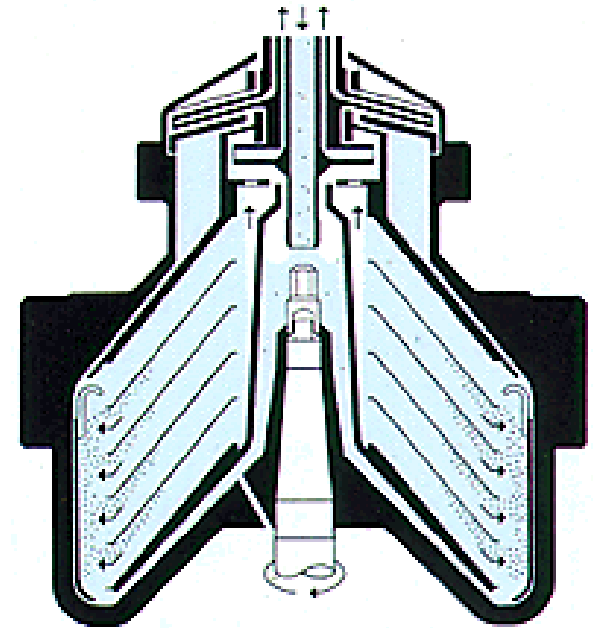
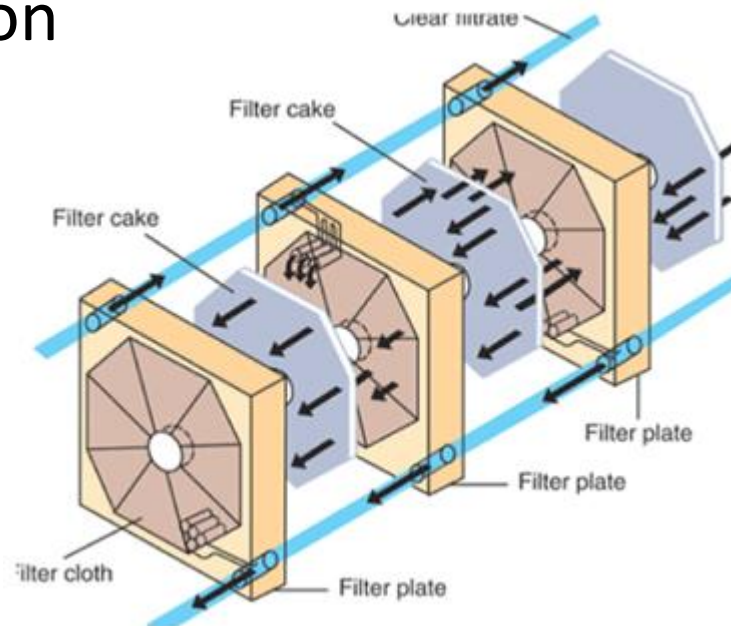
# STEADY STATE

---

- IN A STEADY STATE ALL PARAMETERS **ARE NOT DEPENDENT ON TIME BUT ONLY ON CULTIVATION CONDITION**
- PHYSIOLOGICAL STUDIES
- OPTIMIZATION EXPERIMENTS – relation between concentration of nutrients, cell growth, production, yield and productivity

# Separation from microorganisms from cultivation medium

- Centrifuging
- Filtration
- Sedimentation
- Flotation



# Isolation of metabolites from fermentation medium

- Distillation
- Precipitation and crystallization
- Extraction by solvents
- Adsorption and elution
- Membrane separation



# Basic process scheme

- Feedstock and its adjustment, supplementation
- Microorganism and its requirements
- Metabolism – aerobic/anaerobic, production of metabolites
- Process arrangement – batch/ fed-batch/ continuous
- What is product? Biomass or metabolite
- Product separation, purification and final adjustment



EUROPEAN UNION  
European Structural and Investing Funds  
Operational Programme Research,  
Development and Education



**UNIVERSITY OF  
CHEMISTRY AND TECHNOLOGY  
PRAGUE**

# Biotechnology in Food Industry

## Lecture III

Petra Patáková

[petra.patakova@vscht.cz](mailto:petra.patakova@vscht.cz)

The published materials are intended for students of the University of Chemistry and Technology, Prague as a study material. Some text and image data contained therein are taken from public sources. In the case of insufficient quotations, the author's intention was not to intentionally infringe the possible author(s) rights to the original work.

If you have any reservations, please contact the author(s) of the specific teaching material in order to remedy the situation.



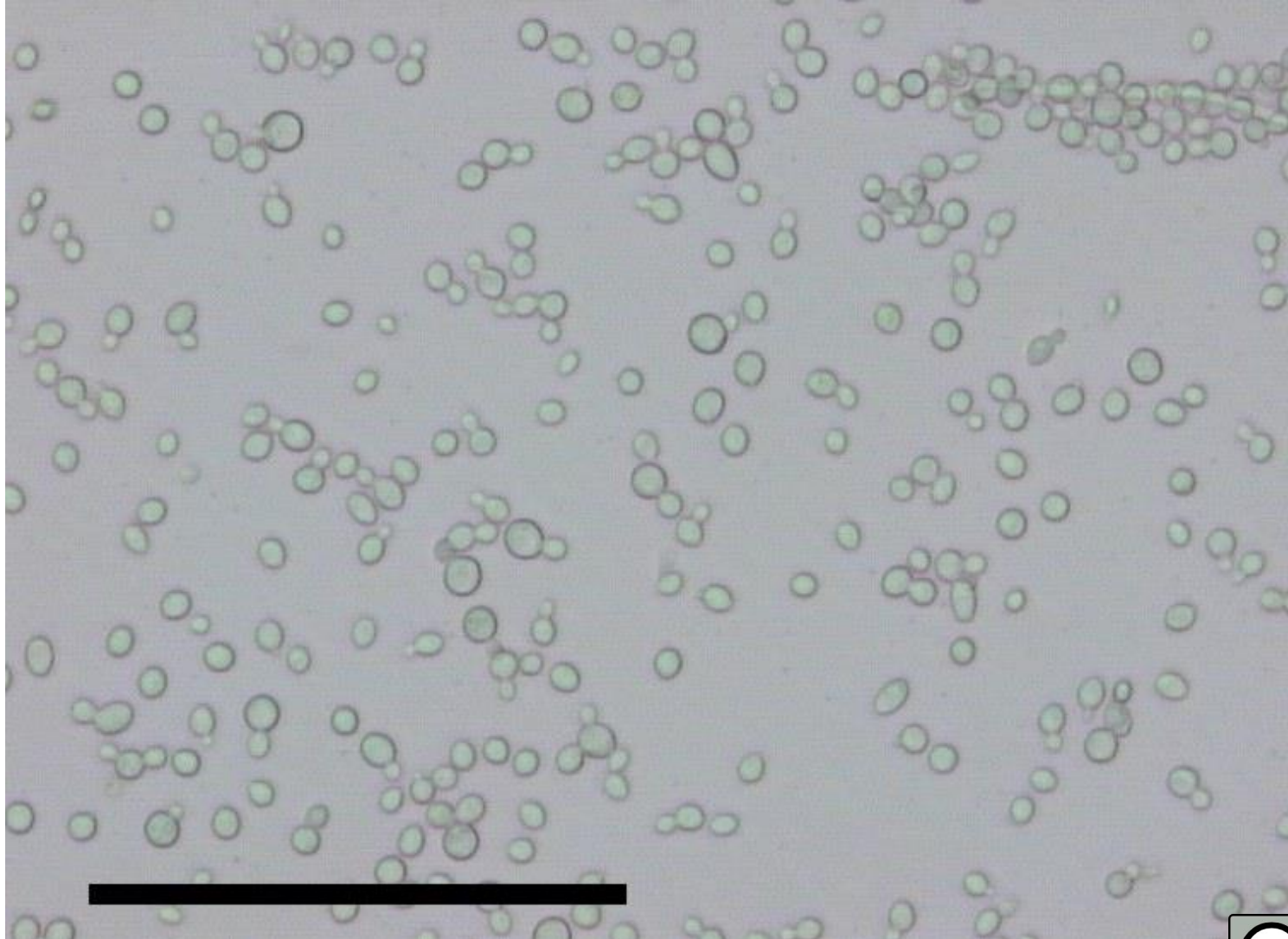
# Baker`s yeast production and production of other types of biomass

1. Microorganism
2. Metabolism
3. Molasses glow
4. Technological stages of yeast production
5. Active dried yeast
6. Evaluation of quality indicators of bakery yeast

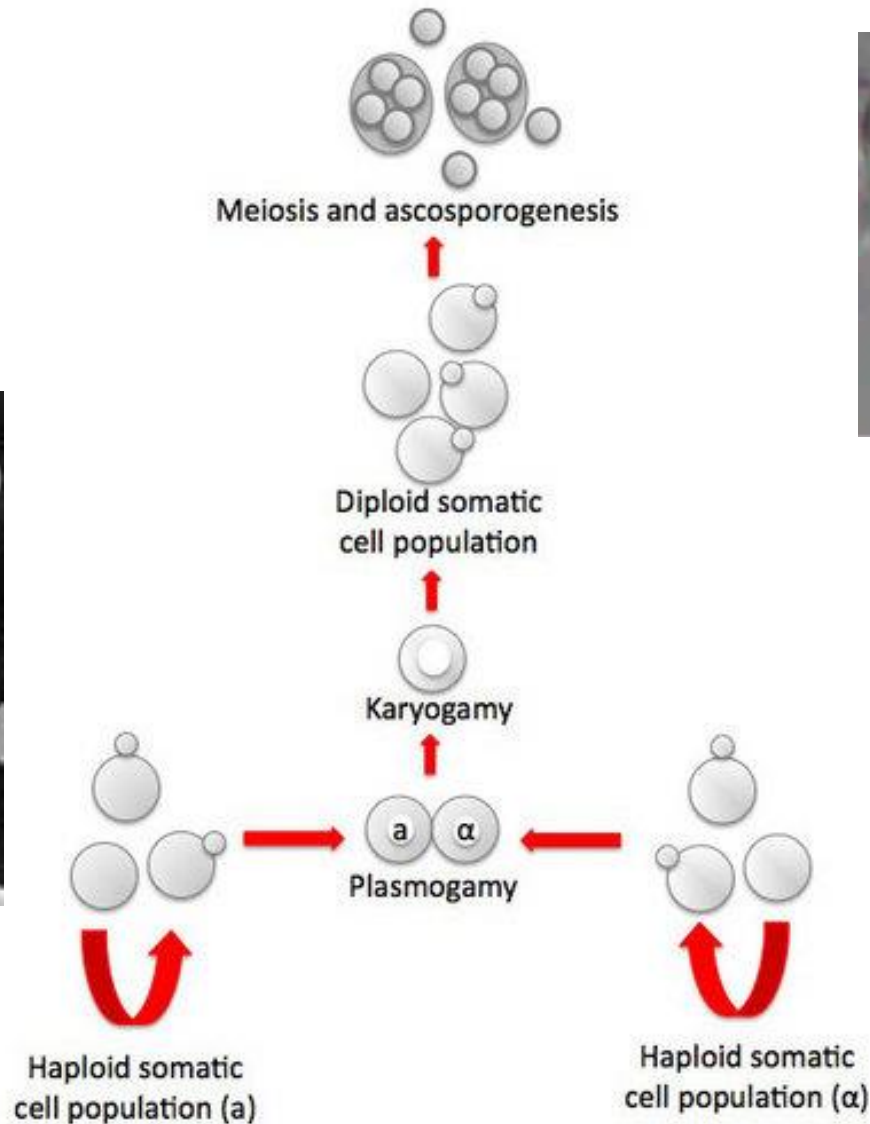
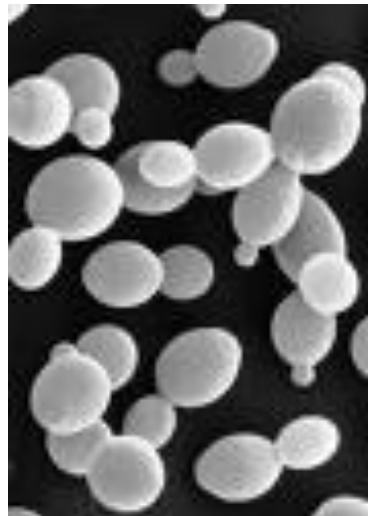




# *Saccharomyces cerevisiae*



# Life cycle of *S.cerevisiae*



# Metabolism of saccharides

Yeast *S.cerevisiae* – facultatively anaerobic

## Anaerobic (fermentation)

Glycolysis leading to ethanol formation

HMP pathway

Biomass yield 10%

Gain of Energy – 2 mol ATP per 1mol glucose

Substrate phosphorylation

## Aerobic (respiration)

Glycolysis + TCA cycle

HMP pathway

Biomass yield 50%

Gain of Energy – 38 mol ATP per 1mol glucose

Oxidative phosphorylation

- Inhibition of respiration by glucose -
  - Pasteur effect
  - Oxygen limitation X hyperoxia
  - Effect of ethanol
- 
- Optimal – fed-batch cultivation
  - Feed - continuous or discontinuous

# Technological steps in bakery yeast production

1. Laboratory propagation
2. Preparation of cultivation medium
3. Process propagation of yeast
4. Pre-fermentation
5. Production of generation yeast
6. Production of expedition yeast

# Laboratory propagation

Goal- 0.5-1kg yeast

- Pure culture
- Propagation ratio 1:5
- Anaerobic cultivation
- Rich cultivation medium
- Cultivation time 24-48h
- cultivation in flasks



# Preparation of molasses medium

- Clarification – acid or alkali
- Centrifugation –sludge separators
- Pasteration, sterilization
- Supplementation –  $\text{NH}_4\text{OH}$ ,  $(\text{NH}_4)_2\text{SO}_4$ ,  $\text{K}_2\text{HPO}_4$ ,  $\text{MgSO}_4$ , biotin...
- Acidification
- Adjustment of concentration





# Process propagation of yeast

Goal – increase of yeast amount

- 2-4 steps
- In bioreactors
- aeration
- Fermentation control
- Content of proteins in cells 5 fermentation ability, low shelf-life



# Pre-fermentation

Goal –scale up, change of cultivation conditions

- Molasses medium
- Fed-batch cultivation
- Aeration 0.1 VVM (air **V**olume per bioreactor **V**olume per **M**inute)
- Ethanol max. 4.5%

# Generation yeast I

Goal- to prepare enough active yeast for the last production step

- 2 generation
- Fed-batch cultivation
- Decrease of N and P compounds → decrease of protein amount in cells
- Aeration up to 1 VVM
- Lower cultivation temperature

# Generation yeast II

- Centrifugation
- Washing – acid bath (0.1-0.4%  $\text{H}_2\text{SO}_4$ ) –yeast decolorization, activity increase
- Cultivation time 8-15h

# Expedition yeast

- Fed-batch
- Concentration of ethanol max. 0.5%
- Low cultivation temperature
- Acid bath before cultivation
- Cells – cca 42% proteins, leavening in the dough 50-90 min, shelf life up to 250h at  $t=30^{\circ}\text{C}$

# Yeast separation from the medium

Centrifugation – 4000-6000 rpm

Washing



**Yeast milk**



Cooling and filtration



**Compressed yeast – D<sub>27</sub>**

# Adjustment and expedition of compressed yeast

Formulation and  
Packaging

(mixing with water and  
Lecithin)





# Production of dried yeast

- Increase of saccharides concentration (trehalose, glycogen) and lipids in cells
- Additivation (ascorbic acid, mono- and di-glycerides)
- Granulation
- Drying – hot air and vacuum driers
- Packaging



# Evaluation of bakery yeast

## Senzorical evaluation

Smell

Colour

Appearance

Consistence

Taste

deliquescence

Chemical analysis

Biochemical tests

- Leavening
- Shelf life

Microbiological  
test

Wild yeast 15%,  
dead to 0.5%,  
max. 5% of  
budding cells



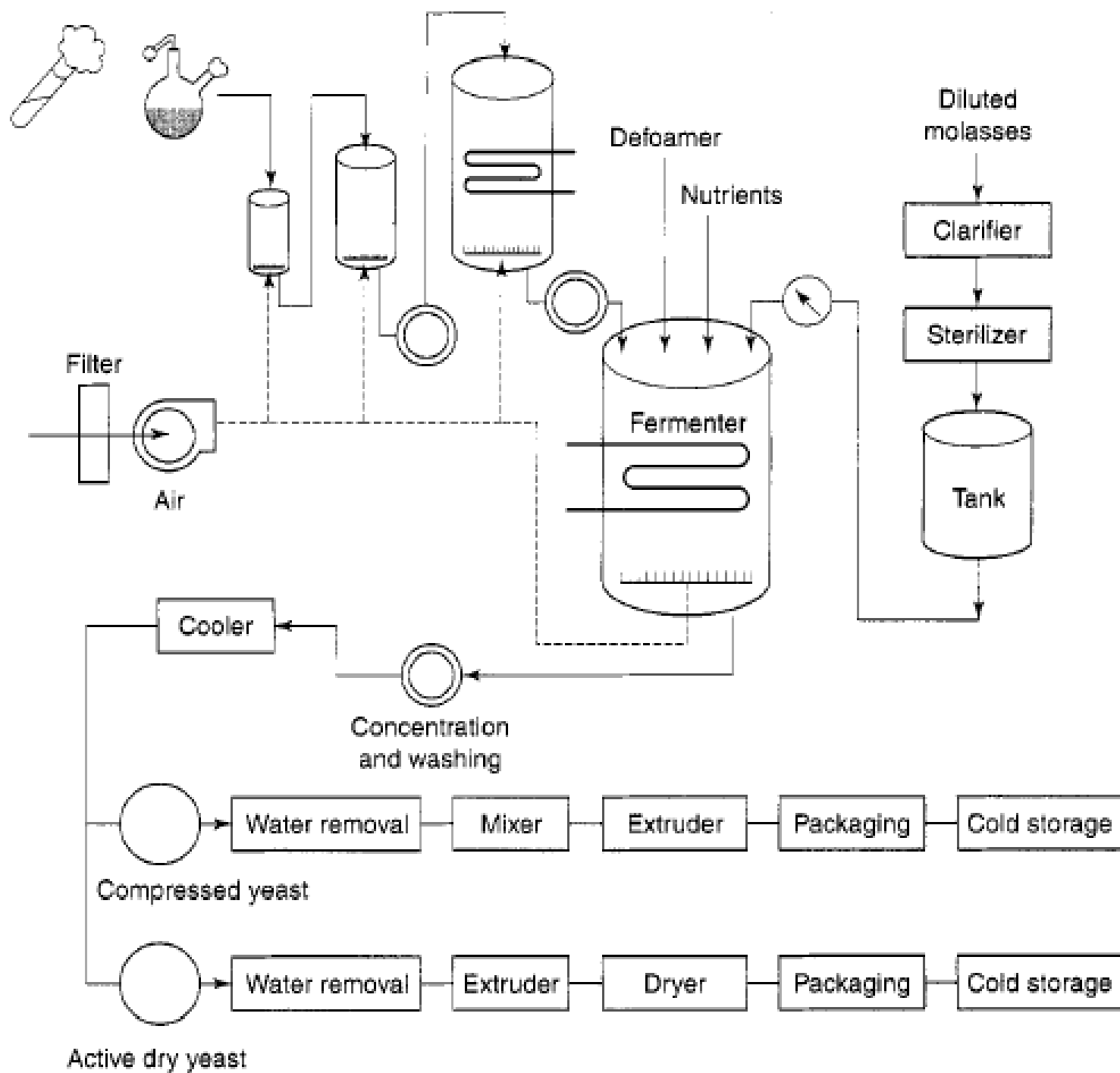
# Contaminating microorganisms

Source of contamination – molasses, water, air

Aerofilic yeast – *Candida*..

Fungi – *Aspergillus*, *Penicillium*..

Bacteria – from molasses (*Clostridium*), water (*Pseudomonas*) and air (acetic acid bacteria)



# Biomass for animal feeding

1. Propagation of microorganisms
2. Cultivation
3. Separation of cells from media
4. Thermolysis
5. Evaporation of water and drying

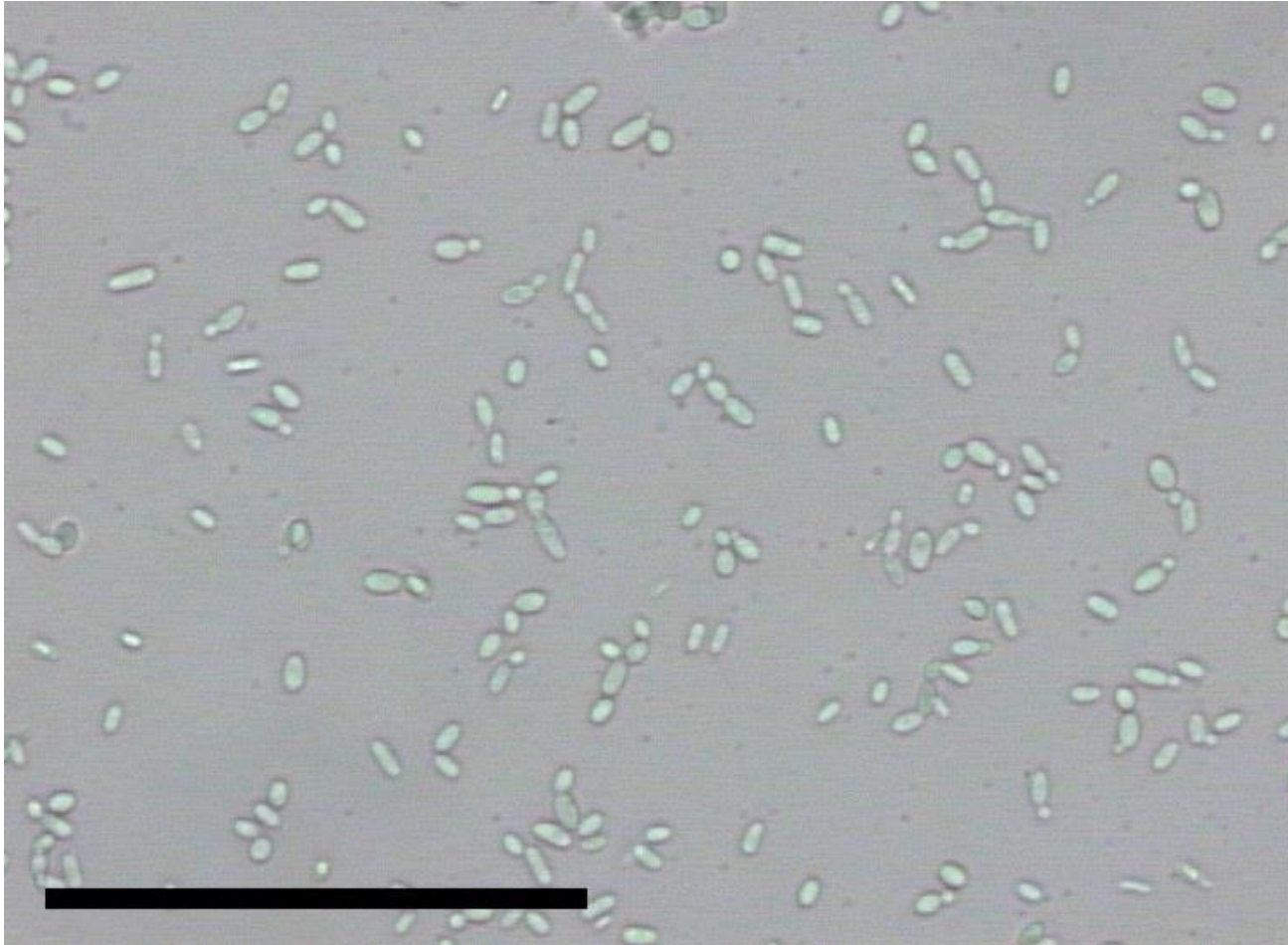
# Survey of used microorganisms

| Bacteria                        | Carbon source     |
|---------------------------------|-------------------|
| <i>Methylophilus</i>            | methanol          |
| <i>Methylococcus</i>            | methane           |
| yeast                           |                   |
| <i>Candida lipolytica</i>       | n-alcane          |
| <i>Candida utilis</i>           | Sulphite extracts |
| <i>Kluyveromyces fragilis</i>   | whey              |
| <i>Saccharomyces cerevisiae</i> | mollasses         |



|                        |                                |
|------------------------|--------------------------------|
| Fungi                  |                                |
| <i>Chaetomium</i>      | Lignocellulose<br>hydrolysates |
| <i>Cephalosporium</i>  | starch                         |
| <i>Rhizopus oryzae</i> | starch                         |
| Algae                  |                                |
| <i>Scenedesmus</i>     | CO <sub>2</sub> , light        |
| <i>Spirulina</i>       | CO <sub>2</sub> , light        |

# Production of microbial biomass from sulphite extracts



*Candida utilis*

# Sulphite extracts

- composition depends on the type of wood and cooking conditions
- Max. 5% carbohydrates - glucose, mannose, xylose, galactose, arabinose
- Lactonic acids, lignosulfonic acid, methanol, formic acid  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$  salts
- $\text{SO}_2$

# Treatment of sulphite extracts

**1. Decrease in  $\text{SO}_2$**  (max. conc. 400mg/l)



Steam spraying, thickening, aeration, precipitation of sulphates

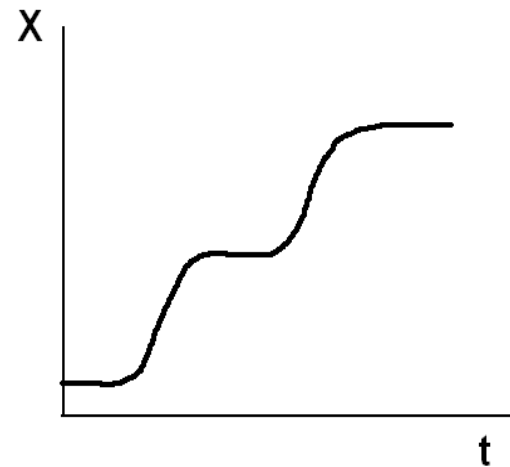
2. Neutralization - pH 5.2 (precipitation of calcium sulphate)

3. Cooling

4. Nutrient supplementation - N, P, K - salts

# Propagation of yeast

- Using sulphite extract
- gradual adaptation to  $\text{SO}_2$ ,
- Batch
- polyauxia



# Cultivation

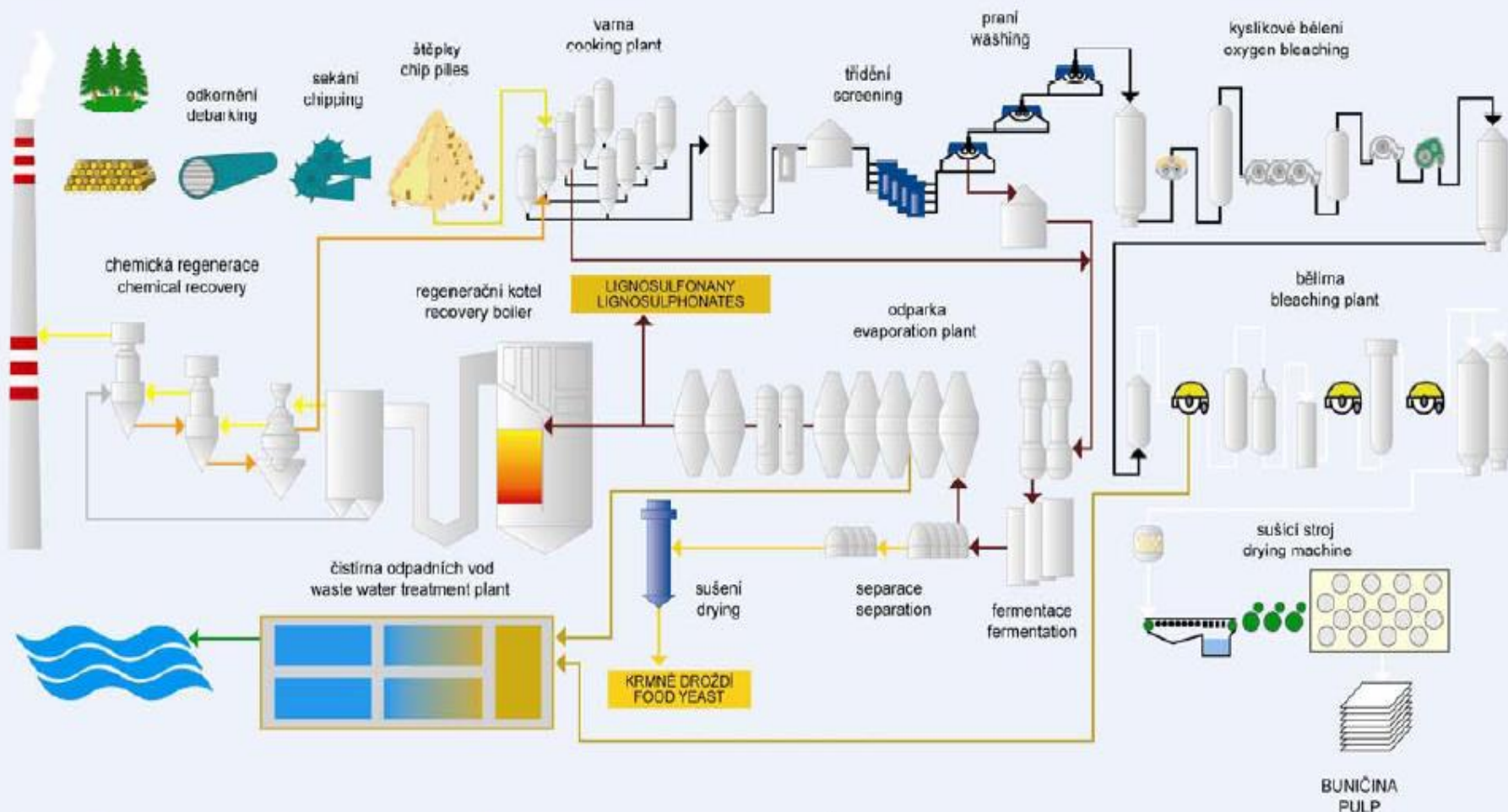
- Continuous, dilution rate 0,25 l/l/h
- Regulation pH
- Defoaming
- Aeration
- Yeast should not form pseudomycelium

# Separation and washing

- Continuous centrifugation
- 3 degrees
- Countercurrent
- Thermolysis
- at  $t = 70^{\circ}\text{C}$
- thickening
- Drying (93% w / w dry matter)

# Technologické schéma výroby buničiny a krmného droždí

## Technological scheme of pulp and food yeast production



|  |               |                 |  |                   |                 |
|--|---------------|-----------------|--|-------------------|-----------------|
|  | dřevo         | wood            |  | varná kyselina    | cooking acid    |
|  | oxid siřičitý | sulphur dioxide |  | oxid hořečnatý    | magnesium oxide |
|  | droždí        | yeast           |  | nebělená buničina | unbleached pulp |
|  | odpadní voda  | waste water     |  | bělená buničina   | bleached pulp   |
|  | výluh         | liquor          |  | vyčištěná voda    | cleared water   |



# Biocel Paskov

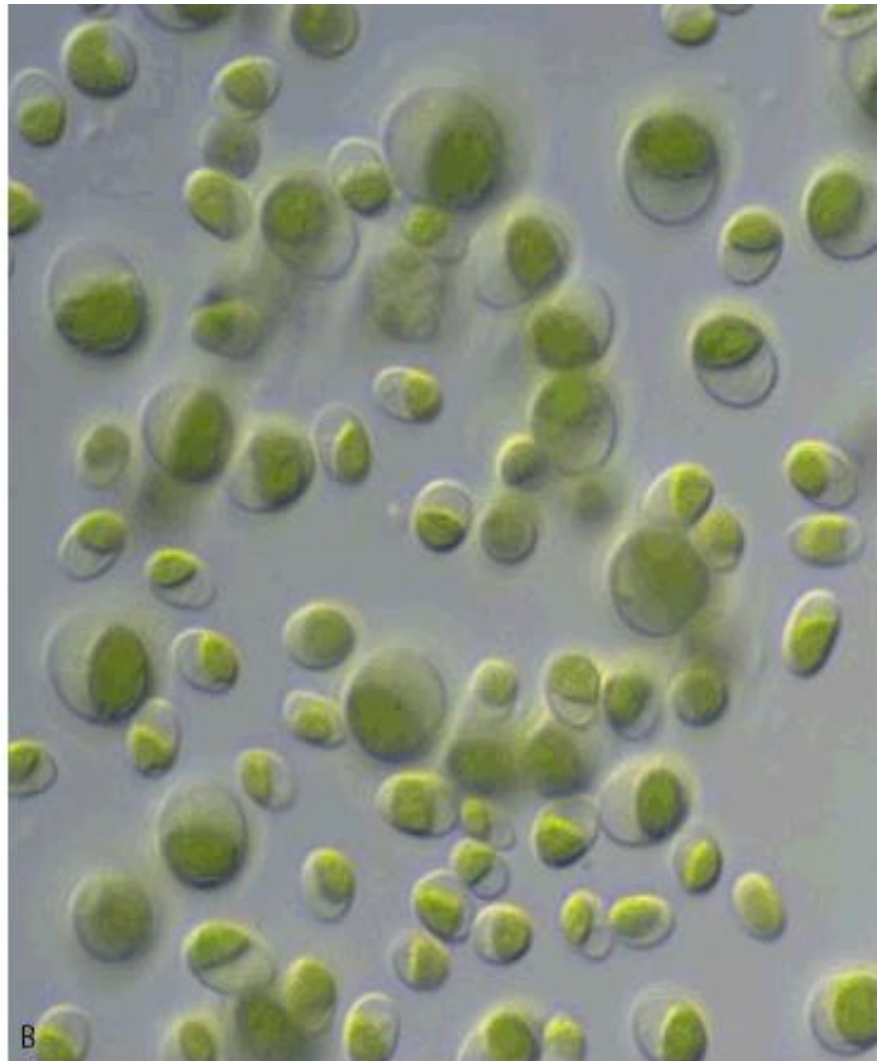
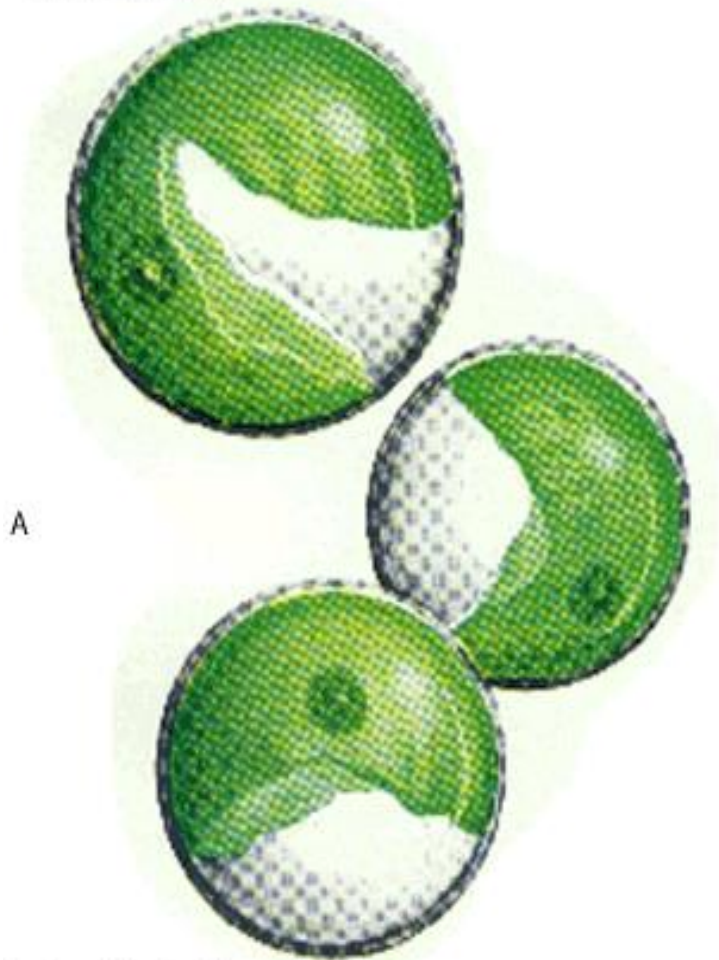


[http://www.biocel.cz/c\\_html/index.htm](http://www.biocel.cz/c_html/index.htm)

# Algae

- Plant kingdom, lower plants
- Photoautotrophic organisms, aquatic environment
- Body-insole - uni- or multicellular – micro- and macro-algae
- Reproduction of unicellular algae by dividing
- Creation of sexual spores
- Trivial classification by content of photosynthetic dyes – green, brown, red, green-blue

# Chlorella



A after Mervin Palmer (1962)

B © National Institute for Environmental Studies, see <http://www.nies.go.jp/biology/mcc/home.htm>

# *Micractinium*

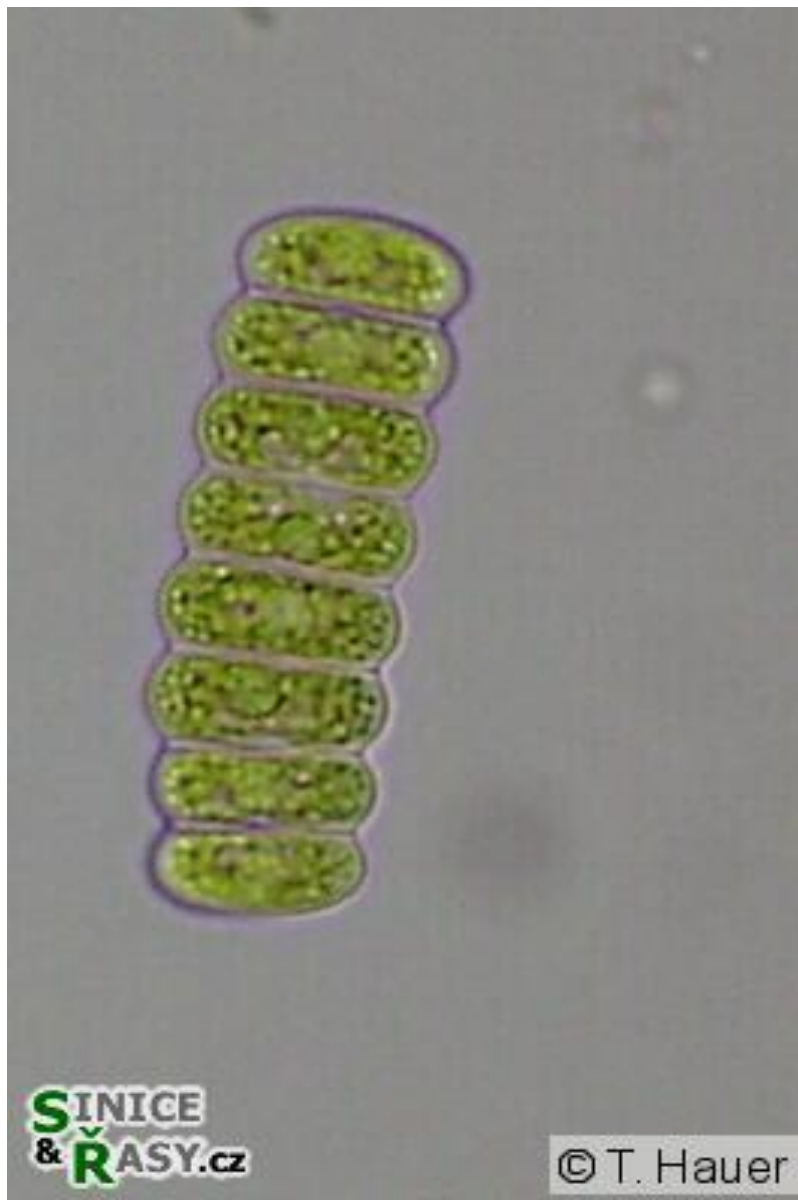




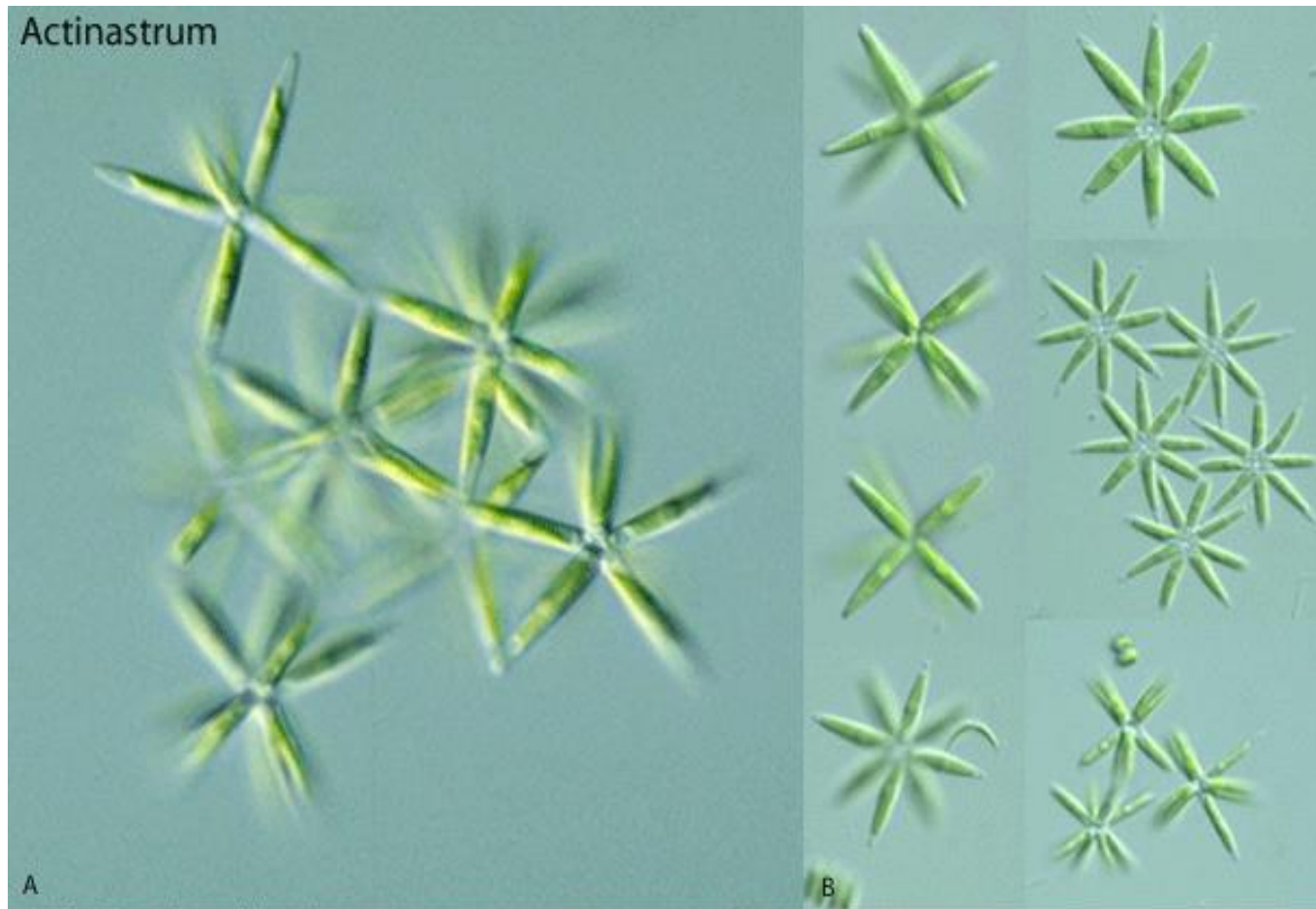
# *Microspora*



# *Scenedesmus*



# *Actinastrum*



A

B

A. After Entwistle et al. (1997)

B. © Y. Tsukii, see [http://protist.i.hosei.ac.jp/Protist\\_menuE.html](http://protist.i.hosei.ac.jp/Protist_menuE.html)



*Ulva*



# Algae culture- algaculture

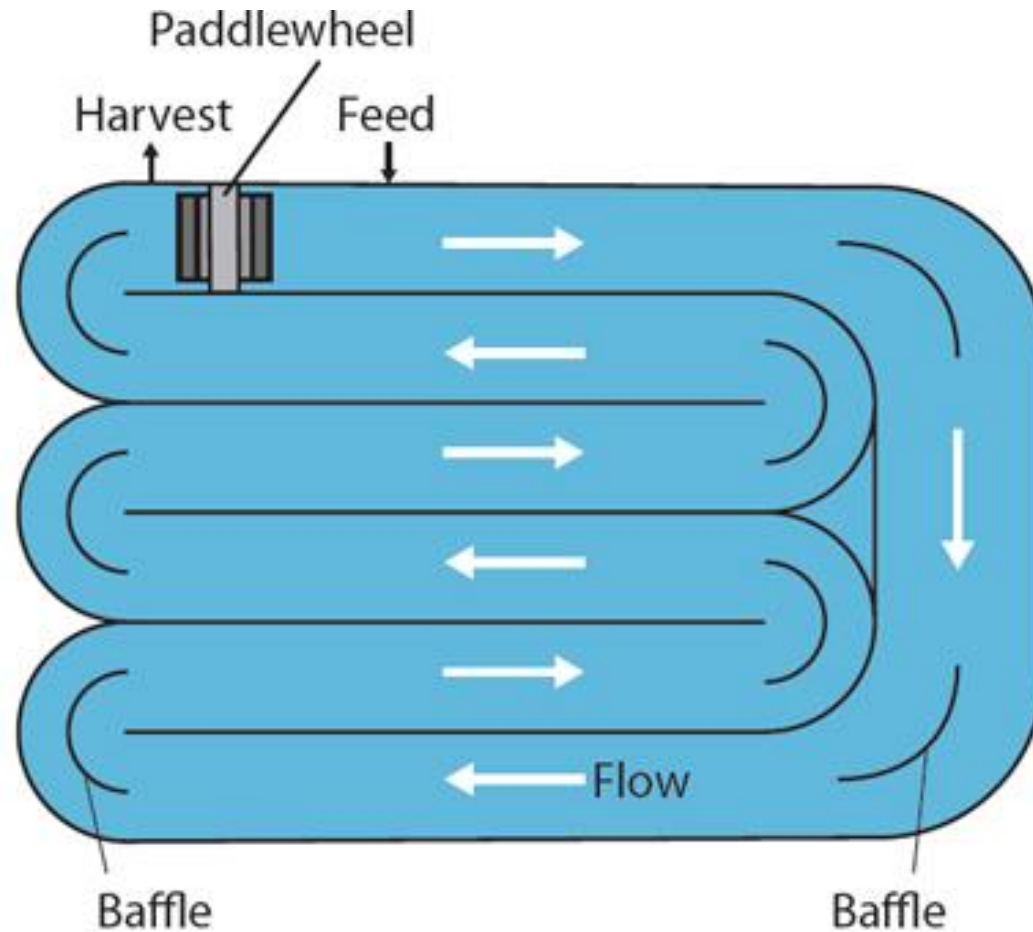
- Tanks, fermenters
- Batch or continuous cultivation
- Access to light
- Gentle mixing
- CO<sub>2</sub> content
- risk of contamination

# Chlorella cultivation

- Round pond with rotating shoulder
- Raceway pond, bubbling with CO<sub>2</sub> and stirring with a paddle stirrer
- thin layer culture mixed by pumping
- Cultivation season (outdoor) – here 150 days, in Africa - 300-320 days



# Open-pond design

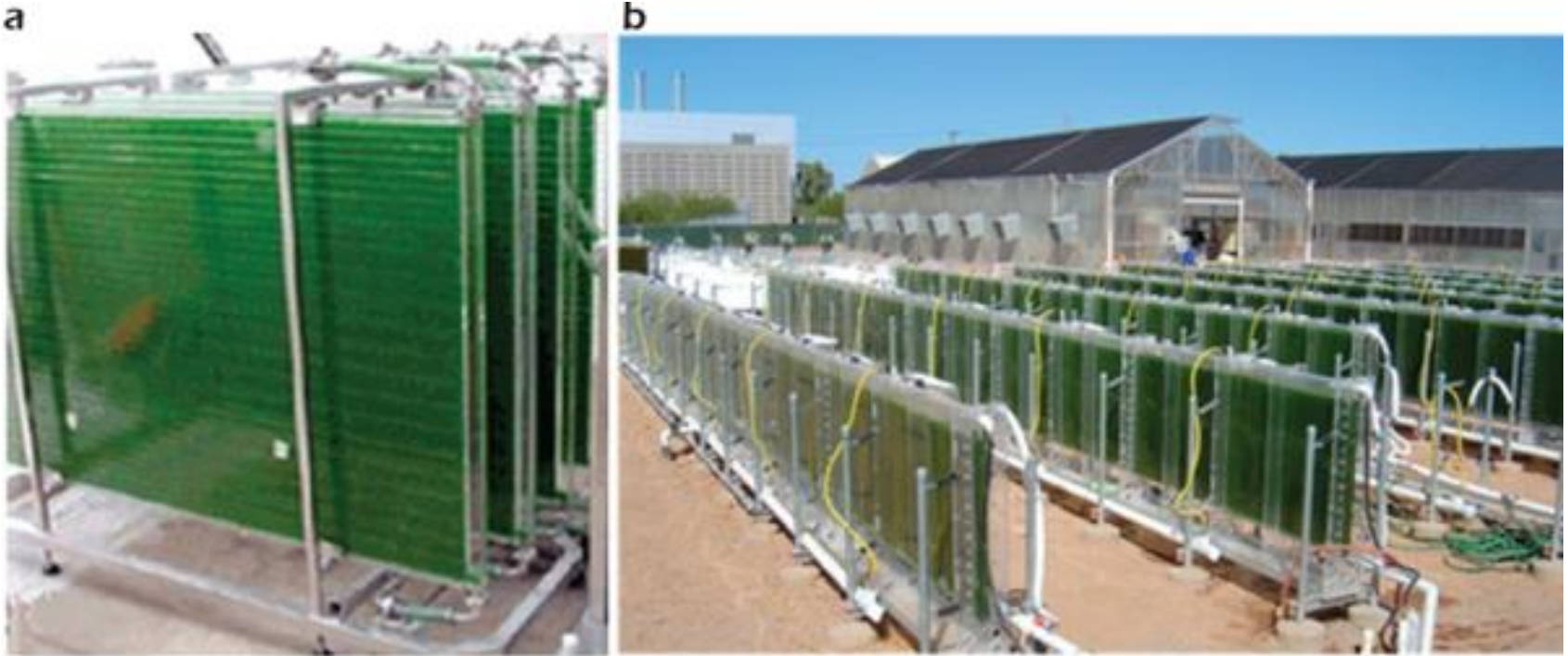


# Raceway pond





# Flat-plate photobioreactors



# Photobioreactors

- Bubble columns
- Air-lift bioreactors
- Adjustable light source
- Delivery of CO<sub>2</sub>
- stirring

# Photobioreactor

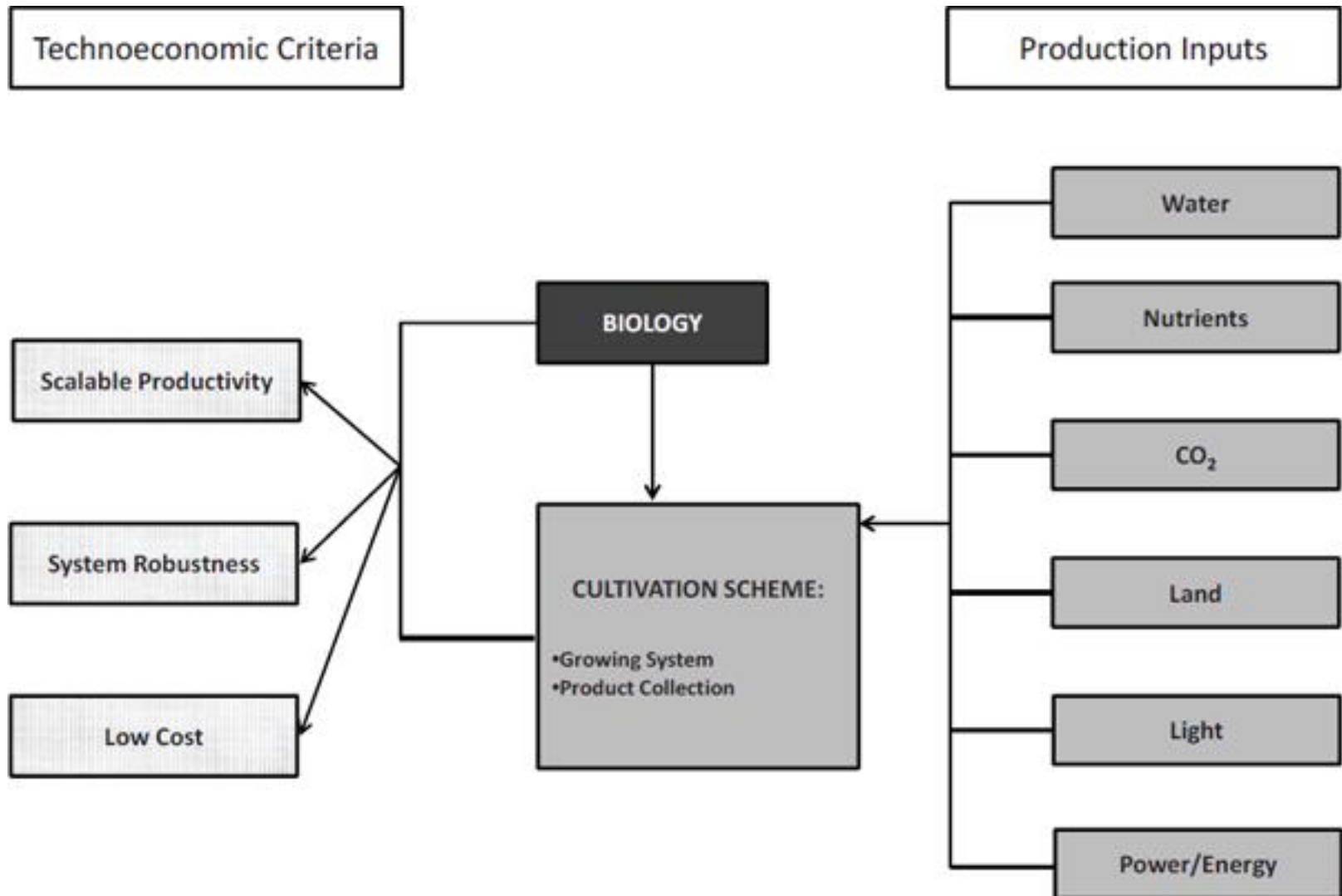




# Harvest and processing algae

- Harvest - sedimentation, filtration, centrifugation
- Cell disintegration - crushing
- Drying - heat, solar radiation
- The yield - the effectiveness of photosynthesis—  
max. 60g/m<sup>2</sup>/day

# Material and energy outputs for algae cultivation





EUROPEAN UNION  
European Structural and Investing Funds  
Operational Programme Research,  
Development and Education



**UNIVERSITY OF  
CHEMISTRY AND TECHNOLOGY  
PRAGUE**

# Biotechnology in Food Industry

Lecture IV

Petra Patáková

petra.patakova@vscht.cz

The published materials are intended for students of the University of Chemistry and Technology, Prague as a study material. Some text and image data contained therein are taken from public sources. In the case of insufficient quotations, the author's intention was not to intentionally infringe the possible author(s) rights to the original work. If you have any reservations, please contact the author(s) of the specific teaching material in order to remedy the situation.



# Mushroom cultivation - macromycetes



# Content

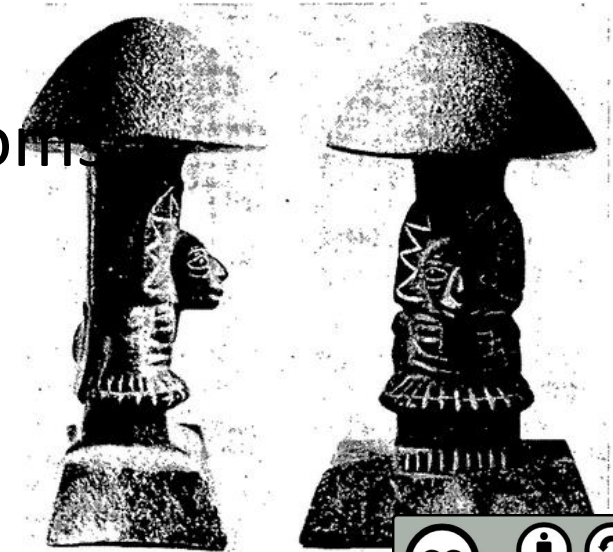
- Incorporation into the system and history of mycology
- Morphological structure and reproduction
- Ecological groups
- mushrooms (saprophytic, symbiotic, parasitic)
- Cultivation of edible mushrooms (*Agaricus*, *Pleurotus*)





# History of mycology

- Supernatural explanations of mushroom growth
- An effort to assign mushrooms to plants
- The popularity of mushrooms - ancient times, modern times
- Geographic extension of mushroom picking
- The cult of hallucinogenic mushrooms
- Fossil fungi- paleozoikum



# Main differences of fungi

|                           | <b>Fungi</b>                    | <b>Plants</b> | <b>Animals</b> |
|---------------------------|---------------------------------|---------------|----------------|
| <b>plastides</b>          | -                               | +             | -              |
| <b>Nutrition</b>          | heterotrophic                   | auxotrophic   | heterotrophic  |
| <b>Reserve saccharide</b> | glycogen                        | starch        | glycogen       |
| <b>movement</b>           | - (+)                           | -             | +              |
| <b>Cell wall</b>          | Chitin,<br>manane,<br>cellulosa | cellulosa     | chitin         |



# Reproduction

Both asexual and sexual– teleomorphs

Only asexual– anamorphs



**auxiliary subdivision**

**DEUTEROMYCOTINA**

**(up to 30% fungi)**

# DEUTEROMYCOTINA

## 1. Auxiliary class BLASTOMYCETES

*Candida, Rhodotorula, Cryptococcus*

## 2. Auxiliary class HYPHOMYCETES

*Aspergillus, Penicillium, Oidium, Trichoderma, Alternaria, Botrytis*

## 3. Auxiliary class COELOMYCETES

*Phoma*

## 4. Auxiliary class AGONOMYCETES

*Sclerotium*

# Taxonomy of fungi

Kingdom– Fungi

Division– Eumycota

Subdivision – Zygomycotina

Class Zygomycetes

Order Mucorales (*Rhizopus*, *Mucor*..)

Family, Genus, species

# Subdivision **Ascomycotina**

Class Hemiascomycetes

Order Saccharomycetales,  
Schizosaccharomycetales

Class Ascomycetes

Order Pezizales (*Tuber*,  
*Morchella*, *Verpa*..)

Eurotiales (*Aspergillus*,  
*Penicillium*...)

....



*Verpa bohemica*

# Subdivision Basidiomycotina

Class

Homobasidiomycetes

Order Cantharellales

Polyporales, Boletales,

Agaricales,

Lycoperdales,

Russulales



*Boletus edulis*

# Morphology of filamentous fungi

Thalus (body) is formed by hyphae

/

uni-cellular  
(without septa)

\

multicellular  
(with septa)

mycelium

# Reproduction

## **Vegetative (asexual)**

**Nucleus division - mitosis**

**Creation of asexual spores -  
conidia on vegetative  
hyphae or in fructification  
organs**

**Parasexual reproduction**

## **Sexual**

**Mitosis, meiosis, mitosis**

**Conjugation of two cells**

**Homothalic and  
heterothalic fungi**

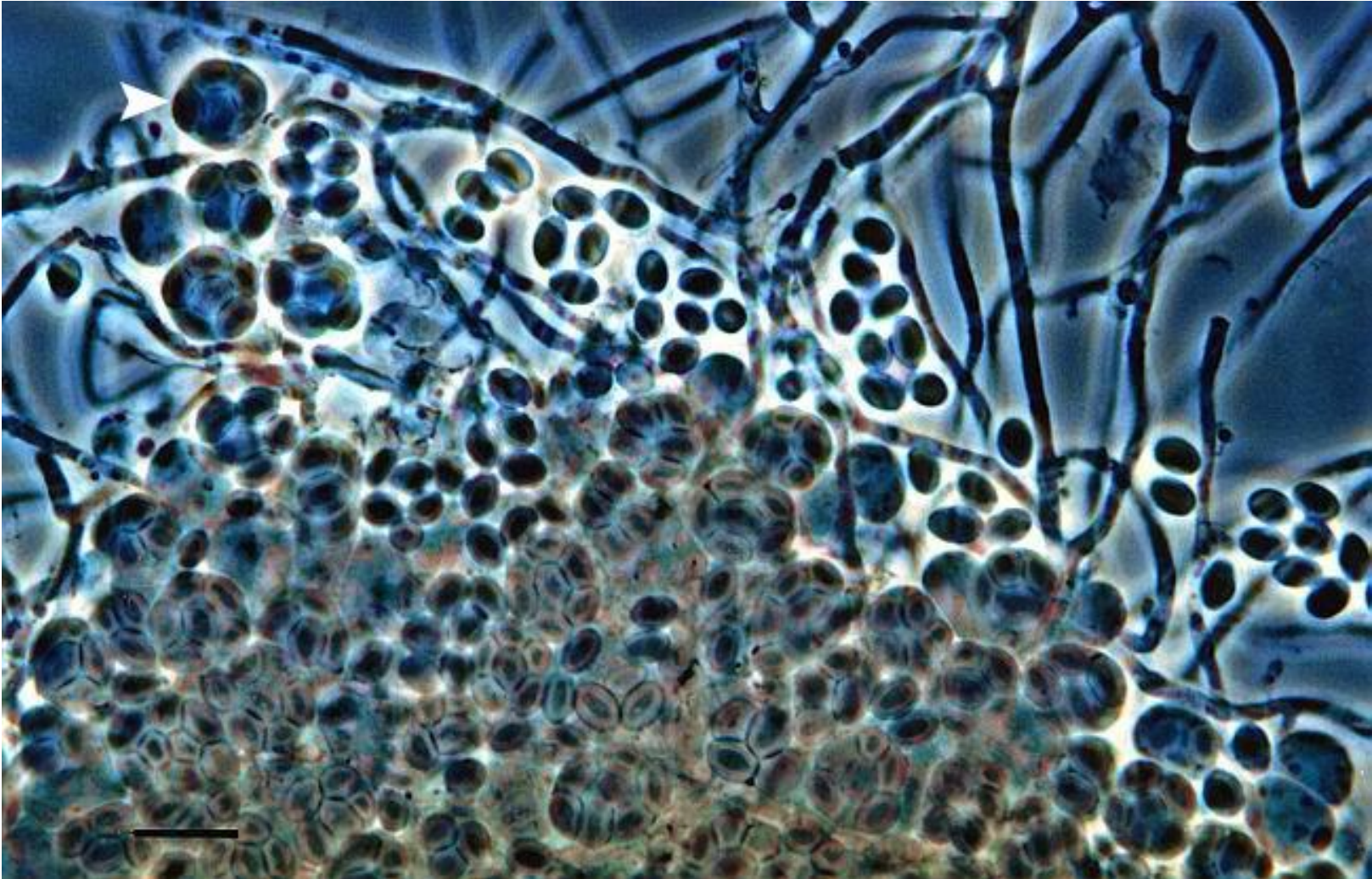
**Formation of sexual  
spores**



# Conidiophor — *Penicillium chrysogenum*



# Ascospores in ascus – *Byssochlamys nivea*



# Reproduction

## Ascomycetes

Creation of asexual  
spores- abundant

Differentiated sexual  
organs (anteridium  
(male),  
askogonium(female))

## Bazidiomycetes

Asexual spores—seldom

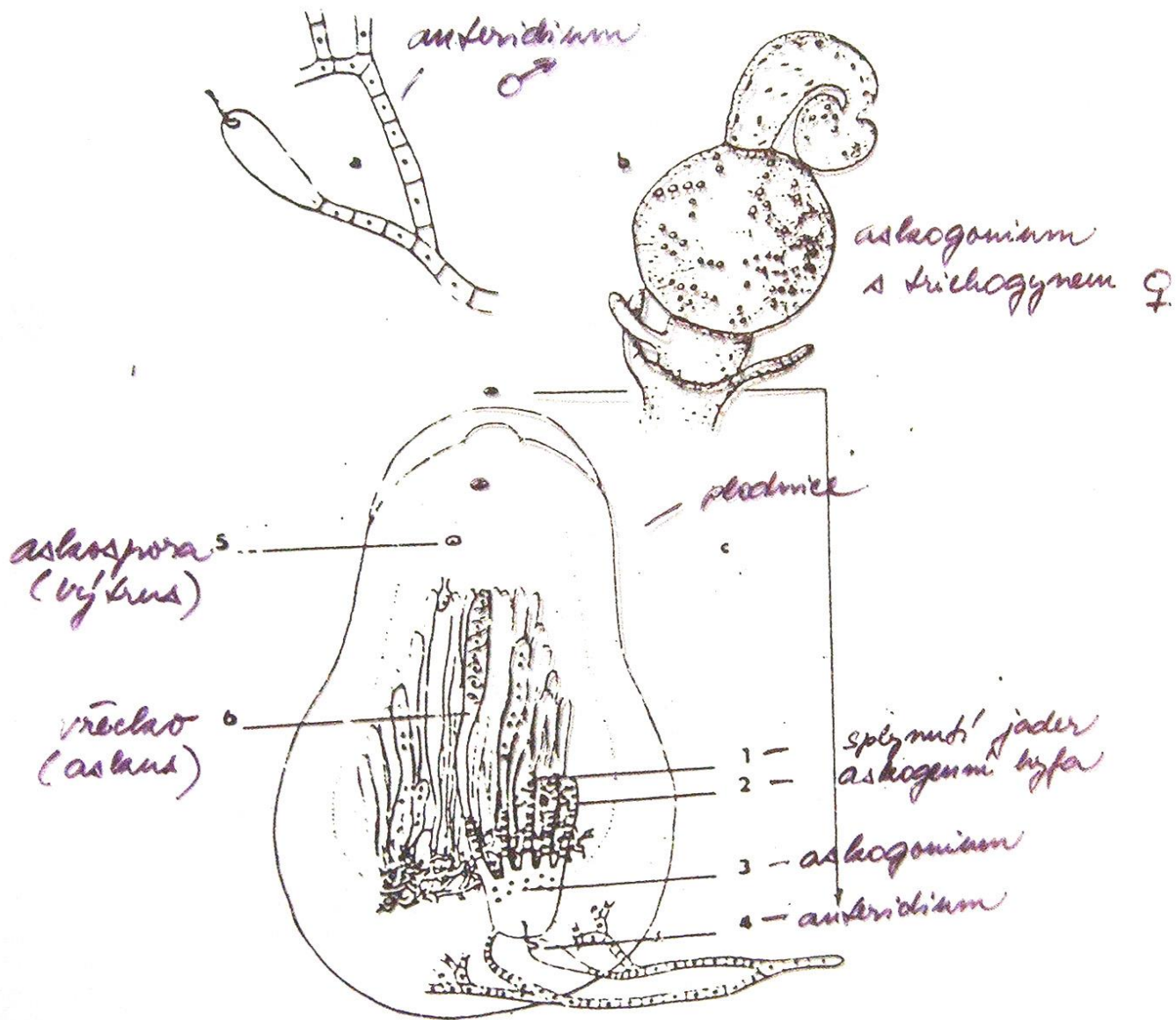
Sexual organs are not  
differentiated

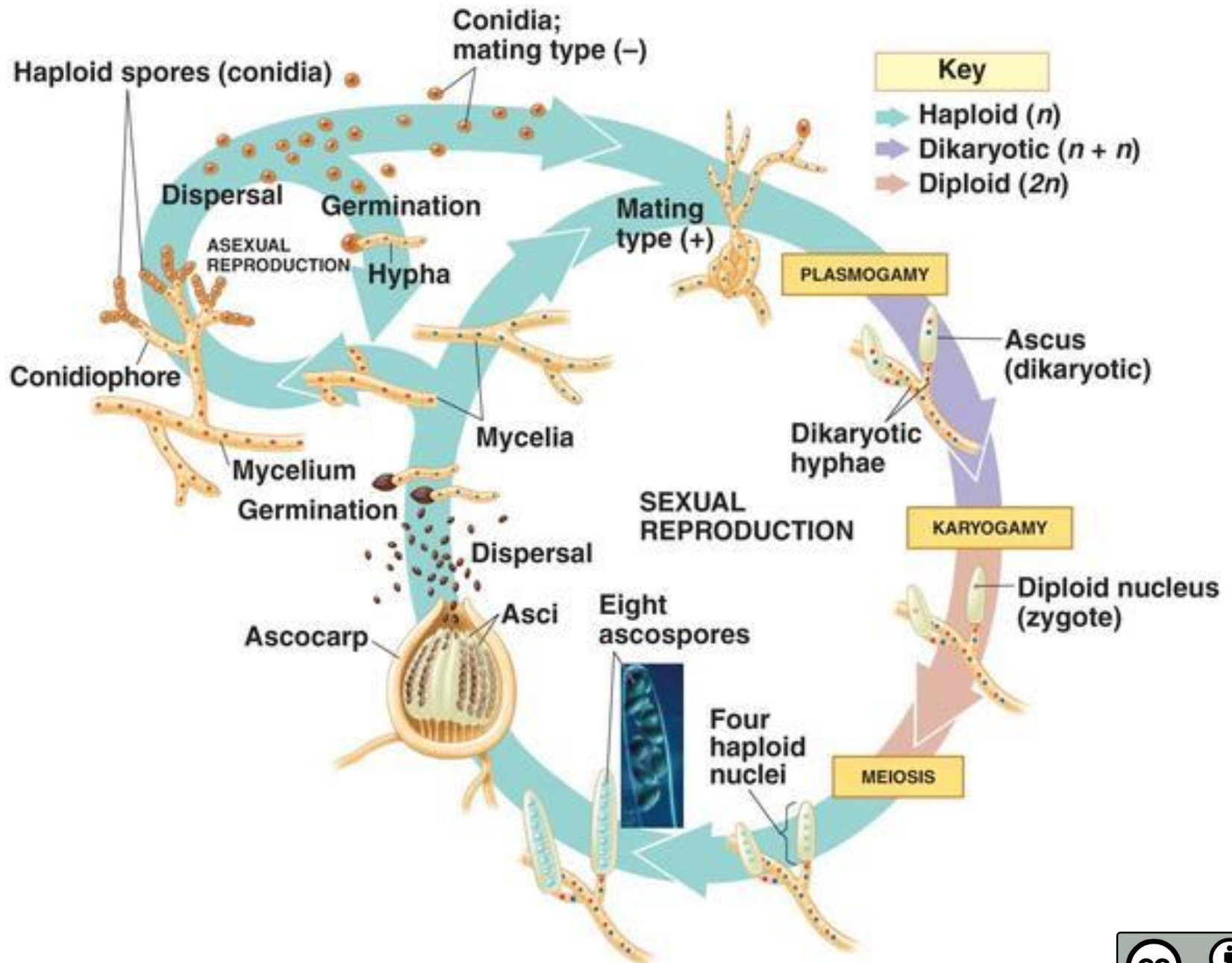
Binuclear mycelium

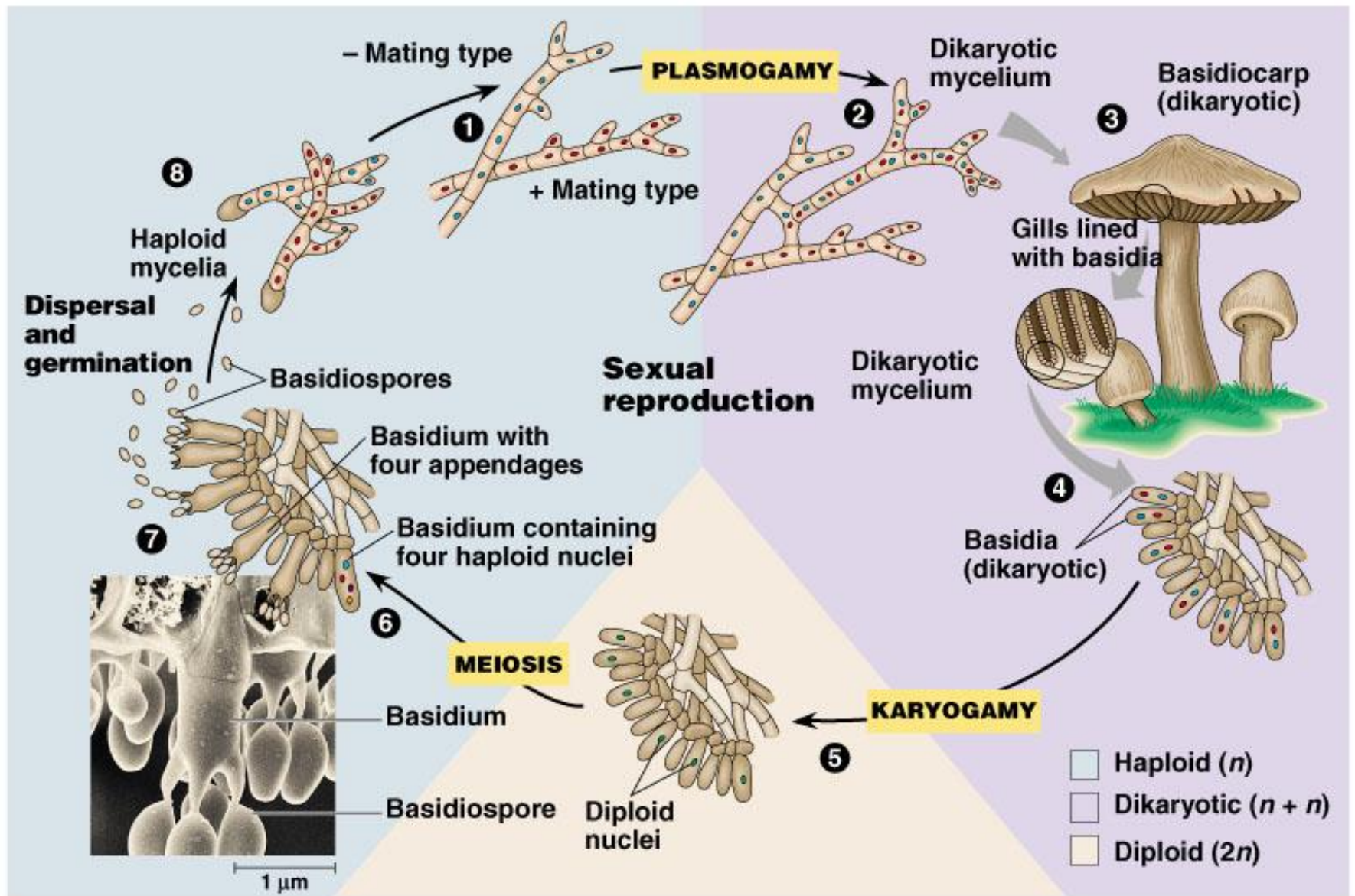
Merging nuclei in basidia



# POHLAVNÍ ROZMNOŽOVÁNÍ VŘECKOVÝTRUSÝCH HUB









# Fruiting body of mushroom(sporocarp, carpophor)

- It serves predominantly for the formation of sexual spores
- It consists of intertwined hyphae - generative, ligational, skeletal
- The most important part of fruiting body is the hymenophor, it carries a hymenium – there are spores (various shapes)
- 1 fruiting body –millions of spores



# Development of fruiting body

It depends on: the development stage of the fungus, the composition of the substrate, temperature, light, air flow, surrounding communities, humidity ...

I.) initiation - germ creation - primordia

II.) Differentiation – formation of fruiting body

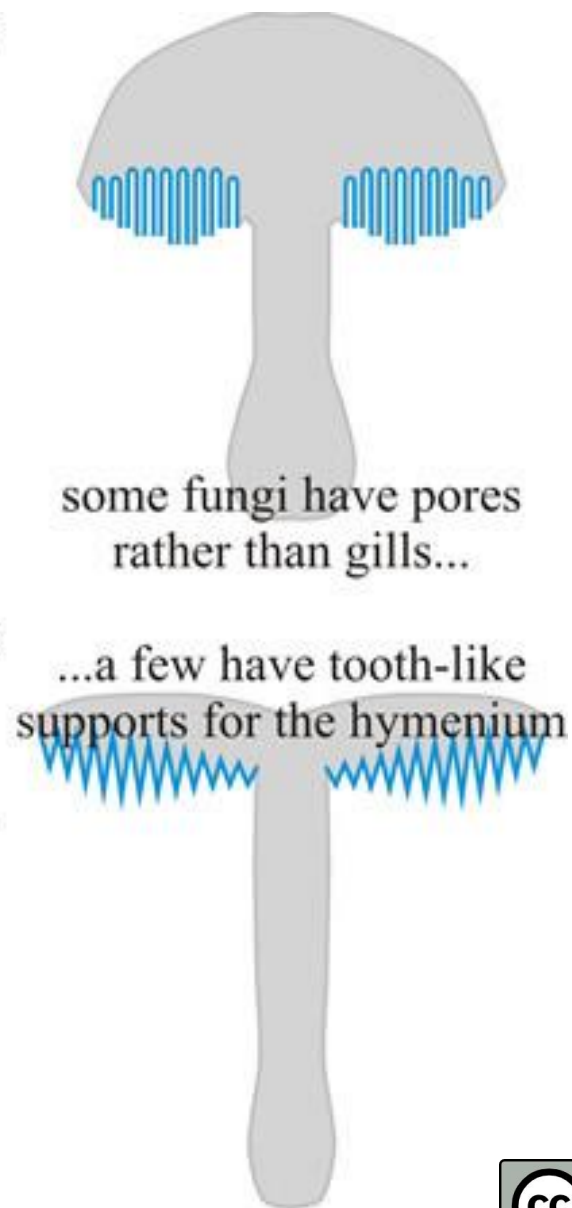
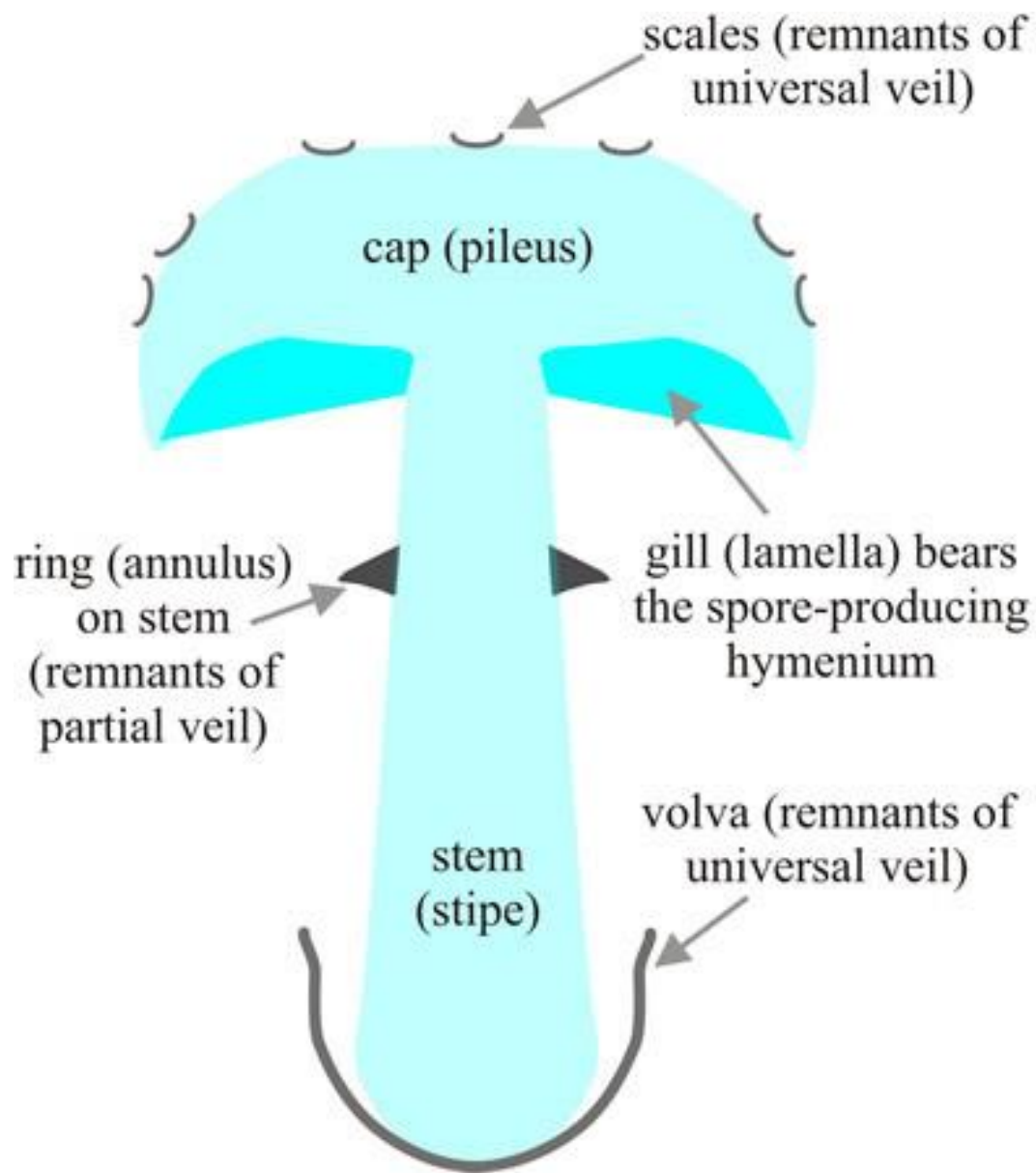
Growth of hyphae - apical in mycelium X  
omnidirectional growth in fruiting bodies

# Hymenium (during fruiting body development)

**Angiocarpic development**  
in velum

**Gymnocarpic  
development**  
open

Boletales, toadstools—in youth in —  
**hemiangiocarpic development**



# Release and germination of spores

- Release: passive and active
- Germination: After a rest period - dormancy (constitutional or exogenous)
- Swelling
- Fat digestion
- Synthesis of the cell wall
- Burst of spore in thin spots – germination pores

# Saprophytic mushrooms

Obtaining nutrients by enzymatic decomposition  
of organic matter

In the soil, in the upper humus layer

In wood



# Symbiotic mushrooms

The most important - symbiosis with plants -  
**mycorrhiza**

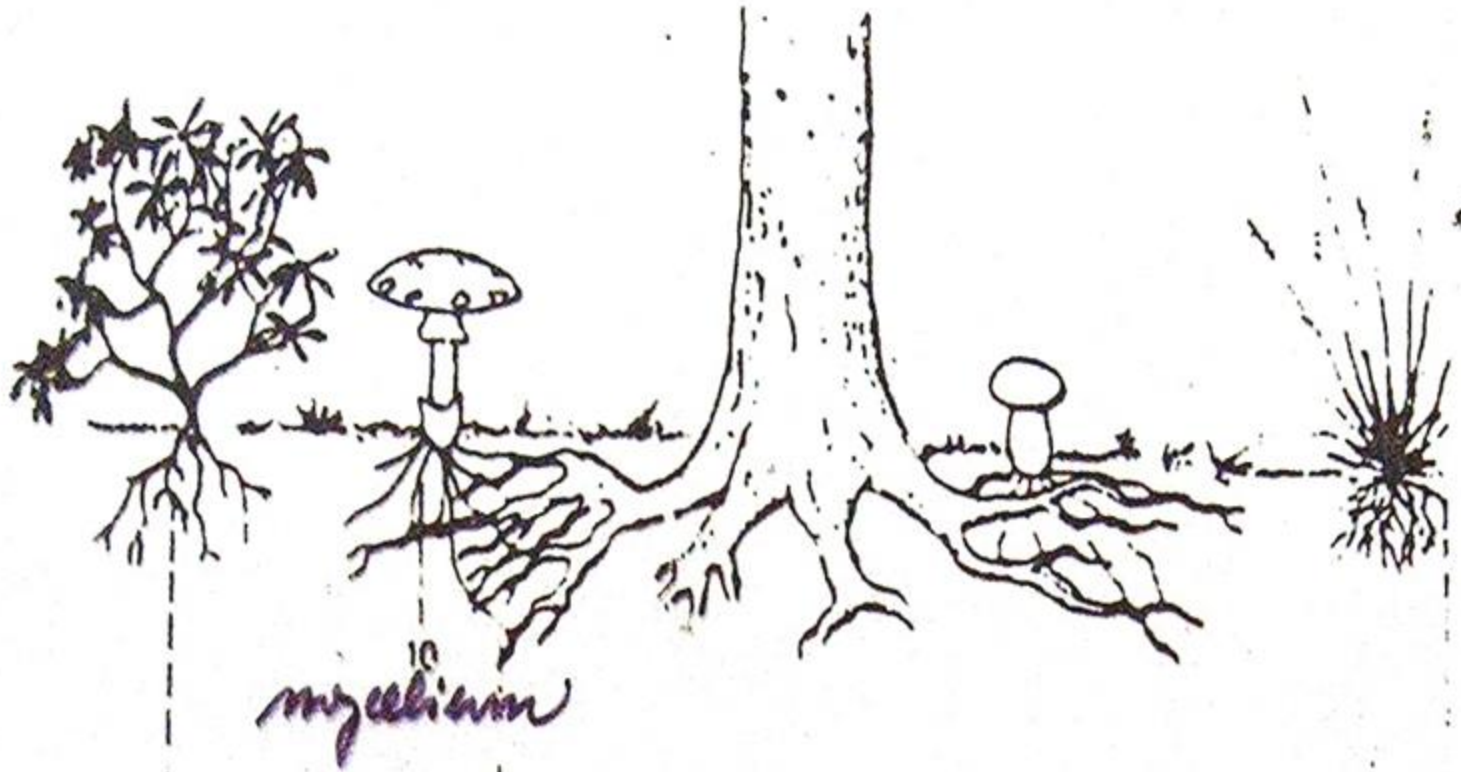
The mushroom needs carbohydrates

Plants need water and minerals

**Ectomycorrhiza** - the surface of the roots is  
covered with hyphae - the Hartig net

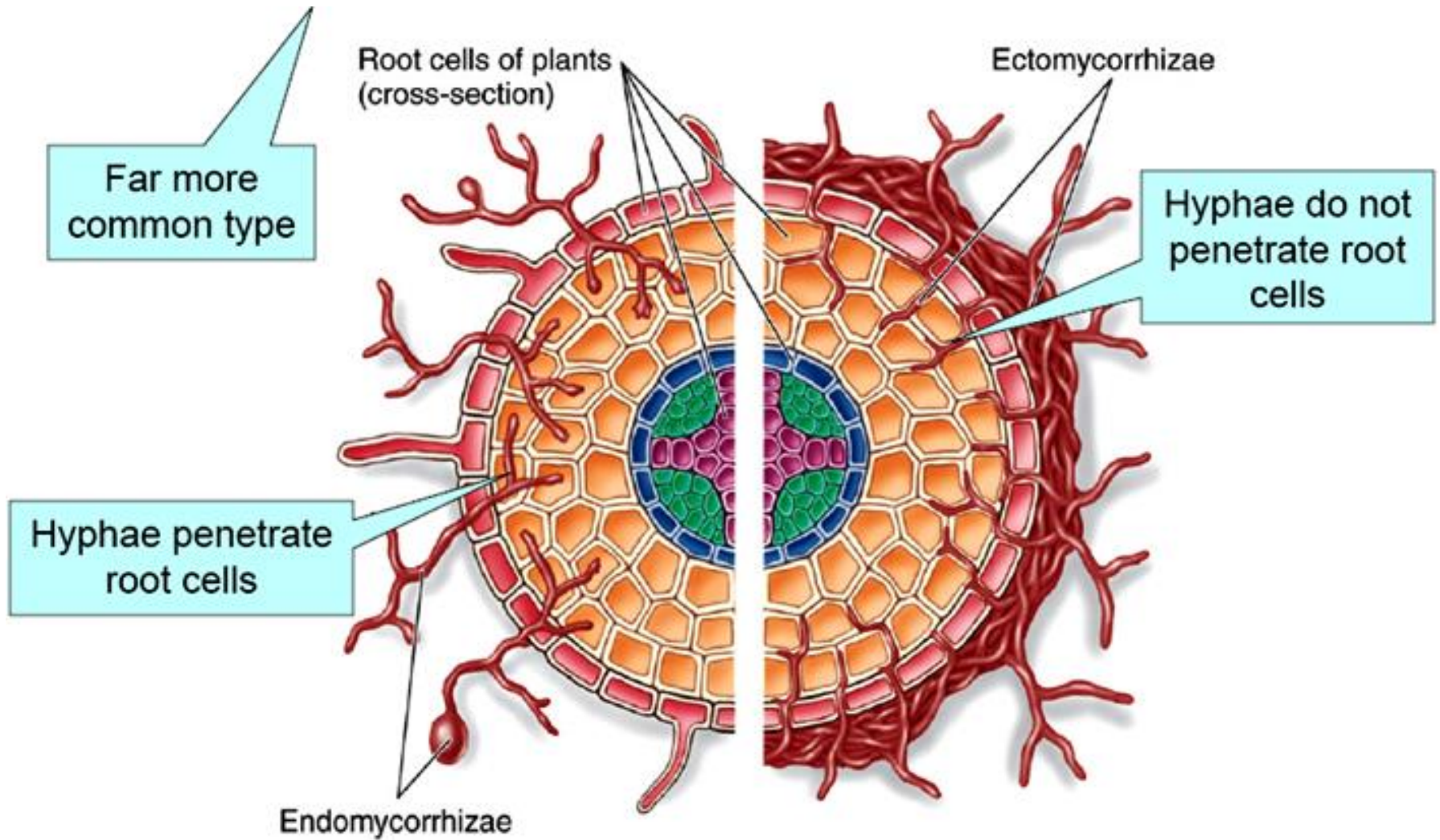
**Endomycorrhiza** - hyphae penetrate host cells

On the ground





## Root section



# Types of Mushrooms



Oyster Mushroom



Straw Mushroom



Reishi Mushroom



Enokitake Mushroom



Shitake Mushroom



Wood Ear Mushroom

# Benefits of growing edible mushrooms

- Use of waste products
- Cellulose decomposition
- Fruiting body- easily separable, generally acceptable
- Cultivation simple
- Low acquisition costs
- Suitable technology for developing countries

*Agaricus bisporus*  
white button mushroom



Preparation of the mushroom substrate

Main Components:

horse manure, straw, poultry litter, pig slurry,  
gypsum....

Ratio C: N - 30-35: 1 - at the beginning of  
fermentation

Water addition (70-75%) and gypsum (pH  
adjustment)

# Fermentation of the mushroom substrate

1. Mixing and humidification - fermentation pile
2. Phase I fermentation - decomposition of nitrogenous substances - bacteria -  $t = 20-30^{\circ}\text{C}$
3. Phase II of fermentation - thermophilic bacteria, fungi *Humicola* sp., Chemical reactions - formation of lignohumus complex, ventilation

Temp. =  $40-60^{\circ}\text{C}$

**Substrate - 65-68%, ash 20-35% dry matter, 0.4%  $\text{NH}_4^+$ , 1.6-2.1% org.N**

Covering soil components:  
Peat, lime milk, bentonite

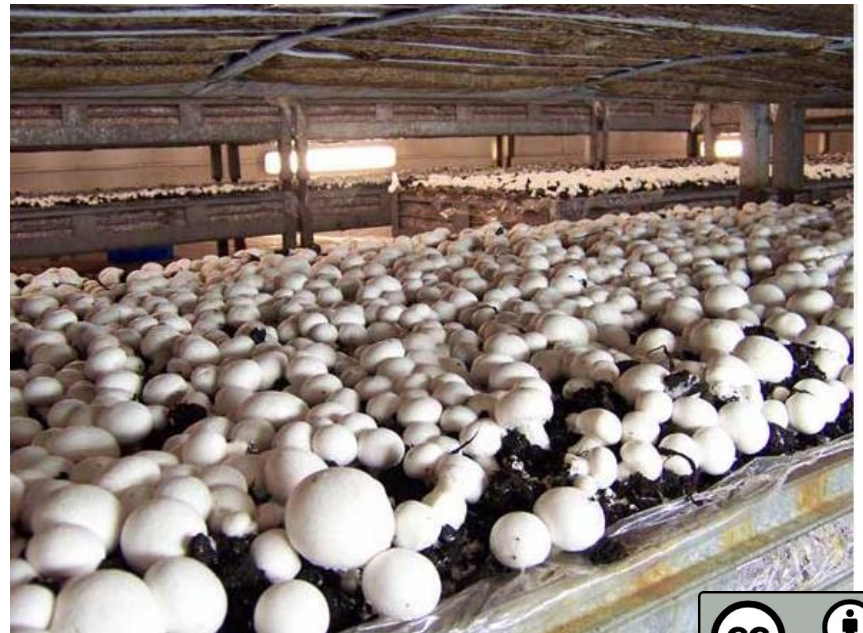


Mushroom seedlings:  
Grain seed, inoculation - 0.6% seed / substrate



# Cultivation

- Propagation of seedlings in substrate
- The substrate is covered with cover earth
- Creation of primordia, then fruiting bodies
- Harvest waves





# Run of Agaricus cultivation

|                         |           |
|-------------------------|-----------|
| Seeded substrate        | 1 day     |
| Covering with bentonite | 2 weeks   |
| Raking (aeration)       | 3 weeks   |
| Ventilation             | 25.day    |
| First harvest wave      | 5-6 weeks |
| Second harvest wave     | 7 weeks   |
| Third harvest wave      | 9 weeks   |
| Waste disposal          | 61.day    |
| disinfection            | 62.day    |

Growing systems: shelving, box, bag ...

End of culture:

Warm up the entire area at 75°C for 12h

Chemical treatment - formaldehyde

Excavated substrate - fertilizer, cover earth

# Shelf (Racks)



# Bag system



# Diseases and pests of mushroom culture

Bacterioses

Viroses

Fungal diseases

Competitive mushrooms

Nematods

Flies

Mites



*Pleurotus ostreatus*  
Oyster mushroom



# In nature – oyster mushroom on wood





# On logs or stumps



# Intensive cultivation of Pleurotus

## **Substrate preparation**

Straw, corn spindles ..

Moistening and **heat treatment (sterilization)**

Substrate seeding

Mixing with grained seed (2-5%)

Proliferation of mycelium

14 days, 25-27°C, without aeration (anaerobic) X  
intensive cooling



# Oyster mushroom



Initiation of fruiting bodies formation

20-22°C, ventilation with humid air

Harvest in waves

Spore release - Required respirator

Exfoliated substrate - Feeding for pigs

Diseases and pests - molds, flies



EUROPEAN UNION  
European Structural and Investing Funds  
Operational Programme Research,  
Development and Education



**UNIVERSITY OF  
CHEMISTRY AND TECHNOLOGY  
PRAGUE**

# Biotechnology in Food Industry

Lecture IX

**Petra Patáková**  
**[petra.patakova@vscht.cz](mailto:petra.patakova@vscht.cz)**

The published materials are intended for students of the University of Chemistry and Technology, Prague as a study material. Some text and image data contained therein are taken from public sources. In the case of insufficient quotations, the author's intention was not to intentionally infringe the possible author(s) rights to the original work.

If you have any reservations, please contact the author(s) of the specific teaching material in order to remedy the situation.



# Fermented foods from soya and rice





# Common features of Orient fermentations

- Solid substrate cultivation (SSC)
- Mixed (undefined) microbial culture
- Sequential, multi-step fermentation
- Semi-aseptic conditions
- Use of „koji“ as enzyme source
- Substrate – soya, rice, (wheat, barley...)
- Microbial production of vitamins



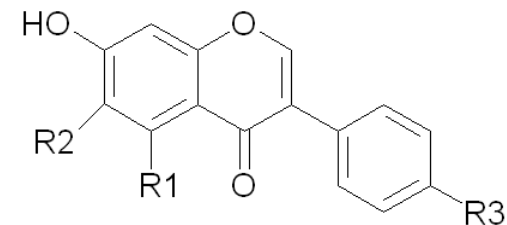
# Soya

- High protein content (45% in dry matter.), fats (20%), saccharides (35%) and fiber
- Consumption – beneficial effects on health
- Isoflavones – antioxidants, plant hormones
- X antinutritional factors – inhibitors of proteases, antivitamins, non-digestible oligosaccharides
- GM soya?



<http://bioinfo03.ibi.unicamp.br/soja/>

## Isoflavony v sóje



daidzein R1=H, R2=H, R3=OH

genistein R1=OH, R2=H, R3=OH

# Fermentation of cooked soya

- Digestion of proteins to amino acids and peptides → attractive smell and taste
- Digestion of oligosaccharides (stachyose, raffinose and melibiose)
- Increase of amount of free isoflavones
- Production of riboflavin, nicotinic acid, nicotinamide and vitamin B<sub>6</sub>
- Higher shelf-life

## Fermented products:

1. Spicy and flavouring compounds– **soy sauce**, soy paste, soy nuggets, **sufu**
2. Soy foods – **tempeh**, **natto**, soy yogurt

# Koji („bloom of mould“)

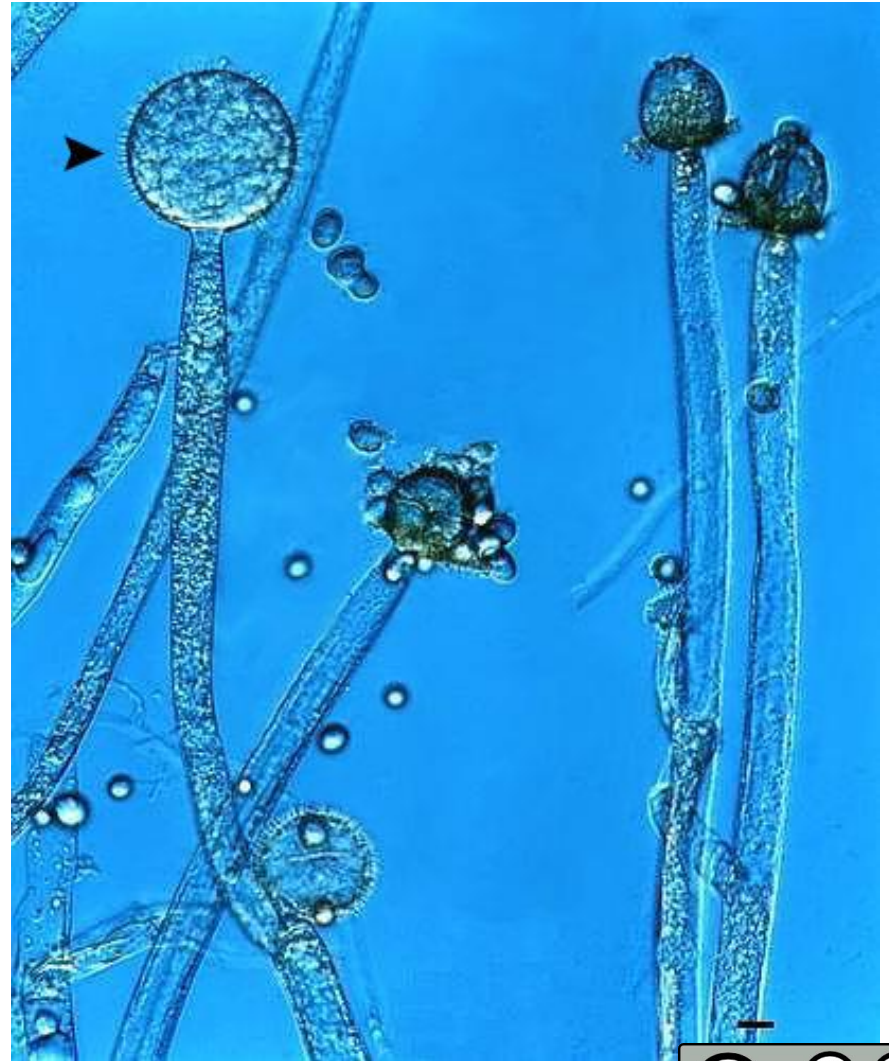
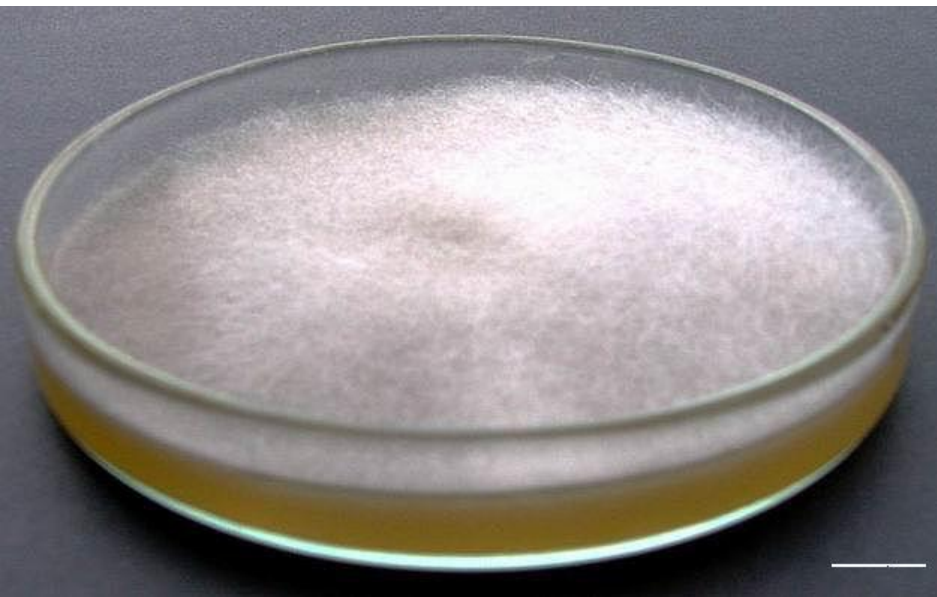
- Source of proteolytic, peptidolytic, amylolytic, (hemi)celulolytic enzymes
- Use of non-toxinogenic fungi – *Aspergillus oryzae*, *Aspergillus sojae*...
- SSC (dishes with perforated bottoms, periodic mixing)
- Inoculation with spores or seed cultures
- Different types of koji –based on substrate (cooked) and intended use



# Used microorganisms

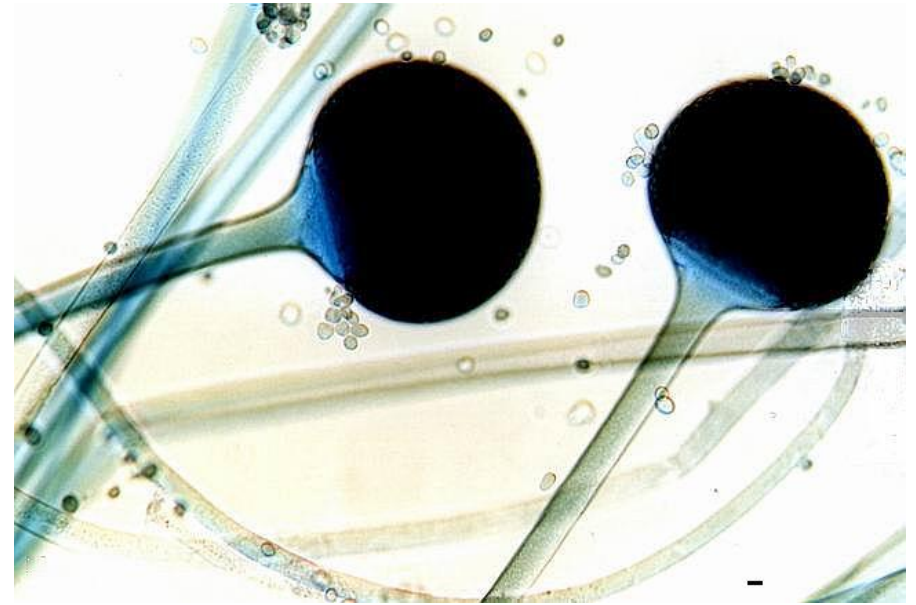
- 1) Fungi – *Actinomucor*, *Mucor*, *Rhizopus*, *Aspergillus*
- 2) Lactic acid bacteriy
- 3) Halofilic yeast

# *Mucor*



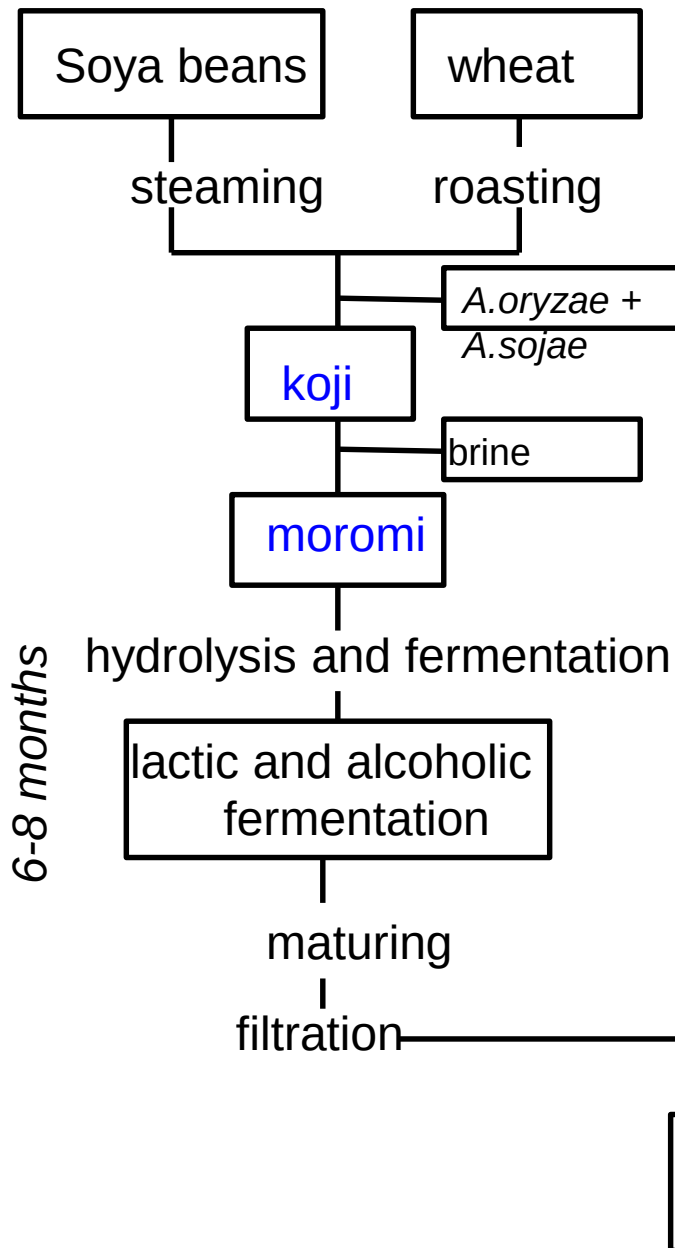


# *Rhizopus*

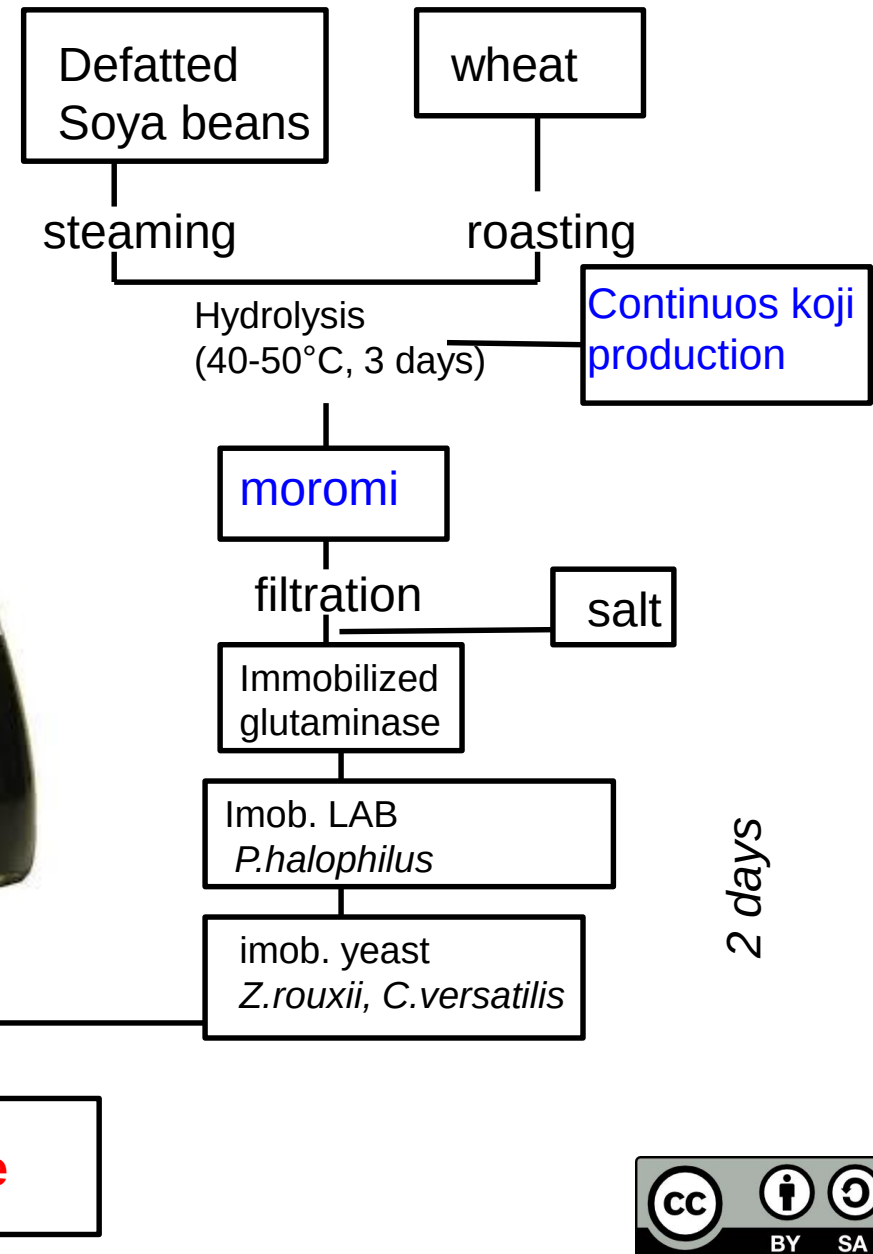




## Traditional way



## Bioreactor production





Wheat is imported from overseas countries.



The wheat is roasted and crushed.



For more informations on the ingredients and the fermentation process, please click [here](#).

Kikkoman's *Aspergillus* (mold) plays a vital role in determining the flavour of Kikkoman Soy Sauce. Mold is added to the wheat and soybeans. The resulting mixture is then cultured for three days to form a dry mash called *Koji*.

For more informations on the production of *Koji*, Mixing and aging, please click [here](#).



Soybeans are imported from overseas countries.



The soybeans are steamed to cook.



Salt is dissolved in water.



For more informations on the bottling, inspection and shipment, please click [here](#).

*Koji* is combined with a salt water solution to form *moromi*. The *moromi* is then fermented in tanks for about half a year.



The Raw soy sauce is then refined and pasteurized.



*Moromi* is poured onto cloths, folded and pressed. The raw soy sauce is squeezed out. Soy sauce pressed cake and oil are the by-products.



Samples of the refined soy sauce are sent to the laboratory, where they are carefully analysed to ensure of it's finest quality.

For more informations on the pressing nad rrefining, please click [here](#).

# Differences between soy sauces

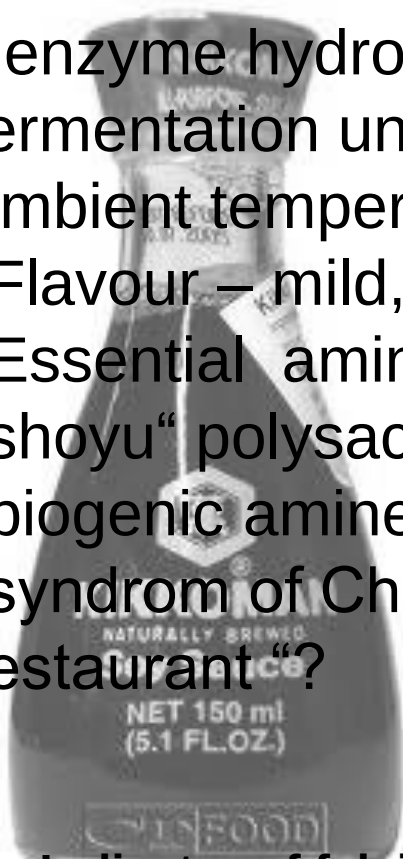
## fermented soy sauce

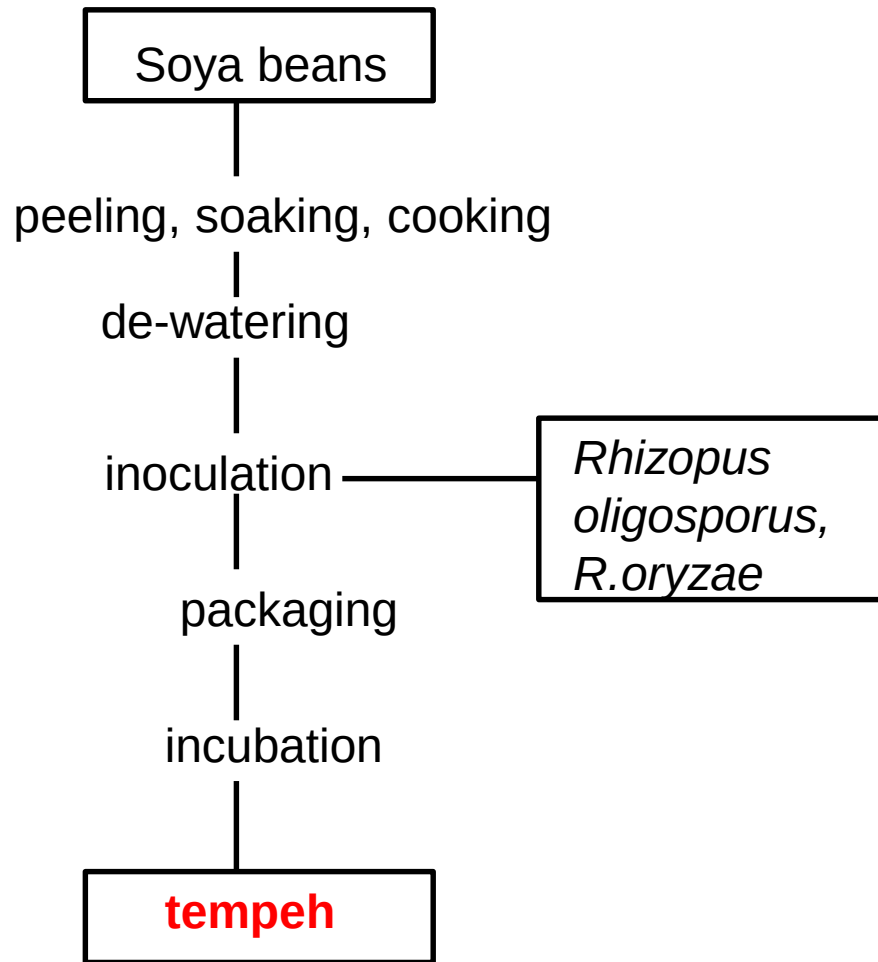
- enzyme hydrolysis and fermentation under ambient temperature
- Flavour – mild, pleasant
- Essential amino acids, „shoyu“ polysaccharides
- biogenic amines – „syndrom of China restaurant“?

Indicator of falsification –tryptofan, levulic acid

## Non-fermented soy sauce

- acid hydrolysis under high temperature
- flavour – rough, unpleasant
- Other seasonings, conservants
- high content of chloropropanoles (3-MCPD) (EC limit 0,02mg/kg)





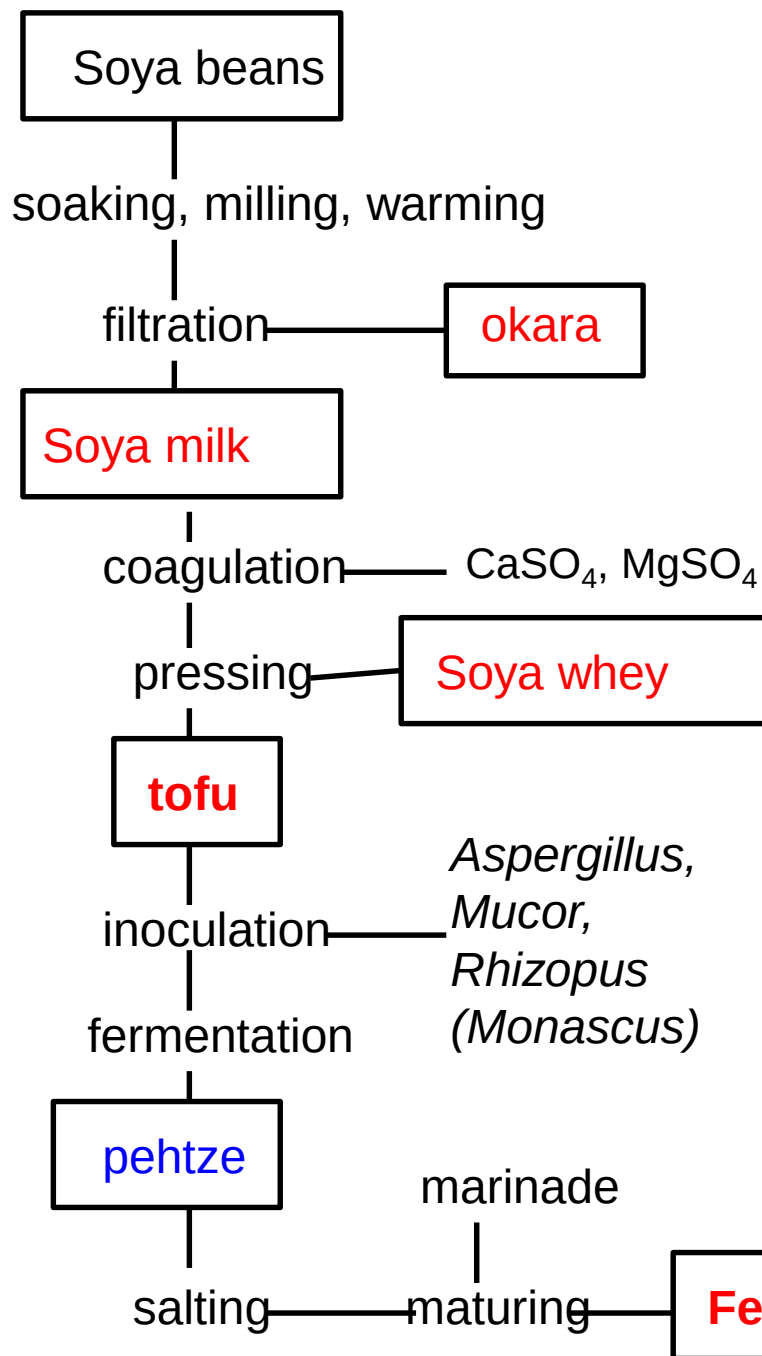
<http://cs.wikipedia.org/wiki/Tempeh>



<http://www.tempeh.info/>







[http://en.wikipedia.org/wiki/Fermented\\_bean\\_curd](http://en.wikipedia.org/wiki/Fermented_bean_curd)

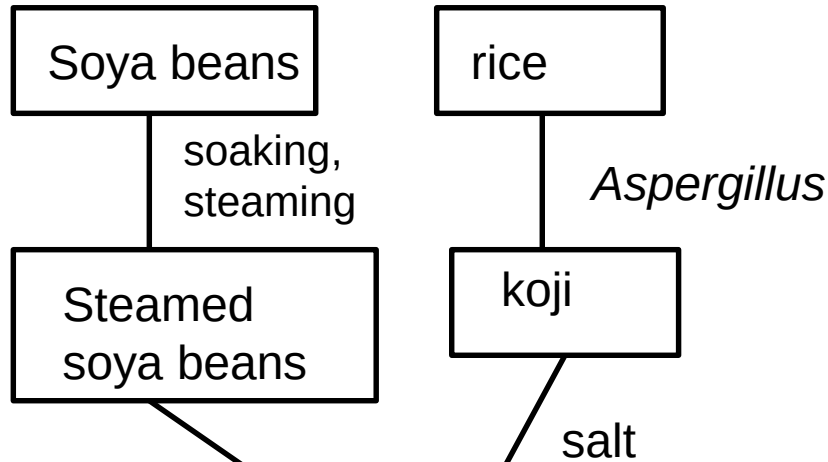


<http://www.absolutechinatours.com/china-travel/Shanghai/Stinky-tofu.html>

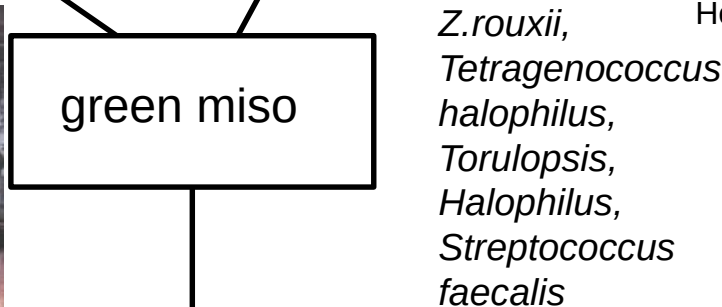


<http://redcook.net/2009/10/24/cooking-sustainably-with-mussels/>





<http://www.mindbodygreen.com/0-5563/3-Secret-Healing-Benefits-of-Miso.html>



*Z.rouxii*,  
*Tetragenococcus halophilus*,  
*Torulopsis*,  
*Halophilus*,  
*Streptococcus faecalis*

anaerobic fermentation,  
 25-30°C, 1 week-1 year

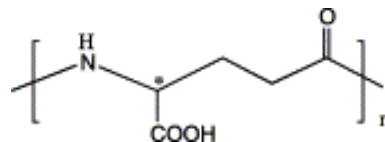
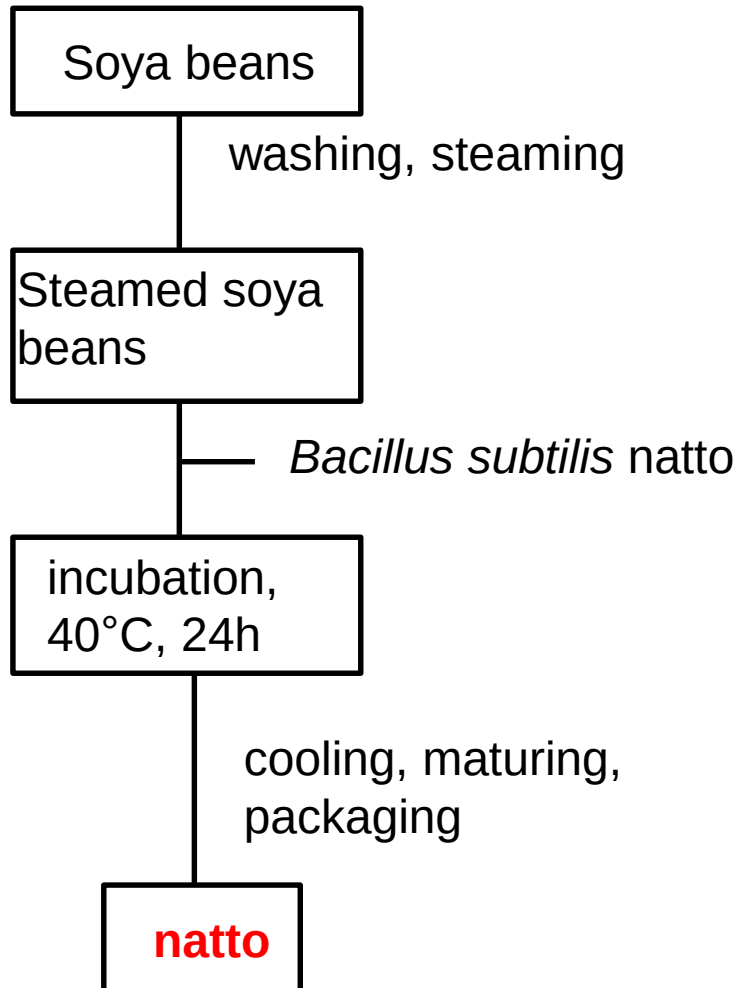
Mixing, pressing,  
 pasteration, packaging

**miso**



<http://www.asianfoodgrocer.com/product/honzukuri-miso-paste-35-2-oz?green=A8F0FE>





$\gamma$ -PGA



<http://www.pref.ibaraki.jp/bukyoku/seikan/koku-ko/e-ibaraki-report/0603natto.html>



<http://nakazora.wordpress.com/2010/07/10/ferment-ii-natto/>

# Influence of natto on health

- „**natto kinase**“ (serine protease, subtilisin) – reduces thrombs by fibrinolysis and by inhibition of plazminogen activator; anti Alzheimer disease
- **vitamin K<sub>2</sub>** (menachinon) –anti osteoporose
- **antioxidant PQQ** (pyrochinolin chinon) – antioxidant effect
- **probiotic effect of *B.subtilis*** (up to 10<sup>8</sup> cells (spores)/g, strain R0179- GRAS – January 2012; FOSHU status in Japan)
- **isoflavones from soya**
- **Other effects**—cholesterol reduction, anti obesity,improvement of digestion



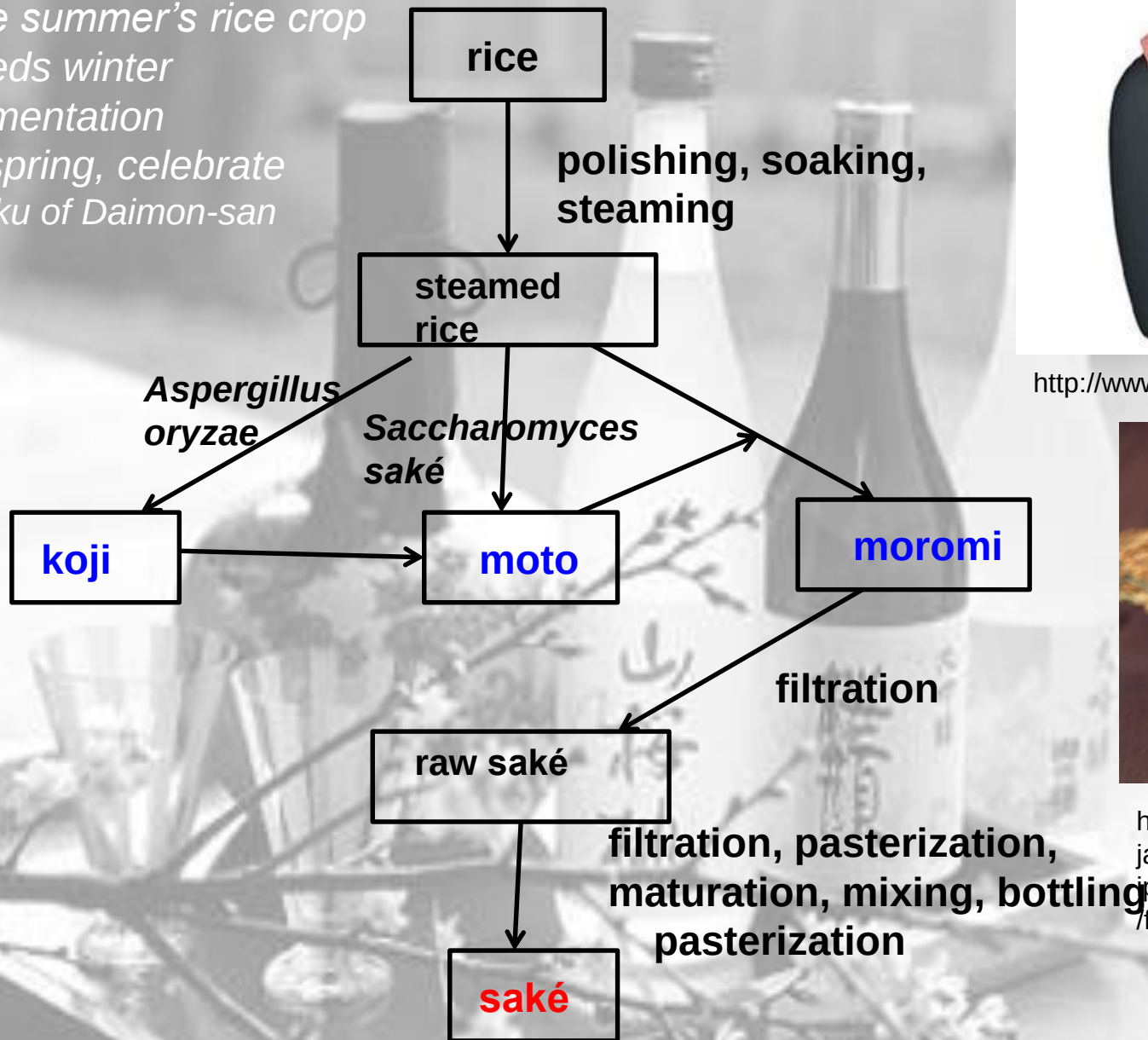
<http://www.magister.sk/produkt/detail/produkt-y-od-a-po-z/natto-nkcp->



<http://www.jamiesonvitamins.sk/tag/starostlivost-o-klby-a-artritida/>



*The summer's rice crop  
Feeds winter  
fermentation  
In spring, celebrate  
Haiku of Daimon-san*



<http://www.alkoholweb.cz/>



<http://web-japan.org/nipponia/nipponia44/en/feature/feature01.html>



# Saké

<http://kyotofoodie.com/hanamizake-sake-and-sakura-blossoms/>

- Usual ethanol conc. 15%
- Addition of ethanol
- Sake - Japanese term for alcohol
- Different types of saké –differences in polishing rice, filtration and addition of ethanol
- Saké serving– cold, hot, at room temp.



<http://www.esake.com/Store/us-lineup.html>

# Mikrobial production of glycerol



# Glycerol

## (1,2,3- propantriol)

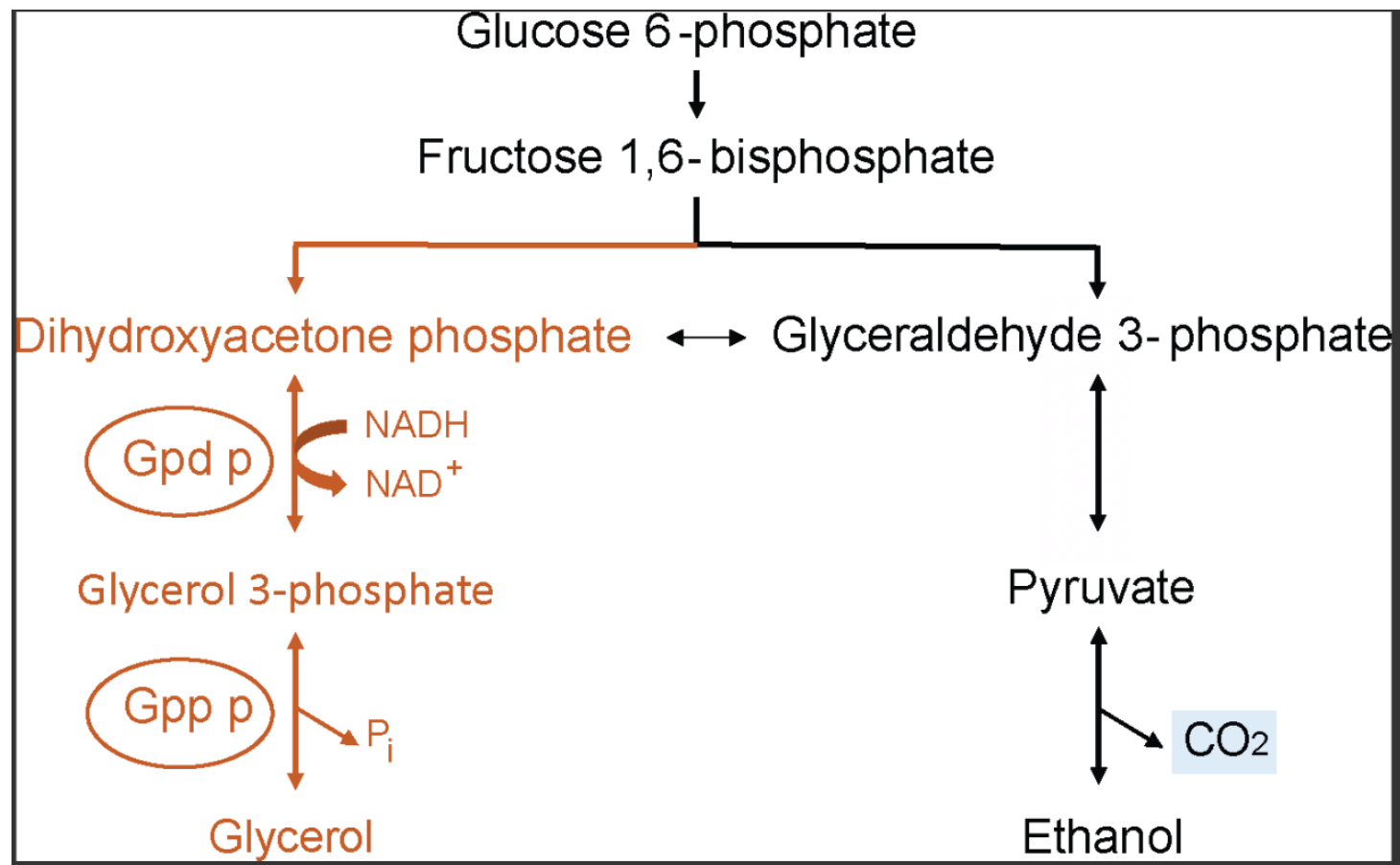
Uses - cosmetics, painting, food, pharmacy,  
leather and textile industry

Chemical production - fat hydrolysis

Microbial production - by yeast:

- Sulphite way  $\text{NaHSO}_3$
- Alkaline way

## Acetaldehyde is blocked by sulphite



# Sulphite way

1. Blocking acetaldehyde by sulphite

Hexose +  $\text{SO}_2 \rightarrow$  acetaldehyde-sulphite +  $\text{CO}_2$  +  $\text{H}_2\text{O}$  + **glycerol**

2. Adaptation of wine yeast *S. cerevisiae* to sulphite
3. Anaerobic fermentation – 30h, temperature 30-32°C
4. Saccharide concentration– 15%, yield 51%

# Alkaline way

2hexose → 2**glycerol** + ethanol + acetate + 2CO<sub>2</sub> + 2 H<sub>2</sub>O

Saccharide concentration up to 17%

Addition of sodium, magnesium or calcium carbonate— pH > 7

Process 4-5 days

# Production by osmotolerant yeast

- *Zygosaccharomyces acidifaciens*
- Aerobic process, formation of ethanol and acids
- High concentration of saccharides
- Up to 20% glycerol

# Production of glycerol by osmotolerant algae

- *Dunaliella*



Glycerol production - response to stress caused by increased NaCl concentration – however mainly intracellular glycerol is produced



# Glycerol isolation

- Yeast and sludge centrifugation
- Distillation of ethanol
- Cleaning
- Concentration by evaporation
- Vacuum distillation of glycerol X polymerization



EUROPEAN UNION  
European Structural and Investing Funds  
Operational Programme Research,  
Development and Education



**UNIVERSITY OF  
CHEMISTRY AND TECHNOLOGY  
PRAGUE**

# Biotechnology in Food Industry

Lecture V

Petra Patáková

[petra.patakova@vscht.cz](mailto:petra.patakova@vscht.cz)

The published materials are intended for students of the University of Chemistry and Technology, Prague as a study material. Some text and image data contained therein are taken from public sources. In the case of insufficient quotations, the author's intention was not to intentionally infringe the possible author(s) rights to the original work. If you have any reservations, please contact the author(s) of the specific teaching material in order to remedy the situation.



# Ethanol production



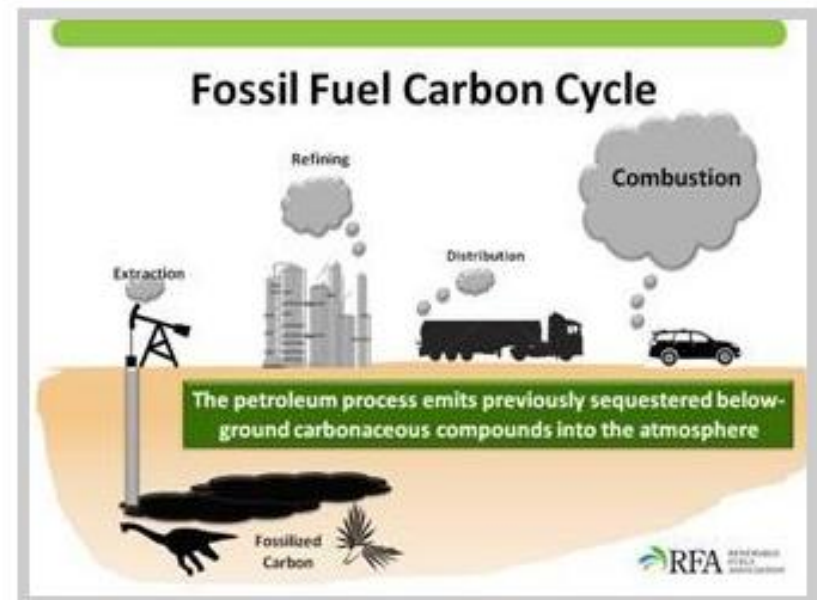
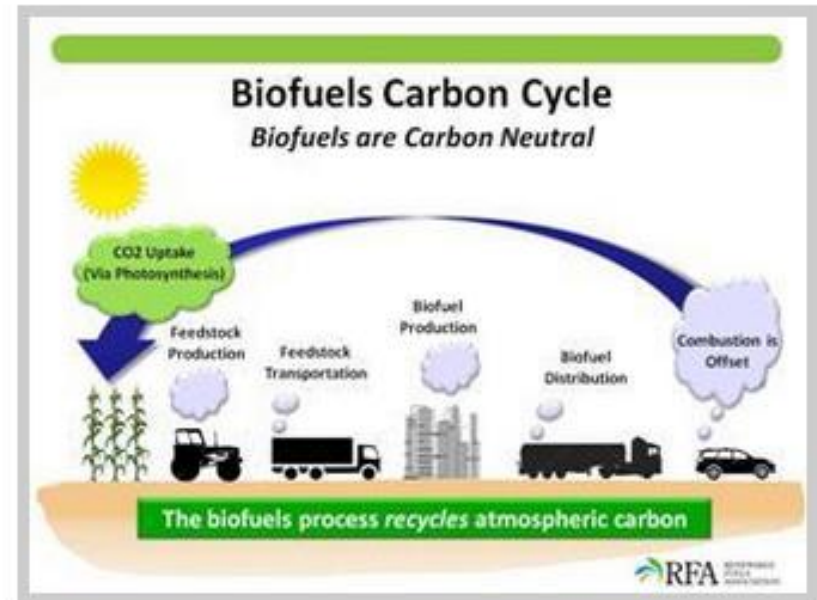
# Content of the presentation

I  
Global politics regarding biofuels  
production  
Feedstock and its pre-treatment  
Processing of lignocelulosic materials  
Ethanol fermentation  
distillation  
and final adjustment



# Why to produce biofuels?

- to reduce oil dependance
- to alternate part of fuel consumed in transport with renewable one
- to reduce emissions
- to support sustainable agriculture
- to create new jobs



# Types of biofuel

- Biochemical conversion of biomass  
ethanol, butanol, biogas,  
biohydrogen
- Thermochemical conversion  
BTL (biomass to liquid), gassification  
(syngas), methanol, hydrocarbons,  
biohydrogen
- Oleochemical conversion  
FAME (biodiesel), HVO/HEFA



# Definitions

**Biofuels of 1<sup>st</sup> generation** - from food crops (e.g. corn, sugar beet, sugar cane)

**Biofuels of 2<sup>nd</sup> generation** – from non-food crops or waste mostly lignocellulosic biomass

**Biofuels of 3<sup>rd</sup> generation** – from algae biomass



## Advanced biofuels:

- produced from lignocellulosic feedstocks
- having low CO<sub>2</sub> emission or high GHG reduction
- reaching zero or low ILUC impact

ILUC – indirect land use change

# FOOD **OR** FUEL?

Nearly a billion people will go hungry tonight, yet this year the U.S. will turn nearly 5 billion bushels of corn into ethanol. That's enough food to feed 412 million people for an entire year.

**8** BUSHELS  
OF CORN

=

**21.6** GALLONS  
OF ETHANOL  
FUEL

**OR**

ENOUGH FOOD TO  
FEED A PERSON FOR  
A WHOLE YEAR



## DOING THE MATH...

5 billion bushels / 8 bushels of corn  
(enough calories to feed a person for a year) =  
sufficient calories to support 625 million people,  
minus one-third to account for distiller's grain  
(DDG) = 412 million

8 bushels of corn (feeds a person for a year)  
X 2.7 gallons of ethanol per bushel  
= 21.6 gallons of ethanol per bushel

## SOURCES

450 pounds of corn supplies enough calories for one person for a year  
(<http://www.foreignaffairs.com/articles/62609/c-ford-runge-and-benjamin-senauer/how-biofuels-could-starve-the-poor>)

About 5 billion bushels of U.S. corn production is slated for ethanol production  
(<http://www.usda.gov/oc/commodity/wasda/latest.pdf>)

One bushel of corn produces 2.7 gallons of ethanol  
(Purdue Extension, "How Fuel Ethanol is Made From Corn," <http://www.extension.purdue.edu/extmedia/ID/ID-328.pdf>)

  
resourcemedia



BY SA

# Legislation

## In EU

- directives 2003/30/EC and **RED (Renewable Energy Directive) 2009/28/EC**
- compulsory blending of gasoline with min. 4.1% v/v of ethanol and diesel with 6% v/v with biodiesel
- strategic goal 20-20-20** (20% reduction in greenhouse gas emissions, 20% reduction in primary energy consumption, 20% of total energy from renewable sources in comparison with year 1990 by the year 2020)
- April 28, 2015 – new iLUC directive**

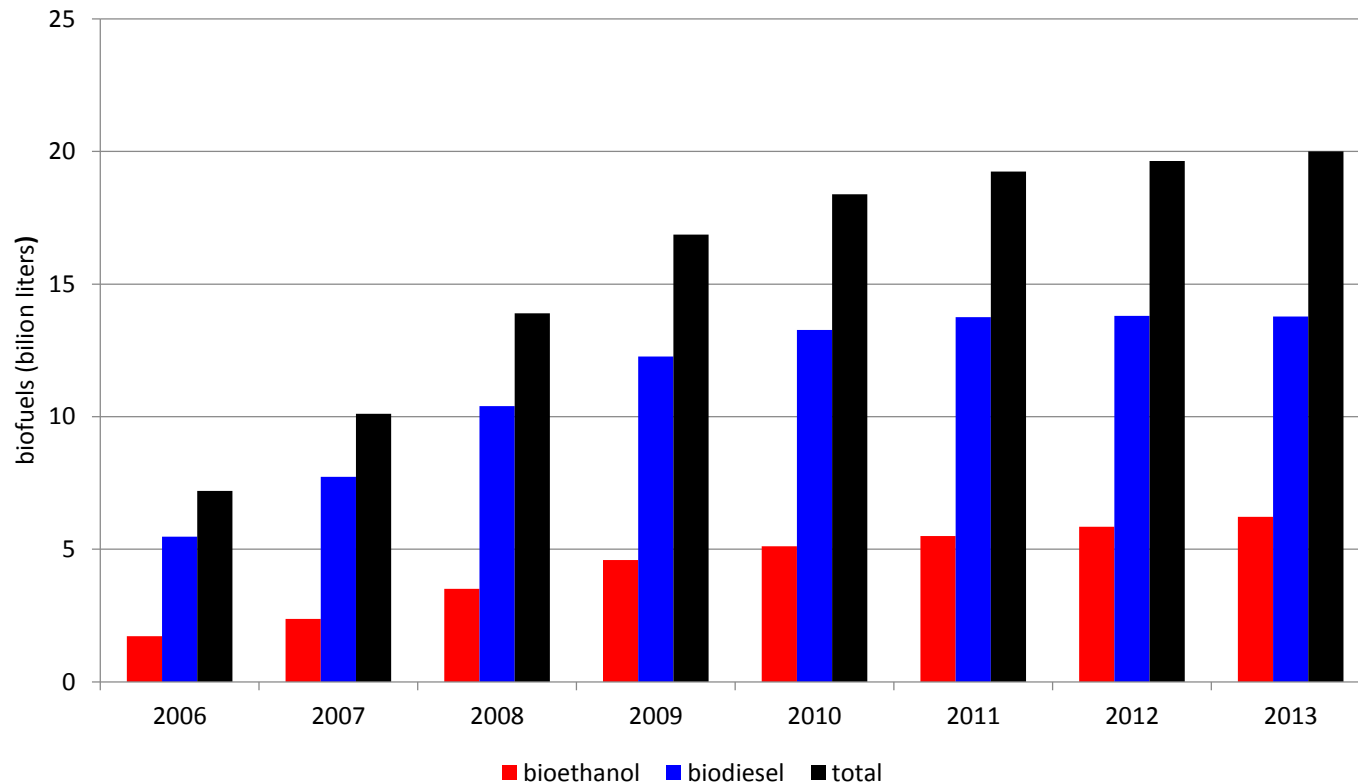
## In U.S.A.

- Energy Independent and Security Act of 2007 (EISA)
- RFS – renewable fuel standard – allows to blend gasoline with up to 10% v/v ethanol or 16 % v/v of 1-butanol
- strategic goal** - by 2022 to use 136 mil. L of renewable fuels in transport

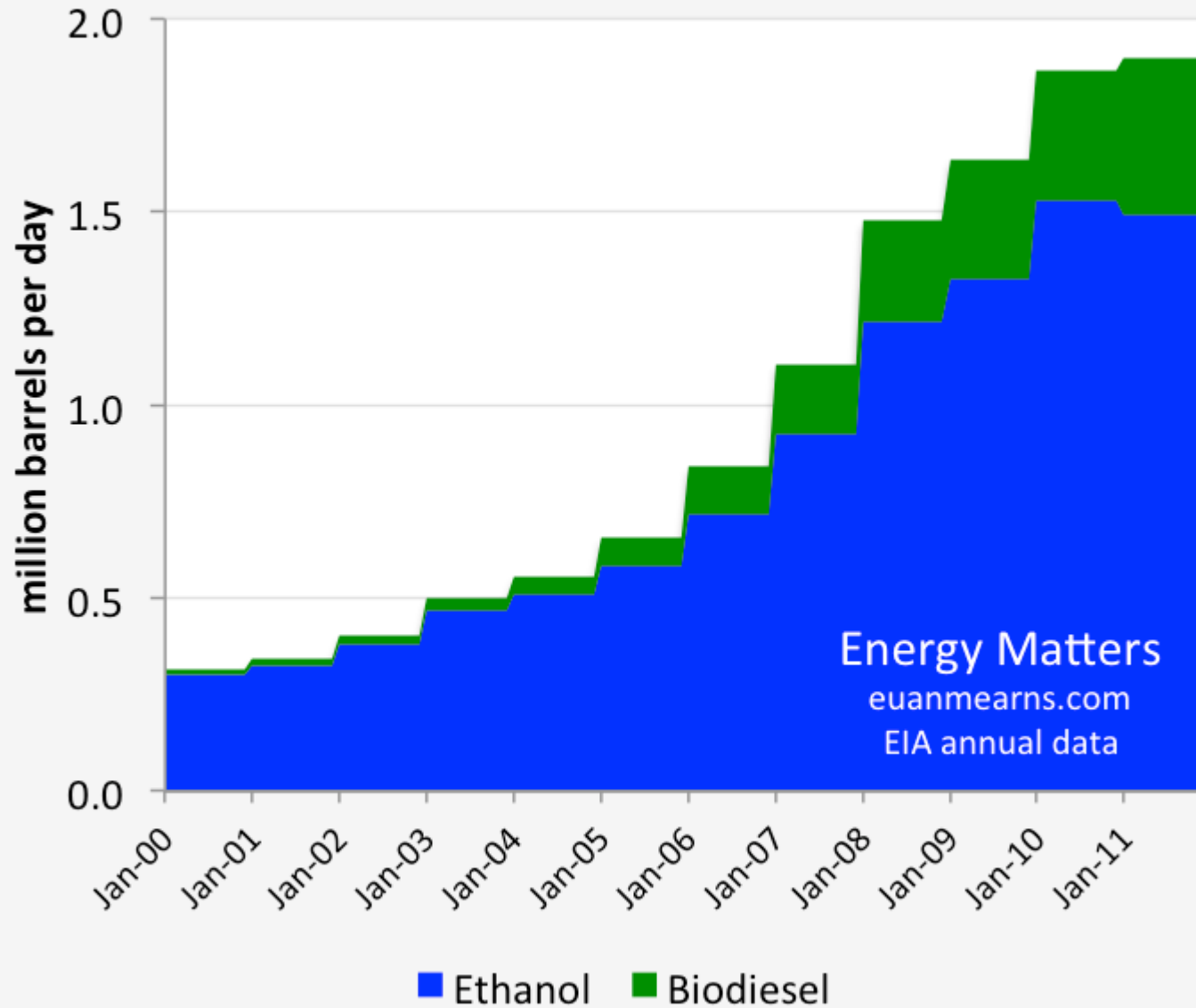


# EU transport fuel consumption

- 2012 - bioethanol 28% market, biodiesel 72% market



# Global Biofuel Production



## Asian countries

### Motivation – reduction of dependence on oil, reduction of GHG

| Country     | Current Feedstock    |                             | Ethanol<br>(millions of liters) |       | Biodiesel<br>Â (millions of liters) |       |
|-------------|----------------------|-----------------------------|---------------------------------|-------|-------------------------------------|-------|
|             | Ethanol              | Biodiesel                   | 2008                            | 2012  | 2008                                | 2012  |
| China       | Corn, wheat, cassava | Waste vegetable oil         | 2,002                           | 2,433 | 355                                 | 568   |
| India       | Molasses             | Jatropha, pongamia          | 2,150                           | 2,171 | 317                                 | 300   |
| Indonesia   | Molasses, cassava    | Palm oil                    | 212                             | 300   | 753                                 | 1,300 |
| Malaysia    | None                 | Palm oil                    | 70                              | N/A   | 443                                 | 178   |
| Philippines | Sugarcane            | Coconut oil                 | 105                             | 245   | 211                                 | 393   |
| Thailand    | Molasses, cassava    | Palm oil, waste cooking oil | 408                             | 1,120 | 48                                  | 860   |
| Vietnam     | Molasses, cassava    | Animal fat                  | 164                             | N/A   | 0                                   | N/A   |
| TOTAL       |                      |                             | 5,111                           | 6,269 | 1,772                               | 3,594 |



# Feedstock of first generation

a) **saccharose containing**  
molasses (waste stream from sugar industry), sugar beet, sugar cane



b) **starch containing**  
corn, maize, potatoes, cassava



c) **Other** – jerusalem artichokes (inulin)

# Feedstock pre-treatment

**Molasses** – clarification, sludge separation, acidification, addition of necessary nutrients (ammonium and phosphorous salts...), (sterilization)

**Sugar beet, sugar cane** – washing, cutting, water extraction → juice production (clarification, concentration, addition of nutrients)

**Corn, maize** – milling, two step enzyme hydrolysis of starch (liquefaction + saccharification) (in past acid hydrolysis)

**Cassava** – peeling, cutting, two step enzyme hydrolysis of starch (liquefaction + saccharification)

**Jerusalem artichokes** – washing, cutting, water extraction of inulin, inulinase treatment or acid hydrolysis

# Feedstock of second generation (non-food biomass) and its pre-treatment

Agricultural waste – wheat and rice straw, corn stover, bagasse...

Municipal waste, paper

Fast growing trees (poplar, willow), sawdust, twigs...

## Pre-treatment

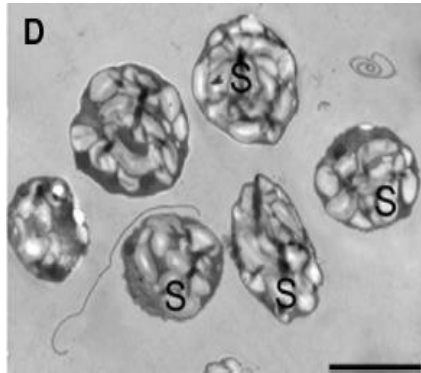
1. Mechanical – cutting, milling
2. Physico-chemical – acid/alkaline hydrolysis, ammonium expansion (AFEX), steam explosion...
3. Enzyme decomposition



<http://energyfromwasteandwood.webly.com/generations-of-biofuels.html>

# Feedstock of third generation - algae

Fresh water micro algae – *Chlorella*  
(starch accumulation in cells – up to 60%  
of dried weight)



Brányiková et al., 2009

Sea weeds – macro algae *Ulva*,  
*Gracillaria*... (starch accumulation in  
cells)



<http://www.energyrant.com/algae-biodiesel-the-real-green-fuel/>

## Pretreatment

Harvesting (sedimentation, centrifugation..), drying,  
desintegration of cells, enzyme hydrolysis (possible use of both  
starch and cellulose in cell wall)

# First generation ethanol - bioethanol

In EU – 4,6 bilion litres of sugar beet and corn ethanol in 2010

In USA – 45 bilion litres of corn starch ethanol in 2010

## Ethanol fermentation by *Saccharomyces cerevisiae*

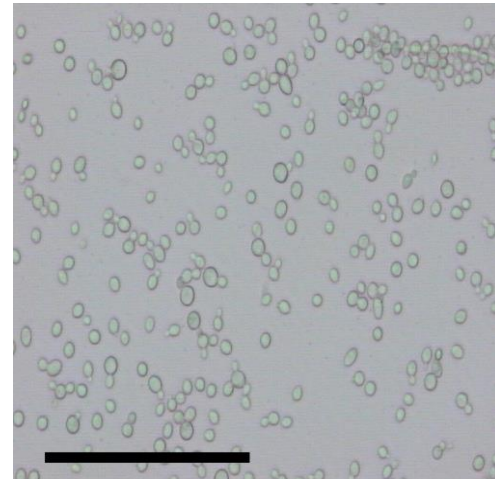


Theoretical yield:

100% glucose  $\rightarrow$  51.14% EtOH + 48.6% CO<sub>2</sub>

## Reality – 6% of by-products + yeast maintenance

glycerol, higher alcohols, aldehydes, acetoin, organic acids and their esters  
(generated mainly in glycolysis and from present amino acids; depends on used feedstock and nitrogen source)



# By-products of ethanol fermentation I

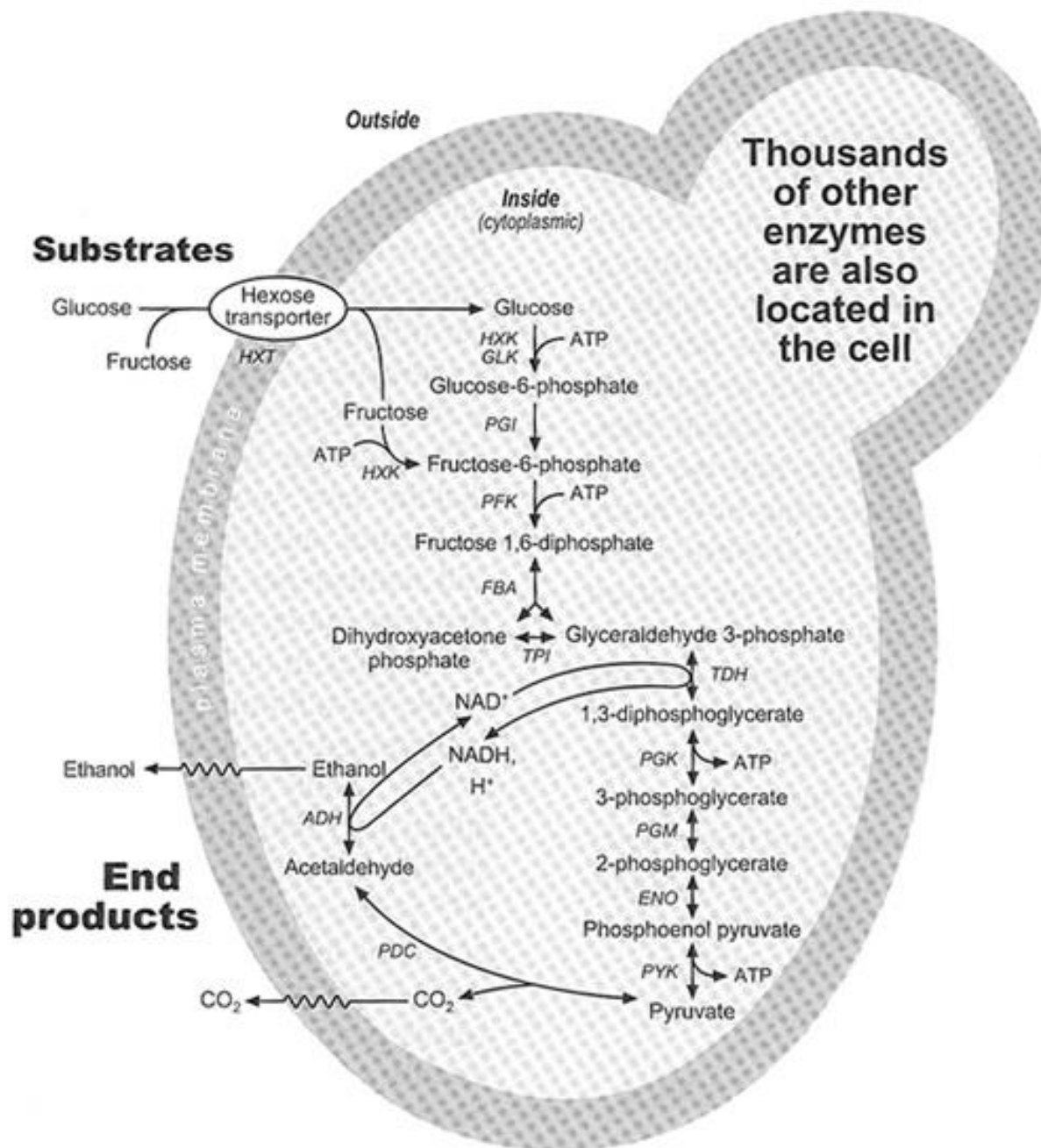
- **Glycerol** - at the start of fermentation in the absence of acetaldehyde (in glycolysis)
- **Higher alcohols** - a part of the so-called fusel - amylcohols, isobutyl alcohol (deamination of amino acids)
- **Aldehydes** - fusel- Isovaleraldehyde (from Leucine), Acetaldehyde (Glycolysis)
- **Acetoin, 2,3-butanediol** (from glycolysis)

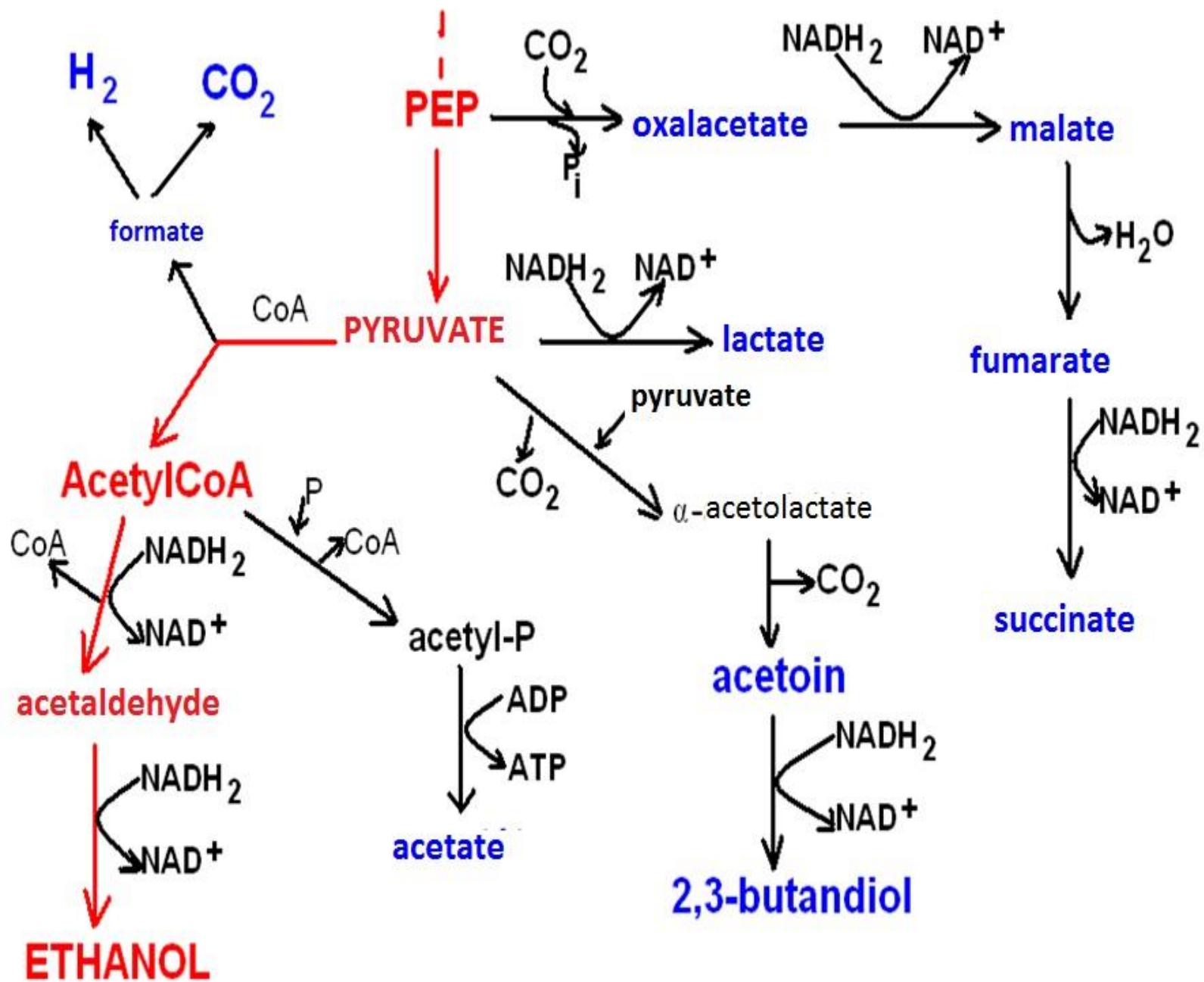


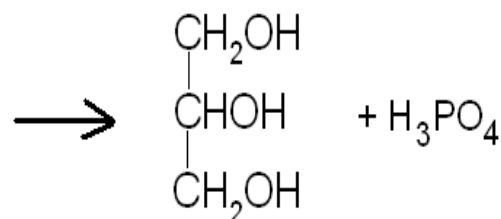
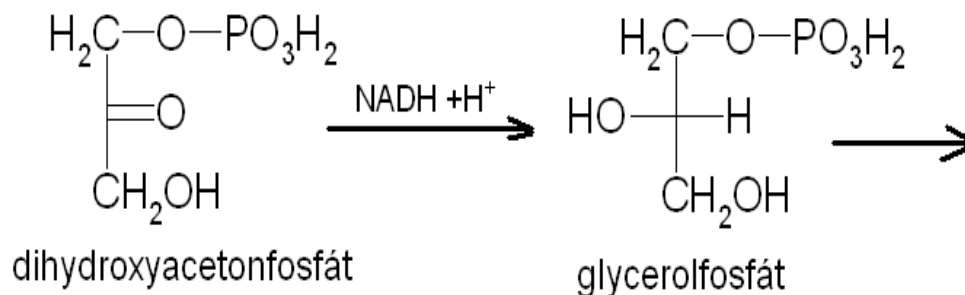
# By-products of ethanol fermentation II

- **Organic acids** - acetic acid, succinic acid ... (both in glycolysis and otherwise)
- Esters of organic acids
- **Methanol** - (from pectin and pulp)
- **Hydrogen cyanide and benzaldehyde** - (from fruit stones)

Compounds produced by the activity of contaminating micro-organisms

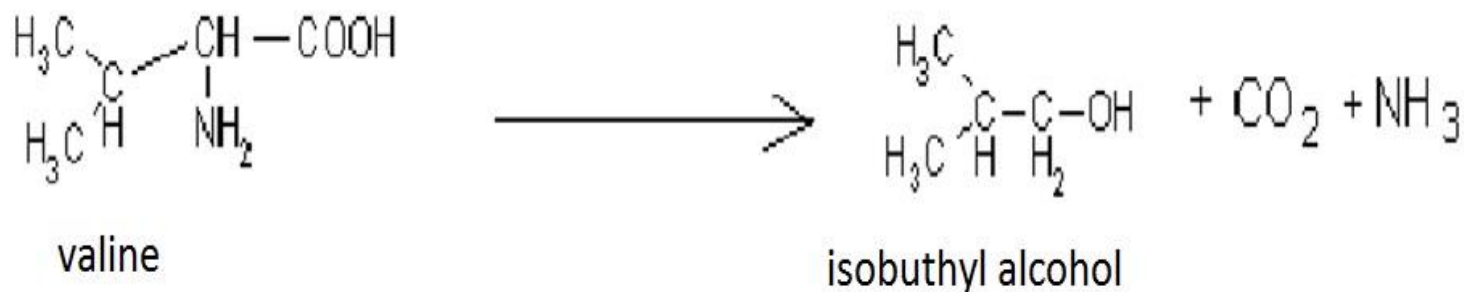




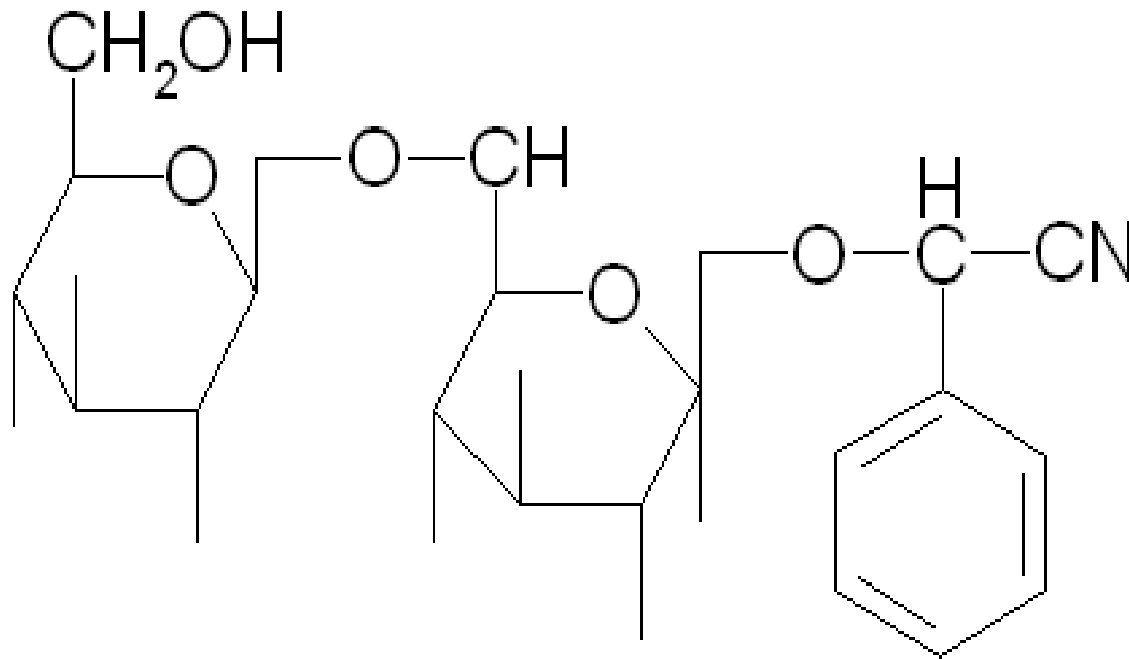


**Glycerol**

## Formation of higher alcohols from amino acids



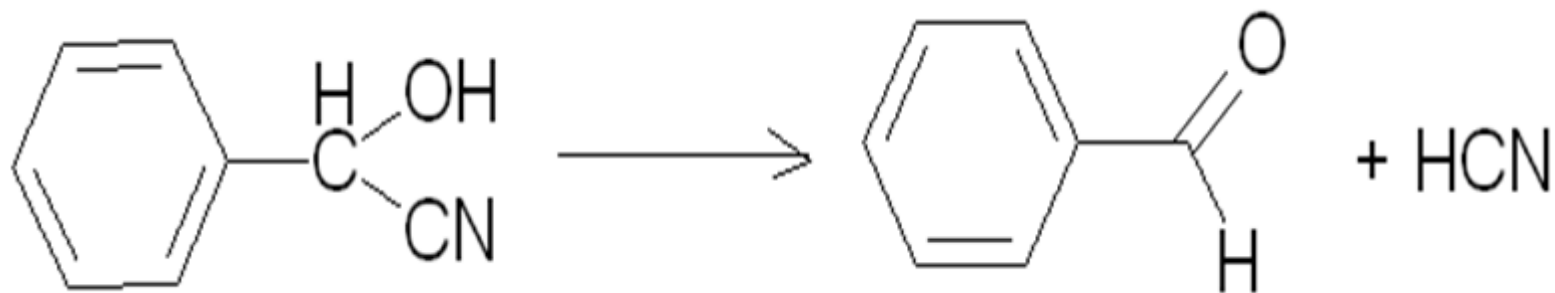
# AMYGDALIN



**gencibiosa**

**benzaldehydkyanhydrin**

# Formation of benzaldehyde and hydrogen cyanide



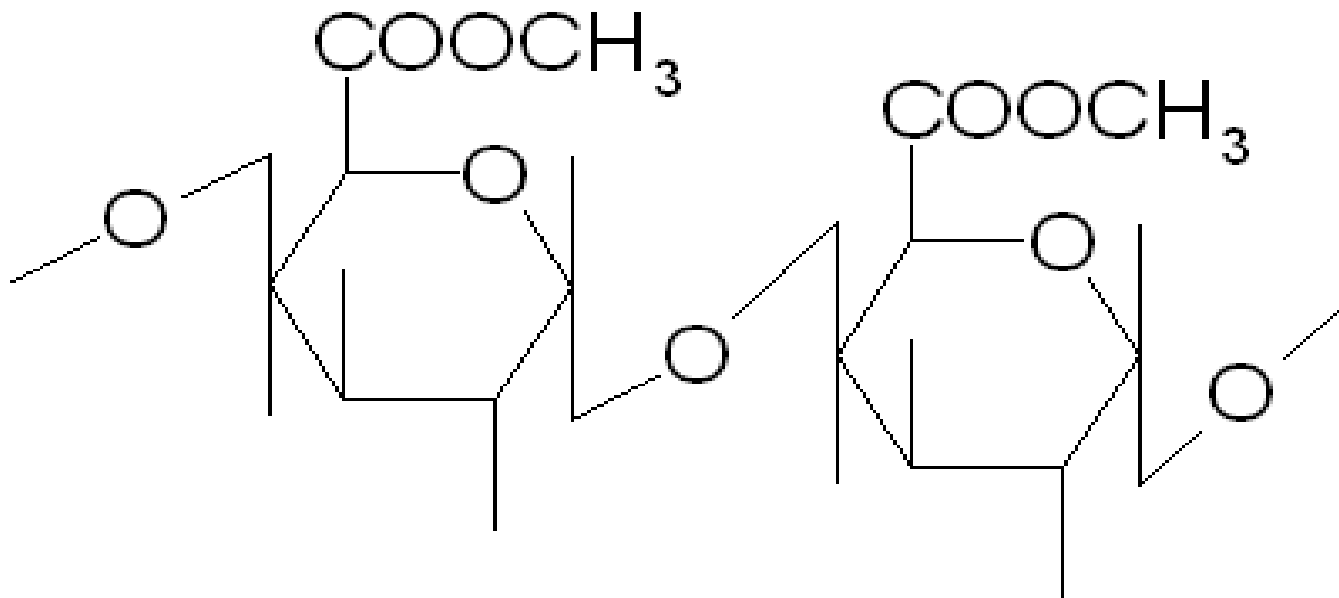


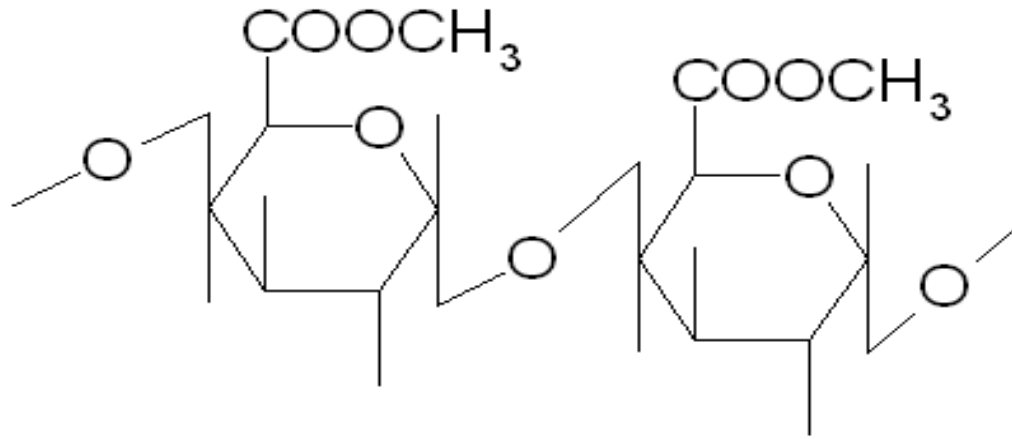
# Formation of methanol

Protopectin – complex of pectin with cellulose, arabanes and galactanes

↓ protopectinase

Pectin





Methylester of poly-D-galacturonic acid

↓pekcinesterase, polygalacturonidase

**CH<sub>3</sub>OH** + monogalacturonic acids

# Ethanol fermentation

1. **Medium preparation** – feedstock pre-treatment, (nutrients addition, sterilization)  
Nitrogen source –  $\text{NH}_4^+$  → increase of glycerol production  
- amino acids → increase of fusel alcohols and aldehydes  
- nitrogen limitation – low fermentation rate
2. **Yeast propagation** – high inoculum concentration, usually aerobic process – high growth rate  
(in some distilleries – use of dried yeasts without propagation or yeast recirculation)
3. **Fermentation** – temp. 30°C, pH 4.5-5, 16-48 h (depends on the process arrangement), 10-14 % v/v final ethanol concentration, yield 90-95 % of max. theoretical yield
4. **Fermentation control** – microbiological, analytical (saccharide and ethanol concentrations)

## Process arrangement – ethanol fermentation

1. Batch – only in small distilleries for alcohol beverages
2. **Fed-batch** – traditional, proven solution – with or without yeast recirculation
3. **Continuous and semi-continuous processes** – nowadays preferred solution, usually battery of bioreactors working up to 21 days

### Pros

- no inoculum preparation
- reduction of operation times necessary for cleaning and sterilization

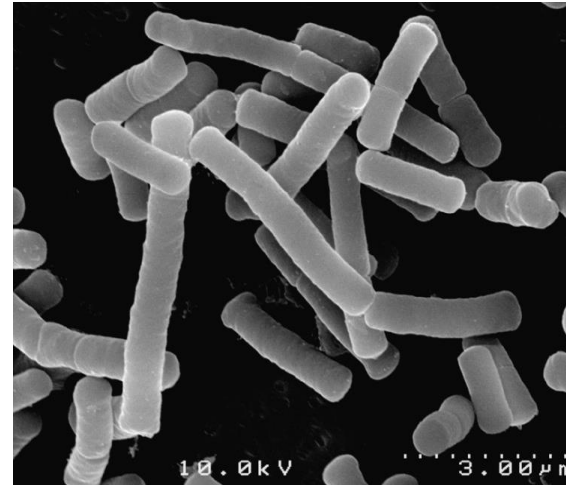
### cons

- **risk of contamination\***
- lower yield and final ethanol concentration

*\* Only 16% of fuel ethanol in USA is currently produced in continuous systems due to contamination problems.*

# Fermentation contaminants

1. **Lactic acid bacteria** - group of different bacteria, the most dangerous *Lactobacillus fermentans* – living on distilleries equipment, forming biofilm
2. Anaerobic bacteria (*Clostridium* sp. )
3. Mycotoxins from rotten feedstock



*Lactobacillus* sp.

<http://www.institut-rosell-lallemand.com/page.php?idPage=33&idLangue=2>

# By-products of fermentation

Dried Yeast - Feed Compound X Losses of EtOH

CO<sub>2</sub> - mixing

- production of dry ice



# Distillation

- different arrangement of distillation columns e.g. mash + rectification column followed by rafination column

- **formation of azeotroph** ethanol/water
  - 98.3% v/v ethanol /2.7% v/v water with lower boiling point than pure ethanol → impossible to gain pure ethanol using distillation under atmospheric pressure



<http://www.cukrovaryttd.cz/vyroba/zavody/agroetanol-ttd-lihovar-dobrovice/>

Different fate of ethanol – superfine ethanol for alcoholic beverages of anhydrous ethanol ?

# Distillation

Boiling point EtOH 78.32 °C

Rectification = Repeated distillation

Azeotropic mixture - EtOH: water

97.3% v / v: 2.7% v / v, boiling point 78.15 ° C

Azeotropic point - the volatility of both components is the same



Reduction of column pressure - shift of azeotropic point →  $p = 9.3 \text{ kPa}$  - anhydrous alcohol

# Distillation

Deflegmation - fractional condensation of the steam mixture - condensation of the water condenses (condensation of the alcohol content in vapors) - reflux - reflux

Backflow ratio -  $R = L / D$

L - moles (amount) of returned distillate

D - moles of the distillate removed

# Distillation

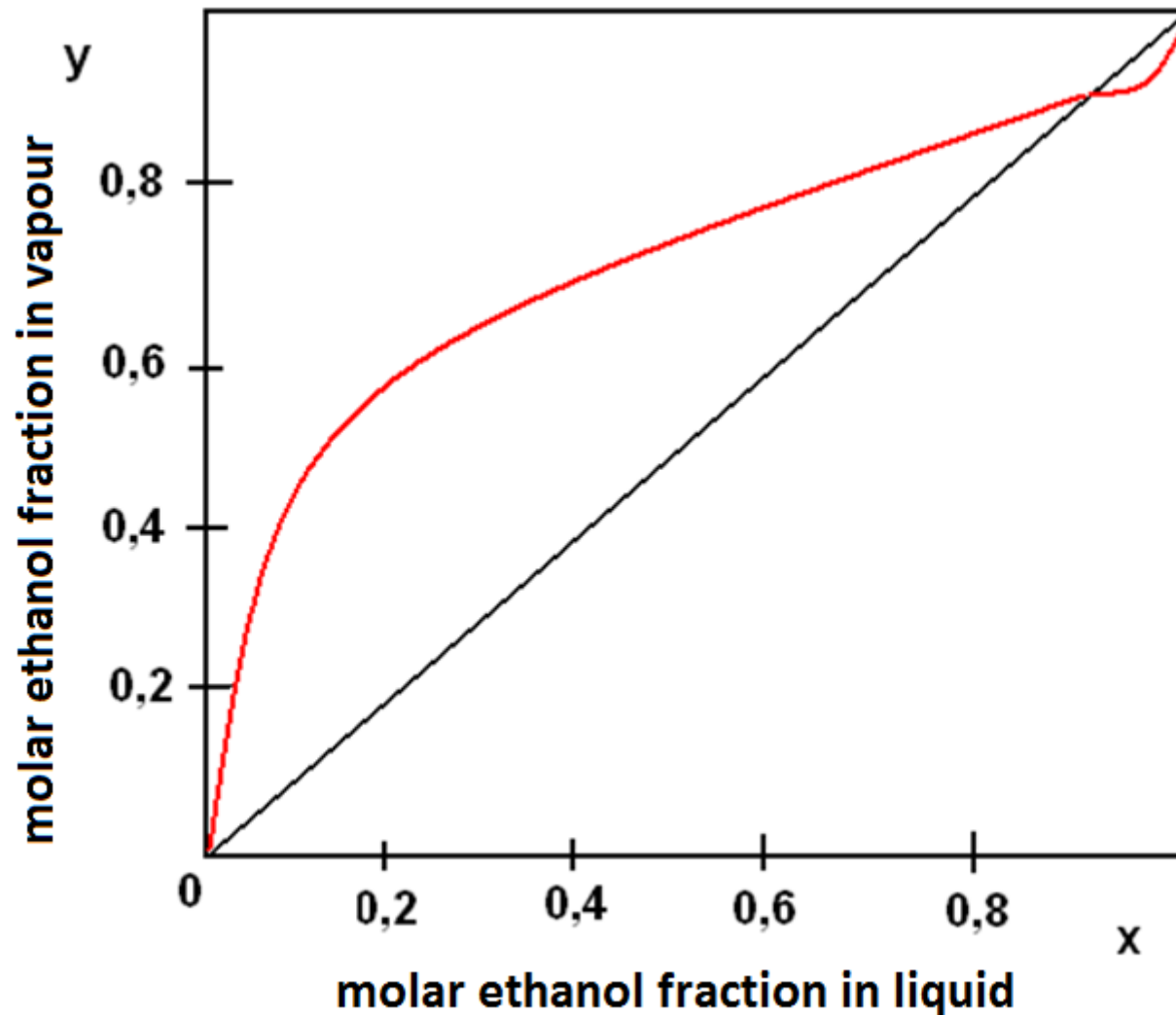
## Refining coefficient

The ratio between the impurities in the vapor and the liquid

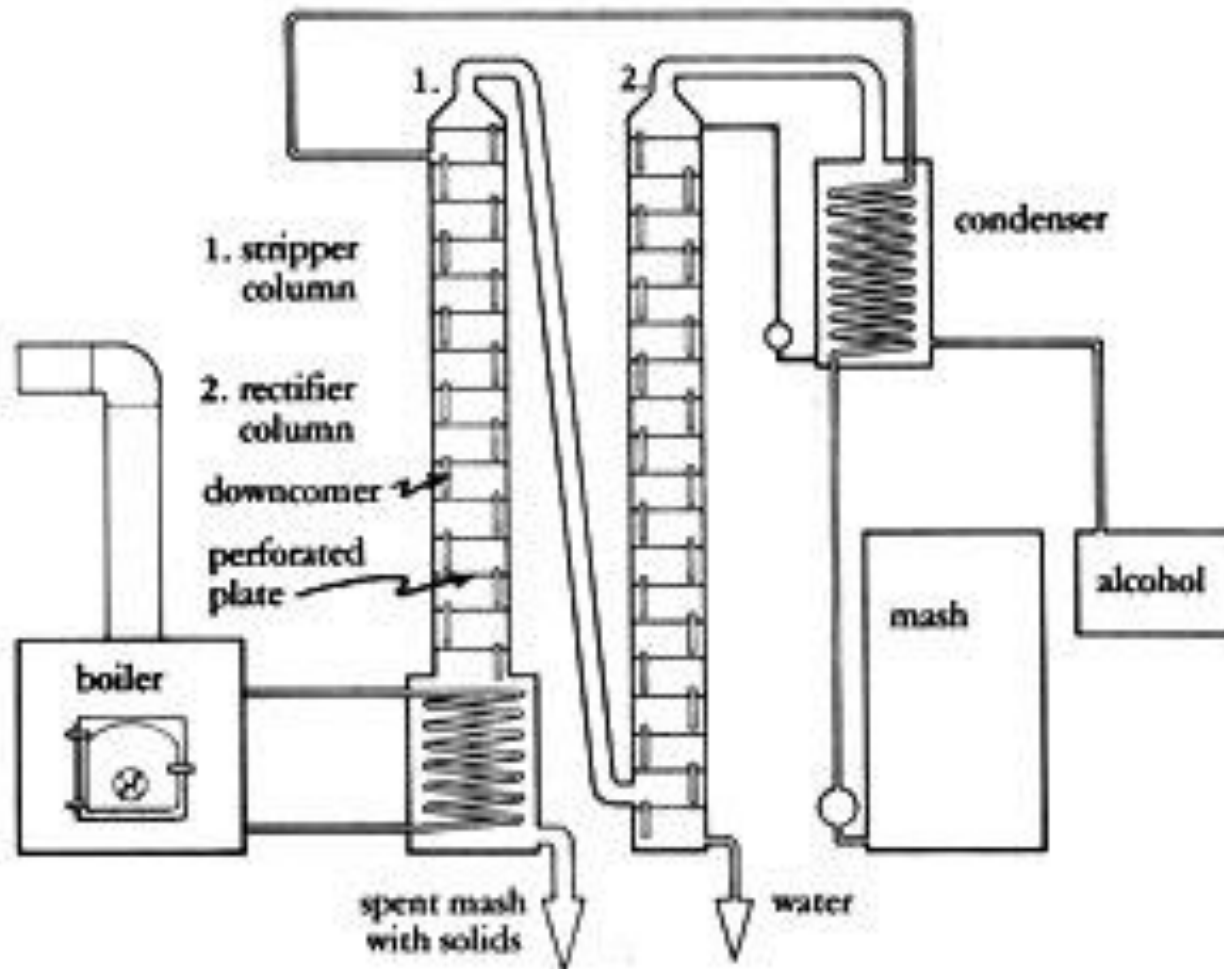
$> 1$  ..... ..head of boil, compounds more volatile than ethanol

$< 1$  ..... ..bottom of boil, compounds less volatile than ethanol

Dependence between EtOH in vapour and in liquid during equilibrium distillation

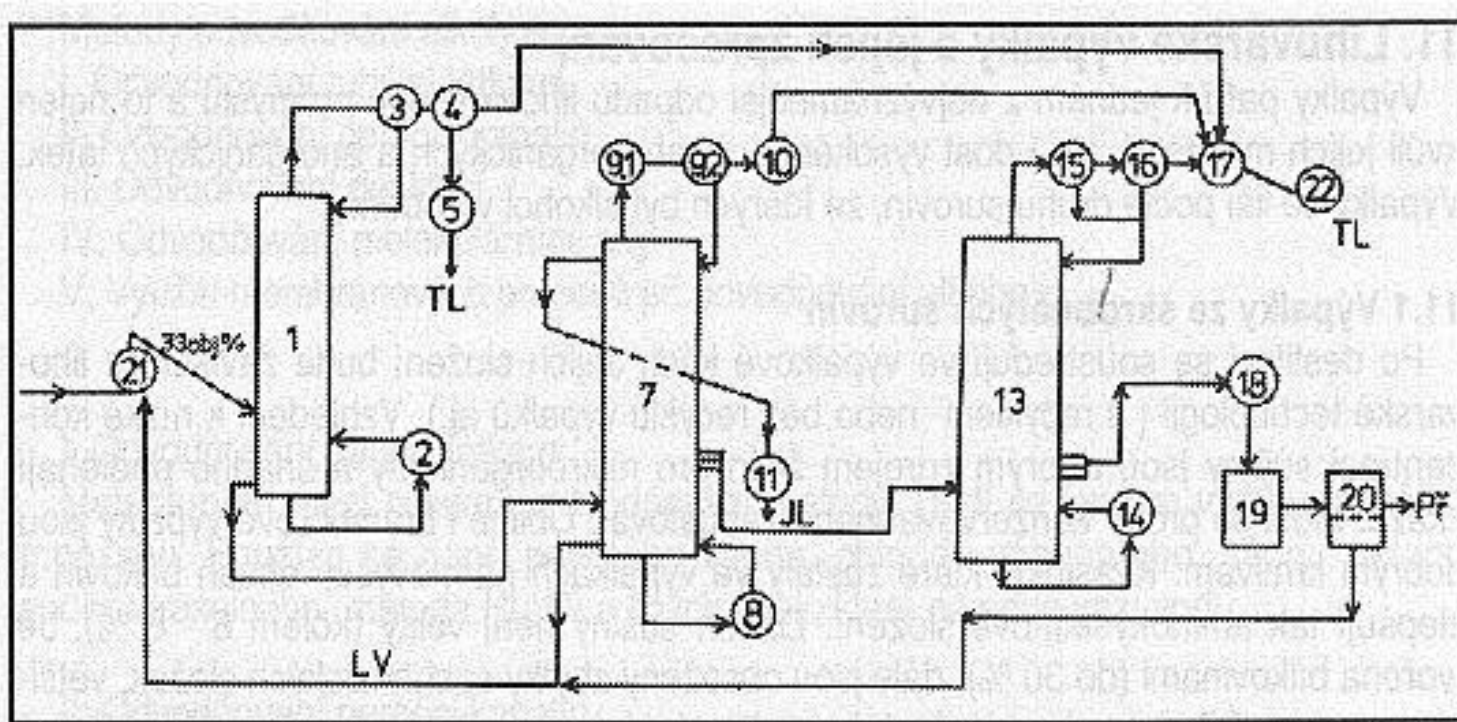


## Two columns mash distillation





## Barbet refining device



1- dropping column, 2,8,4 - cooker, 3, 9,1; 9.2; 15 and 16 - deflector; 4, 10, 17 - condensor;

5, 11, 18, 22 - cooler; 7 - a refining column with a luter column; 13 – bottom boil column;

19 – fusel washing machine; 20 - a decanter to separate the brim;

21 - mixing of raw alcohol with luter water (LV); JL - refined alcohol;

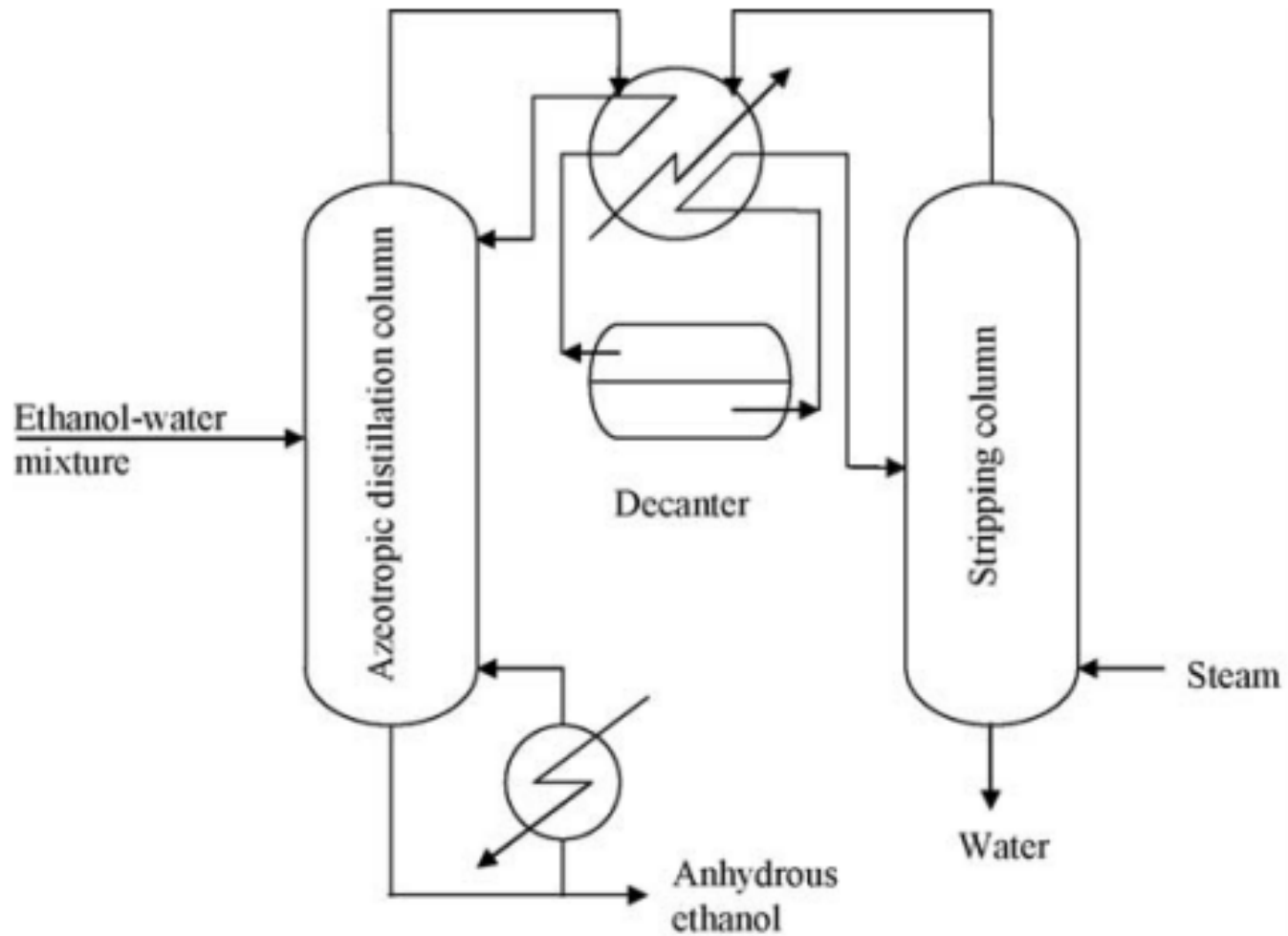
TL - technical alcohol; Př- fusel; Do -fusel

# Anhydrous ethanol

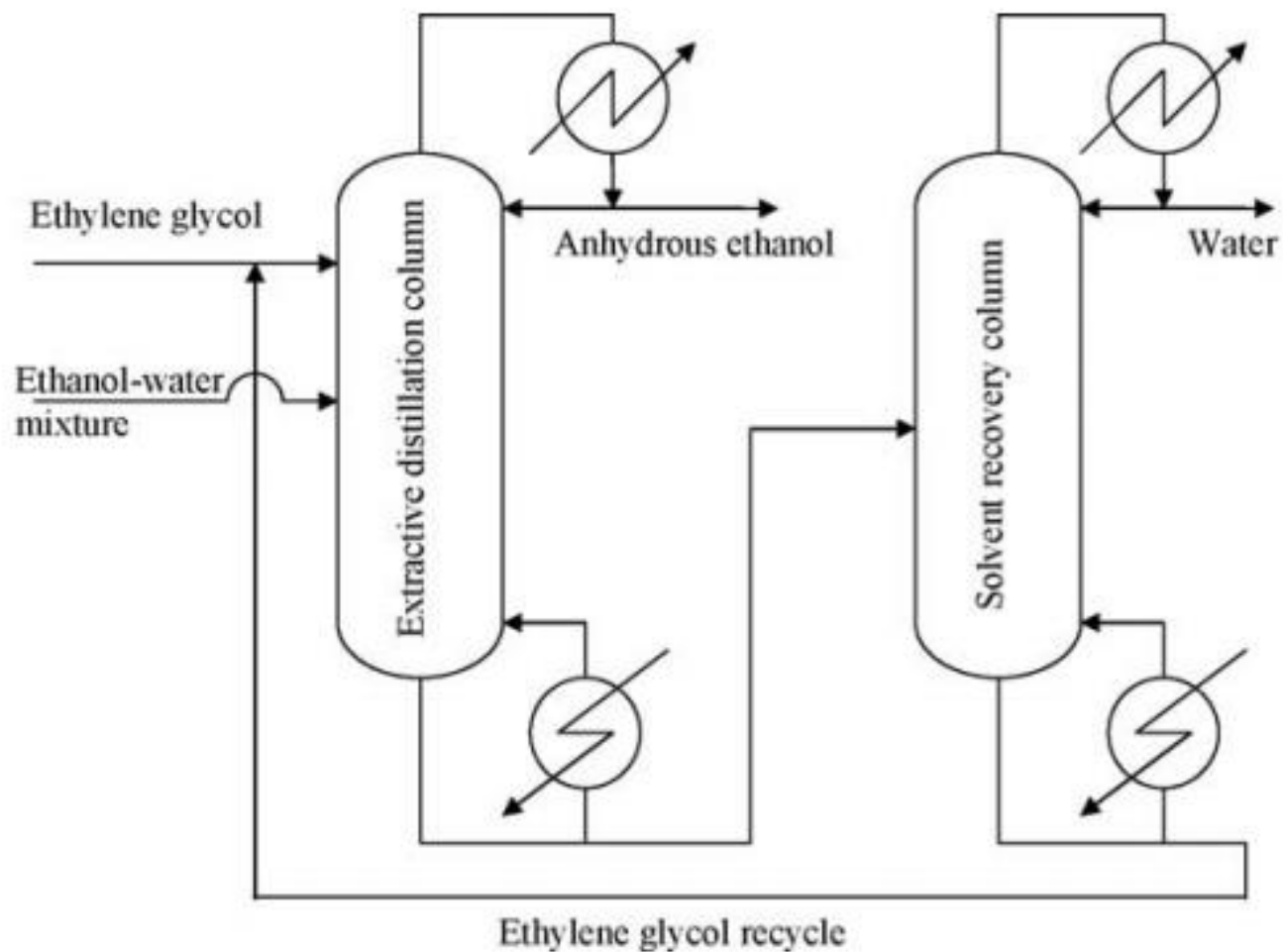
1. **Molecular sieves (zeolites)** in column arrangement – separation of ethanol/water based on size of molecules
2. Azeotropic distillation – increase of partial pressure of water by addition of benzen
3. Extractive distillation – addition of hygroscopic liquid (glycerol)
4. Distillation under decreased pressure



Zeolites structures



**Fig. 2.** Heterogeneous azeotropic distillation of ethanol–water mixtures.



**Fig. 3.** Extractive distillation of ethanol-water mixture with ethylene glycol.

# Production of alcohol

Duties of the distillery:

Alcohol production on the device guarantees reliable detection of the amount of alcohol produced

Measuring the amount of alcohol produced by approved meters

Customs supervision (excise duty)

# Storage of alcohol

## Working with Class I flammables

Room ventilation - max. 1 g / m<sup>3</sup>

Flash point 12°C for 100% alcohol

Explosion limits:

2.8% to 13.7% vapor in air

50 to 260 g / m<sup>3</sup>

## Prohibition of open flame handling



# Denaturation of ethanol

Alcohol for burning, heating, technical purposes

Denaturant - a mixture of 3-4 substances

Unpleasant taste and smell

It can not be easily removed from alcohol

1-2%

Denaturation for fuel purposes - mixture of naphtha,  
kerosene and rock-oil

# By-products of ethanol fermentation

1. Biomass
2. Stillage, distillation slops—feed, fertilizer, biogas production
3. CO<sub>2</sub>
4. Fusel

# Use of anhydrous ethanol - mixing with petrol

EU - 5.75% mandatory ethanol content in petrol fuels

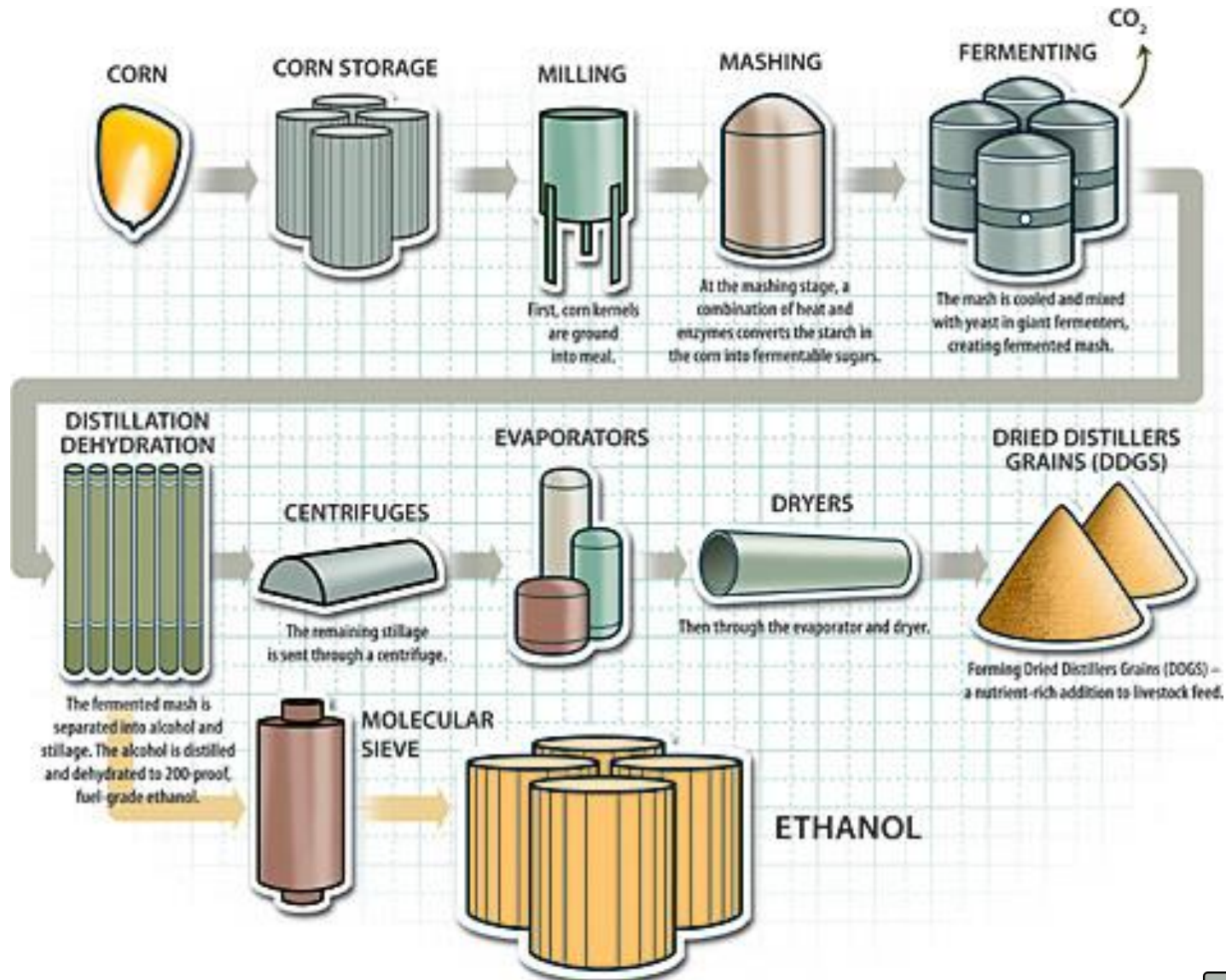
RED - renewable energy directive - 2020 targets

Other blends - E10, E85, E75 .... (FFV -flexi fuel vehicles- engine modification)

USA - RFS (renewable Fuel standard)  
Mixing up to 10%



# Fuel ethanol from corn (maize)





EUROPEAN UNION  
European Structural and Investing Funds  
Operational Programme Research,  
Development and Education



**UNIVERSITY OF  
CHEMISTRY AND TECHNOLOGY  
PRAGUE**

# Biotechnology in Food Industry

Lecture VI

Petra Patáková

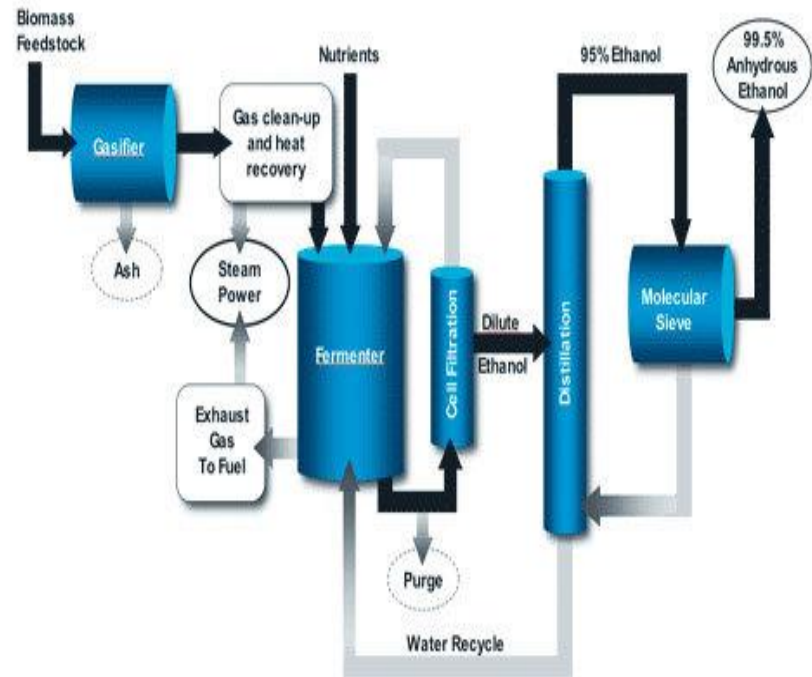
[petra.patakova@vscht.cz](mailto:petra.patakova@vscht.cz)

The published materials are intended for students of the University of Chemistry and Technology, Prague as a study material. Some text and image data contained therein are taken from public sources. In the case of insufficient quotations, the author's intention was not to intentionally infringe the possible author(s) rights to the original work. If you have any reservations, please contact the author(s) of the specific teaching material in order to remedy the situation.



# Gas fermentation to ethanol

## BRI Process Schematic



1. Biomass gassification
2. Wood-Ljungdahl metabolic pathway, acetogens
1. Bioreactor design, medium composition
2. Process parameters
3. Cell separation, product recovery
4. Commercialization
5. Conclusion

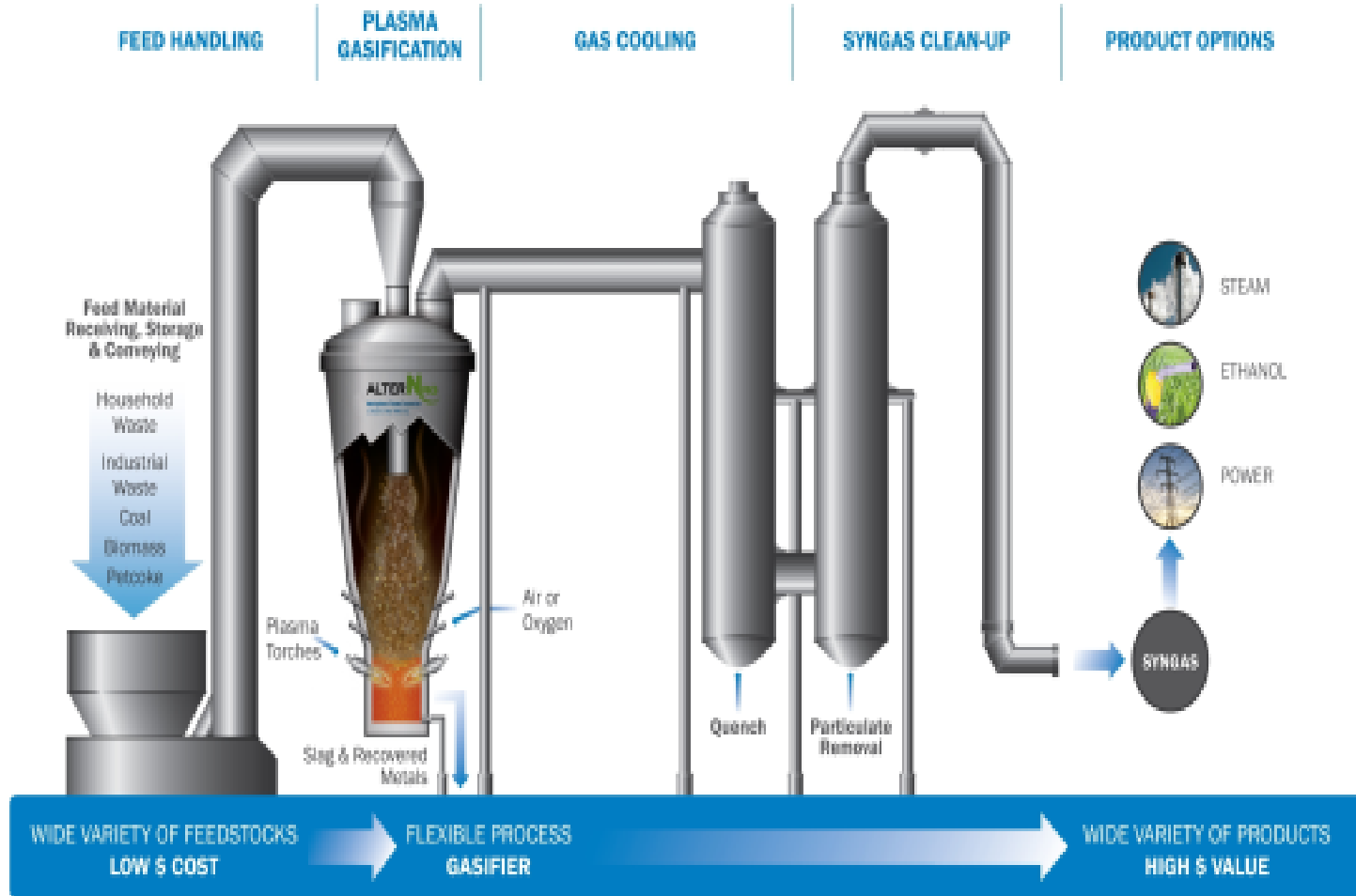
[http://thefraserdomain.typepad.com/energy/2005/12/synthesis\\_gas\\_f.html](http://thefraserdomain.typepad.com/energy/2005/12/synthesis_gas_f.html)

# Gas fermentation to ethanol

- Combination of thermochemical and biochemical processing of biomass
- Gassification using high temperature (up to 1500°C) and oxidizing agent (air, oxygen)-drying, pyrolysis, oxidation, reduction
- Processing mixture of gases – CO, CO<sub>2</sub>, H<sub>2</sub>, N<sub>2</sub>, CH<sub>4</sub>....
- Acetogenic bacteria, anaerobic, mesophilic or thermophilic
- Reductive acetyl-CoA pathway, Wood-Ljungdahl pathway
- Main products – acetate, ethanol, butyrate, lactate, butanol...



# Biomass gasification

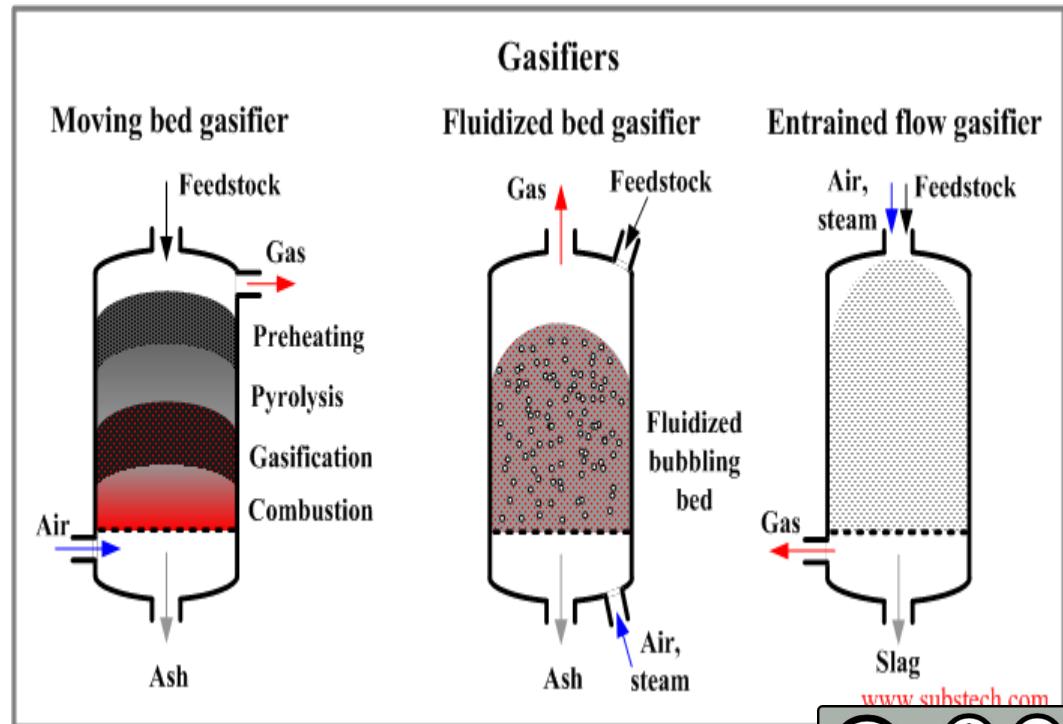
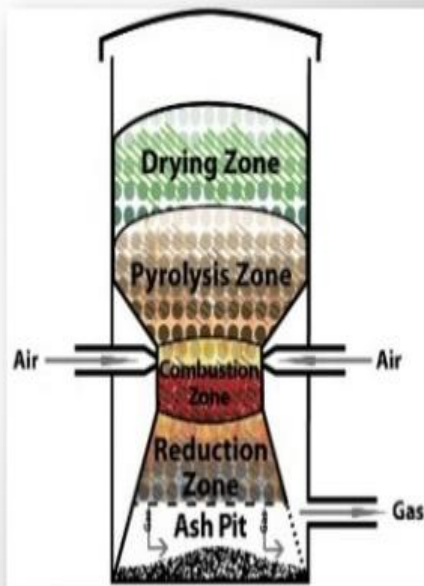


<http://wppenergy.com/biomass-gasification.html>

# Biomass gassification

- **Upstream operations** – drying, size reduction, pyrolysis, fractionation, leaching
- Gassification temperature 600-1000°C
- Oxidizing agent – air, steam, oxygen

Fixed bed gassifier



# Syngas composition and requirements for microbial utilization

- Biomass derived syngas composition:

**CO** (8-15 %vol.), **CO<sub>2</sub>** (15-17 % vol.), **H<sub>2</sub>** (3-18 % vol.), **N<sub>2</sub>** (56-65 % vol.), **CH<sub>4</sub>** (0,4-6 % vol.), **other** (0,4-3 % vol.)

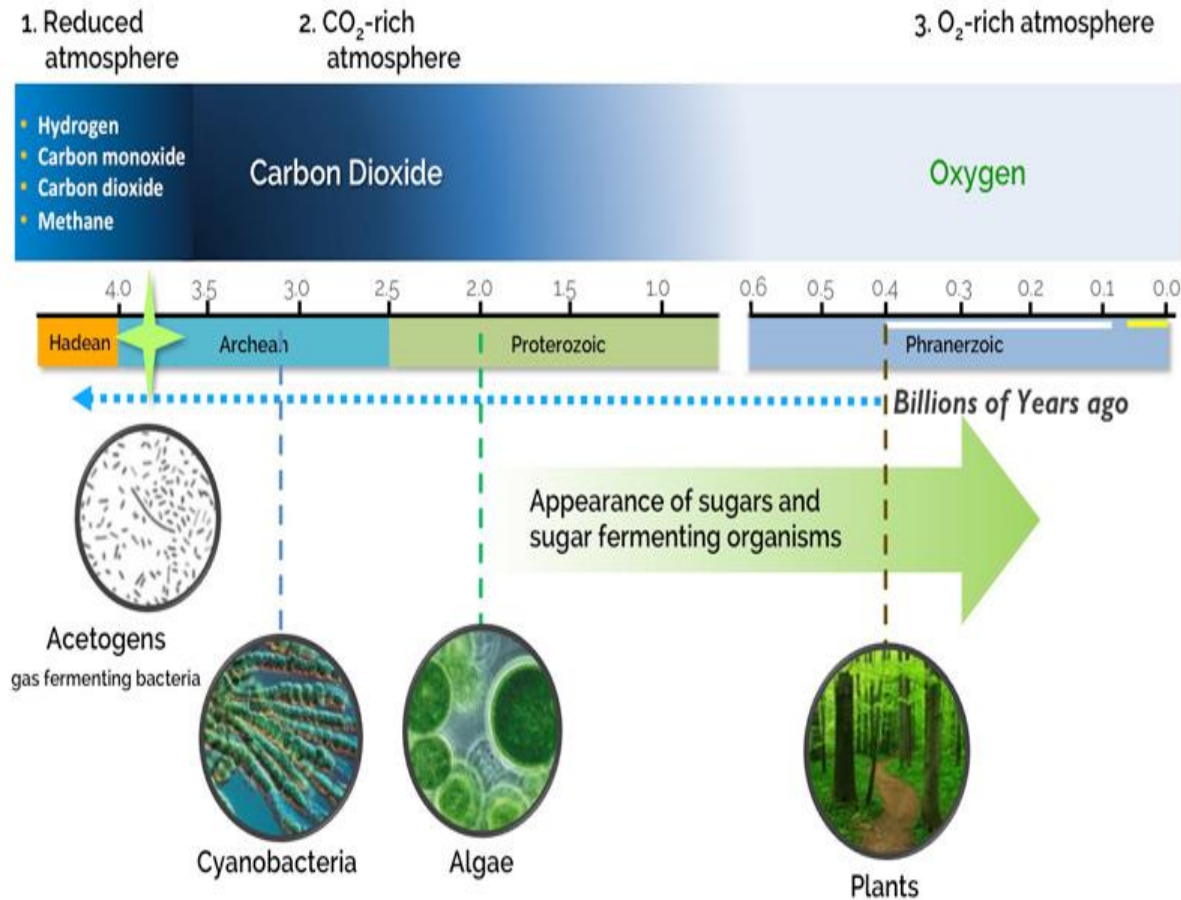
Increase of syngas partial pressure can increase cell density!

Common impurities:

Tar, ash, char, ethan, ethylene, acetylene, H<sub>2</sub>S, NH<sub>3</sub>, NO, CN<sup>-</sup>

**The impurities may cause inhibition/stimulation of syngas utilization in dependance on the particular microorganism.**

# Our Rock Star: *Clostridium autoethanogenum*



*Gases were the only carbon and energy source used by the first life forms.*

Hydrothermal  
Vents



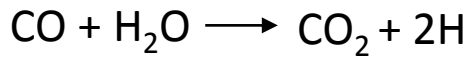
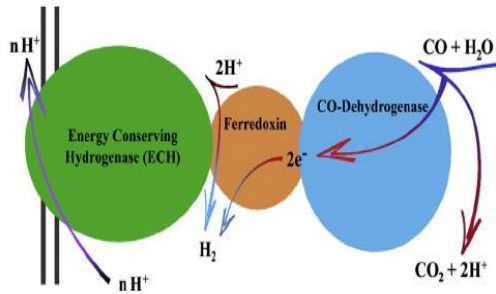
Steel  
Manufacturing



=

# Wood-Ljungdahl metabolic pathway

## water-gas shift reaction



## Acetogens

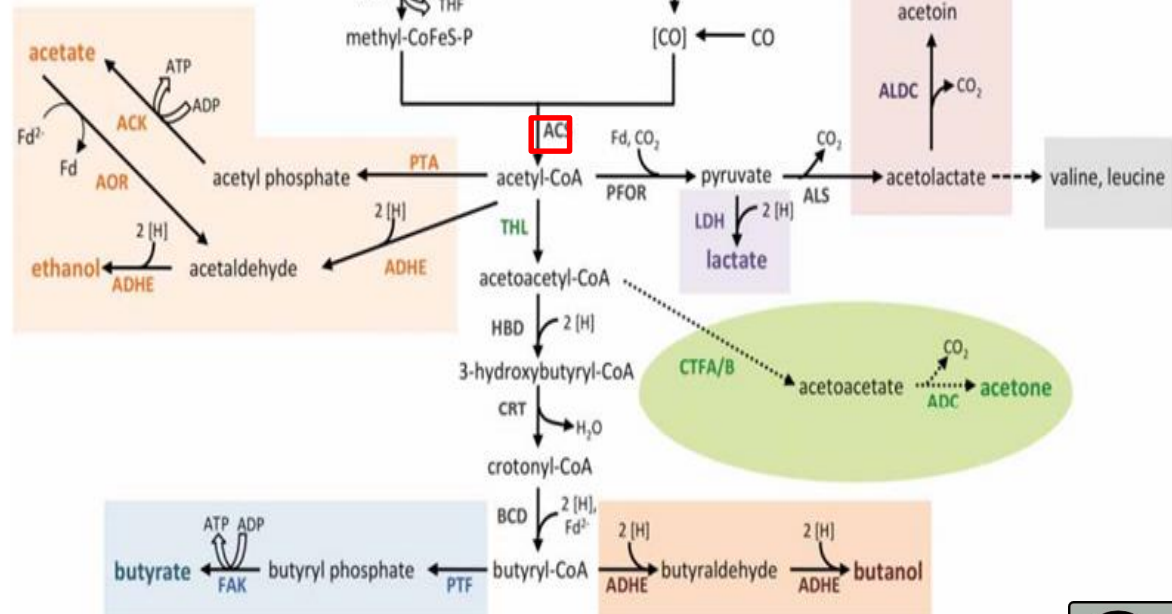
Anaerobic, autotrophic  
bacteria

Different species –  
different  
products

sole end product –  
acetate

Acetate + ethanol –  
*Clostridium ljungdahlii*

Hosseini et al.  
2015



**CODH/ACS** –carbon  
monooxide  
dehydrogenase/acetyl CoA  
synthase

Bengelsd

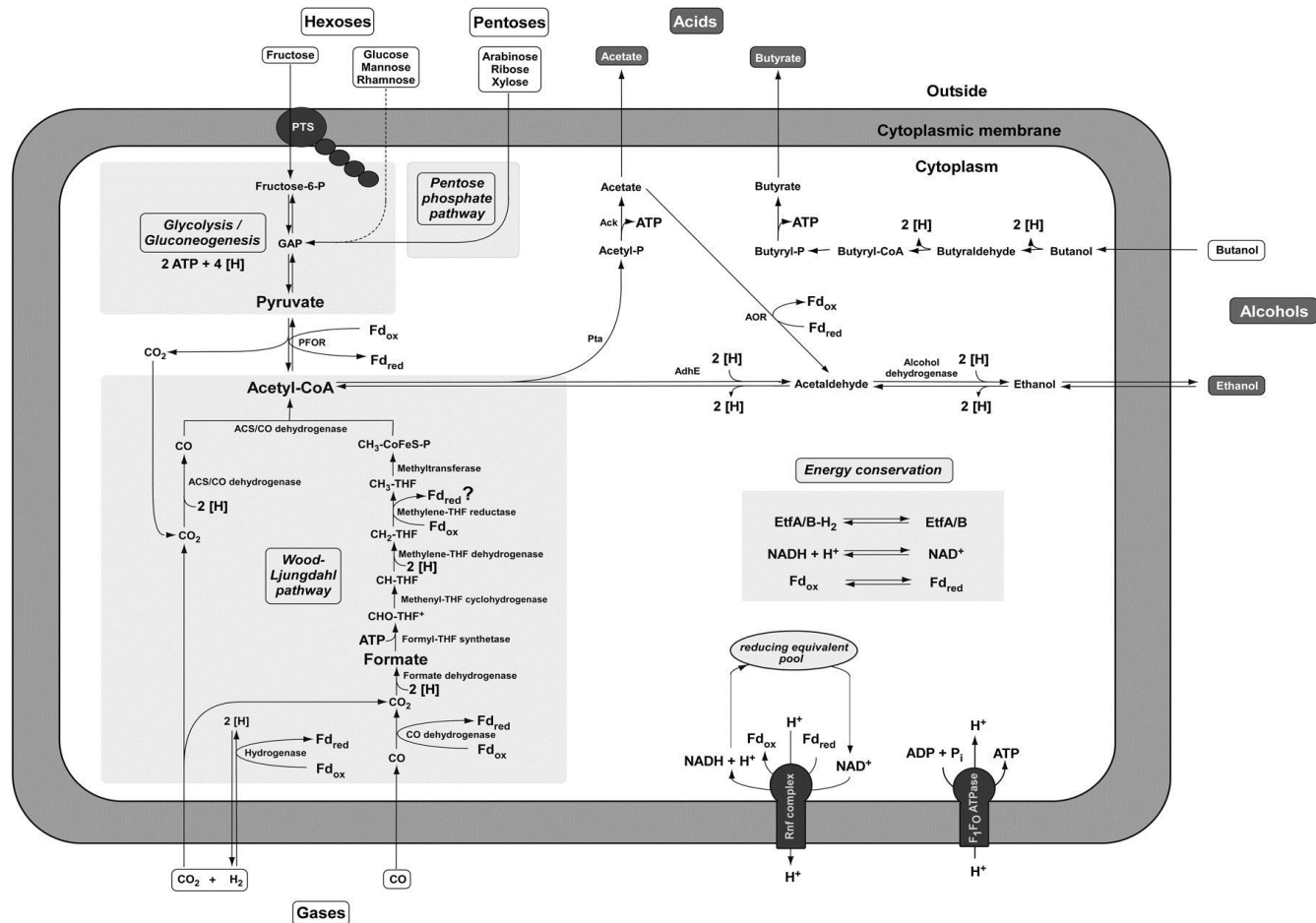


## Example of mesophilic acetogens

| Species                                    | Substrate                            | Product(s)                                   | T <sub>opt</sub><br>(°C) | pH <sub>opt</sub> | Genome<br>Status   |
|--------------------------------------------|--------------------------------------|----------------------------------------------|--------------------------|-------------------|--------------------|
| Mesophilic Microorganisms                  |                                      |                                              |                          |                   |                    |
| <i>Acetobacterium woodii</i>               | H <sub>2</sub> /CO <sub>2</sub> , CO | Acetate                                      | 30                       | 6.8               | Available          |
| <i>Acetoneema longum</i>                   | H <sub>2</sub> /CO <sub>2</sub>      | Acetate, butyrate                            | 30-33                    | 7.8               |                    |
| <i>Alkalibaculum bacchi</i>                | H <sub>2</sub> /CO <sub>2</sub> , CO | Acetate, ethanol                             | 37                       | 8.0-8.5           |                    |
| <i>Blautia producta</i>                    | H <sub>2</sub> /CO <sub>2</sub> , CO | Acetate                                      | 37                       | 7                 |                    |
| <i>Butyribacterium methylotrophicum</i>    | H <sub>2</sub> /CO <sub>2</sub> , CO | Acetate, ethanol, butyrate, butanol          | 37                       | 6                 |                    |
| <i>Clostridium aceticum</i>                | H <sub>2</sub> /CO <sub>2</sub> , CO | Acetate                                      | 30                       | 8.3               | Under construction |
| <i>Clostridium autoethanogenum</i>         | H <sub>2</sub> /CO <sub>2</sub> , CO | Acetate, ethanol, 2,3-butanediol, lactate    | 37                       | 5.8-6.0           |                    |
| <i>Clostridium carboxidivorans</i> or "P7" | H <sub>2</sub> /CO <sub>2</sub> , CO | Acetate, ethanol, butyrate, butanol, lactate | 38                       | 6.2               | Draft              |
| <i>Clostridium drakei</i>                  | H <sub>2</sub> /CO <sub>2</sub> , CO | Acetate, ethanol, butyrate                   | 25-30                    | 5.8- 6.9          |                    |
| <i>Clostridium formicoaceticum</i>         | CO                                   | Acetate, formate                             | 37                       | NR                |                    |
| <i>Clostridium glycolicum</i>              | H <sub>2</sub> /CO <sub>2</sub>      | Acetate                                      | 37-40                    | 7.0-7.5           |                    |
| <i>Clostridium ljungdahlii</i>             | H <sub>2</sub> /CO <sub>2</sub> , CO | Acetate, ethanol, 2,3-butanediol, lactate    | 37                       | 6                 | Available          |
| <i>Clostridium magnum</i>                  | H <sub>2</sub> /CO <sub>2</sub>      | Acetate                                      | 30-32                    | 7.0               |                    |



**Scheme of basic metabolic pathways and energy conservation in *C. ljungdahlii* when growing heterotrophically on sugars (hexoses or pentoses) or autotrophically on gases (CO or CO<sub>2</sub> + H<sub>2</sub>).**



Michael Köpke et al. PNAS 2010;107:13087-13092



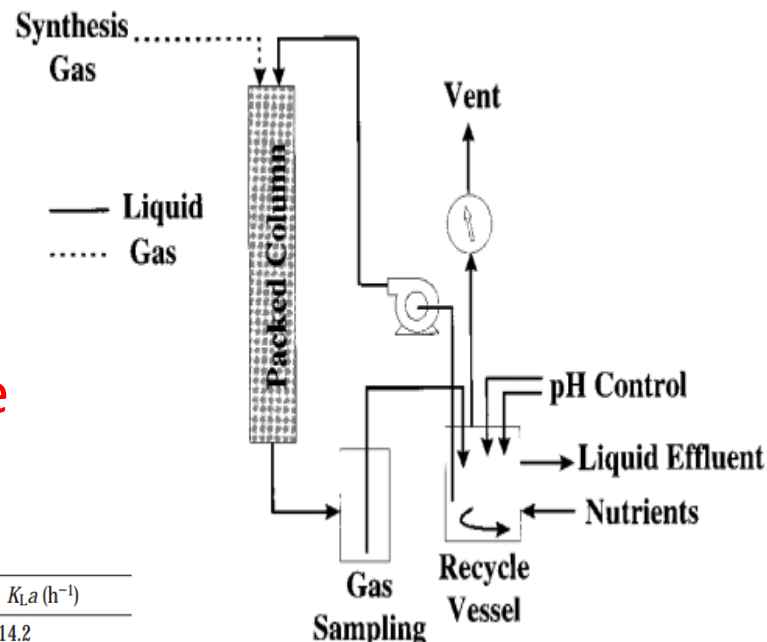
# Bioreactor design

- Continuous stirred tank reactor (CSTR)
- Bubble column reactor
- Immobilized cell column reactor
- Trickle bed reactor

Gas to liquid/solid mass transfer is rate limiting step

Table 2. Mass-Transfer Coefficients from Synthesis-Gas Fermentations

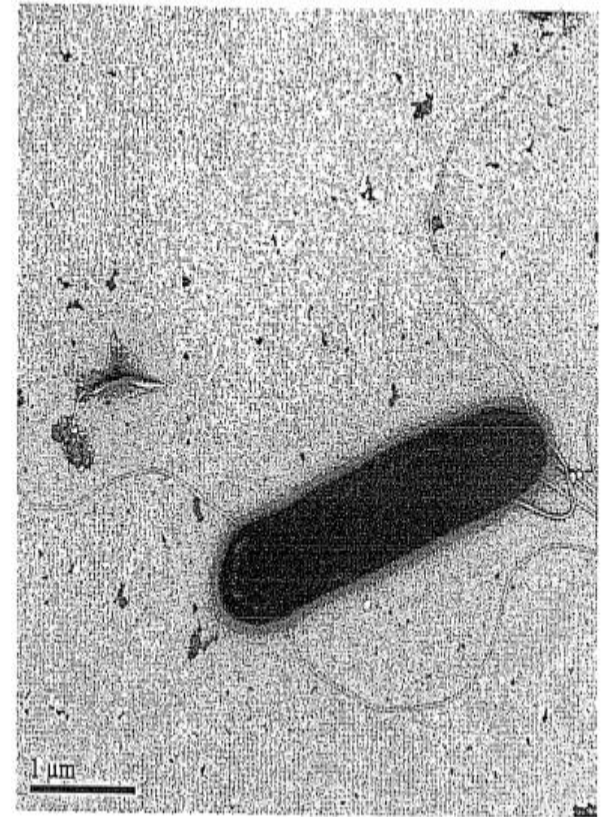
| reactor type           | biological system                                         | feedstock         | $K_{La}$ ( $\text{h}^{-1}$ )       |
|------------------------|-----------------------------------------------------------|-------------------|------------------------------------|
| stirred tank - 200 rpm | <i>B. methylotrophicum</i>                                | CO                | 14.2                               |
| stirred tank - 300 rpm | SRB mixed culture                                         | synthesis-gas mix | 31 for CO<br>75 for $\text{H}_2$   |
| stirred tank - 300 rpm | <i>C. ljungdahliae</i>                                    | synthesis-gas mix | 35 for CO                          |
| stirred tank - 300 rpm | <i>R. rubrum</i> , <i>M. formicum</i> , <i>M. barkeri</i> | synthesis-gas mix | 28.1 for CO                        |
| stirred tank - 450 rpm | <i>R. rubrum</i> , <i>M. formicum</i> , <i>M. barkeri</i> | synthesis-gas mix | 101 for CO                         |
| stirred tank - 200 rpm | <i>B. methylotrophicum</i>                                | CO                | 90.6 for CO                        |
| microbubble sparging   |                                                           |                   |                                    |
| stirred tank - 300 rpm | SRB mixed culture                                         | synthesis-gas mix | 104 for CO<br>190 for $\text{H}_2$ |
| microbubble sparging   |                                                           |                   |                                    |
| packed-bubble column   | <i>R. rubrum</i> , <i>M. formicum</i> , <i>M. barkeri</i> | synthesis-gas mix | 2.1                                |
| trickle bed            | <i>R. rubrum</i> , <i>M. formicum</i> , <i>M. barkeri</i> | synthesis-gas mix | 55.5                               |
| trickle bed            | SRB mixed culture                                         | synthesis-gas mix | 121 for CO<br>335 for $\text{H}_2$ |
| trickle bed            | <i>C. ljungdahliae</i>                                    | synthesis-gas mix | 137 for CO                         |



Trickle bed reactor

# Medium formulation

- Syngas quality and partial pressure
- Addition of other medium components – vitamins, trace metals, nitrogen source...- balanced – good for bacterial growth X limitation for solventogenesis
- Reducing agents – **low redox potential** necessary for strict anaerobes – sodium thioglycolate, ascorbic acid, methyl viologen...
- pH 5-6
- Temperature – 30-40°C for mesophilic, 55-60°C thermophilic
- **Growth can be bi-phasic**
- **Can form spores**
- Many acetogens can grow heterotrophically (fructose, glycerol..)
- Can be adapted for biofilm formation (NaCl stress)



*Clostridium coskatii*

## Yield, concentration, productivity

| Biocatalysts                                                         | Reactor/gas composition <sup>a</sup>                                                                 | Products (g/L)                                                | Yield from CO <sup>b</sup> (%) | Productivity <sup>c</sup> (mg/L·h) |
|----------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|--------------------------------|------------------------------------|
| <i>Clostridium ljungdahlii</i>                                       | CSTR with cell recycle (55% CO, 20% H <sub>2</sub> , 10% CO <sub>2</sub> and 15% Ar)                 | Ethanol: 48<br>Acetate: 3.0                                   | 70.2                           | 168.0                              |
|                                                                      | CSTR without cell recycle (55% CO, 20% H <sub>2</sub> , 10% CO <sub>2</sub> and 15% Ar)              | Ethanol: 6.50<br>Acetate: 5.43                                | 38.9                           | 48.8                               |
|                                                                      | Two stage CSTR & bubble column with cell recycle (60%CO, 35% H <sub>2</sub> and 5% CO <sub>2</sub> ) | Ethanol: 19.7<br>Acetate: 8.6                                 | 100                            | 306.4                              |
| <i>Clostridium carboxidivorans</i>                                   | Bubble column reactor without cell recycle (25% CO, 15% CO <sub>2</sub> , 60% N <sub>2</sub> )       | Ethanol: 1.6<br>Acetate: 0.4<br>Butanol: 0.6                  | 39.6                           | 42.7                               |
|                                                                      | HFR (20% CO, 15% CO <sub>2</sub> , 5% H <sub>2</sub> , 60% N <sub>2</sub> )                          | Ethanol: 24.0<br>Acetate: 5.0                                 | 72.0                           | 112.5                              |
|                                                                      | Bubble column reactor (20% CO, 15% CO <sub>2</sub> , 5% H <sub>2</sub> 60% N <sub>2</sub> )          | Ethanol: 3.2<br>Acetate: 2.35                                 | 51.0                           | 64.1                               |
|                                                                      | MBR (20% CO, 15% CO <sub>2</sub> , 5% H <sub>2</sub> , 60% N <sub>2</sub> )                          | Ethanol: 4.9<br>Acetate: 3.1                                  | 51.0                           | 97.9                               |
|                                                                      | Serum bottles ( 70% CO, 20% H <sub>2</sub> , 10% CO <sub>2</sub> )                                   | Ethanol: 3.0<br>Acetate: 0.5<br>Butanol: 1.0<br>Hexanol: 0.9  | ND <sup>d</sup>                | 21.4                               |
|                                                                      |                                                                                                      |                                                               |                                |                                    |
| <i>Clostridium ragsdalei</i>                                         | CSTR (20% CO, 15% CO <sub>2</sub> , 5% H <sub>2</sub> , 60% N <sub>2</sub> )                         | Ethanol: 9.6<br>Acetate: 3.4                                  | 60.0                           | 26.7                               |
| Mixed culture of <i>Alkalibaculum bacchi</i> & <i>C. propionicum</i> | CSTR without cell recycle (28% CO, 60% H <sub>2</sub> , 12% N <sub>2</sub> )                         | Ethanol: 8.0<br>Acetate: 1.1<br>Propanol: 6.0<br>Butanol: 1.1 | 30.6                           | 40.0                               |
| <i>Clostridium autoethanogenum</i>                                   | CSTR without cell recycle (100% CO)                                                                  | Ethanol: 0.9<br>Acetate: 0.9                                  | ND                             | 4.5                                |

<sup>a</sup> CSTR: continuous stirred tank reactor; HFR: hollow fiber membrane reactor; MBR: monolithic biofilm reactor

<sup>b</sup> Ethanol yield = (mol EtOH consumed/mol CO consumed)\*100%/(1 mol EtOH/6 mol CO)

<sup>c</sup> Ethanol productivity

<sup>d</sup> ND: not determined

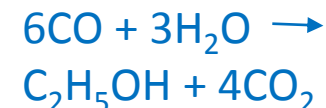


Misleading information

<http://www.ethanolproducer.com/articles/10343/a-waste-filled-proposition>

Yield calculation:

Acetogens



*S.cerevisiae*



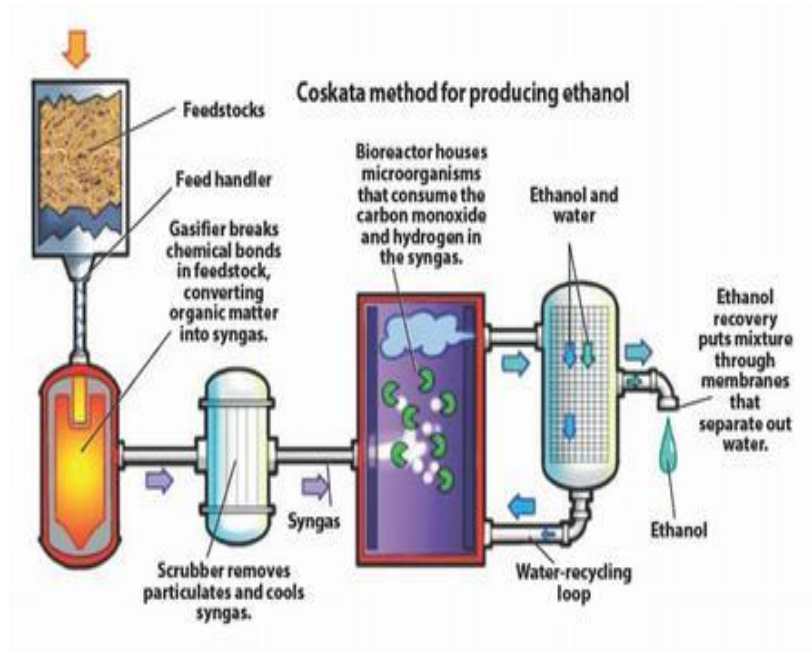
***S.cerevisiae*    corn, sugar beet    ethanol 120    95-98    2800-4000**



# Cell separation and product recovery

**Cell separation** – ultra filtration units, spiral wound filtration system, hollow fibres, cell recycling membranes, centrifugation

**Product recovery** – low product concentration, inhibition of producing strain  
Before/ in addition to distillation – liquid-liquid extraction, perstraction, pervaporation, gas stripping...



<http://machinedesign.com/news/scanning-ideas-better-way-make-ethanol>



## Commercialization – Lanza Tech



Pilot plant in China



Mobile pilot in USA

## Conclusion for syngas to ethanol process

- from microbiological point of view **extremely interesting**
  - from bioengineering point of view **demanding**
  - from biotechnological point of view **challenging**
  - from industrial point of view **underdeveloped**
- Overall evaluation - promising**

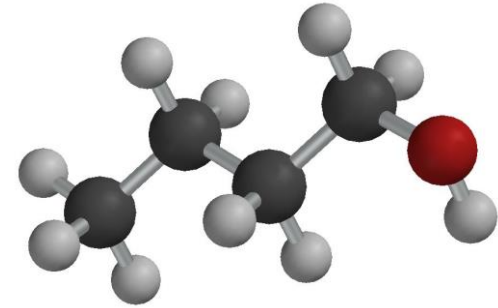


# Biobutanol production - Why biobutanol?

## As Fuel extender

In comparison with ethanol:

- Increased engine power
- Lower corrosivity
- Hydrofobicity
- Lower volatility
- Up to 30% v/v in gasoline no need of engine modification – **butanol /gasoline blend demonstrated by BP in BMW cars on London Olympic Games**
- Possible to use same transportation system as in case of gasoline

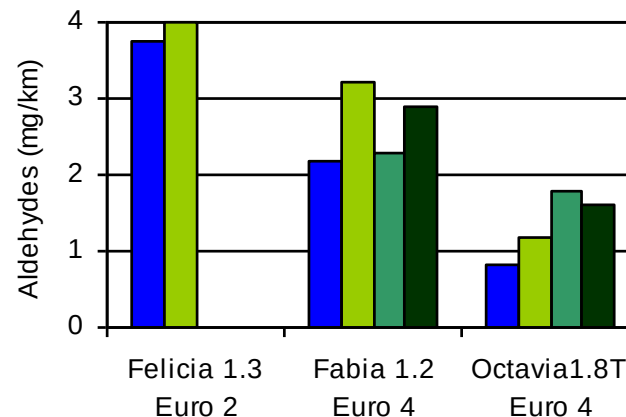
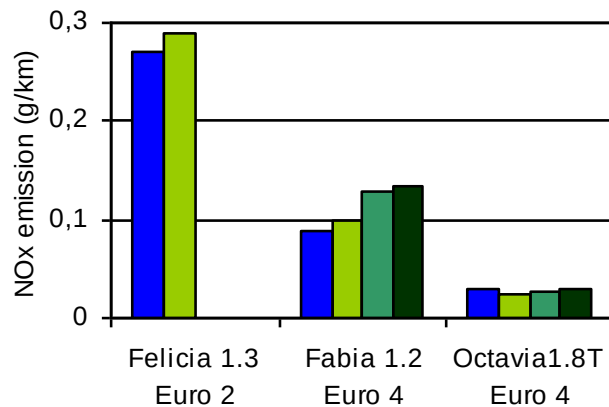
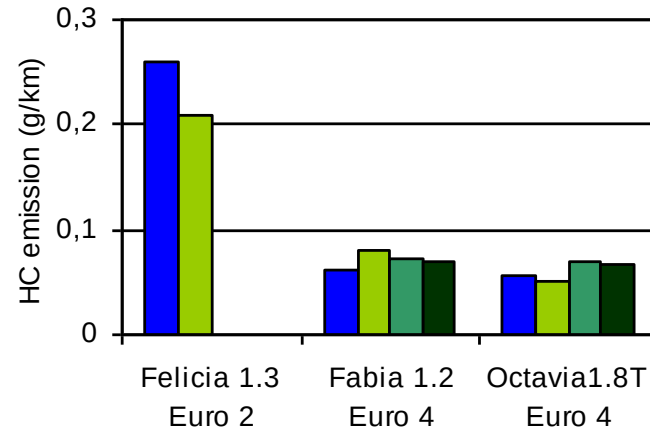
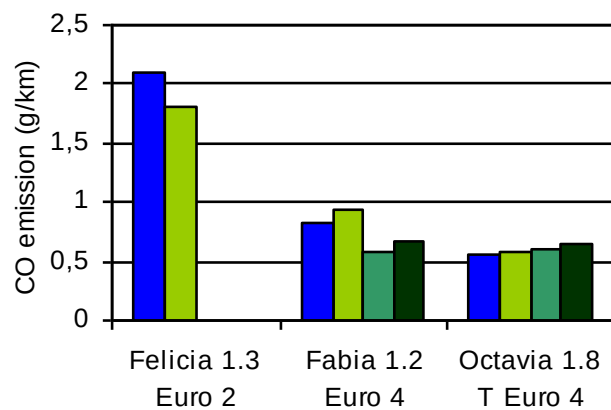


1-butanol

*Clostridium* bacteria – ability to ferment glucose, saccharose, starch, lactose, inulin **and pentoses present in lignocellulosic hydrolysates**



# Effect of butanol in gasoline fuel on emissions of regulated pollutants (CO, HC, NO<sub>x</sub>) and aldehydes in ECE 83.03 emission test



■ Reference ■ 10 % Biobutanol ■ 20 % Biobutanol ■ 30 % Biobutanol

# History of industrial acetone-butanol-ethanol (ABE) fermentation

## World

- Ch. Weizmann – strains isolation, technology development
- WWI – first industrial ABE fermentation in England, then in Canada
- Terra Haute plant in USA
- After 1936 – plant all over the world
- WWII – Bromborough semi-cont. fermentation
- In South Africa – till 1983
- China, the Soviet Union – till 1980s

## Czechoslovakia

- isolation of wild strains from soil – before WWII
- research of ABE fermentation – Prof. J. Dyr (ICT Prague) – 1950s
- 1952-1965 butanol plant in Czechoslovakia (feedstock – potatoes, rye, molasses)

# Present situation regarding industrial ABE fermentation

about 100 000 t of acetone and butanol per year produced by fermentation in China ???, small plant (8000 t per year) in Brazil - 2010

In the rest of the world – race for 1-butanol production plant in Europe, U.S.A., Asian countries...

Butamax, Green Biologics, Cobalt Technologies, Butalco ...

shift of interest from biofuel to pure chemical

# Ethanol X Butanol

---

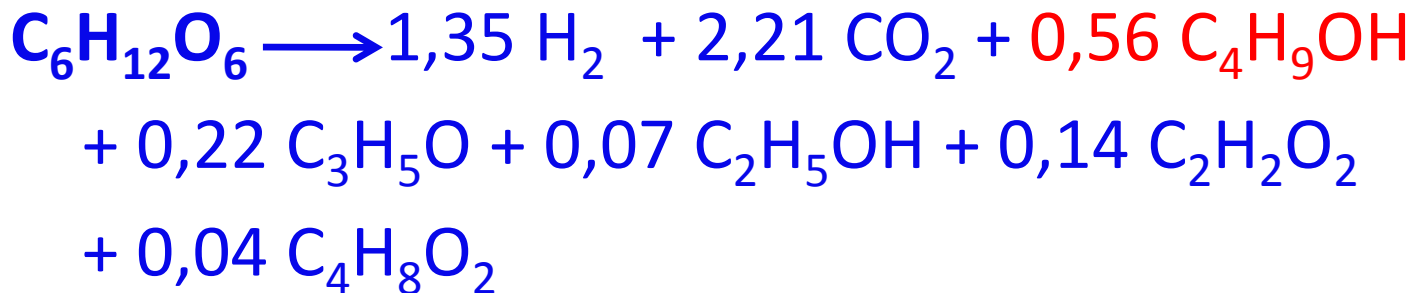
- ✓ Single microorganism - yeast *S.cerevisiae*
- ✓ ethanol -primary metabolite, its formation associated with growth
- ✓ Relatively low demands on sterilization
- ✓ *S.cerevisiae*-facultatively anaerobic
- ✓ Different species of *Clostridium*
- ✓ Butanol - stationary phase metabolite; probably associated with sporulation onset
- ✓ Sterilization necessary - high risk of contamination or bacteriophage attack
- ✓ Clostridia - strictly anaerobic

# Fermentation schemes

## Ethanol



## Butanol



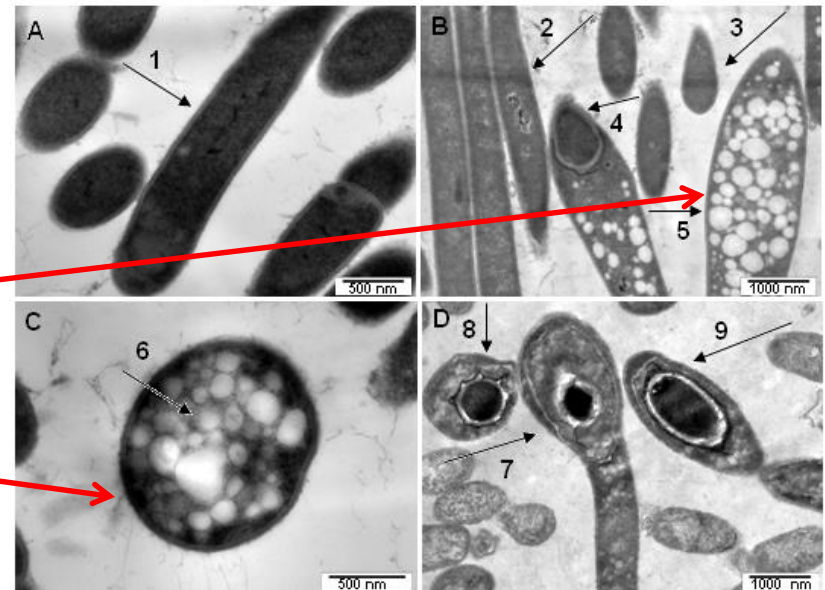
(Jones and Woods, 1986)

butanol yield – max. about 30-35%, solvent (sum ABE)  
yield – max. 40-45%

# Production microorganisms

*Clostridium* bacteria: *C.acetobutylicum*, *C.beijerinckii*,  
*C.saccharoperbutylacetonicum*...

- G<sup>+</sup> type of cell wall
- anaerobic
- Sporulating (accumulation of stock polysaccharide granulose prior sporulation)
- heterofermentative



*C.pasteurianum*

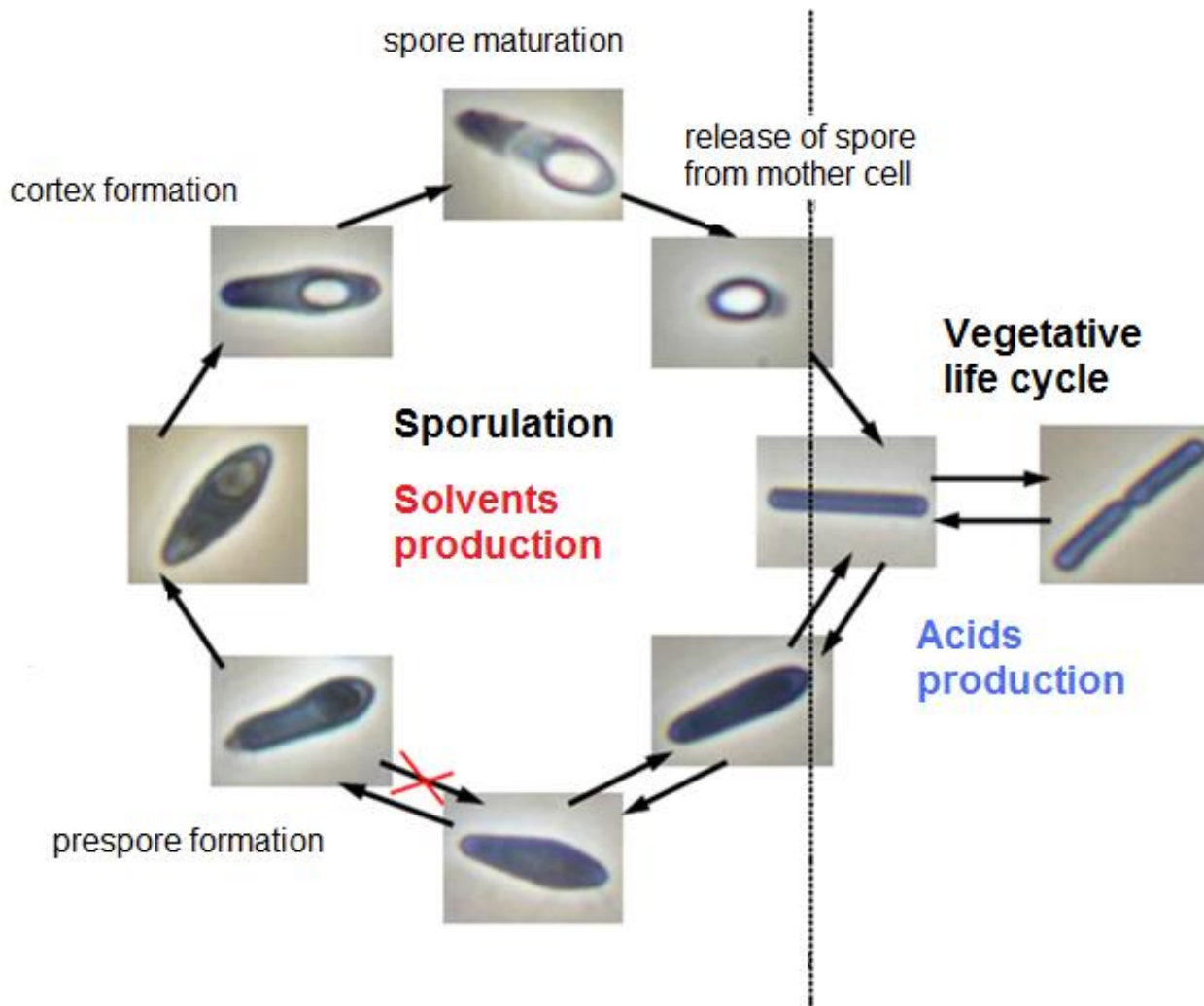
1-3 vegetative cells, 4-7 sporulating cells, 8,9 mature spores

+ GM non clostridial microorganisms - efficient 1-butanol  
production not demonstrated

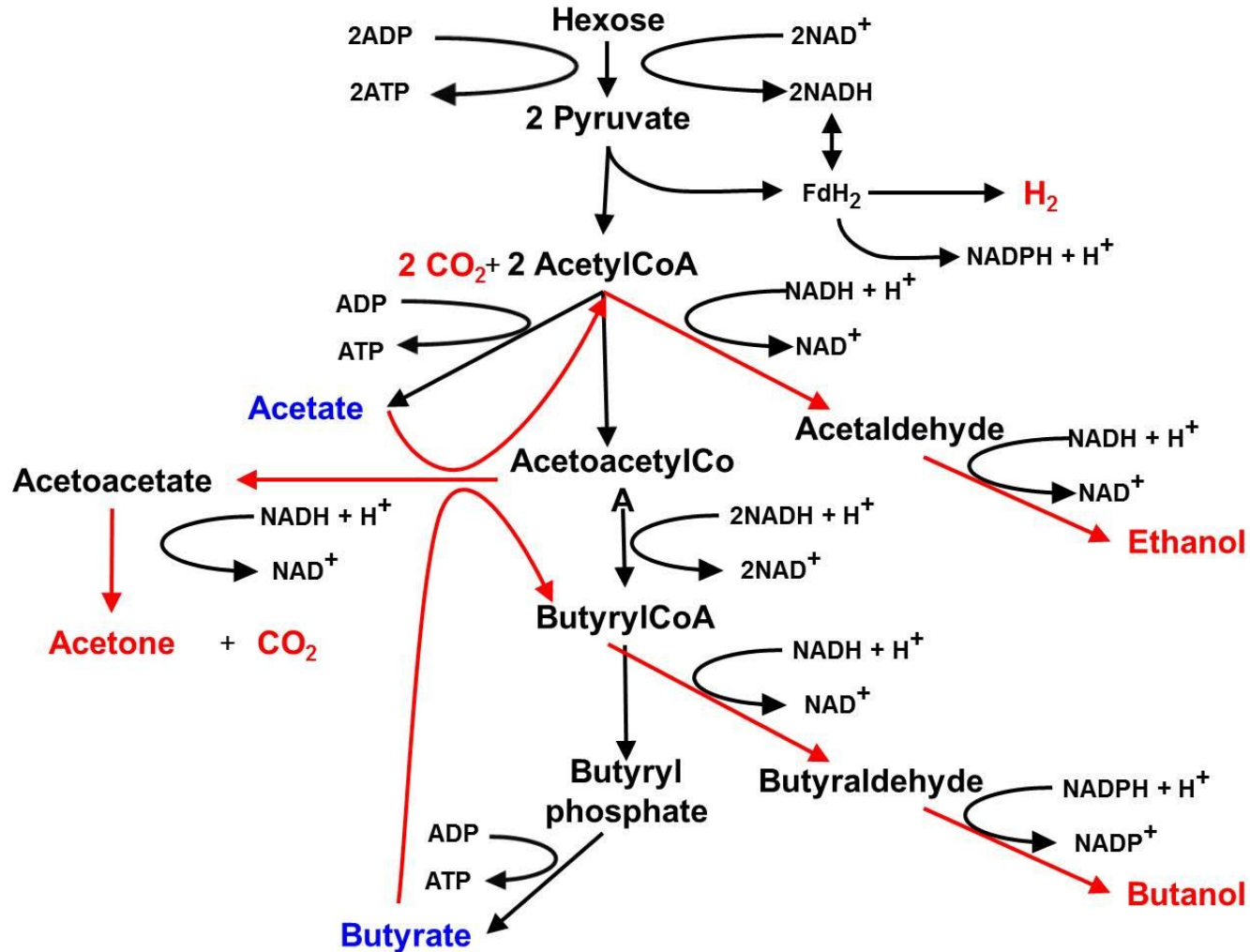
(for survey see Kharkwal et. al. (2009) Rec.Pat. Biotechnol. 3, 202)



# Clostridial life cycle versus metabolites formation



# Simplified metabolic scheme of ABE fermentation

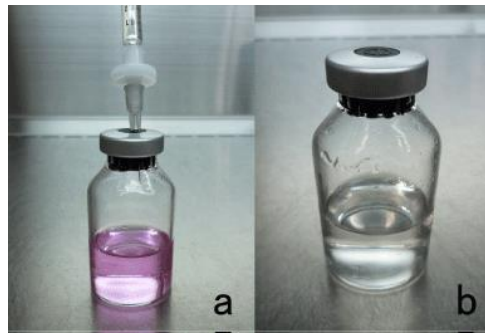


# Handling anaerobic bacteria

- Storage – freezing, **spore suspensions**, lyophilization
- Cultivation medium  
– removal of oxygen, addition of reducing agents to the medium (**sodium thioglycolate, cysteine.HCl...**)

Small scale cultivation:

- Hungate technique tubes
- Anaerostats
- Anaerobic chamber (glove box)



# Anaerostat, anaerobic chamber



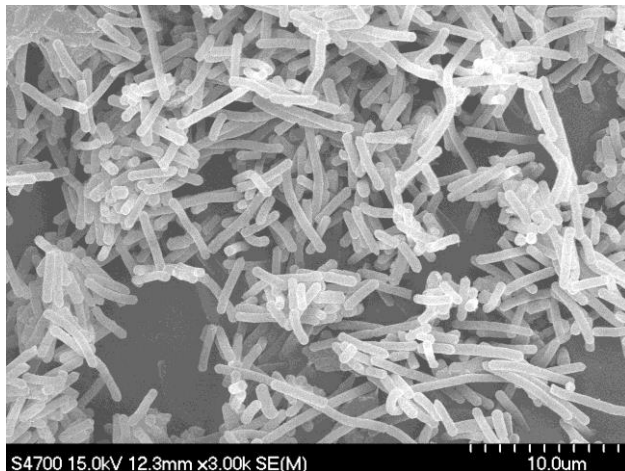
Purging with nitrogen,  
 $\text{CO}_2$  or gas mixture



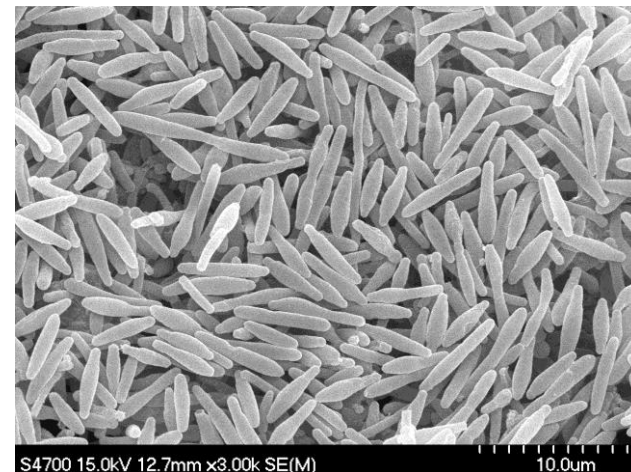
Gas exchange using cylinders with oxygen free  
gases, small airlock chamber for input and  
output of material, work with bare hands,  
thermostat

# ABE fermentation

1. **Medium preparation** - feedstock (same as for ethanol); starch hydrolysis not necessary, addition of complex nitrogen sources (clostridia are often auxotrophs)
2. Bacteria propagation – under strict anaerobic condition
3. **Fermentation** – 37°C, pH 7, 36-72h, biphasic process- growth associated with acids generation, stationary phase (sporulation) associated with solvents formation; max. butanol/ABE final concentrations – 12-20/20-30 g/l



rod shaped vegetative cells of *C. beijerinckii*



„clostridium“ shaped cells accumulating

# Fermentation arrangement

**Batch** – simple, max. final butanol concentration

**Fed-batch** – reduction of lag growth phase → slight productivity increase

**Continuous** – in one bioreactor – oscillatory behaviour due to life cycle; in more bioreactors

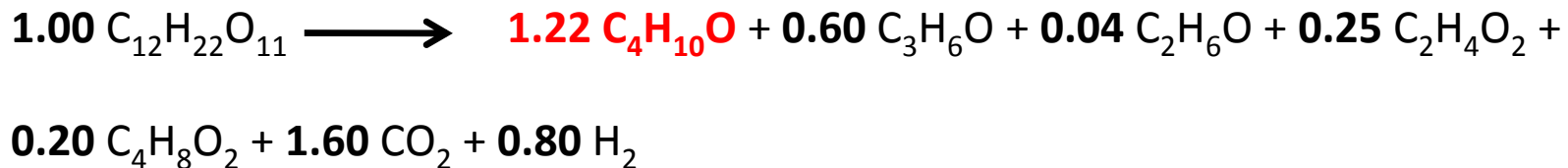
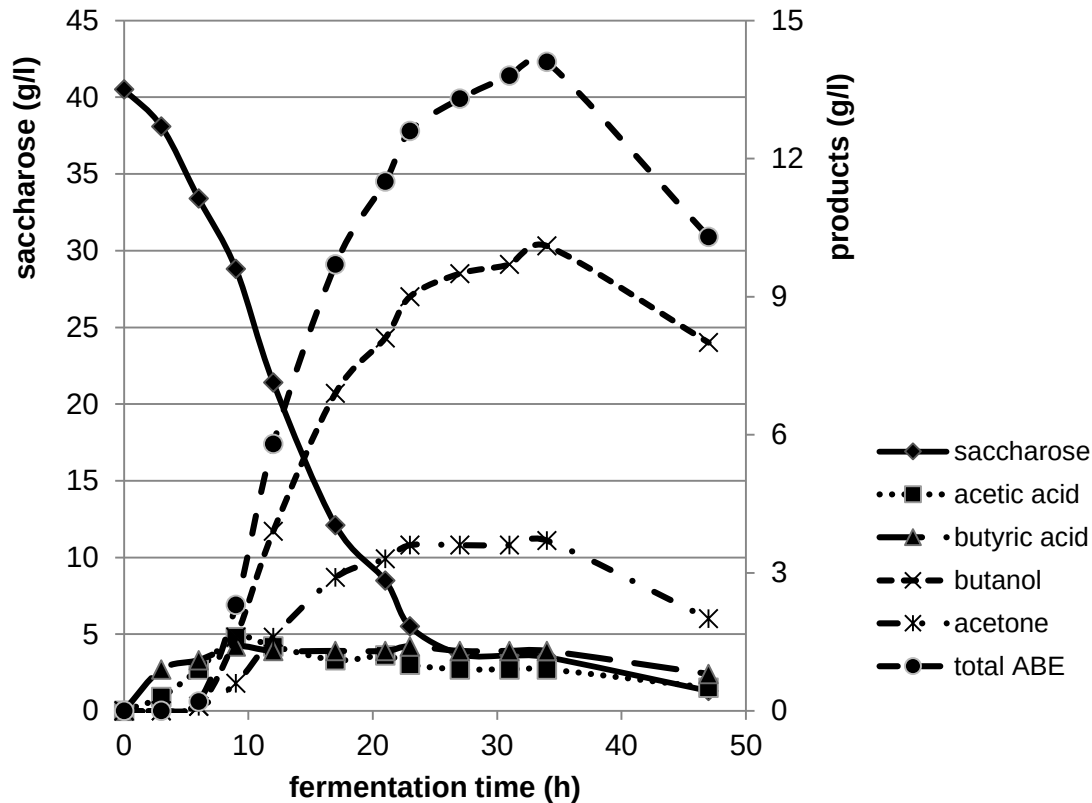
## pros

- no inoculum preparation
- reduction of operation times necessary for cleaning and sterilization
- increase of productivity and yield ?

## cons

- danger of cells wash-out
- pressure on cells growth - risk of strain degeneration
- stringently aseptic conditions
- lower end product concentrations
- sterilization of bigger medium volume – higher amount of waste

# 1-butanol from sugar beet juice by *C.beijerinckii*



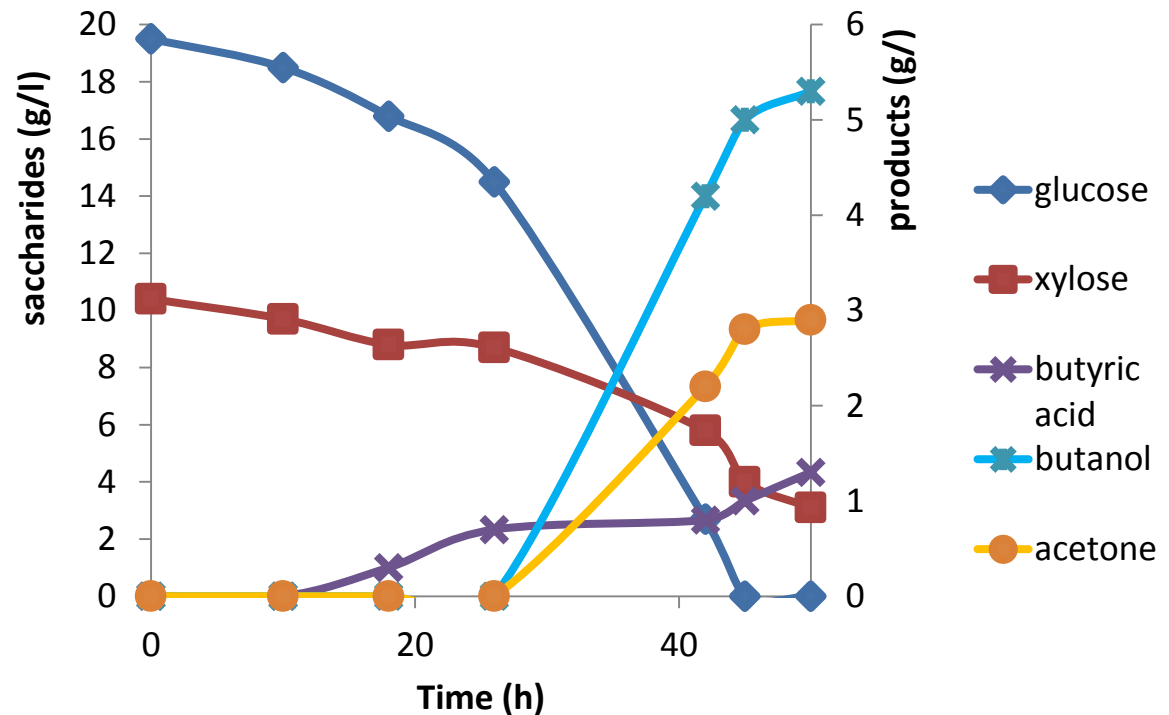


# 1-butanol production from wheat straw hydrolysate

Hydrolysate composition (in g/l): glucose 20, xylose 10, arabinose 2  
-necessary to supplement source of nitrogen and other components



*Clostridium pasteurianum*



Yield of solvents 0.46 g/g cellulose

# Processing of waste feather



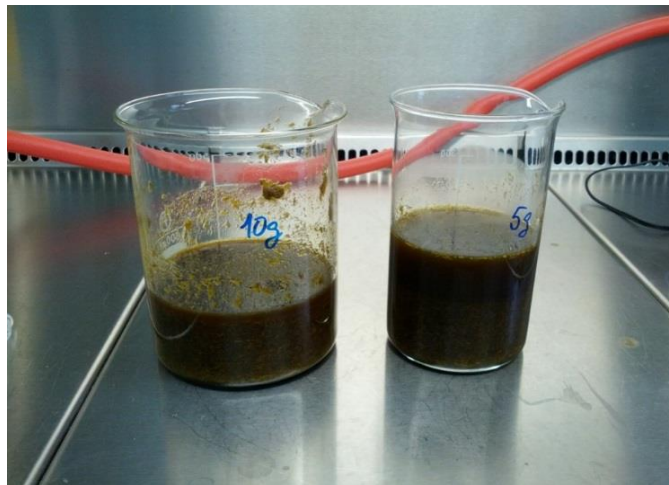
Mixed hydrolysate  
straw + feather  
Preparation step

**Feather peptone**

**Joint hydrolysis  
of straw and  
feather**



Wet poultry feather



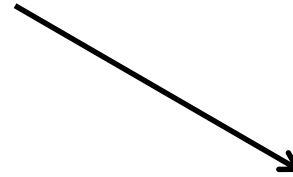
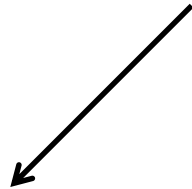
Joint hydrolysate  
Different dosing of  
material

# Distillation – final separation step for 1-butanol

- in past – mash distillation – high consumption of energy (high volumes)
- azeotroph butanol – water (b.p.  $93^{\circ}\text{C}$ , 55% butanol) - extractive distillation or distillation with decantation
- irreplaceable method for final butanol separation



Currently possible pre-concentration before distillation or integration of separation with fermentation (alleviation of product toxicity)



## **Membrane techniques**

Pervaporation  
Perstraction  
Reverse osmosis

## **Non-membrane techniques**

Gas stripping  
Extraction liquid-liquid  
Sorption

**+ final distillation**

# Main bottleneck - Toxicity of 1-butanol

---

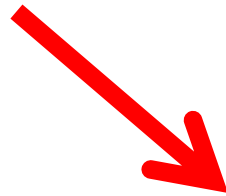
Wild strains- max. 20  
g/l solvents

GM microorganisms

X 1-butanol tolerance by  
microorganisms is  
approx. same

Hyperproducing  
mutants of  
*Clostridium*

- ***C.beijerinckii* BA101** –  
30 g/l solvents



How to succeed with  
biobutanol ?

**Biorefinery approach  
necessary**

# Biofuels by-products

**Distillation stillage** – biogas production, fodder component (*Clostridium* can accumulate riboflavin), fertilizer (DDGS)

## **Gaseous products:**

**CO<sub>2</sub>** – dry ice, liquid CO<sub>2</sub>

**H<sub>2</sub>** (in ABE process) – heat generation

**Acetone, ethanol** (from ABE) - chemicals

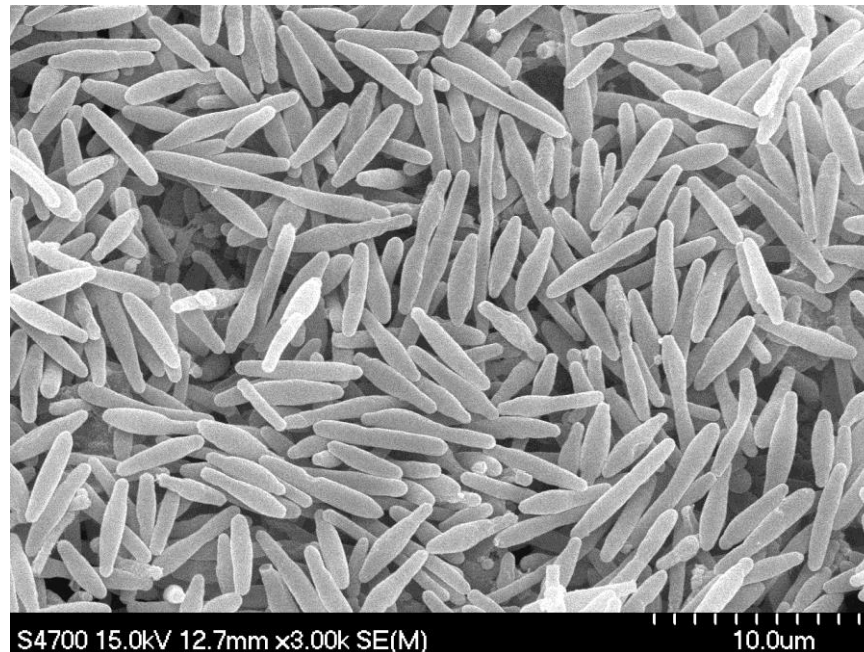
**Water** – must be recycled

**Heat** – must be recovered



**Thank you very much for your  
attention**

**petra.patakova@vscht.cz**



*Clostridium beijerinckii* cells accumulating granulose





EUROPEAN UNION  
European Structural and Investing Funds  
Operational Programme Research,  
Development and Education



**UNIVERSITY OF  
CHEMISTRY AND TECHNOLOGY  
PRAGUE**

# Biotechnology in Food Industry

Lecture VII

Petra Patáková

[petra.patakova@vscht.cz](mailto:petra.patakova@vscht.cz)

The published materials are intended for students of the University of Chemistry and Technology, Prague as a study material. Some text and image data contained therein are taken from public sources. In the case of insufficient quotations, the author's intention was not to intentionally infringe the possible author(s) rights to the original work.

If you have any reservations, please contact the author(s) of the specific teaching material in order to remedy the situation.



# Fruit brandies and spirits



Fermented spirits

Typical feedstock

Seed or indigenous microbial culture

Batch fermentation

Pot-still or patent still distillation  
(Maturation in casks)

Spirits produced by „cold way“

Main feedstock – superfine ethanol

+ water

+ sugar syrup

+ herbs and their water or ethanol leaches or extracts

+ pigments

+ bonificators

+ egg yolks + milk +

coffee...(emulsion spirits e.g. Baileys)

Main problem – clouds, precipitates



# Fermentation of fruits

## 1) Chemical composition of fruits

### a) **Saccharides**

berries ....3-19%

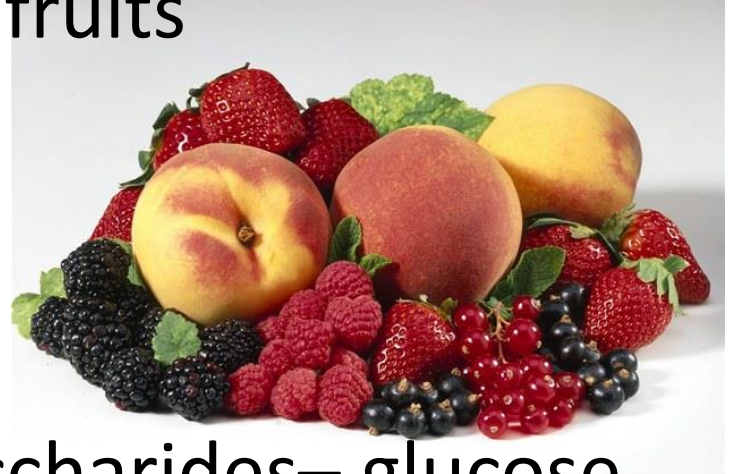
stone fruit..... 6-25%

Apples, pears..... 5-15%

Main components –monosaccharides– glucose,  
fructose

Saccharose

Polysaccharides – starch, dextrans, cellulose,  
hemicellulose, inulin



## b) Other nitrogen-free compounds

### Organic acids:

volatile– formic, acetic

Non-volatile – malic, tartaric, citric, lactic,  
benzoic, salicylic

waxes – esters of fatty acids with higher  
alcohols

Fragrant compounds – esters of organic acids,  
aldehydes, ketones, higher alcohols

## c) Nitrogen compounds

proteins, amino acids

## d) Mineral compounds (ash)

K, Na, Ca, Mg, P, Cl, Mn, Cu, Fe

Water – 72-93%



# Spontaneous ethanol fermentation

Yeast

1. Ascomycetes yeast

***Saccharomyces cerevisiae*** – usually upper fermentation at higher temperature

Other yeast: *S. cerevisiae carlsbergensis* (bottom),  
*S. pastorianus*, *S. fragilis*, *S. melis*

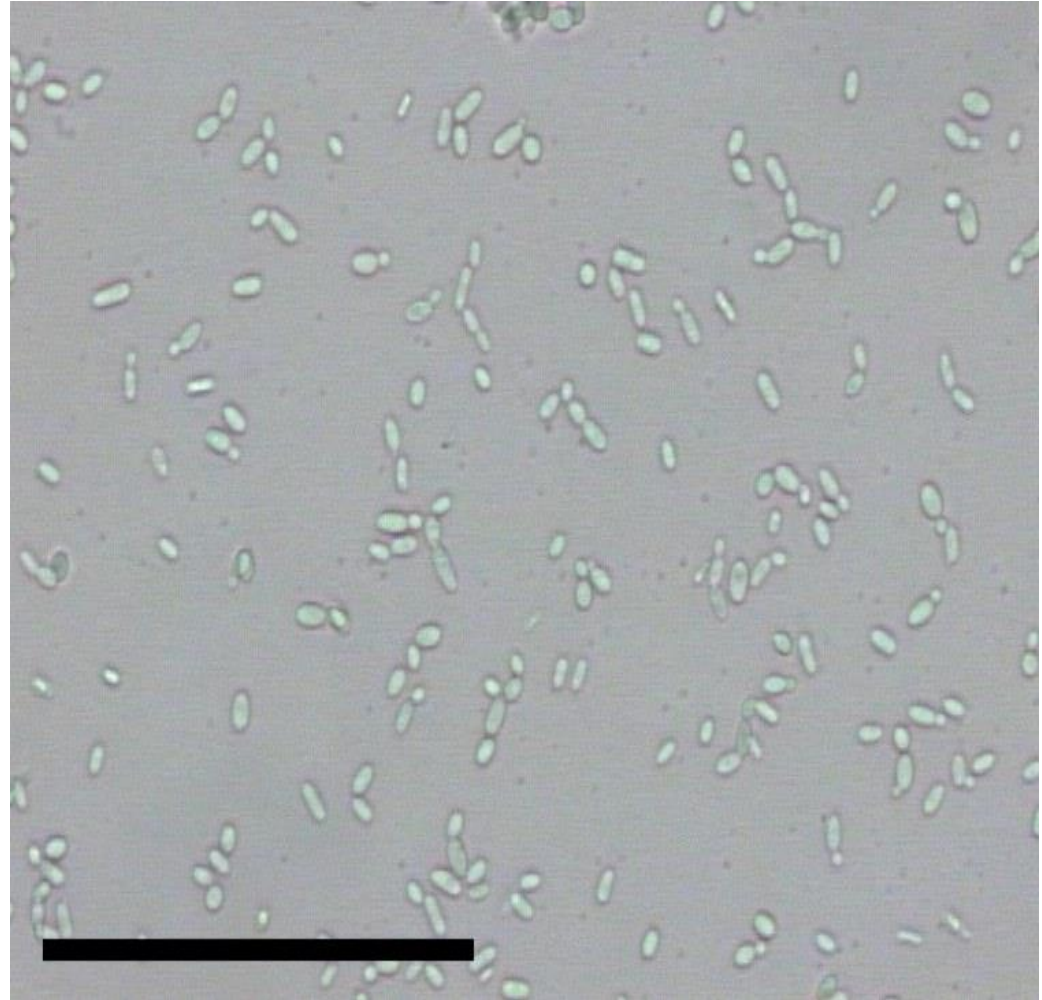
*Schizosaccharomyces pombe*

*Hansenula anomala*, *Pichia* (oxidation of EtOH to acetic acid)

*Kluyveromyces marxianus*

## 2. Family Cryptococcaceae

*Candida* –oxidation  
of ethanol up to  
CO<sub>2</sub>, formation of  
pseudomycelium





# Bacteria in mash

## 1. Lactic acid bacteria

*Lacobacillus delbrueckii, L.buchneri, L.plantarum*

*Streptococcus, Leuconostoc*

lactic acid formation

## 2. Acetic acid bacteria

*Acetobacter pasteurianum*, *A.xylinum*, *A.aceti*,  
*A.suboxydans*

Oxidation of ethanol to acetic acid or to  $\text{CO}_2$ ,  
oxidation of glucose to gluconic acid, on the  
surface of fermentation mash, short rods

### 3. Butyric and propionic acid bacteria

*Clostridium butyricum*, *C.sporogenes*,  
*Granulobacter*

Sporeforming bacteria

Anaerobic and facultatively anaerobic

Formation of butyric, propionic, formic, acetic  
and lactic acids and higher alcohols

## 4. Pectin degrading bacteria

Methanol formation

Sporeforming

*Plectridium pectinovorum*, *Granulobacter pectinovorum*

## 5. Rotting bacteria

Degradation of proteins, formation of hydrogen sulphide, ammonia, aerobic and anaerobic

*Pseudomonas*, *Serratia*, *Proteus*

# C) Filamentous fungi in mash

Strictly aerobic

On the walls, vats, on the surface of the yeast, together with the aerobic yeasts form the so-called blanket

Production of organic acids from carbohydrates

On fruit– *Aspergillus* (*A.glaucus*, *A.oryzae*, *A.niger*)

Blanket– *Penicillium* – fungal odour

*Botrytis* –tannin oxidation

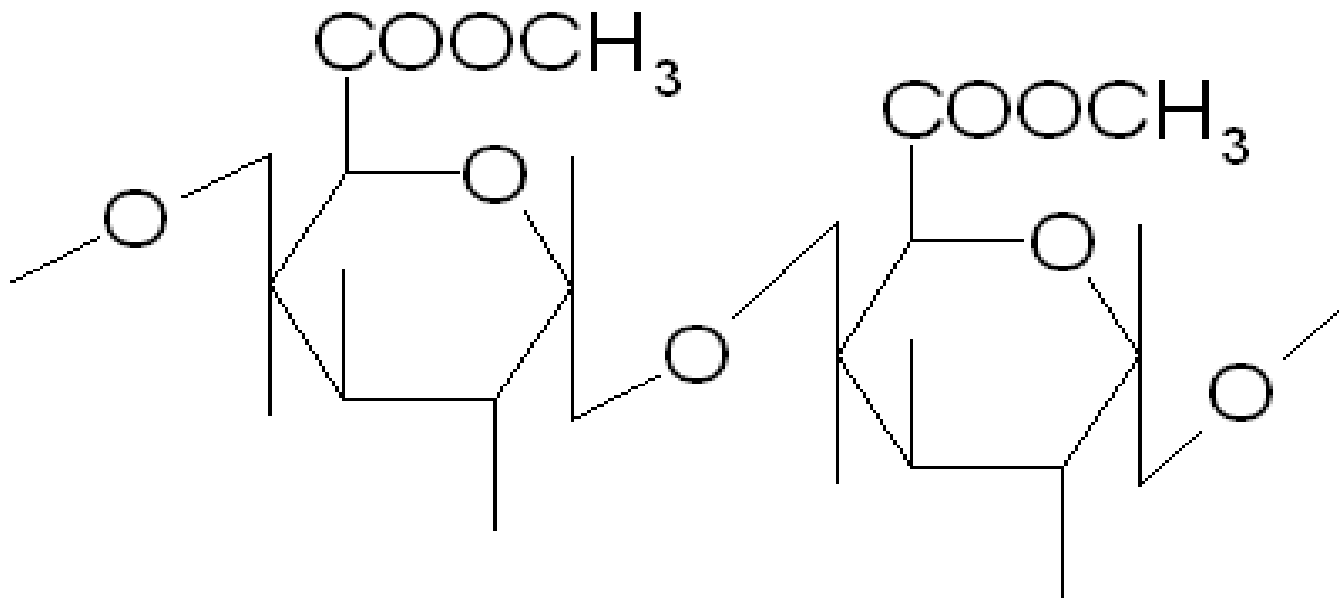
*Rhizopus*, *Mucor*, *Oospora*, *Fusarium*, *Cladosporium*

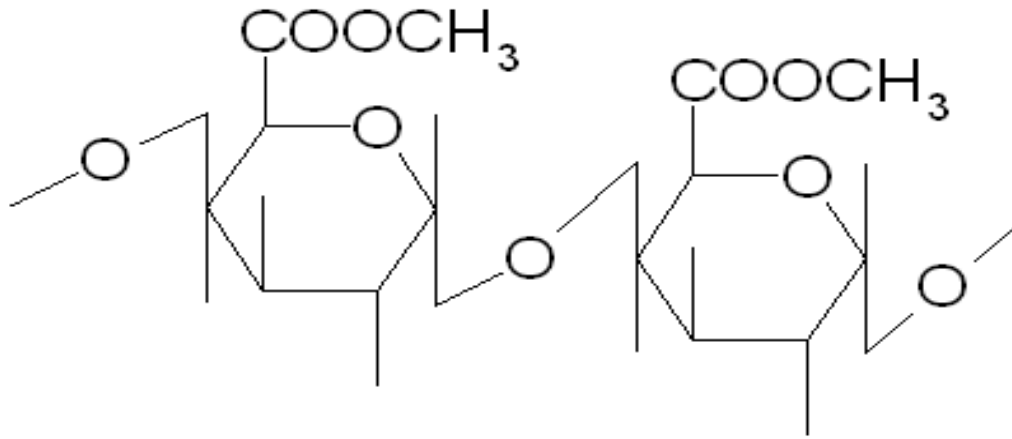
# Formation of methanol

Protopectin – complex of pectin with cellulose, arabanes and galactanes

↓ protopectinase

Pectin





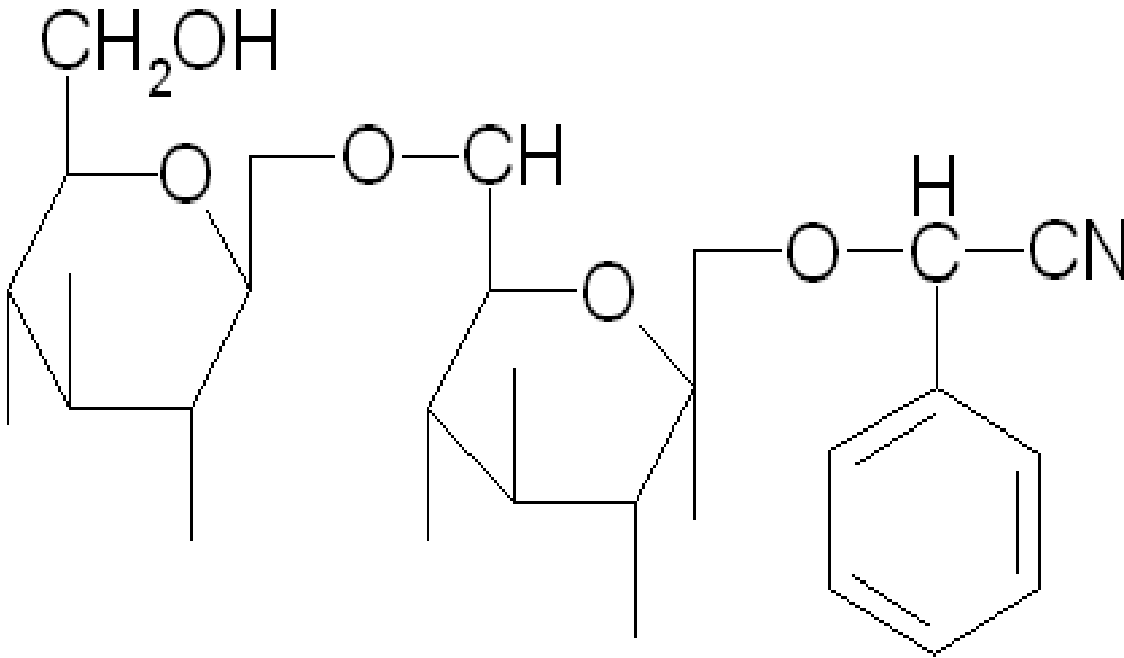
Methyl ester of poly-D-galacturonic acid

↓pectinesterase, polygalacturonase

**CH<sub>3</sub>OH** + mono galacturonic acids



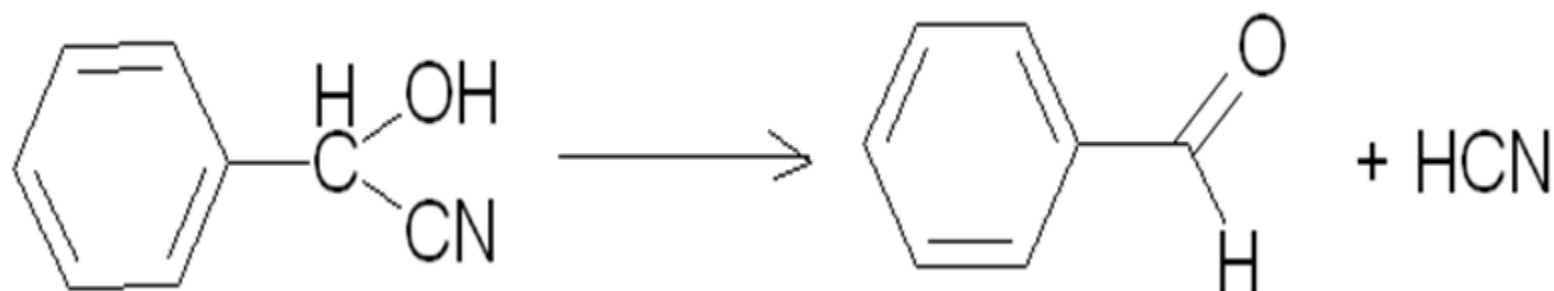
# AMYGDALIN



# gencibiosa

# benzaldehydkyanhydrin

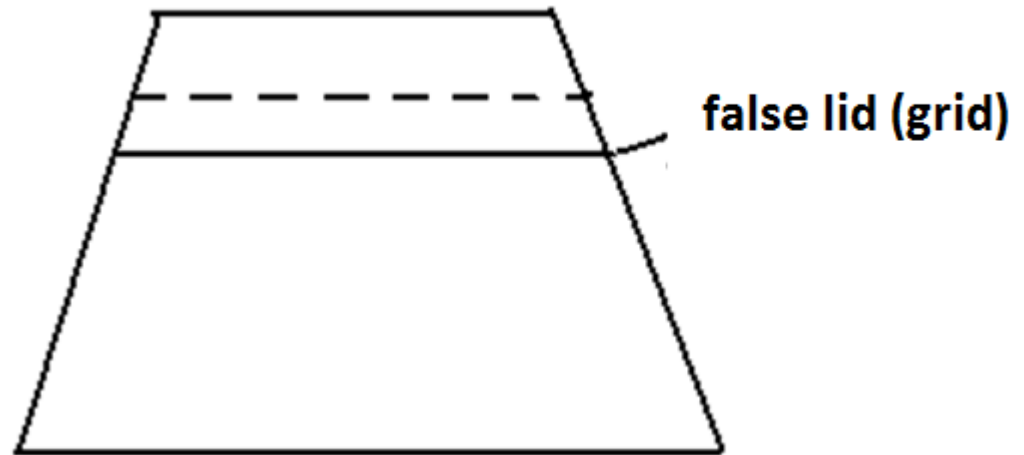
# Formation of benzaldehyde and hydrogen cyanide



# Fermentation

Fermentation room – closed building,  
sewage, drainage, aeration

Fermentation vessels – wood, ferroconcrete,  
stainless steel



# Fermentation



# Course of fermentation

- Beginning of fermentation
- Stormy fermentation – $\text{CO}_2$ , decrease of sugar concentration, increase of EtOH
- Slow fermentation
- End of fermentation – no  $\text{CO}_2$ , sinking fermentation cover

# Distillation and rectification

- Simple distillation
- Cupreous vessels
- Heating of distillation boiler
- Quality of fermentation!!!
- (pH)
- Homogenization
- Filling the boiler -1/2- 2/3
- Destillation slow – spillage, substance, end





# Distillation room





- Rectification gentle
- Dilution of distillates – distilled or de-carbonized water
- Removal of clouds – filtration, clarification
- storage of distillates
- maturation –
- esterification- bottling





# Plum brandy

- Different kinds of plums
- Saccharides content  $> 8\%$ ,
- Shaking down into canvas
- Fallen fruit –special treatment  
washing, inoculum necessary

Without pressing, with stones– indigenous  
fermentation by cold way (September– December)

Slow distillation, substance immediately bottled



# Whisky

Scotch, American, Irish

## 1. Scotch whisky

### malt

Exclusively malt

Distillation „pot still“

blended whisky

Highland, Lowland



### grain

Malt + barley

Distillation

„patent still“

# Factors affecting quality

- water
- Distillation device
- wood
- climate
- people!
- kilning the malt over a peat fire
- Just 2 distillation
- Maturation in casks



## 2. American whisky (grain)

Maize – bourbon whisky

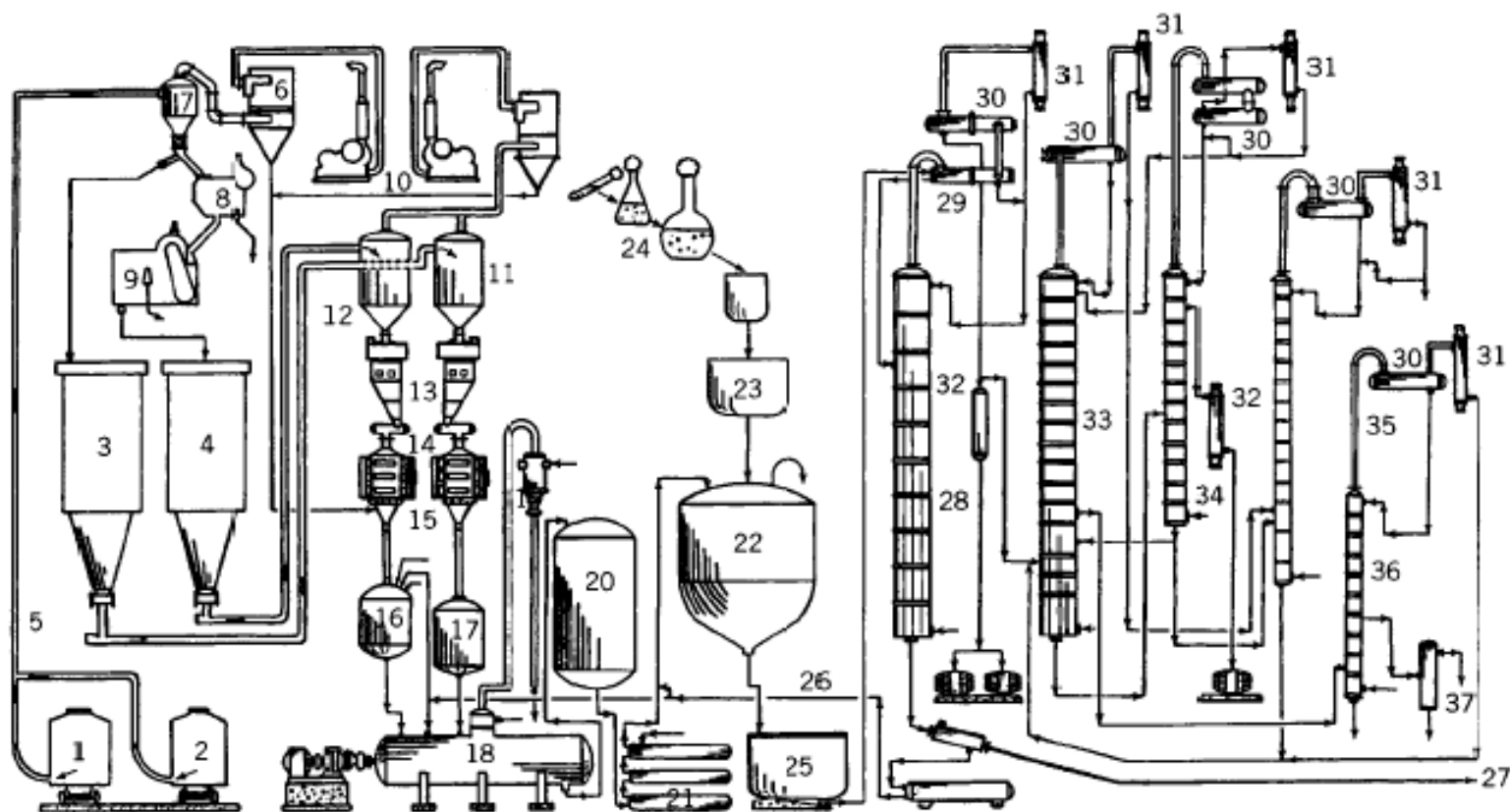
Rye – rye whisky

Distillation „patent still“

Fermentation: sweet mash – yeast  
inoculation      sour mash – bourbon  
– inoculation from previous  
fermentation– alcohol + lactic  
fermentation







- |                          |                           |                                                     |                                    |
|--------------------------|---------------------------|-----------------------------------------------------|------------------------------------|
| 1. Cereal grains         | 11. Malt receiver         | 20. Converter                                       | 29. Heat exchanger                 |
| 2. Malt                  | 12. Cereal grain receiver | 21. Mash coolers                                    | 30. Dephlegmator                   |
| 3. Malt bin              | 13. Automatic scale       | 22. Fermenter                                       | 31. Vent condenser                 |
| 4. Cereal grain bin      | 14. Mill feeder           | 23. Final yeast propagator                          | 32. Product cooler                 |
| 5. Unloading elevator    | 15. Roller mills          | 24. Yeast culture and intermediate yeast propagator | 33. Selective distillation column  |
| 6. Dust filter           | 16. Precooker             | 25. Fermented-mash holding vessel                   | 34. Product concentrating column   |
| 7. Collector             | 17. Malt infusion         | 26. Stillage return system                          | 35. Aldehyde concentrating column  |
| 8. Scalperator           | 18. Cooker                | 27. Stillage flow to recovery system                | 36. Fusel oil concentrating column |
| 9. Millerator            | 19. Barometric condenser  | 28. Whisky separating column                        | 37. Fusel oil decanter             |
| 10. Reclaiming exhauster |                           |                                                     |                                    |

**Figure 2.** Material process flow, modern beverage spirits plant.

# Rum

- Sugar cane juice and foam, mollasses
- Destillatio + rectification
- Maturation in casks up to 10 years
- 3 brands according to esther number
- **X artificial rum – alcohol +  
essence + pigment + sweetener**





# Brandy

- Feedstock – wine – destillation immediately after fermentation together with yeast
- Maturation in oak casks
- Cognac –only specific area in France



# Feedstock for non-fermented spirits

- Fine ethanol
- water
- sugar – syrups

„**normal syrup**“ – 100 kg sugar/42l distilled water,  
boiling + 75 g citric acid, 10 min, removing foam

starch syrup – glucose, maltose, dextrans

- Lactose syrup



- Drugs (plants):

- Extremely bitter – sage-brush, aloe...
- Very bitter – gentian, centaury...
- Aromatic, mildly bitter –hop, orange slice.....
- Aromatic, non-bitter –chamomile, mint....
- Mildly aromatic, non-bitter – lavender, thyme..
- faintly aromatic – ruta...
- Aromatic, sharply spice– pepper...
- Aromatic with vanilla flavour – vanilla..
- Aromatic with sweet taste – annise, fennel...
- Aromatic, bitter – almonds..
- Spice – cloves
- Plant and animal pigments – elderberries, cochineal..



- **Extracts, mixture of extracts**

Extraction, distillation, pressing, maceration,  
mixing extracts

- **Pigments**

a) **Natural – fruit juices (blackberries, raspberries, cherries, black current, elderberries),**

pigment plants (turmeric, mallow, marigold, saffron..), caramel

b) artificial pigments



- **Bonificators**

Rounding taste, flavour enrichment

- wines—malaga, sherry, port
- Aromatic resins
- Aromatic oils



# Production of liqueurs and non-sweet spirits

- Basic operation step – **mixing**–  
mechanical or pneumatic
- Addition of individual  
components gradually
- Ethanol addition –by weigh
- Maturation (short)
- Bottling



# Emulsion spirits

Feedstock – yolks (10-16/l), sugar, fine ethanol, distillate, (milk, cacao, coffee..)

## Production procedure:

Whipping yolks with water (+ eggwhite),  
straining

Emulgation, addition of syrup, heating to 70°C

Cooling to 35°C, addition of ethanol + distillate

Mixing, cooling

maturation

bottling





## Fruit spirits (e.g. Kirsch)

Fruit juices— clarification, pectolysis, filtration

Maturation X oxidation, clouds

## Herbal liquers

Maceration of plants, filtration, distillation

Mixing, removal of clouds

consolidation

maturation

bottling



# Vodka

Feedstock – 2x rectified ethanol of any origin

Production of „sortirovka“ –mixing ethanol with water

1. Sedimentation of precipitates
2. Addition  $\text{NaHCO}_3$  + sodium acetate
3. Filtration using active carbon



# Formation of clouds

- Salts of anorganic and organic acids – from water - Cu, Mg, Fe, Al, Zn (acetates, carbonates)
- Tannins – colour clouds – colloid solutions
- Organic compounds – oil clouds, opalescence, etheric oils, terpenes, resins

# Legislative framework for the production of distillates, spirits and ethanol

- The Act on alcohol No. 61/1997 Coll.
- Food law
- Small fruit distilleries - up to 30 l EtOH / household / year
- Not allowed to distill ethanol at home - Criminal Code No. 392/1992 Coll. § 194a illicit alcohol production

1 vodka  
2 vodkas  
3 vodkas  
4 vodkas  
5 vodkas

Thank you for your attention



EUROPEAN UNION  
European Structural and Investing Funds  
Operational Programme Research,  
Development and Education



UNIVERSITY OF  
CHEMISTRY AND TECHNOLOGY  
PRAGUE

# Biotechnology in Food Industry

## Lecture VIII

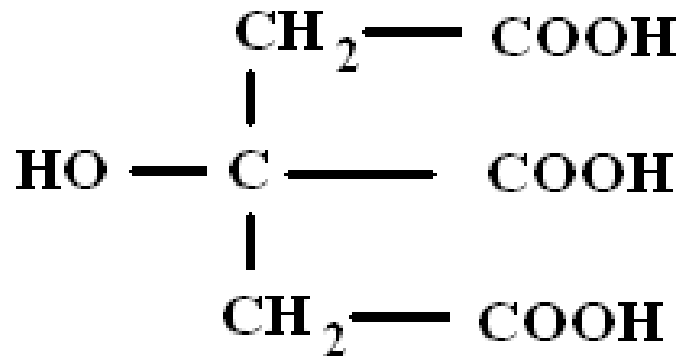
Petra Patáková  
[petra.patakova@vscht.cz](mailto:petra.patakova@vscht.cz)

The published materials are intended for students of the University of Chemistry and Technology, Prague as a study material. Some text and image data contained therein are taken from public sources. In the case of insufficient quotations, the author's intention was not to intentionally infringe the possible author(s) rights to the original work.

If you have any reservations, please contact the author(s) of the specific teaching material in order to remedy the situation.



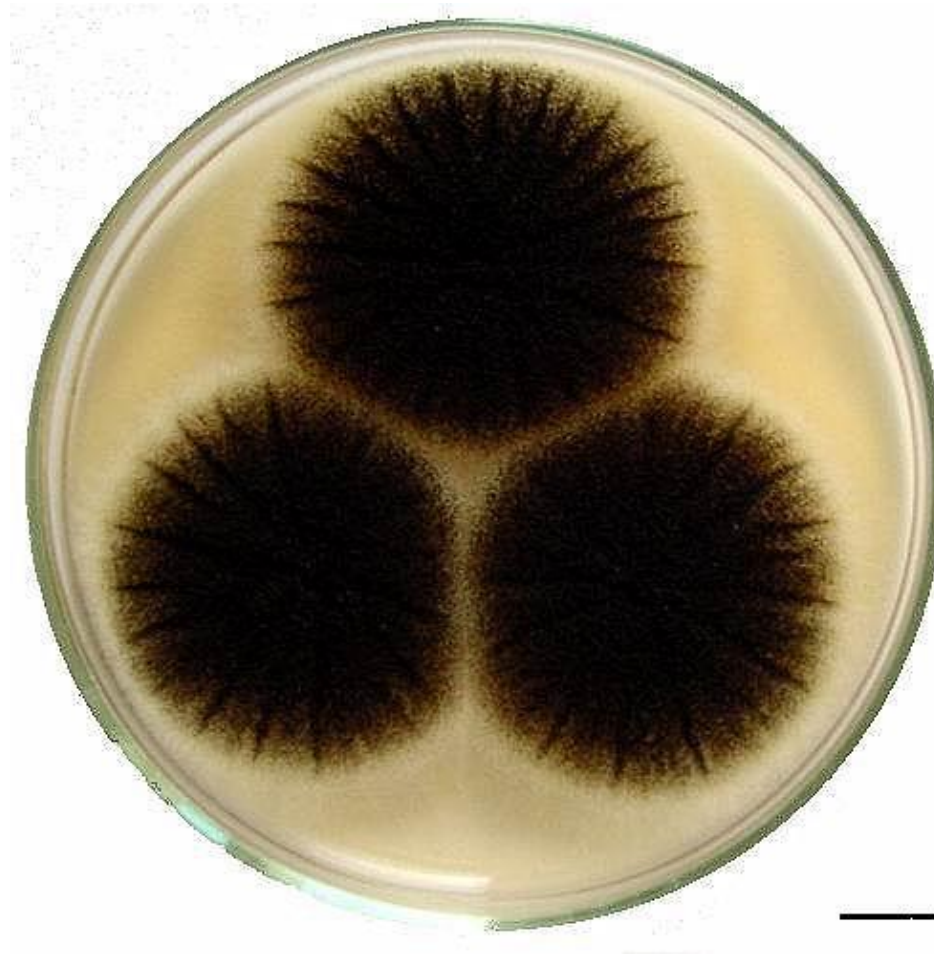
# Citric acid



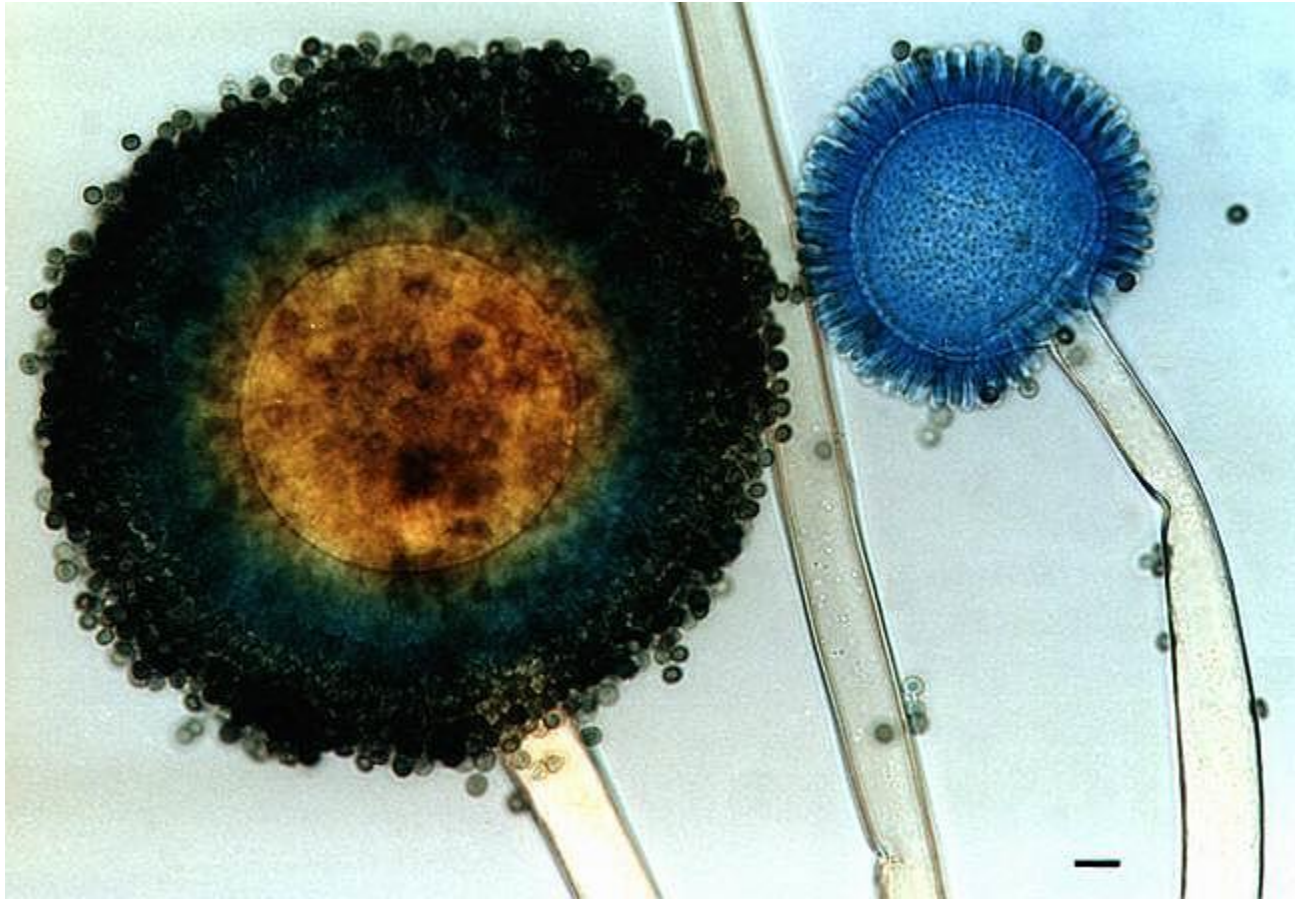
Use in foods: acidifying agent, pH regulator, production of jams and marmelades...



Main producent- *Aspergillus niger*



# *Aspergillus niger*

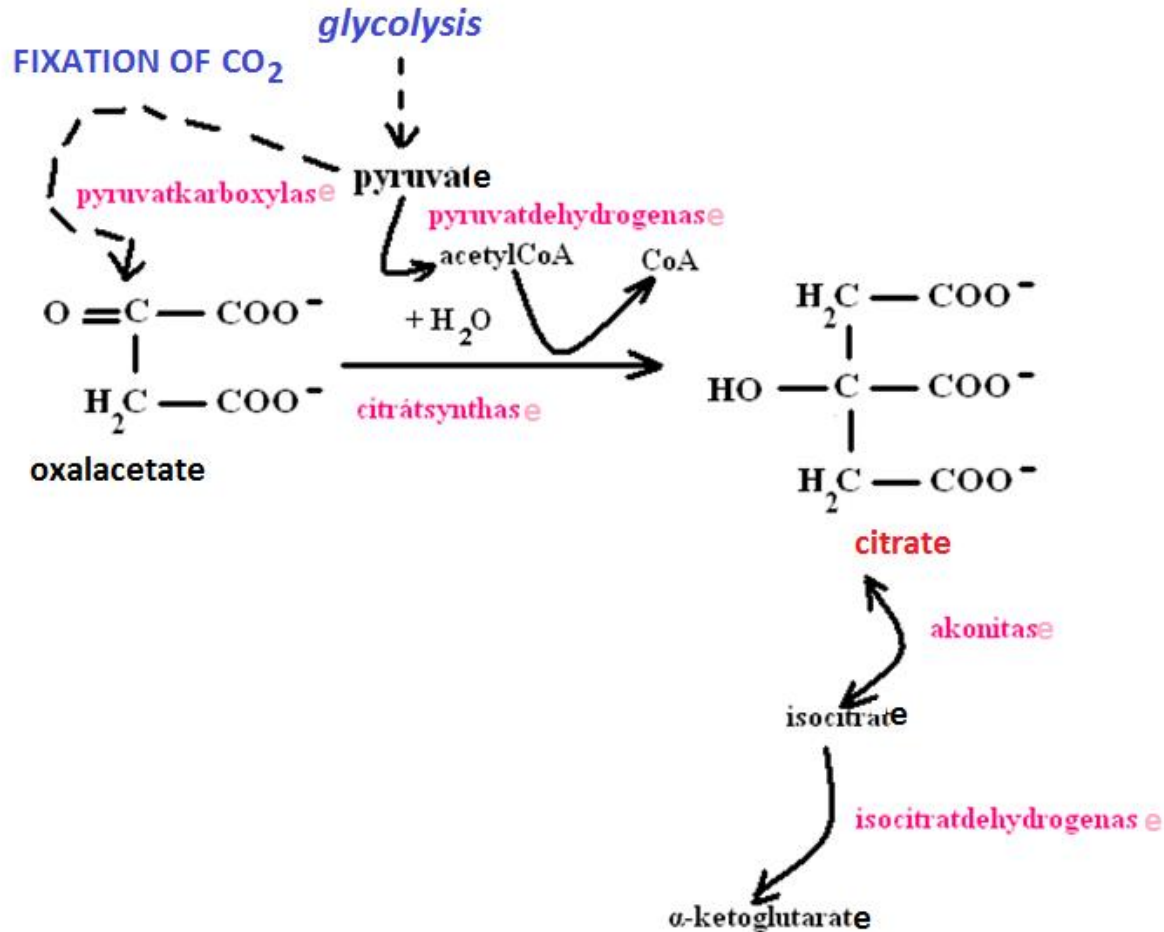


# Preparation of production strain

- Selection – testing colonies
- Mutagenesis and selection
- Conservation of spores in dried state
- lyofilization



# Formation of citric acid



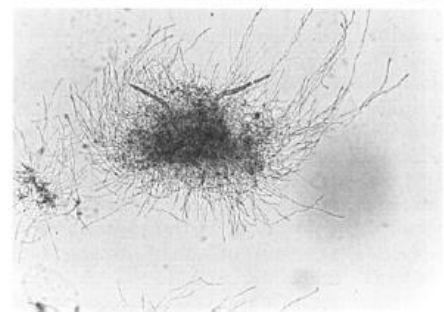
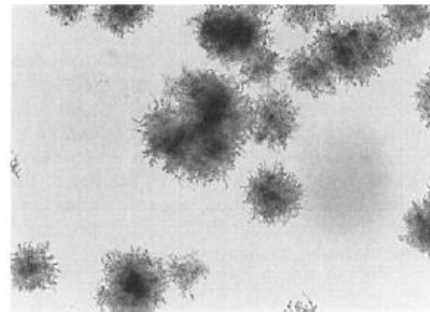
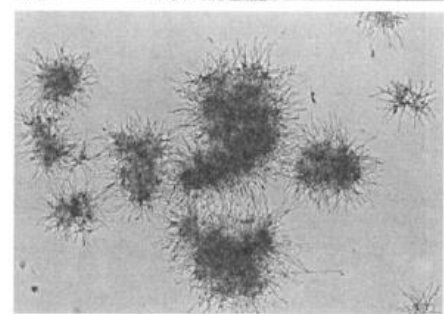
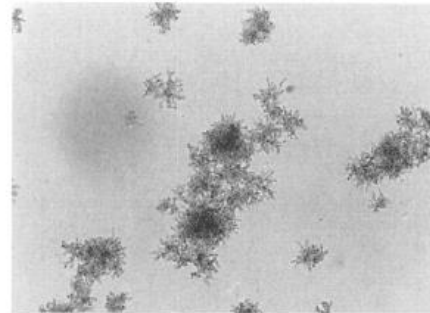
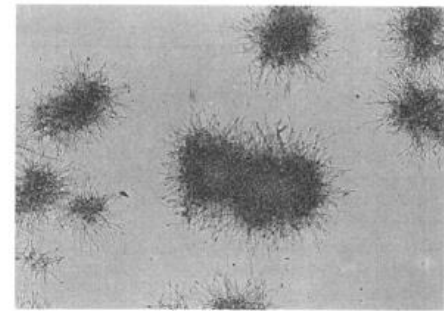
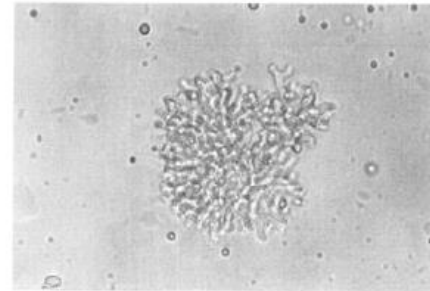
# Factors affecting citric acid formation I

- Trace elements – Fe, Zn, Mn, Cu
- pH: spores germination 4 - 5, production 1,4 - 3,5, at higher pH gluconic and ocalic acids
- Aeration (too quick affects fixation of CO<sub>2</sub> in surface production X submese production)
- Temperature 28 – 34°C

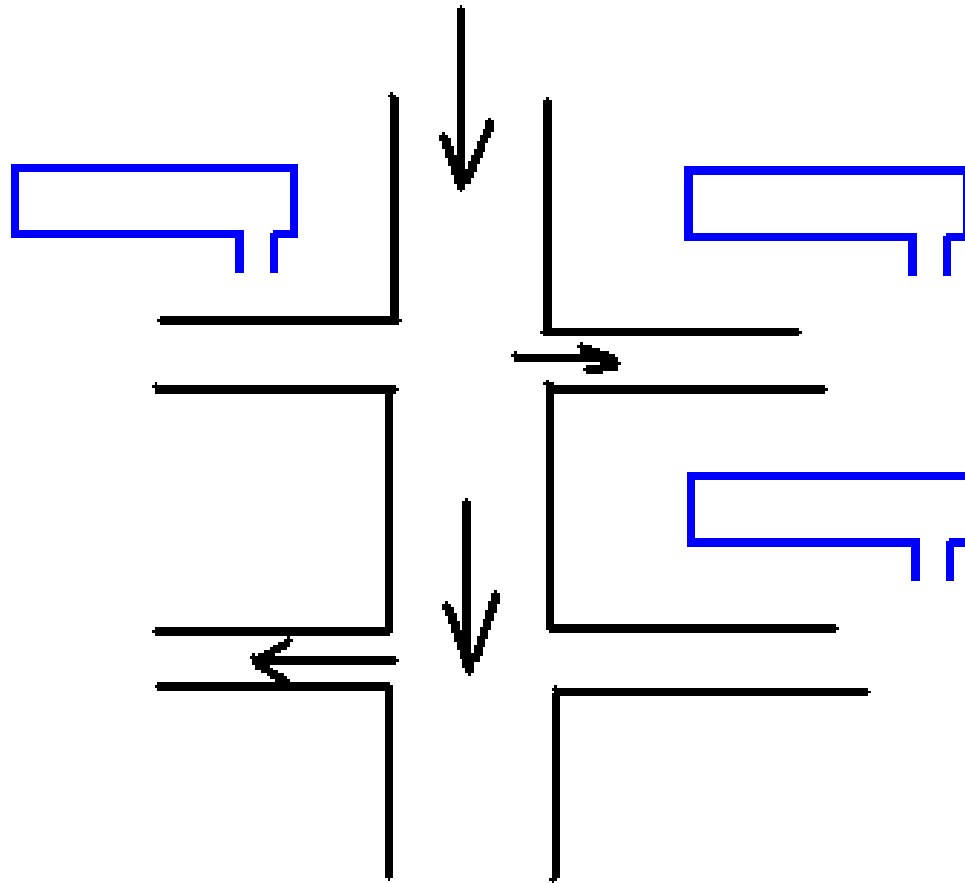


# Factors affecting citric acid formation II

- Lipids –antifoaming agents–yield increase
- Inoculum –surface way– spores, submerge way spores or mycelium



# Surface way of production





# Surface way of production



# Surface way of production

cultivation medium – molasses – 15%

Filling medium

Inoculum – spores

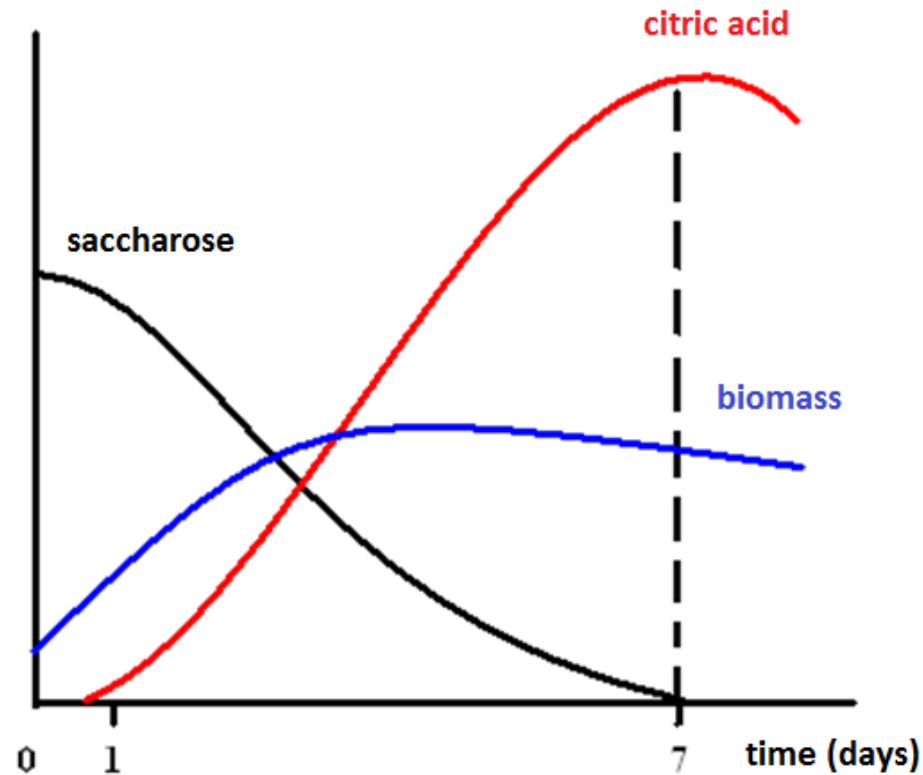
Cycle of sterile tempered

Non-diving mycelium

8-9 days, 10% citric acid

Desinfection – steam, formaldehyde

# Submerge way of production

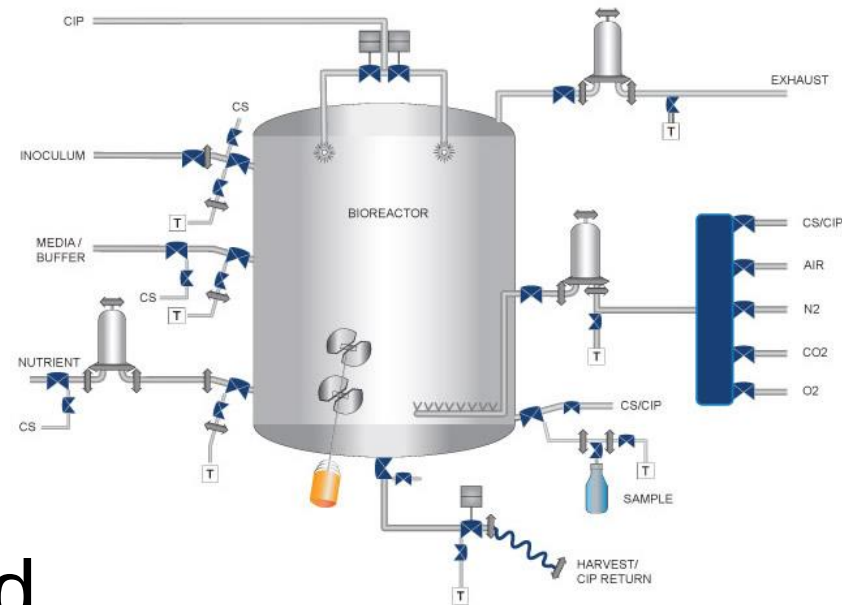


# Submerge production

Substrate preparation – flow  
sterilization, Inoculum –seed  
bioreactor

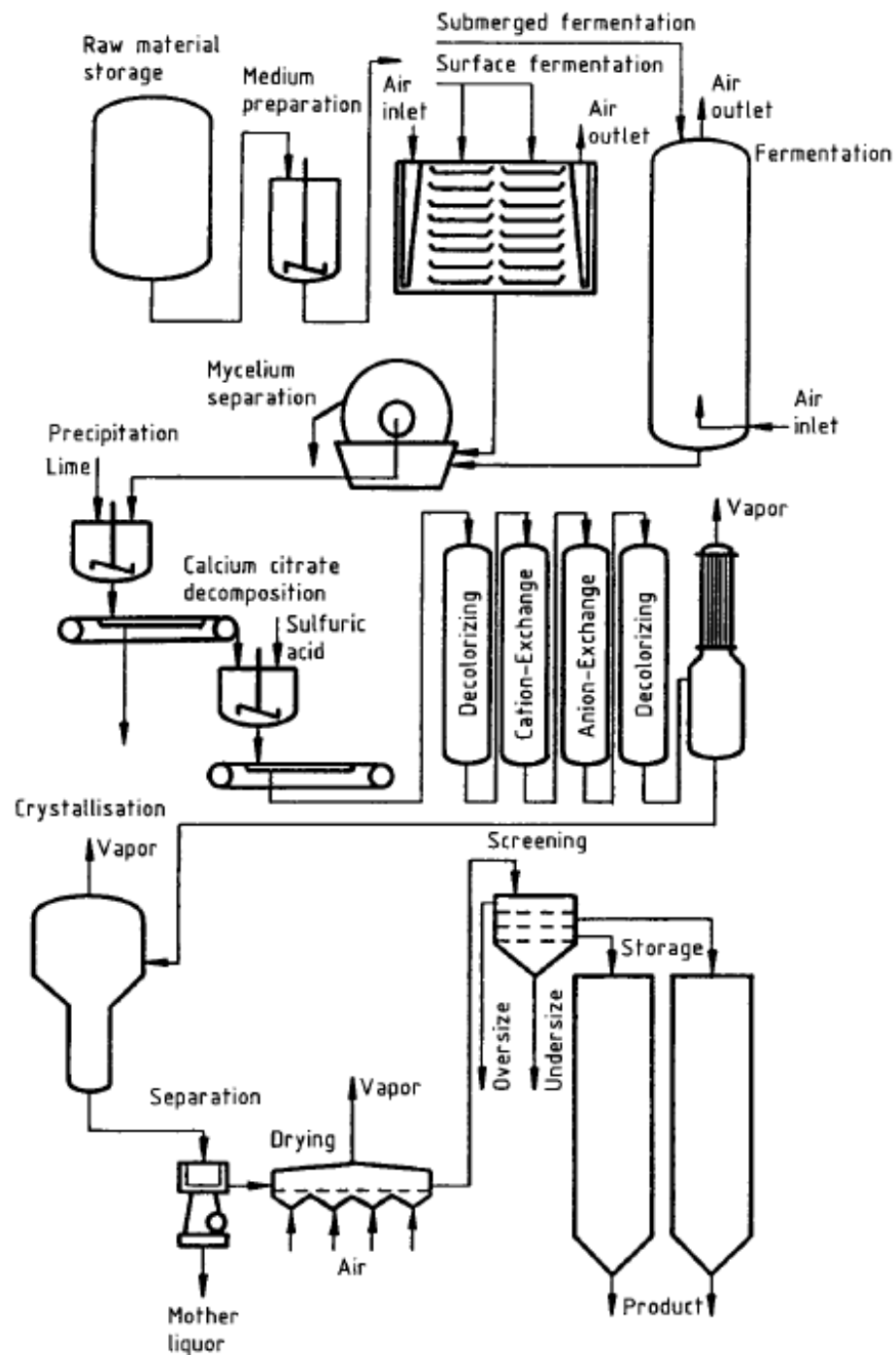
Cultivation – mixing,  
cooling, aeration,  
anti-foaming, pH control,  
4-7 days

In-time endingX lysis, acid  
consumption



# Isolation of citric acid

- Filtration of mycelium, draining of dishes
- Hot precipitation at pH 5,8 with  $\text{CaCO}_3$
- Hot filtration– insoluble calcium citrate
- Decomposition with sulphuric acid, filtration of gypsum ( $\text{CaSO}_4$ )
- Purification– active carbon, ion-exchanging columns
- Concentration
- Crystallisation in form of mono hydrate

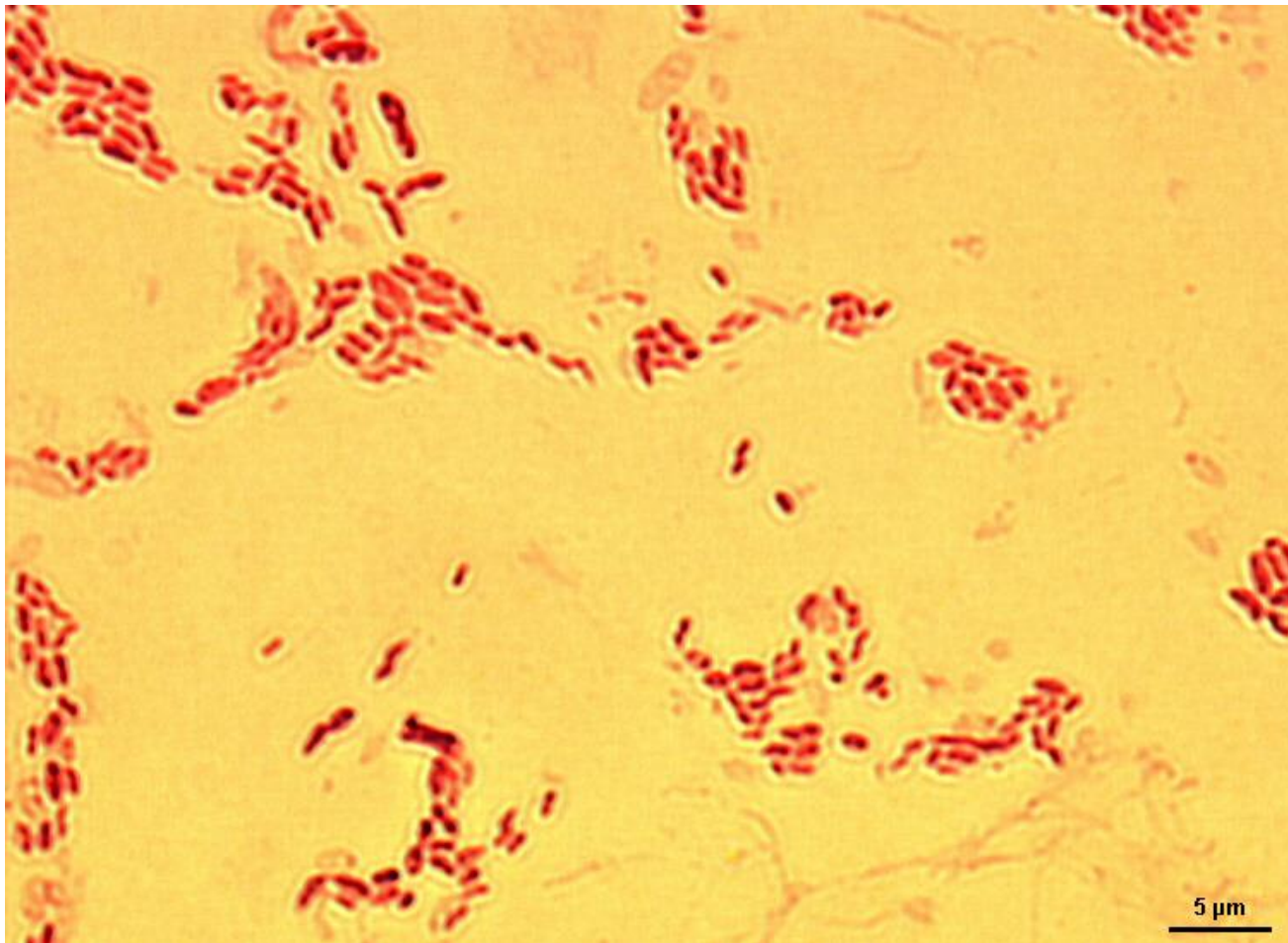


# Acetic acid

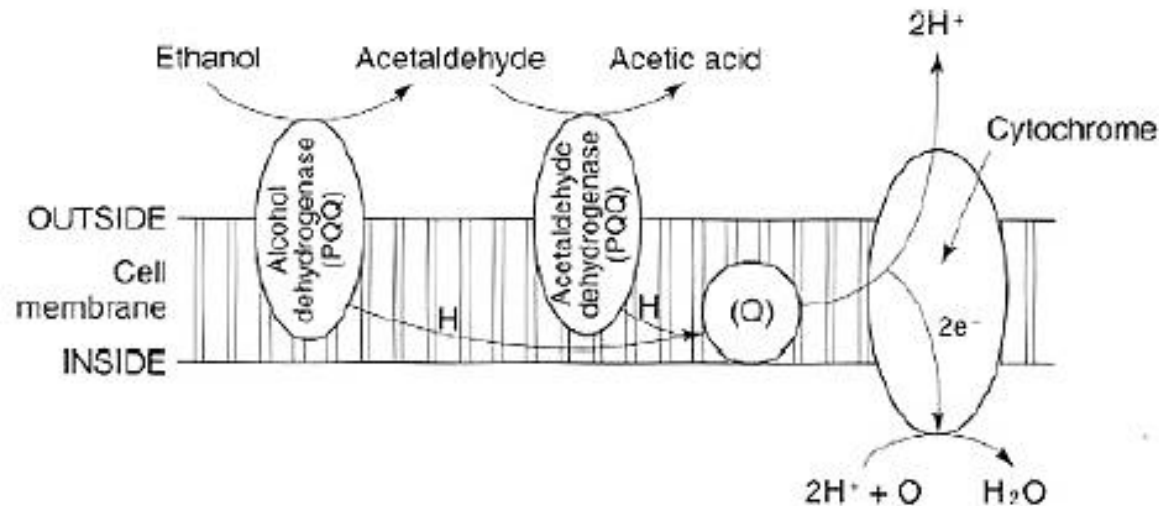




# *Acetobacter aceti*



# Oxidation of ethanol to acetic acid



# Over-acidification



Contamination with *Acetobacter peroxydans*

**Failure in technology –lack of ethanol**

# Factors affecting production and quality of vinegar

- Oxygen
- Concentration of ethanol – optimum 2.5 – 3%,  
below 0.3% over oxidation

## Temperature

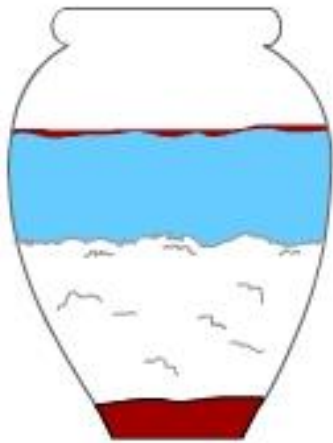
- Nutrients – N,P salts, trace elements
- Feedstock
- Way of production

# Production by Orleans method

- Slow acidification
- Surface way – formation of kris (pellicle) in open vessel (cask)
- Acidification– 1-3 months
- Temperature 20°C
- Remaining ethanol 0.5%, acetic acid 6%

# Tsubo Vinegar

Tsubo Vinegar is a production method which makes vinegar at one time in a jar.



Koji, the steamed rice, and water are put in from bottom up, and koji is sprinkled from over.



The koji on the water surface serves as a lid, and saccharification and alcoholic fermentation is happen.



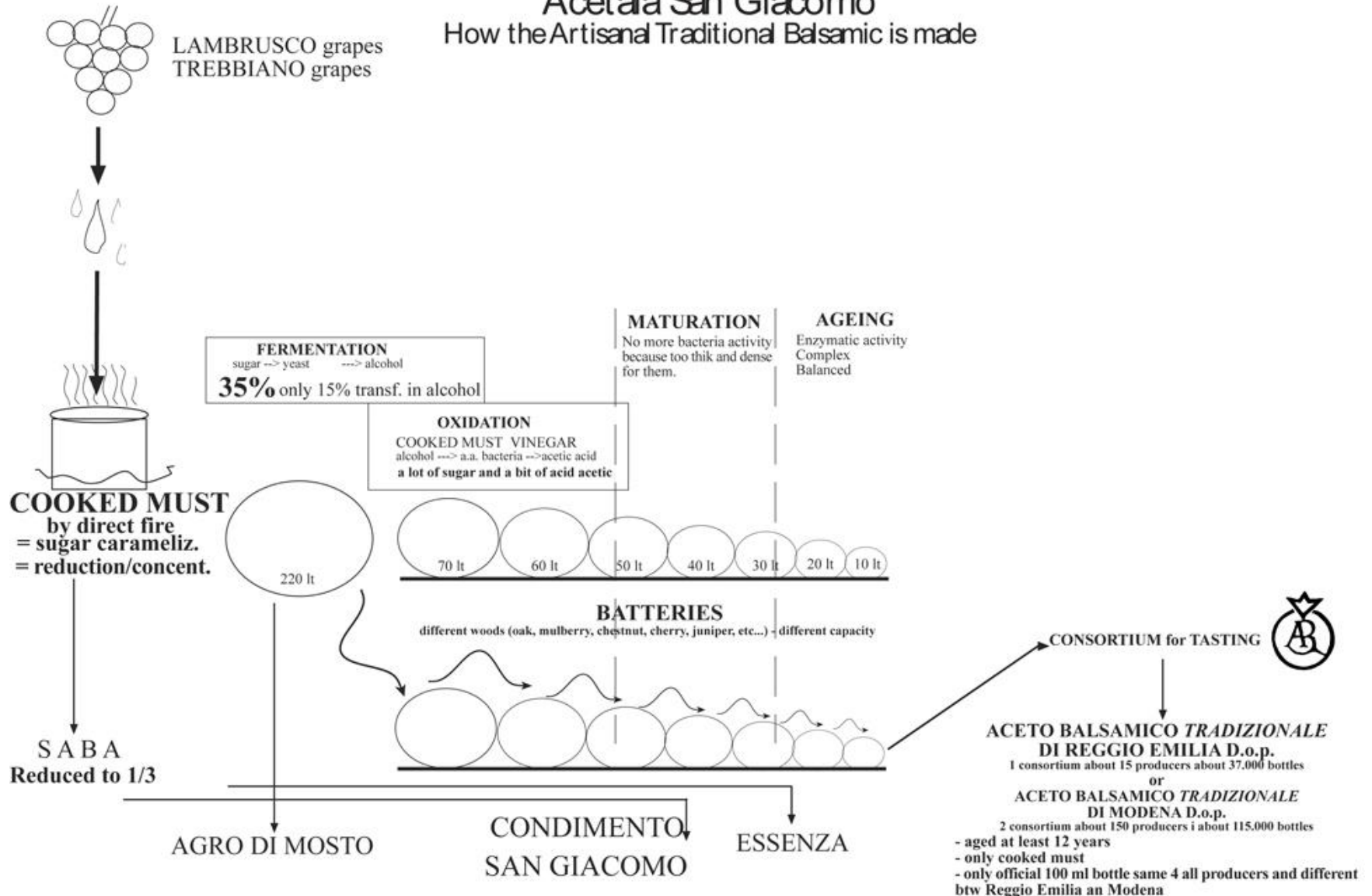
When acetic acid fermentation progresses, the koji on the water surface sinks.



It progresses maturing and vinegar is made. Unrefined sake is precipitated at a bottom.

# Acetaia San Giacomo

## How the Artisanal Traditional Balsamic is made





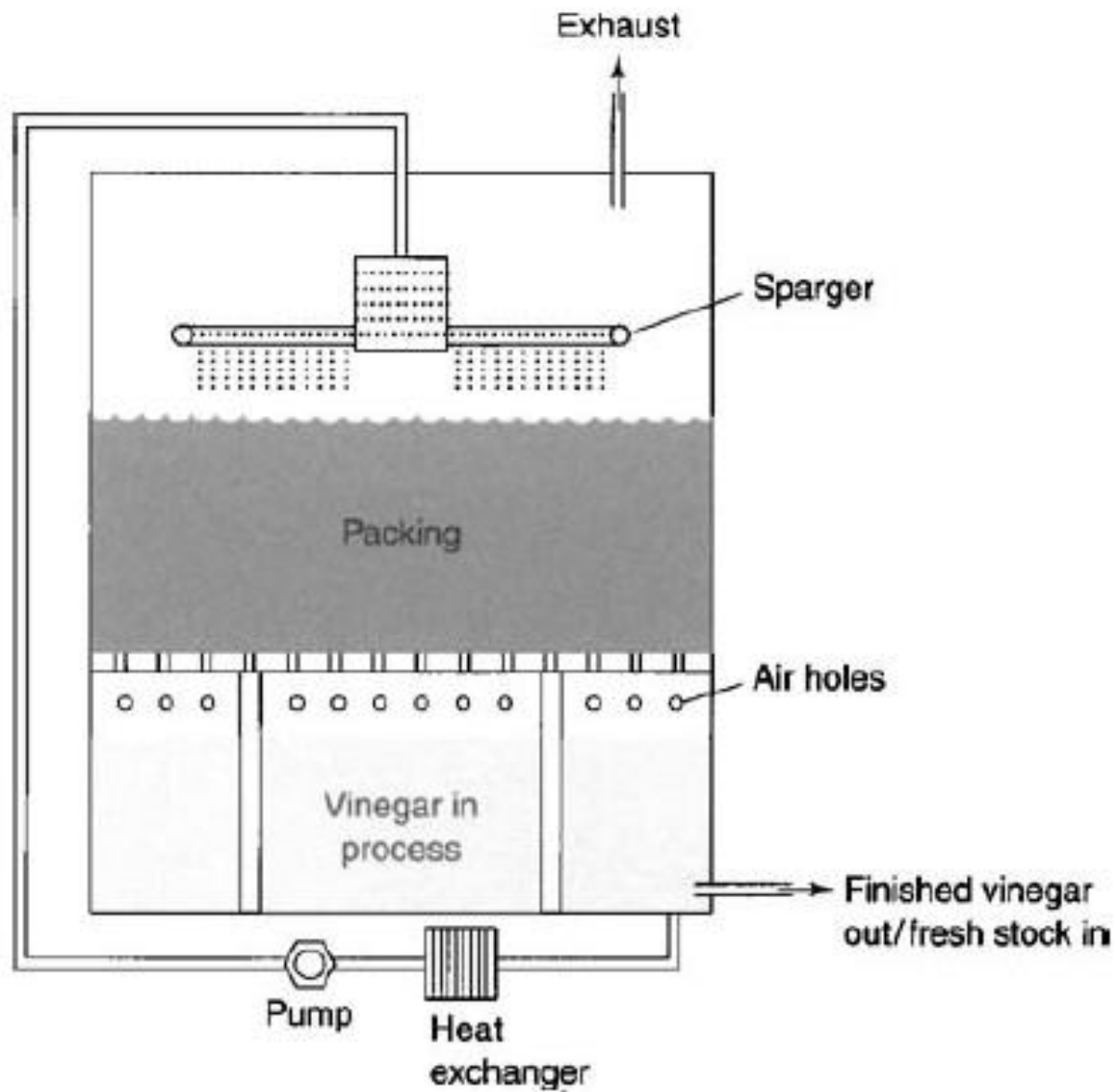
# Rapid acidification

1. Preparation of substrate— 25-30% ethanol+ nutrients, dilution to 2.5-3% ethanol
2. Packed-bed bioreactor with immobilized acetic acid bacteria –packing is trickled with substrate using spraying
3. Periodic system – substrate circulates with the bioreactor (7-8 days), aeration with counter air

4. End of one batch – 11% acetic acid, 0.3% ethanol
5. Suppressing over oxidation–flooding bioreactor with vinegar– 13%, cooling, stopping aeration
6. Life time of package -20-30 years, regeneration with hot and cold water



**Beech  
shavings**

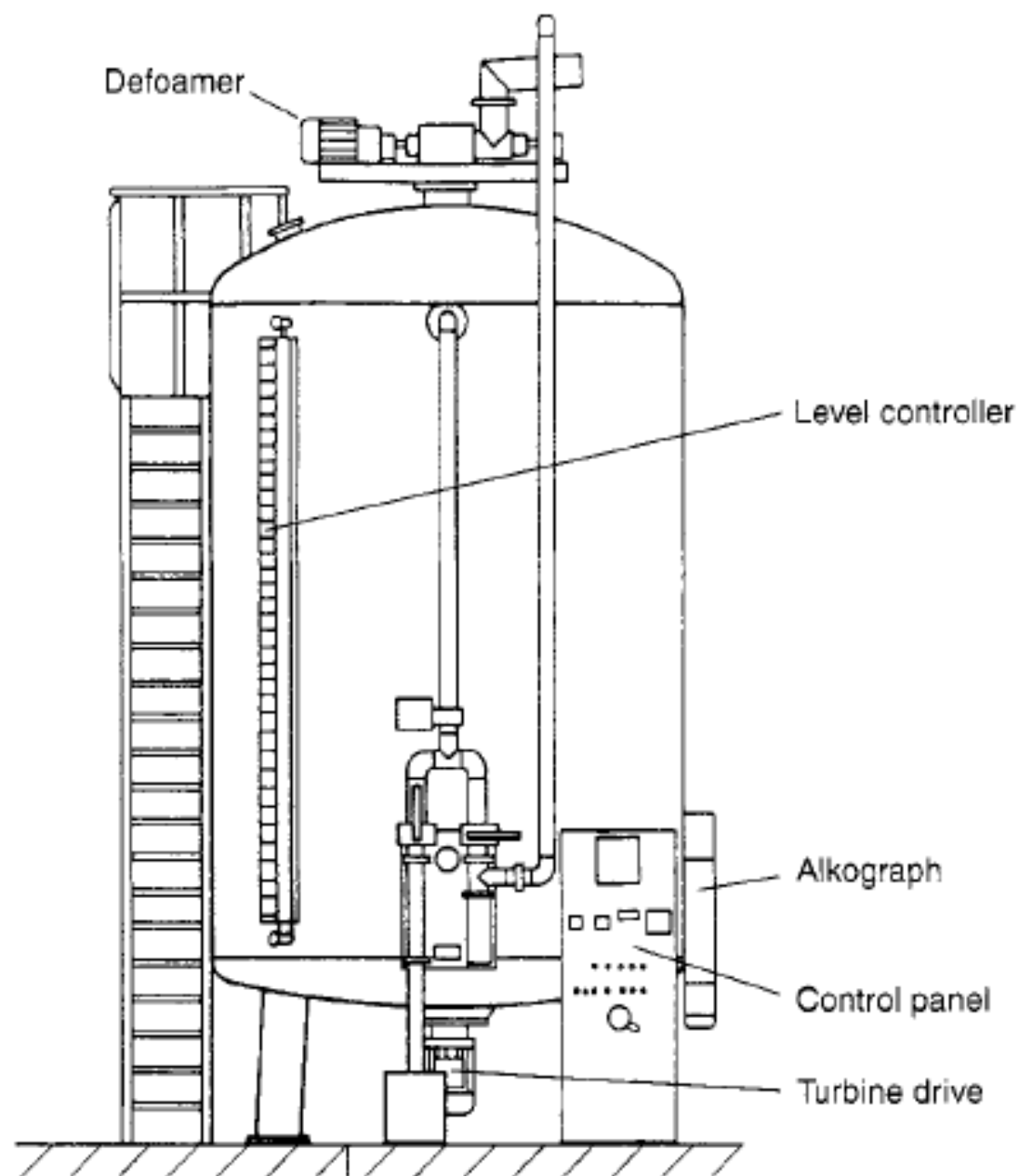


**Figure 3** The quick vinegar process.

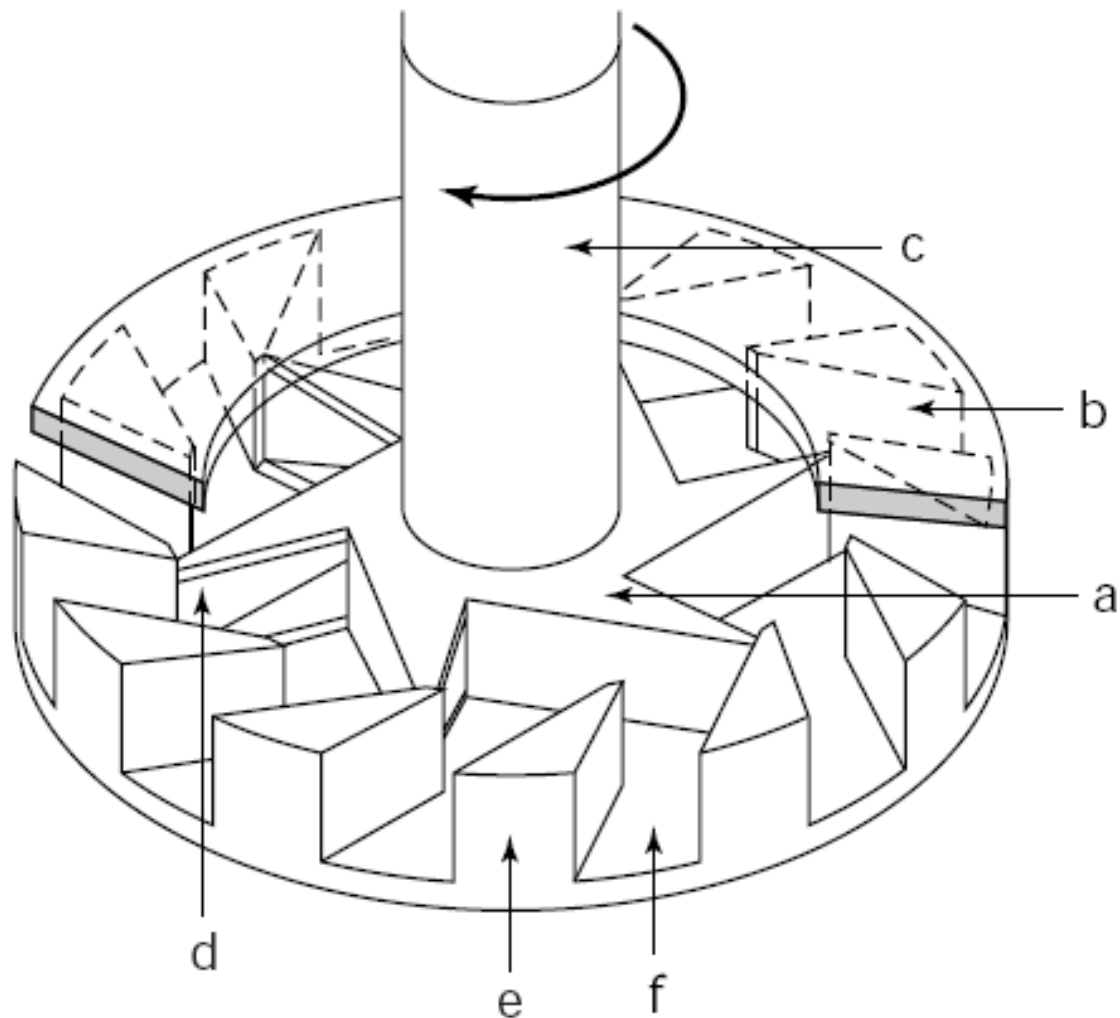


# Submerge production of vinegar

- in bioreactor (acetator) with special mixing (self-priming turbine)
- Batch cultivation 48-72 h
- Substrate 11-12% ethanol + nutrients
- Product 11-12% acetic acid
- Filtration of bacteria



**Figure 4** The Frings Acetator.



**Figure 1.** The Frings Aerator. a = hollow rotor; b = stator; c = air suction pipe; d = openings for air exit; e = wedges to form the channels; f = channels to form the beams of air-liquid emulsion.



# Frings acetator



# Final adjustments

- Dilution to 8%
- Clarification, filtration, maturation, pasteurization, filling
- aromatization



# Defects of vinegar

- Nematode
- Mite
- fly





EUROPEAN UNION  
European Structural and Investing Funds  
Operational Programme Research,  
Development and Education



UNIVERSITY OF  
CHEMISTRY AND TECHNOLOGY  
PRAGUE

# Biotechnology in Food Industry

Lecture X

Petra Patáková  
[petra.patakova@vscht.cz](mailto:petra.patakova@vscht.cz)

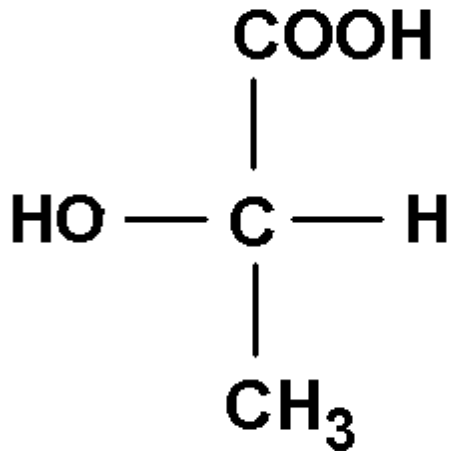
The published materials are intended for students of the University of Chemistry and Technology, Prague as a study material. Some text and image data contained therein are taken from public sources. In the case of insufficient quotations, the author's intention was not to intentionally infringe the possible author(s) rights to the original work.

If you have any reservations, please contact the author(s) of the specific teaching material in order to remedy the situation.

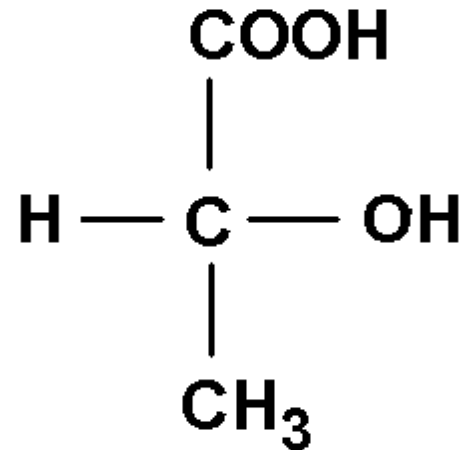


# Lactic acid

95% produced by fermentation



**L(+)- lactic acid**



**D(-)- lactic acid**

The first industrial fermentation process in the USA in 1881

# Use of lactic acid

- Preservative
- GRAS food additive
- Leaching skins
- Pharmacy
- Biodegradable Plastics (PLA)

**1.3 kg CO<sub>2</sub> eq./kg polymer and 42 MJ/kg polymer**

versus PET 3.2 kg CO<sub>2</sub> eq./kg polymer and 80 MJ/kg polymer,

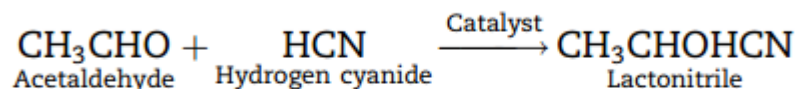
130-150 thousands tons annually

|                                                                                                                                                                                                                                                                          |                                                                         |                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                          |                                                                         | <b>Food industry</b> <ul style="list-style-type: none"> <li>- acidulants</li> <li>- preservatives</li> <li>- flavours</li> <li>- pH regulators</li> <li>- improving microbial quality</li> <li>- mineral fortification</li> </ul>                                                                          |                                                                                                                                                                                                                                                                                |
| <b>Cosmetic industry</b> <ul style="list-style-type: none"> <li>- moisturizers</li> <li>- skin-lightening agents</li> <li>- skin-rejuvenating agents</li> <li>- pH regulators</li> <li>- anti-acne agents</li> <li>- humectants</li> <li>- anti-tartar agents</li> </ul> | <div> <div>Lactic acid</div> <div>(CH<sub>3</sub>CHOHCOOH)</div> </div> | <b>Chemical industry</b> <ul style="list-style-type: none"> <li>- descaling agents</li> <li>- pH regulators</li> <li>- neutralizers</li> <li>- chiral intermediates</li> <li>- green solvents</li> <li>- cleaning agents</li> <li>- slow acid release agents</li> <li>- metal complexing agents</li> </ul> | <b>Chemical feedstock</b> <ul style="list-style-type: none"> <li>- propylene oxide</li> <li>- acetaldehyde</li> <li>- acrylic acid</li> <li>- propanoic acid</li> <li>- 2,3-pentanedione</li> <li>- ethyl lactate</li> <li>- dilactide</li> <li>- poly(lactic acid)</li> </ul> |
|                                                                                                                                                                                                                                                                          |                                                                         | <b>Pharmaceutical industry</b> <ul style="list-style-type: none"> <li>- parenteral/I.V. solution</li> <li>- dialysis solution</li> <li>- mineral preparations</li> <li>- tablettings</li> <li>- prostheses</li> <li>- surgical sutures</li> <li>- controlled drug delivery systems</li> </ul>              |                                                                                                                                                                                                                                                                                |

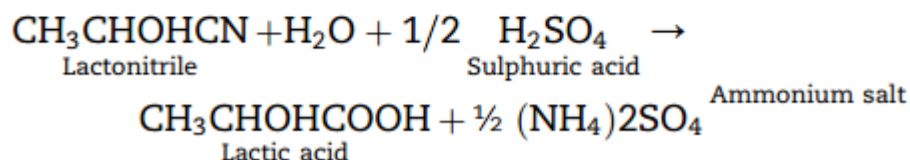


# Chemical production

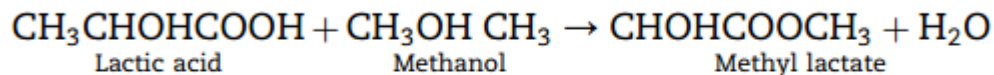
## (a) Addition of Hydrogen Cyanide Catalyst



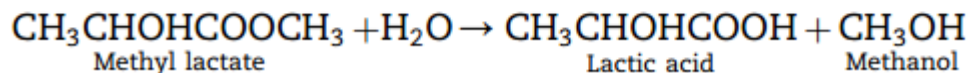
## (b) Hydrolysis by H<sub>2</sub>SO<sub>4</sub>



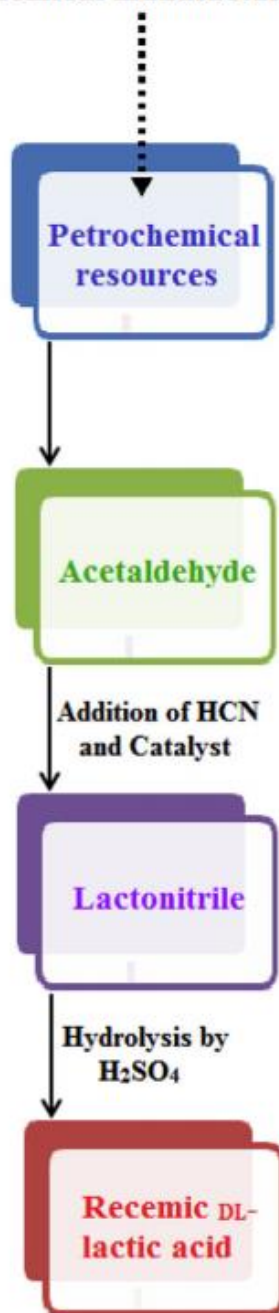
## (c) Esterification



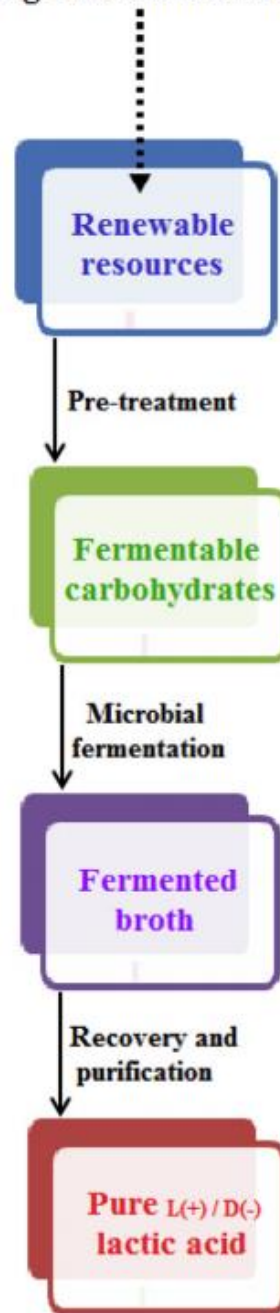
## (d) Hydrolysis by H<sub>2</sub>O



## Chemical manufacturing



## Biological manufacturing



# Production microorganisms

- Homofermentativní  $G^+$  mléčné bakterie:

*Lactobacillus, Streptococcus*

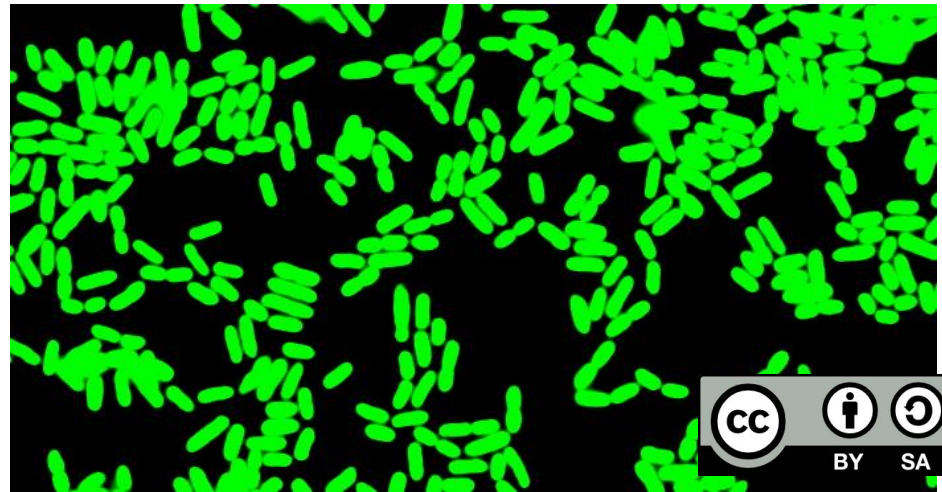
*L. delbrueckii ssp. delbrueckii,*

*L. delbrueckii ssp. Bulgaricus*

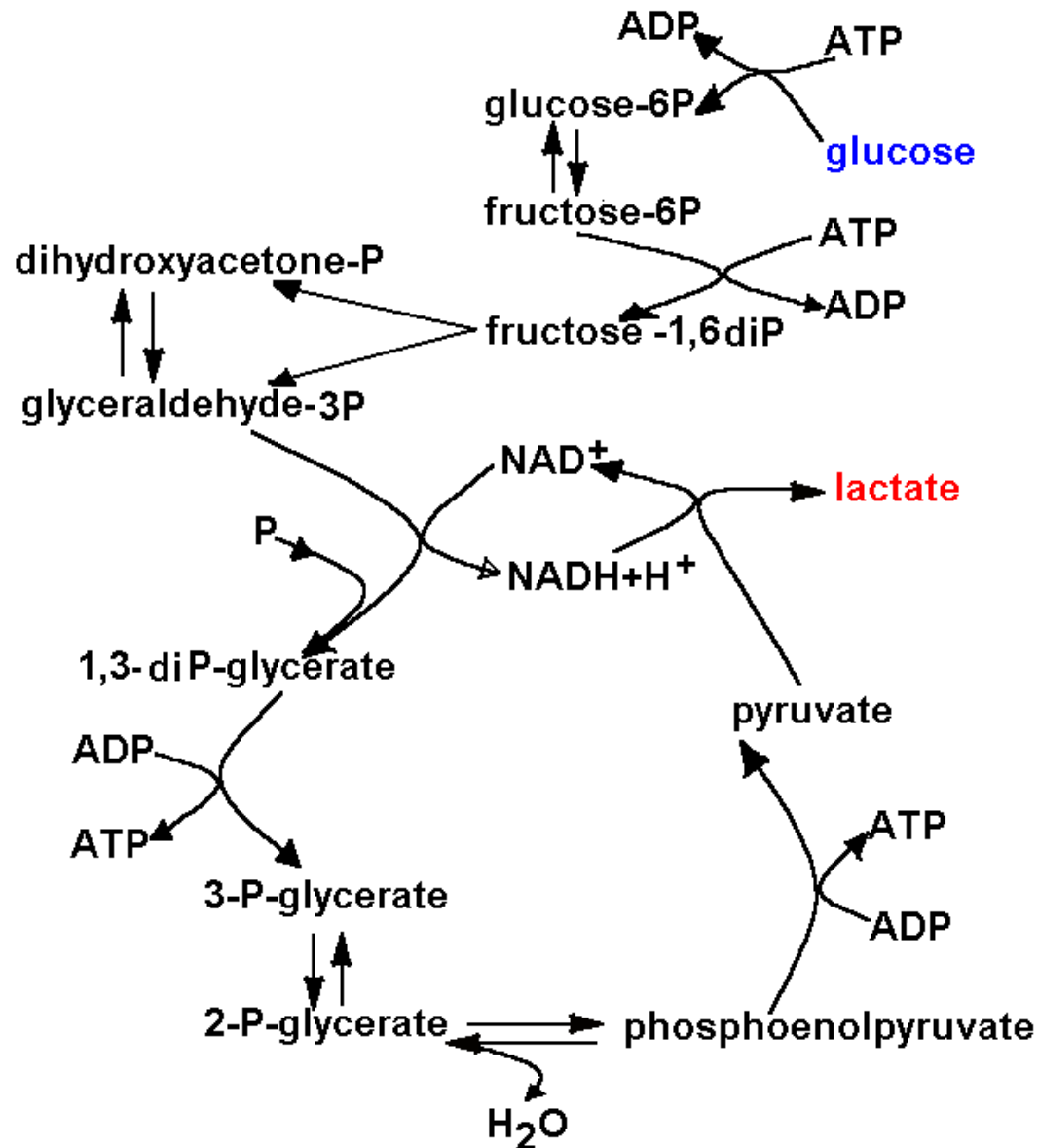
***Lactobacillus plantarum***

- Plísně:

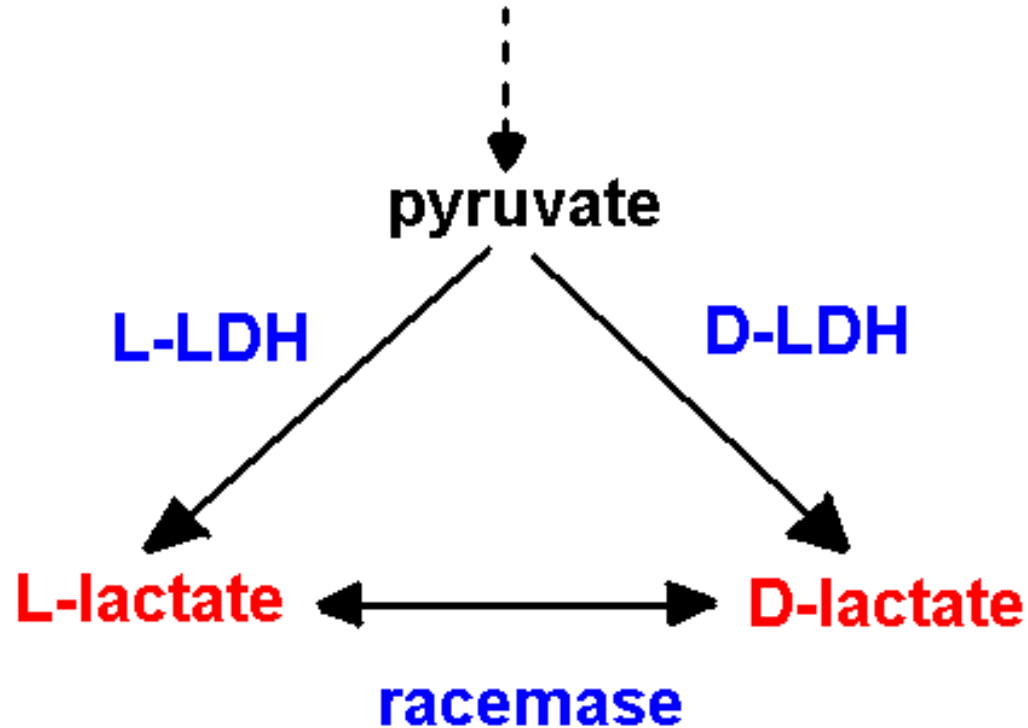
*Rhizopus oryzae*



# Metabolic pathway



# Formation of racemic mixture



# Properties of lactic acid bacteria (LAB)

- Relation to oxygen: anaerobic, microaerophilic, aerotolerant
- Often auxotrophic - amino acids, vitamins, peptides
- Genetically Unstable - Plasmid Inheritance, Conjugation, Transduction, Transposition
- Susceptible to bacteriophage attack
- Mesophilic or thermophilic
- Creation of bacteriocins
- Sensitive to pH drop
- They use glucose, fructose, maltose, lactose, sucrose

# Cultivation of LAB

Substrate: lactose (whey), glucose, sucrose, starch + nutrients (complex sources of amino acids)

Newly - hydrolysates of lignocellulosic materials

Storage of the strain - frozen

The need to regulate pH during cultivation

Types of cultivation: Batch, fed-batch, continuous, immobilized systems



# Possible use of agricultural waste



Wheat straw



Corn cobs



Corn stover



Apple waste



Banana stalk



Corn stalk



Cotton stalk



Pomegranate waste



Sugarcane waste



Banana waste



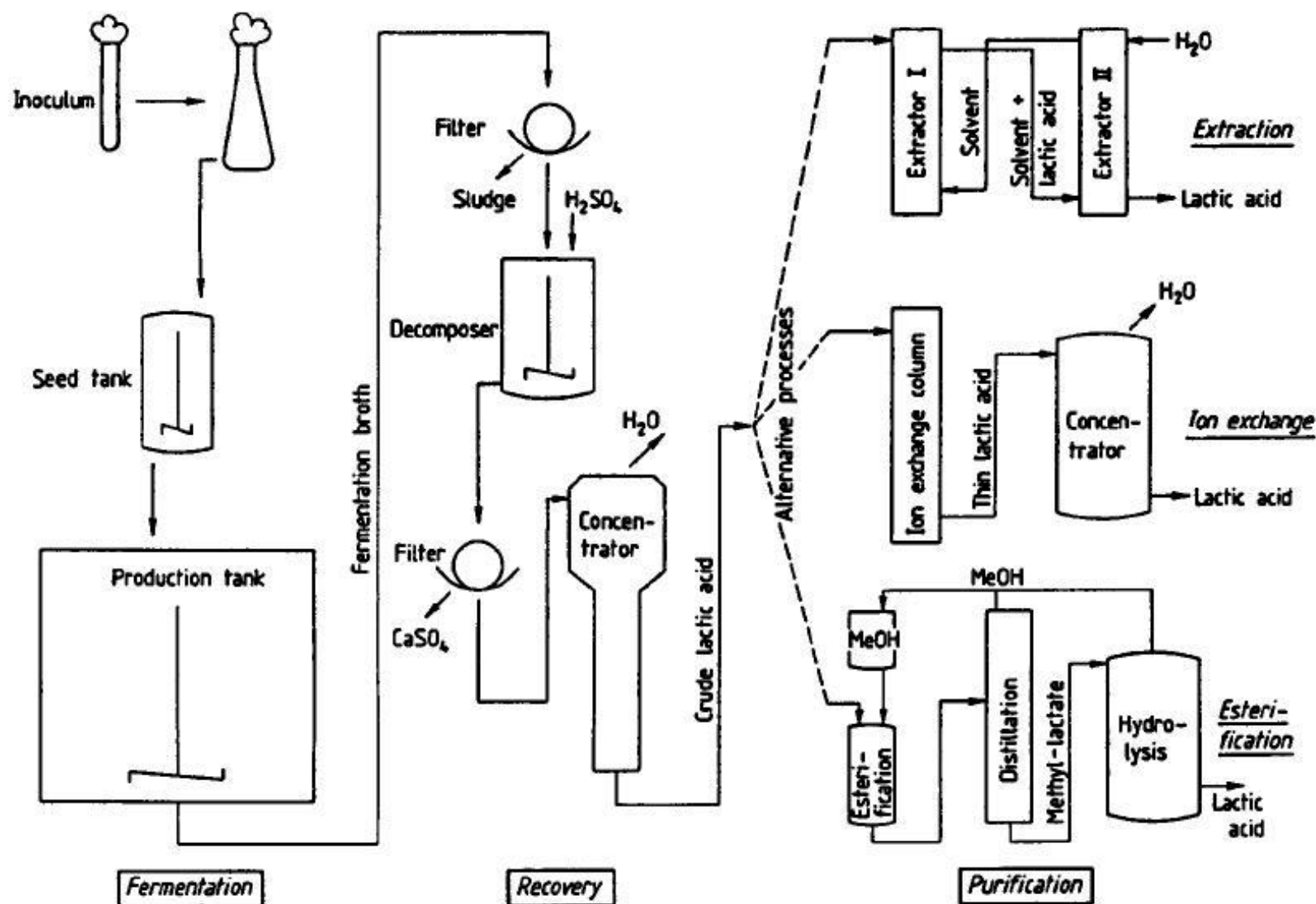
Barley waste



Peanut husk

# Process 1 – use of calcium lactate

- Concentration of sugar source 120-180 g / L, complex sources of nitrogen
- Concrete bioreactors with a special surface
- Cultivation temperature > 45°C
- The addition of  $\text{CaCO}_3$  or  $\text{Ca}(\text{OH})_2$  (maintaining the pH between 5.5 and 6)
- Inoculum - 10% volume
- Decomposition of sulfuric acid lactate, formation of gypsum



**Fig. 2.** Industrial production of lactic acid (BUCHTA, 1983; with permission from VCH).

# Process 2 – use of ammonium lactate

Neutralization of the formed acid with ammonia

Esterification of ammonium lactate, release of ammonium ion

Distillation



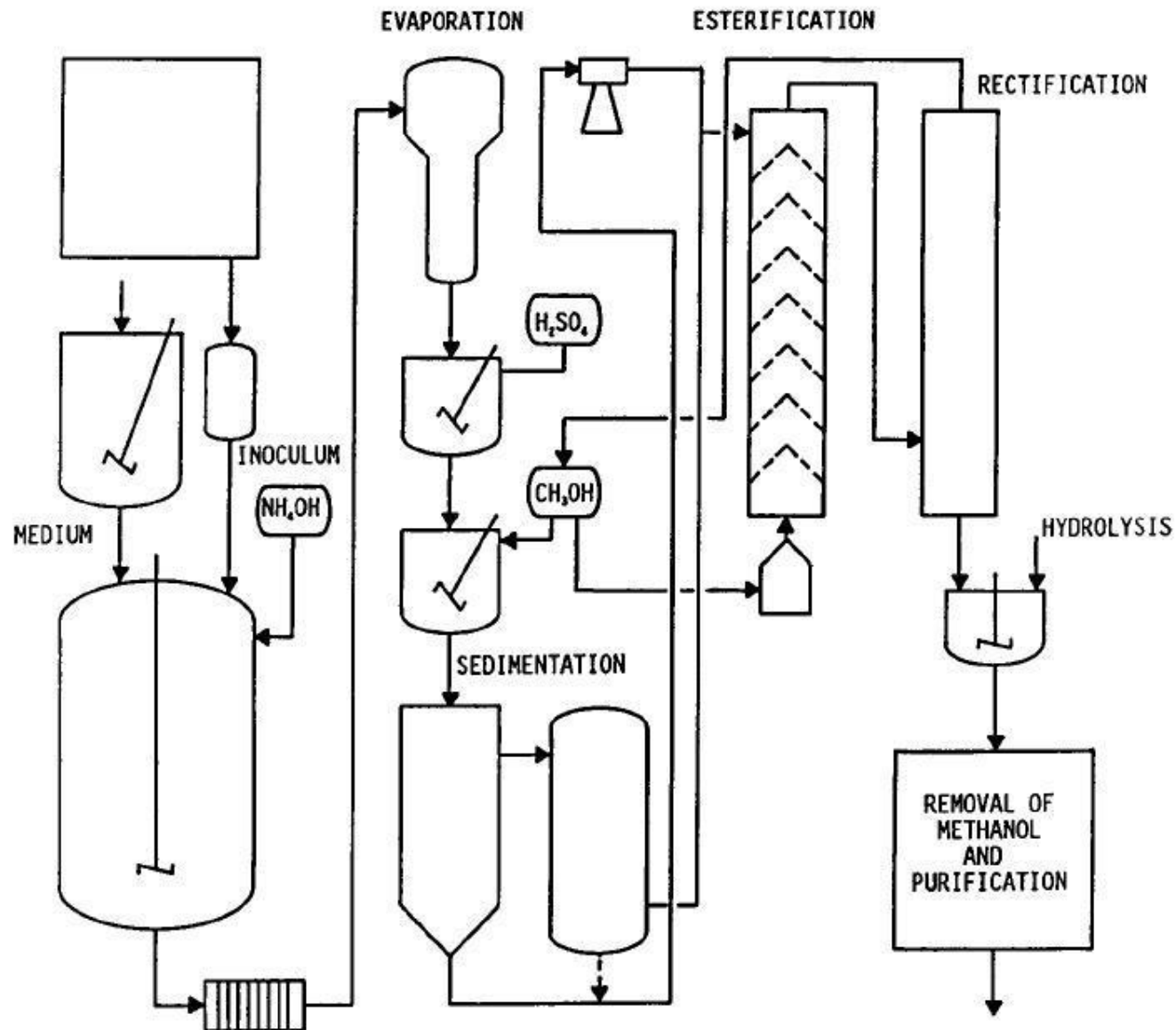
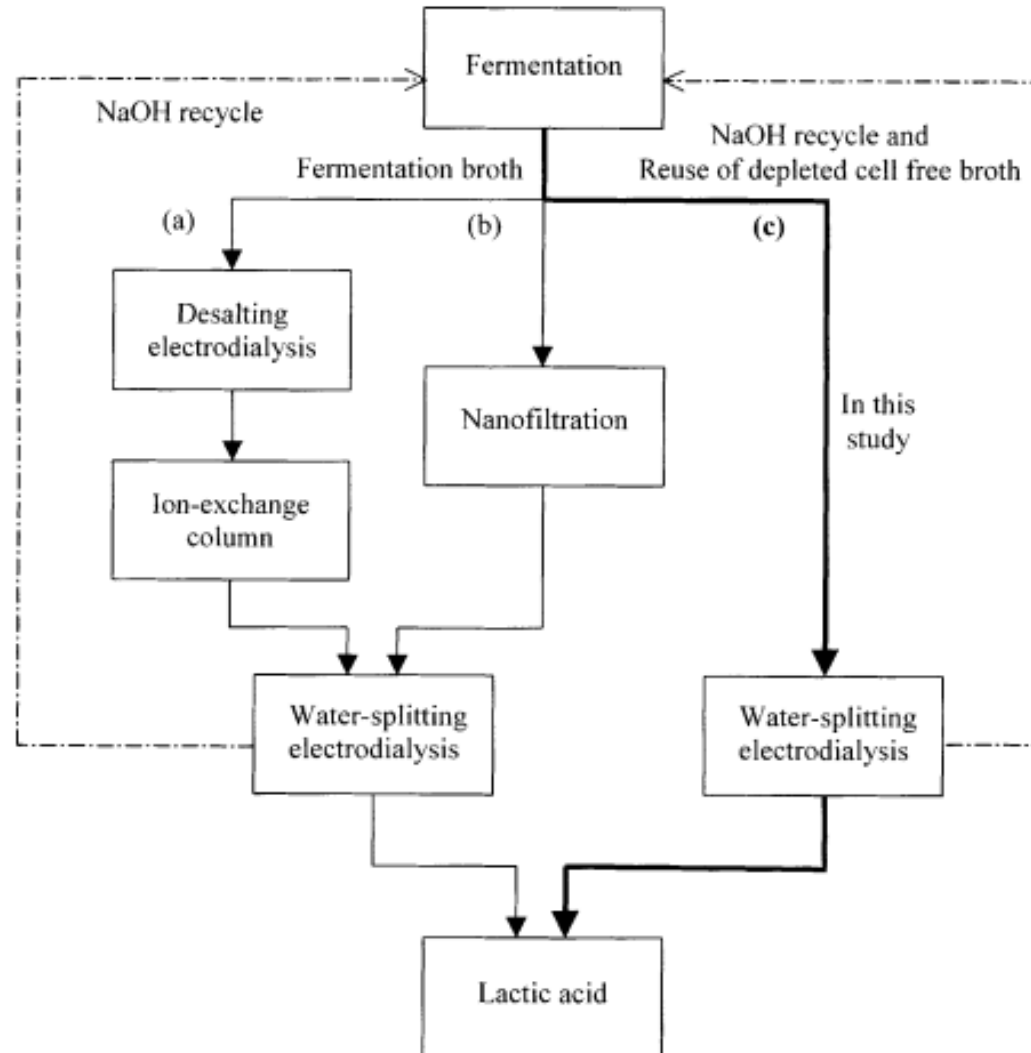


Fig. 3. Industrial production of lactic acid using the ammonium lactate process.

# Izolation of lactic acid

- Calcium lactate precipitation, filtration, release from salt by increasing T and pH, again precipitation and thickening
- Solvent extraction with isopropyl ether, isobutanol, re-extraction into water, precipitation
- Sorbtion on ionexes
- Electrodialysis
- Distillation after esterification with methanol

## Use of electrodialysis for the purification of lactic acid



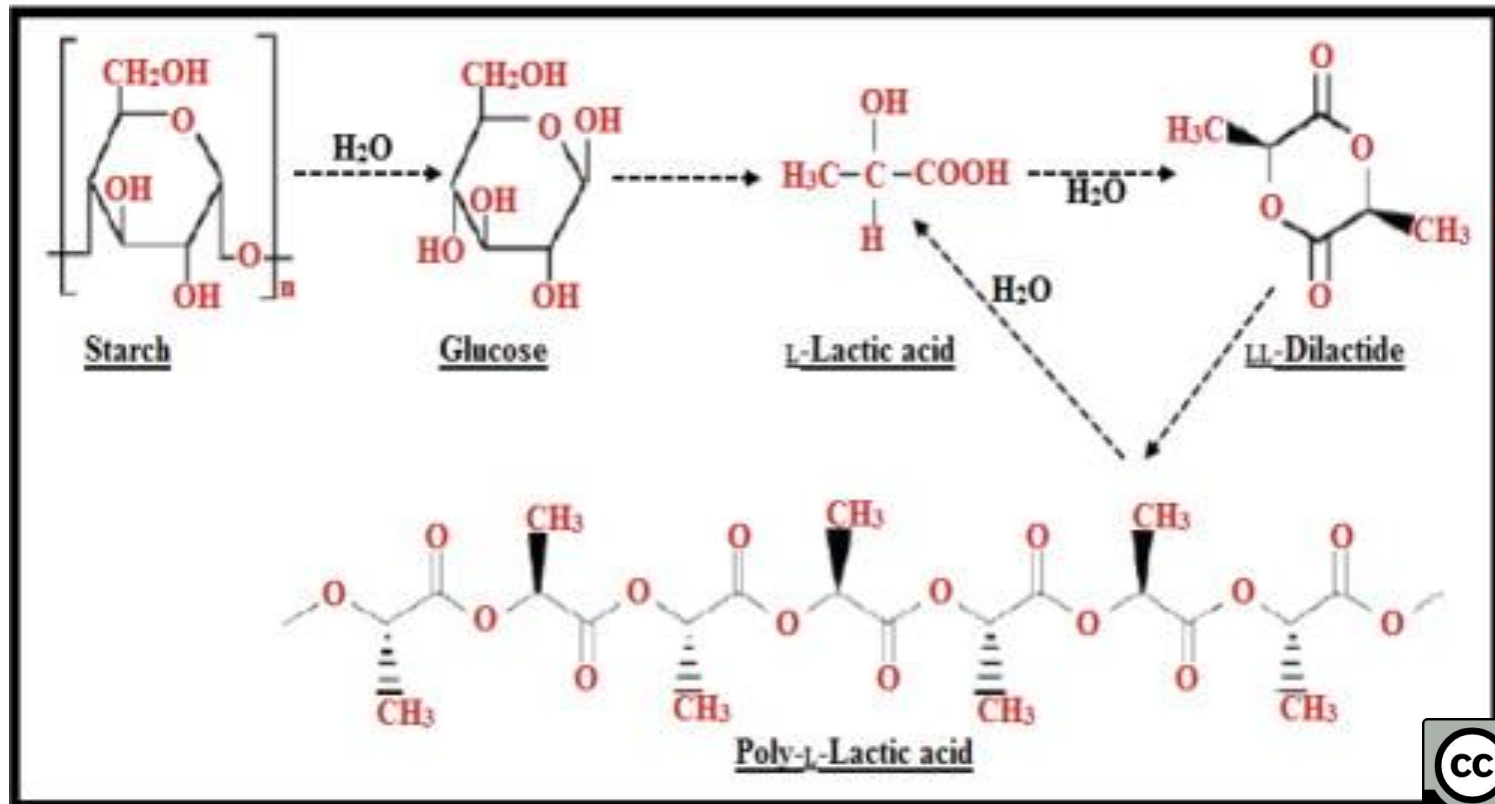
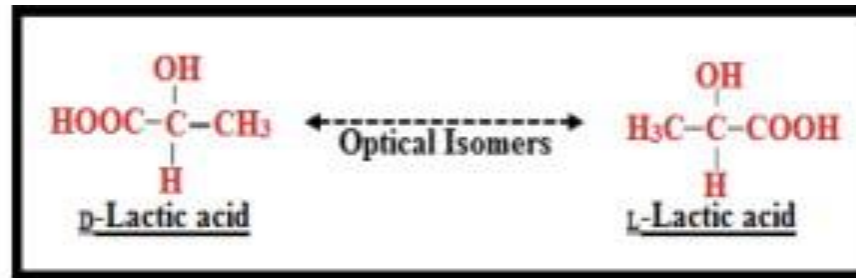


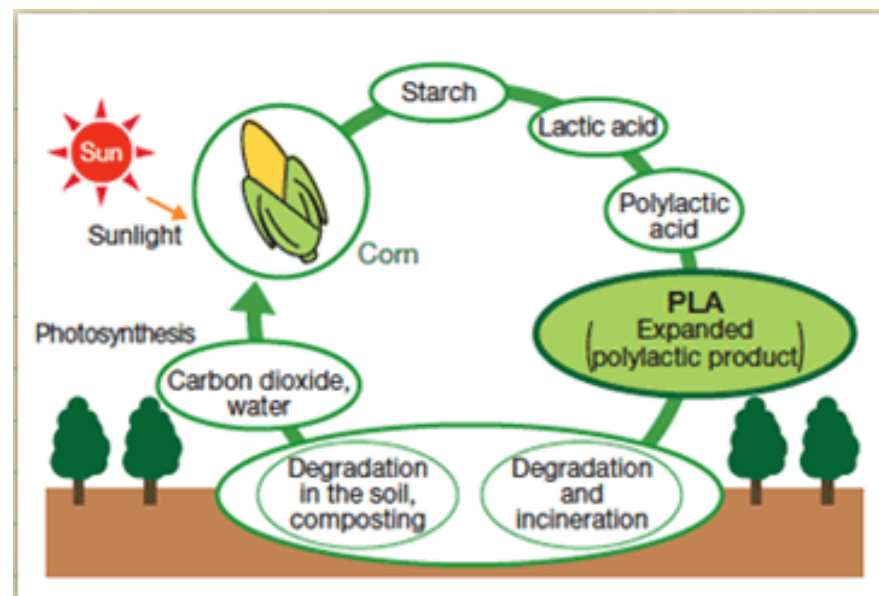
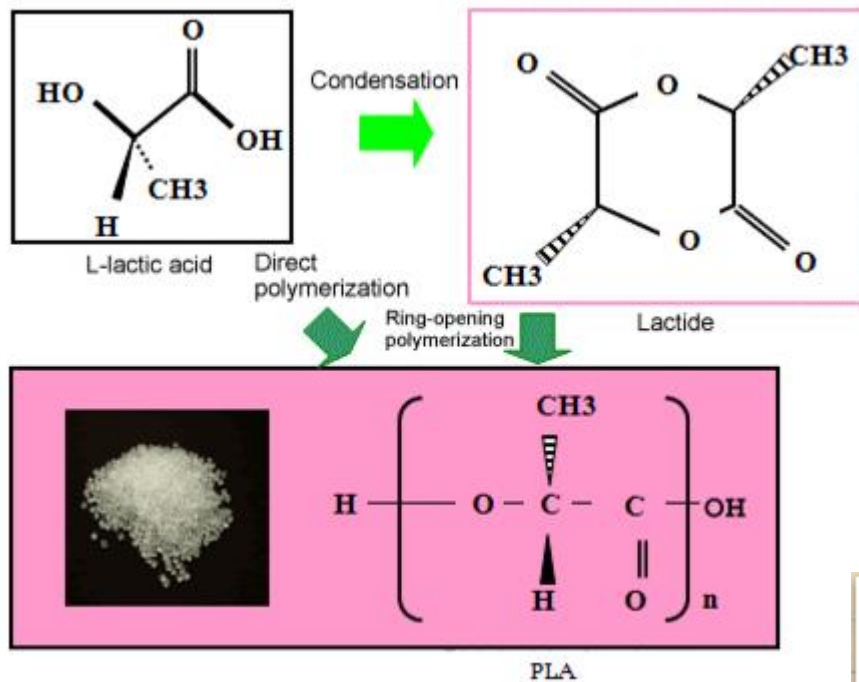


# Purification of lactic acid

- Active carbon
- precipitation of heavy metals
- Thickening up to 80%
- Ionex resins

# Production of PLA





# *Production of lactic acid using Rhizopus mold*

Advantages and disadvantages compared to  
lactic acid bacteria:

Using defined media

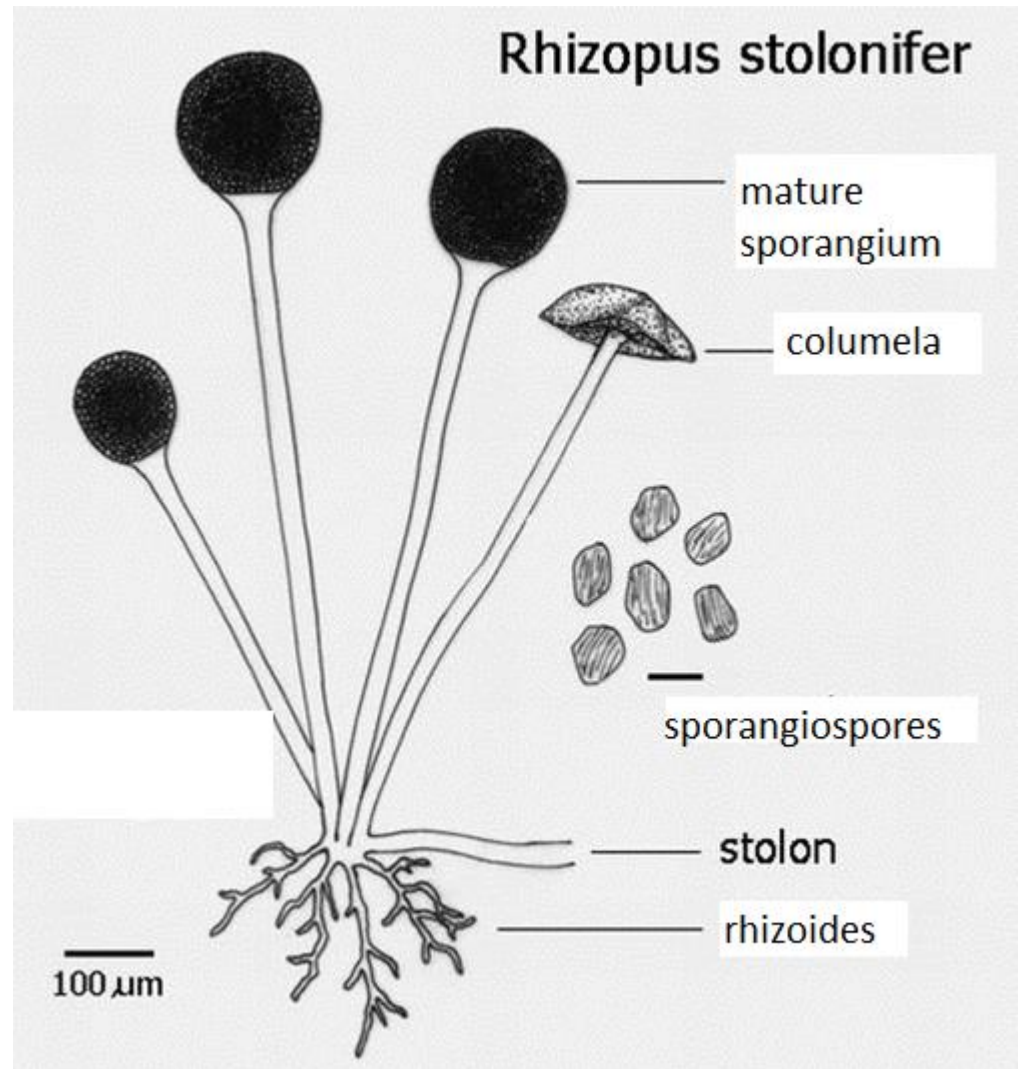
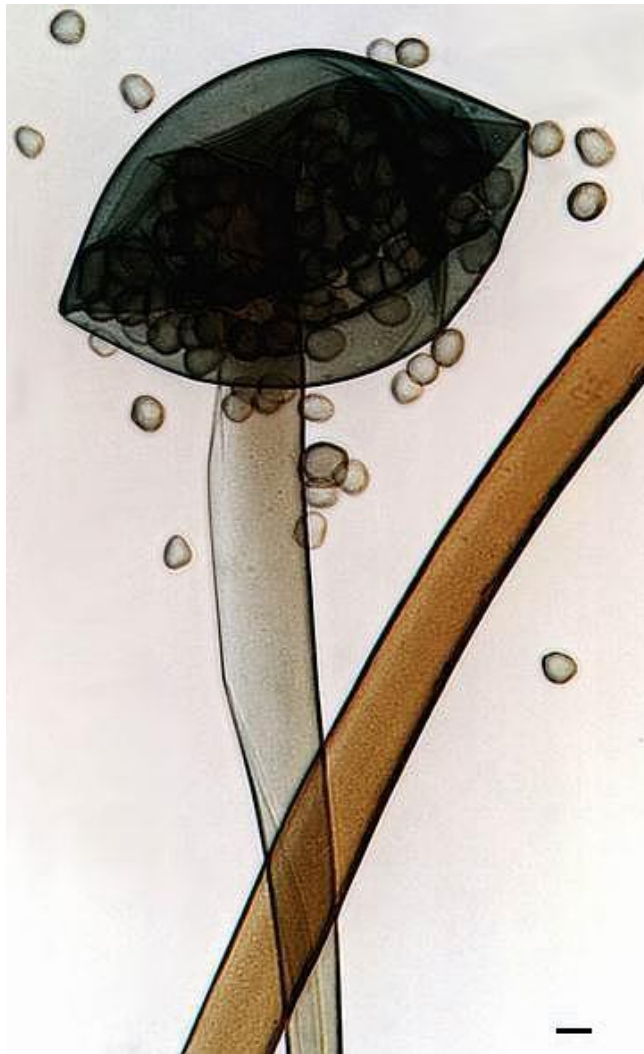
Inorganic nitrogen source

High initial sugar concentrations

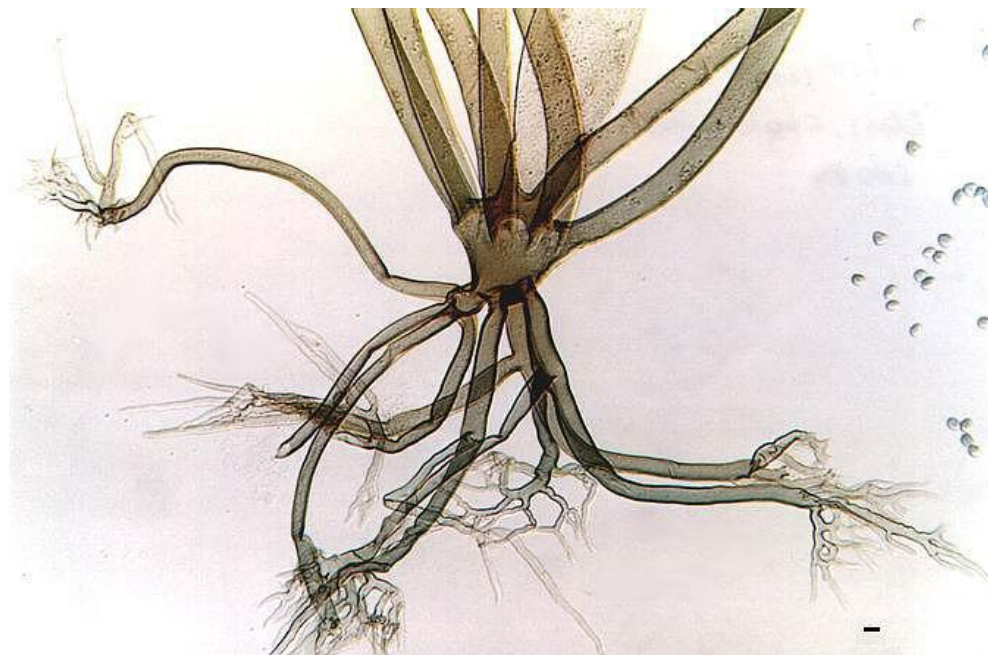
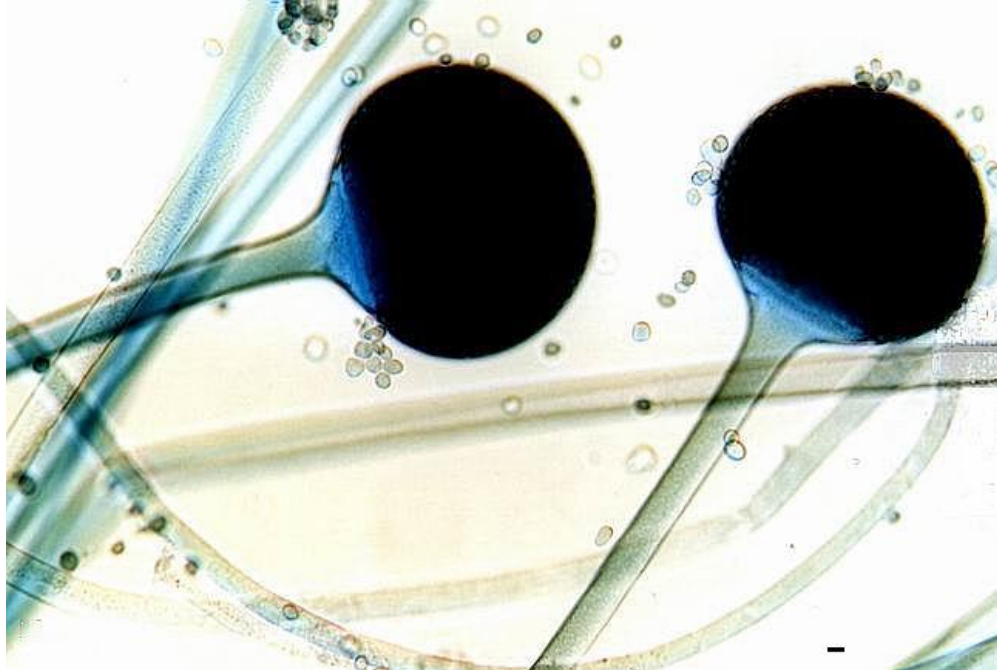
Production of exclusively L-lactic acid

X side production - ethanol, fumaric acid ...

# *Rhizopus*











# *Rhizopus oryzae*

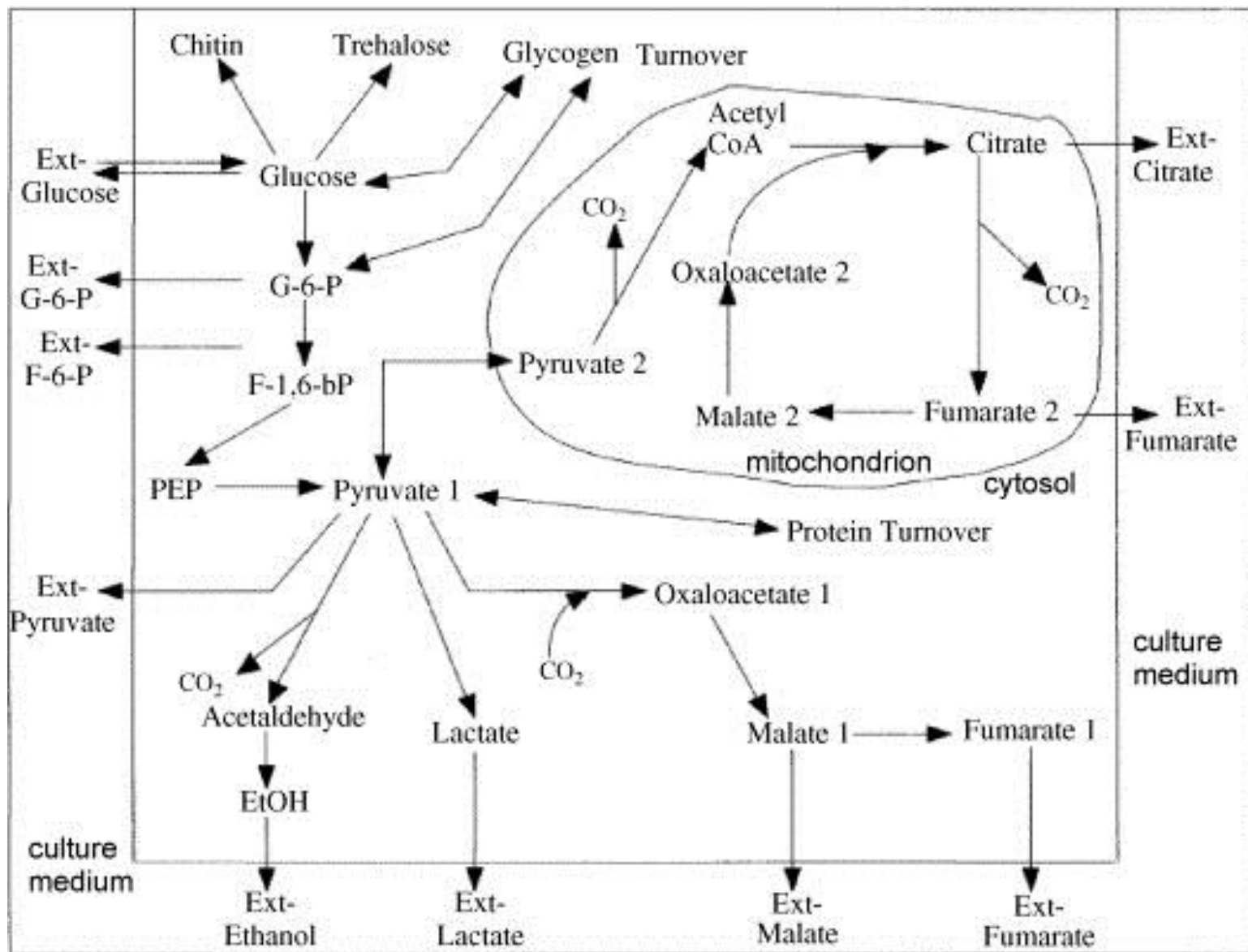
Taxonomic classification : Zygomycota,  
Mucorales

Reproduction: sexual zygosporangia,  
asexual sporangiospores. Heterothallic  
species.

# Formation of lactic acid

Glycolysis Under Aerobic Conditions - More Lactate

Under anaerobic conditions - more ethanol



# Silage production

Target:

- preserving feed by means of lactic fermentation
- prevention of toxin production
- aerobic stability
- no butyric acid, low acetic acid content



# Factors influencing the ensiling process

- Substrate composition: grass, corn ...  
(content of usable carbohydrates, starch ...)
- Inoculating silage preparation  
(homofermentative or heterofermentative mixed culture of lactic bacteria)
- Sufficient suction, airtight pit closure  
(anaerobic process)



# The most common problems

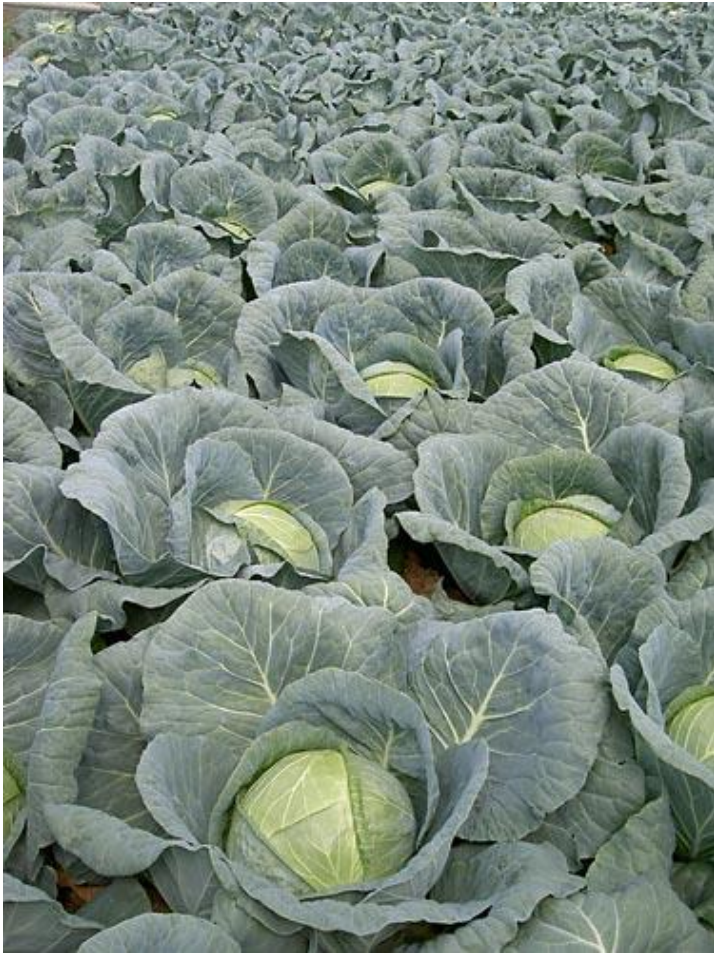
- Molding of silage (wet silage) - prevention - homofermentative bacteria
- Poor aerobic stability - prevention - Heterofermentative bacteria
- Secondary heating - prevention - acetic acid production - prevention of yeast growth



# Fermented vegetable



# Sauerkraut



# Processing

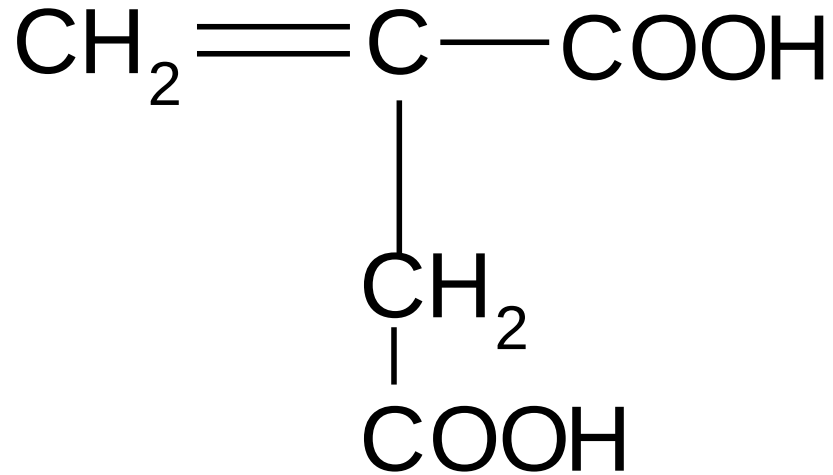


1. Quality of raw material
2. Post-harvest crop sorting
3. Grinding, cutting
4. Sitting in a vat
5. Spice addition - salt, caraway (sugar)
6. Inoculation
7. Own fermentation
8. Packaging
9. (Pasteurization)

# Fermentation

- Anaerobic
- homo- or heterofermentative lactic acid bacteria
- **Undesirable processes:** formation of biogenic amines, butyric acid, secondary alcoholic fermentation, contamination of the fermenting liquid with aerobic microorganisms

# Itaconic acid



Use:

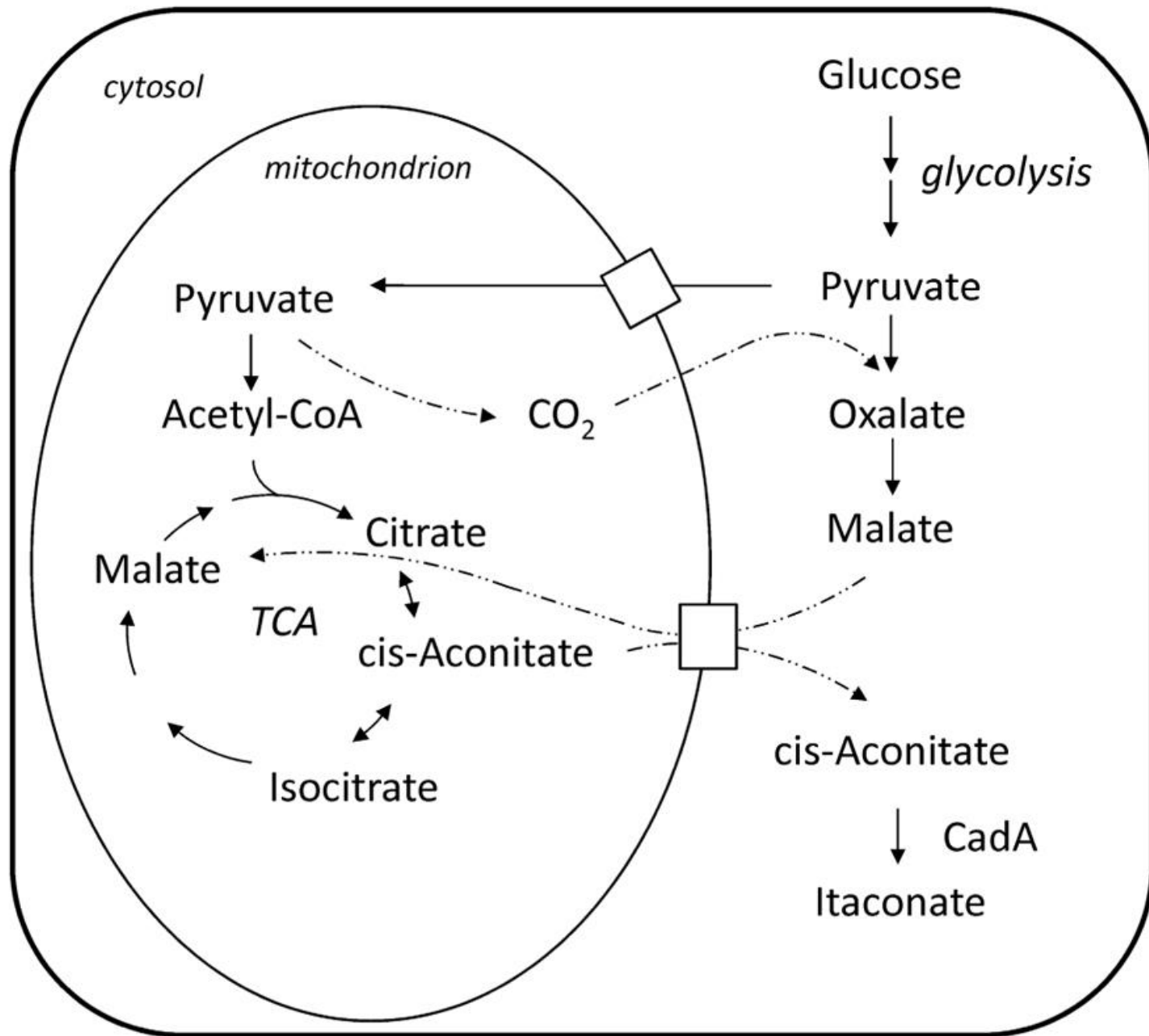
Manufacture of plastics

Production of printing ink

Production of acrylics

Producer of itaconic acid :

*Aspergillus terreus*, *Aspergillus itaconicus*





# Production of itaconic acid

- Molasses medium (15% sugar)
- Continuous aeration
- Production temperature 45 ° C
- Neutralization of pH using calcium or ammonium hydroxide
- Influence of morphology on acid production

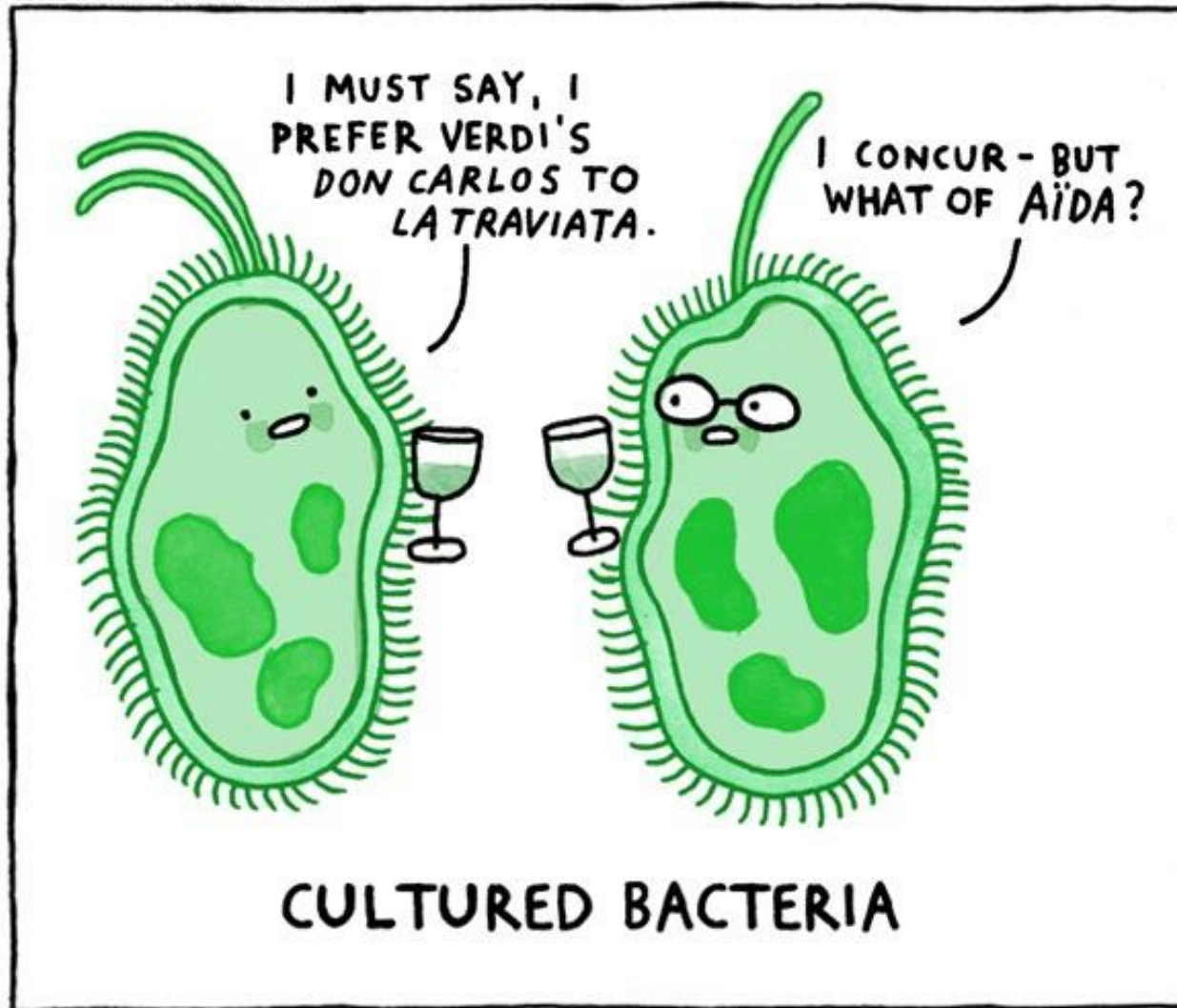


# Izolation and purification

- Molasses medium (15% sugar)
- Continuous aeration
- Production temperature 45 ° C
- Neutralization of pH using calcium or ammonium hydroxide
- Influence of morphology on acid production

# MONDAY PUNDAY

BY GEMMA CORRELL  
WWW.GEMMACORRELL.COM



© GEMMA CORRELL / [www.gemmacorrell.com](http://www.gemmacorrell.com)



EUROPEAN UNION  
European Structural and Investing Funds  
Operational Programme Research,  
Development and Education



UNIVERSITY OF  
CHEMISTRY AND TECHNOLOGY  
PRAGUE

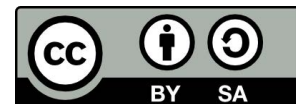
# Biotechnology in Food Industry

Lecture XI

Petra Patáková  
[petra.patakova@vscht.cz](mailto:petra.patakova@vscht.cz)

The published materials are intended for students of the University of Chemistry and Technology, Prague as a study material. Some text and image data contained therein are taken from public sources. In the case of insufficient quotations, the author's intention was not to intentionally infringe the possible author(s) rights to the original work.

If you have any reservations, please contact the author(s) of the specific teaching material in order to remedy the situation.



# Mikrobial production of amino acids

# Use of amino acids

- Food industry - MSG (sodium glutamate) - umami taste
- L-aspartyl-L-phenylalanine (aspartame)
- glycine, L-cysteine
- Feed industry - Dietary supplements for D, L-methionine, L-lysine and L-threonine (L-tryptophan)
- Pharmaceutical industry - intravenous nutrition - infusion solutions, drug production
- Cosmetics - cysteine ...

# Amino acids essential for humans

- L-isoleucine
- L-leucine
- L-lysine
- L-methionine
- L-phenylalanine
- L-threonine
- L-tryptophan
- L-valine

# Industrial production of amino acids

- Chemical synthesis glycine, D, L-methionine
- Extraction from hydrolysates
- Fermentation - MSG, L-lysine, L-threonine, L-phenylalanine, L-tryptophan
- Enzymatic catalysis



**Tab. 2.** Production of Amino Acids, Methods and Volume

| Type     | Amino Acid                      | Preferred Production Method         |
|----------|---------------------------------|-------------------------------------|
| I        | L-Alanine                       | enzymatic catalysis                 |
| I        | L-Asparagine                    | extraction                          |
| I        | L-Glutamine                     | fermentation, extraction            |
| I        | L-Histidine                     | fermentation, extraction            |
| I        | L-Isoleucine                    | fermentation, extraction            |
| I        | L-Leucine                       | fermentation, extraction            |
| I        | L-Methionine                    | enzymatic catalysis                 |
| I        | L-Proline                       | fermentation, extraction            |
| I        | L-Serine                        | fermentation, extraction            |
| I        | L-Tyrosine                      | extraction                          |
| I        | L-Valine                        | enzymatic catalysis, fermentation   |
| II       | L-Arginine                      | fermentation, extraction            |
| II       | L-Cysteine                      | reduction of L-cystine (extraction) |
| II       | L-Tryptophan                    | fermentation                        |
| III      | Glycine                         | chemical synthesis                  |
| III      | L-Aspartic acid                 | enzymatic catalysis                 |
| III      | L-Phenylalanine                 | fermentation                        |
| III      | L-Threonine                     | fermentation                        |
| IV       | D,L-Methionine                  | chemical synthesis                  |
| IV       | L-Glutamic acid                 | fermentation                        |
| IV       | L-Lysine                        | fermentation                        |
| Type I   | 100–1000 t a <sup>-1</sup>      |                                     |
| Type II  | 1000–8000 t a <sup>-1</sup>     |                                     |
| Type III | 8000–100000 t a <sup>-1</sup>   |                                     |
| Type IV  | 100000–800000 t a <sup>-1</sup> |                                     |

# Microbial amino acid production

Food industry

L-glutamic acid.

L-asparagic a.

L-phenylalanine



In foods 0.1-0.8% MSG (sodium glutamate)

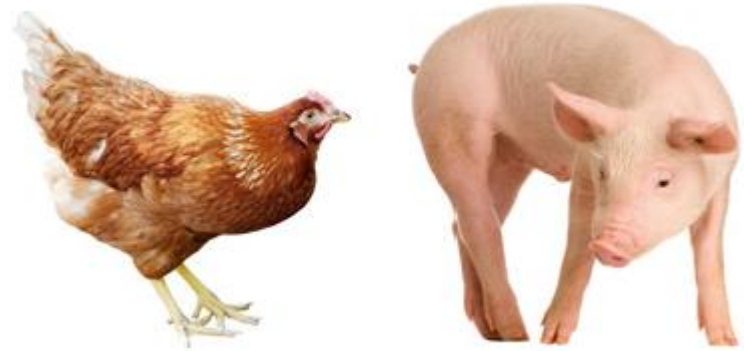
Feed

D, L-methionine

L-lysine

L-threonine

L-tryptophan



# Pharmacy

L-alanine

L-arginine

L-glutamine

L-histidine

L-isoleucine

L-leucine, L-valine

L-methionine

L-proline

L-serine



## A taste of amino acids

### Umami and sour tastes

Glutamate  
Aspartic acid

### Sweet taste

Glycine  
Alanine  
Threonine  
Proline  
Serine  
Glutamine

### Bitter taste

Phenylalanine  
Tyrosine  
Arginine  
Leucine  
Isoleucine  
Valine  
Methionine  
Histidine

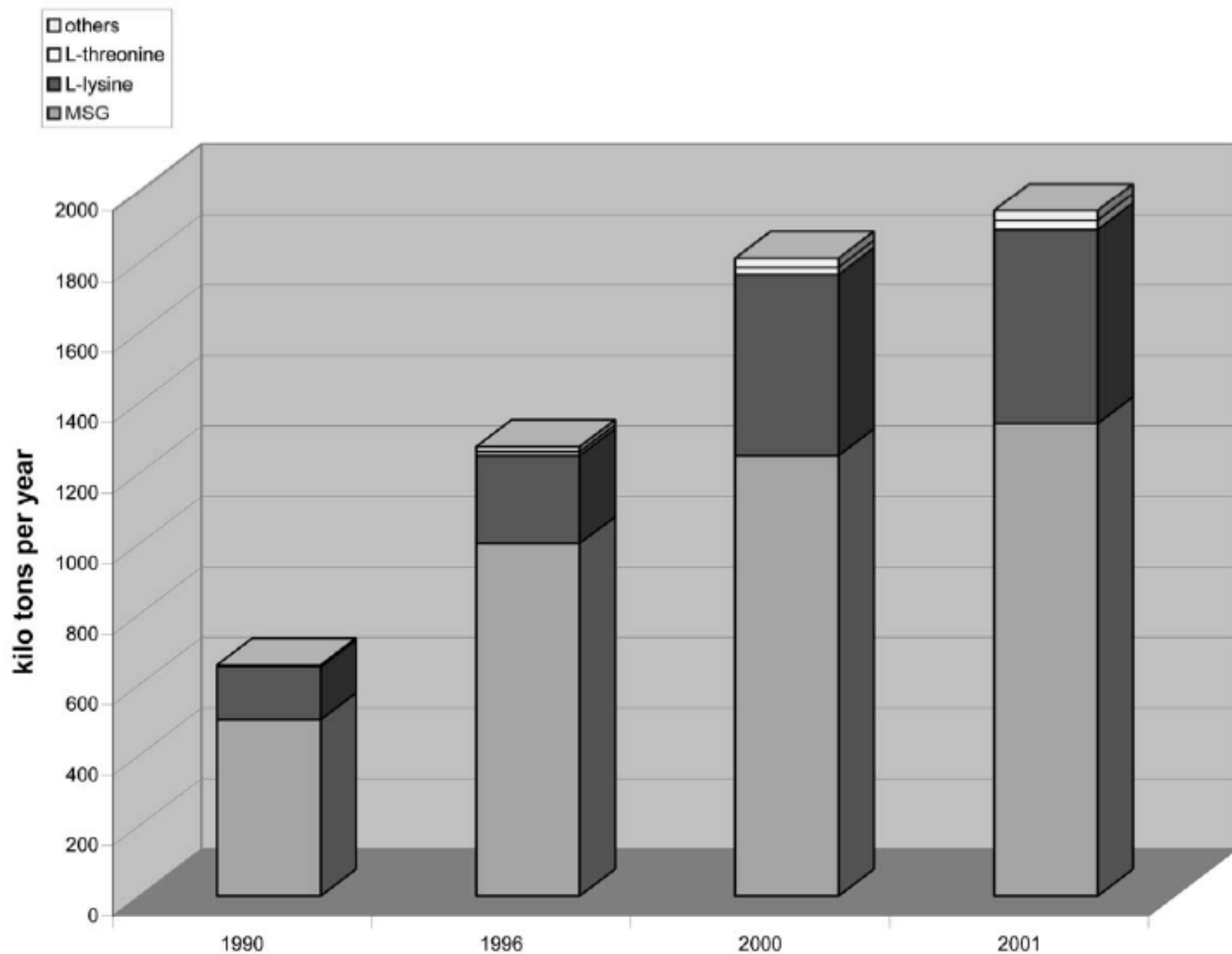


Fig. 1. Market development of biotechnological produced amino acids.

2

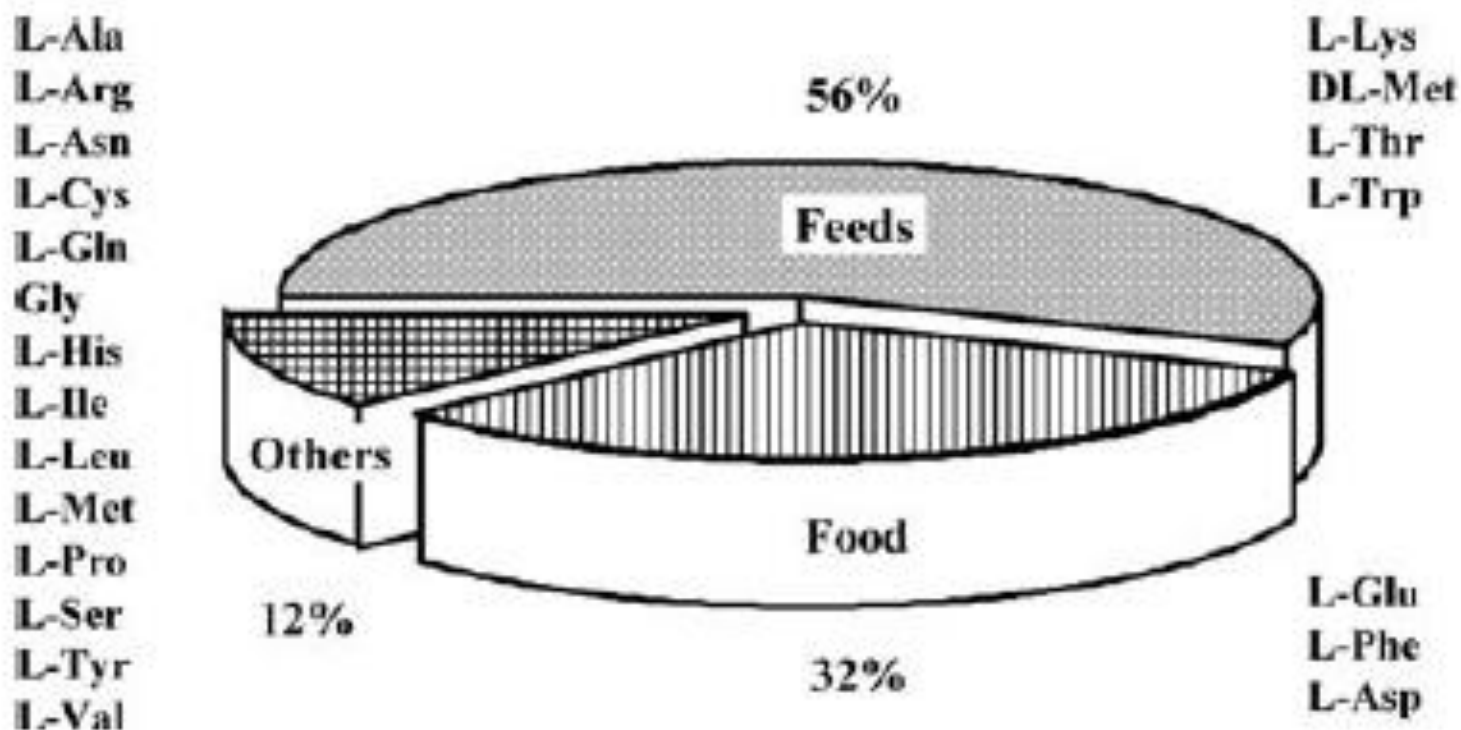
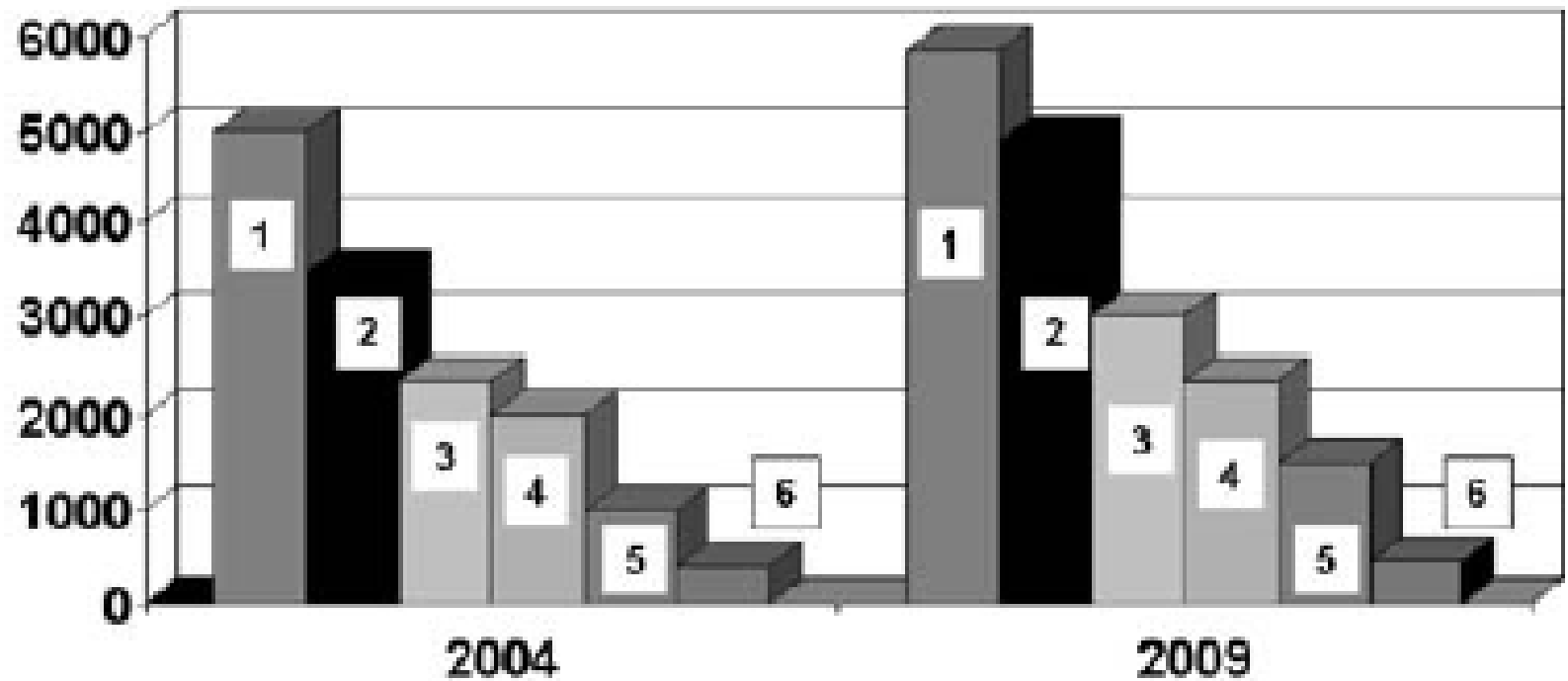


Fig. 1 Global market amino acids, 2004: US \$4.5 billion



US\$ millions



1 Crude antibiotics

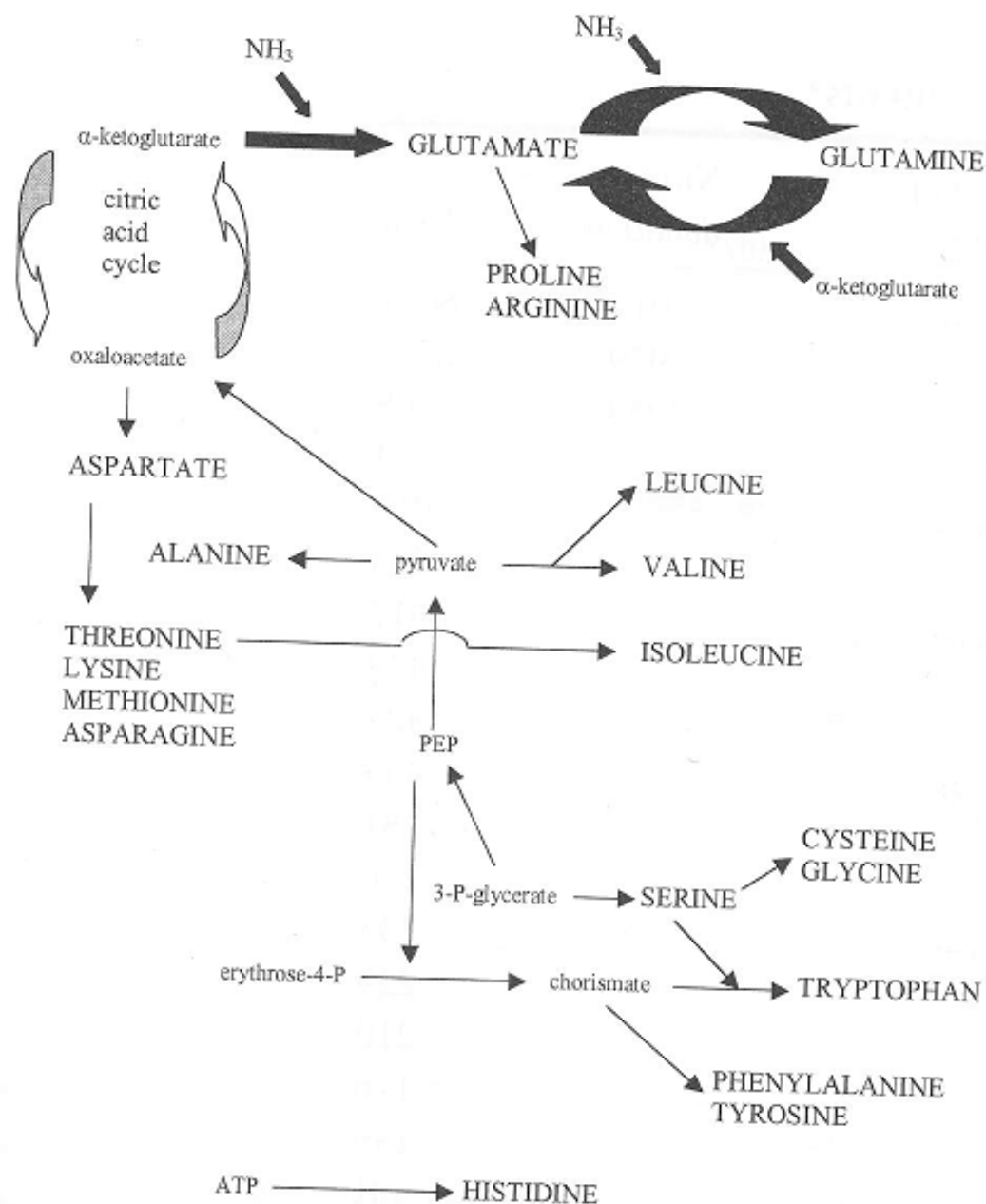
2 Amino acids

3 Organic acids

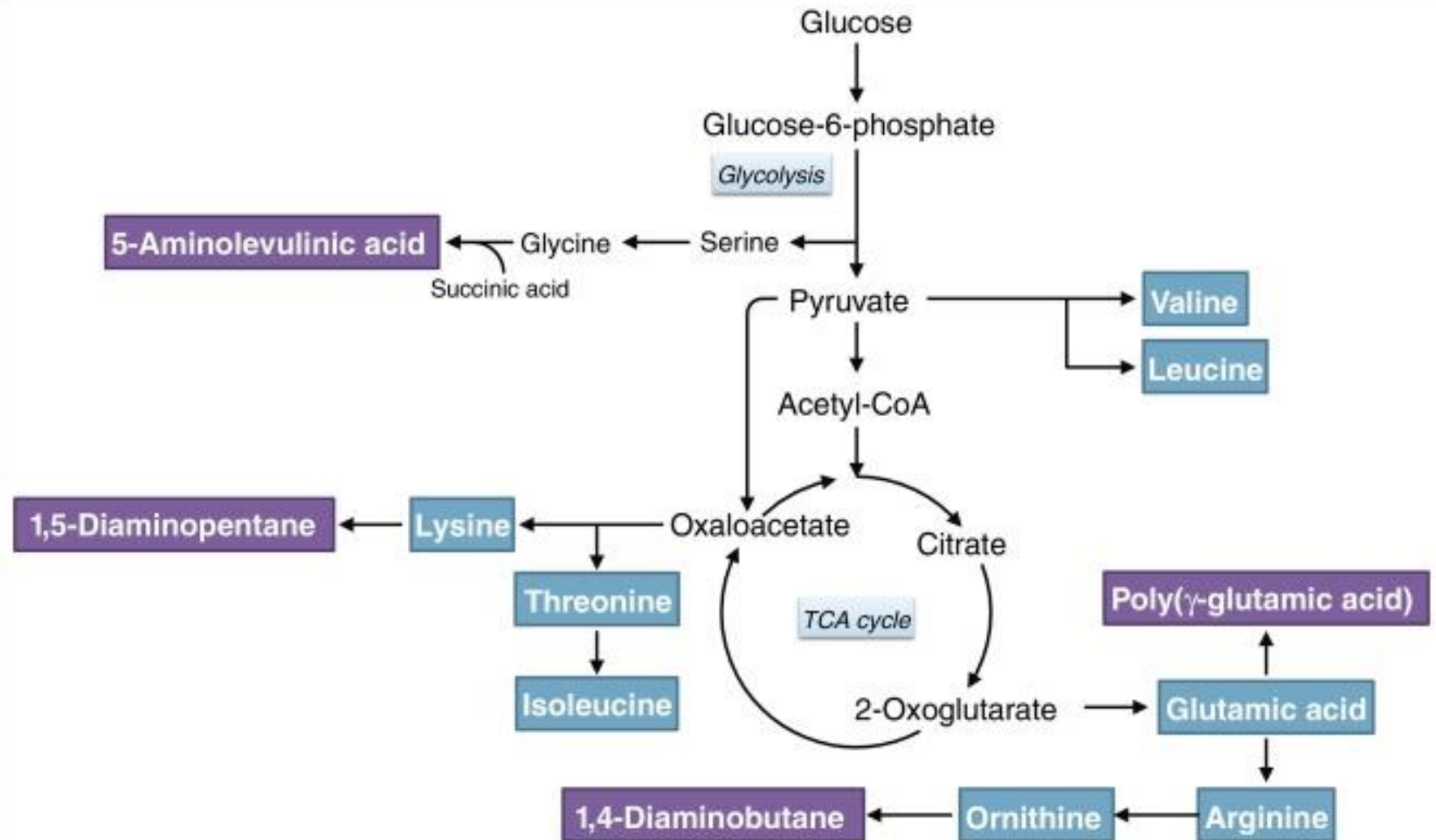
4 Enzymes

5 Vitamins

6 Xanthan



**Fig. 1.** Amino acid precursors and relationships between amino acids.



Current Opinion in Biotechnology

# Microbial strains for amino acid production

1. Wild strains - *Corynebacterium glutamicum* and *Brevibacterium flavum* for the production of L-glutamic acid.
2. Mutant strains:
  - auxotrophic
  - resistant to amino acid analoguesexample - production of L-lysine and L-tryptophan
3. Recombinant strains - *E. coli*  
example - produces L-threonine

| Amino acid      | Strain/mutant                        | Titer (g/l) | Estimated yield (g/100 g sucrose) |
|-----------------|--------------------------------------|-------------|-----------------------------------|
| L-Lysine HCl    | <i>C. glutamicum</i> B-6             | 100         | 40–50                             |
| L-Threonine     | <i>E. coli</i> KY 10935              | 100         | 40–50                             |
| L-Tryptophan    | <i>C. glutamicum</i> KY9218/pIK9960  | 58          | 20–25                             |
| L-Tryptophan    | <i>E. coli</i>                       | 45          | 20–25                             |
| L-Phenylalanine | <i>E. coli</i> MWPWJ304/pMW16        | 51          | 20–25                             |
| L-Arginine      | <i>Brevibacterium flavum</i> AJ12429 | 36          | 30–40                             |
| L-Histidine     | <i>C. glutamicum</i> F81/pCH99       | 23          | 15–20                             |
| L-Isoleucine    | <i>E. coli</i> H-8461                | 30          | 20–30                             |
| L-Serine        | <i>Methylobacterium</i> sp. MN43     | 65          | 30–35                             |
| L-Valine        | <i>C. glutamicum</i> VR 3            | 99          | 30–40                             |

# Culture medium for amino acid production

- Carbon source - molasses, glucose syrup, (methanol, n-alkanes ..)
- Nitrogen source - in small amounts, regulation of pH with ammonia
- Source of phosphorus, other minerals
- Supply of oxygen and / or CO<sub>2</sub>

# Bioprocess management

- Inoculation 1:10
- Usual size of production bioreactors 50-500 m<sup>3</sup>
- Batch or fed-batch process
- Repeated fed-batch process (part of the old medium with cells is used as an inoculum)
- Continuous process



# Isolation and separation of amino acids

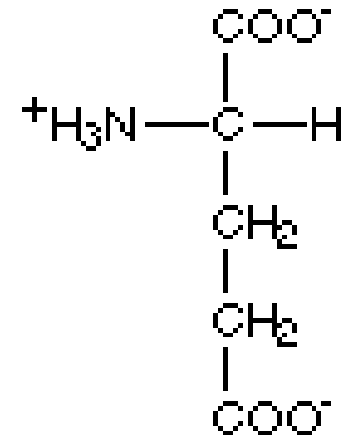
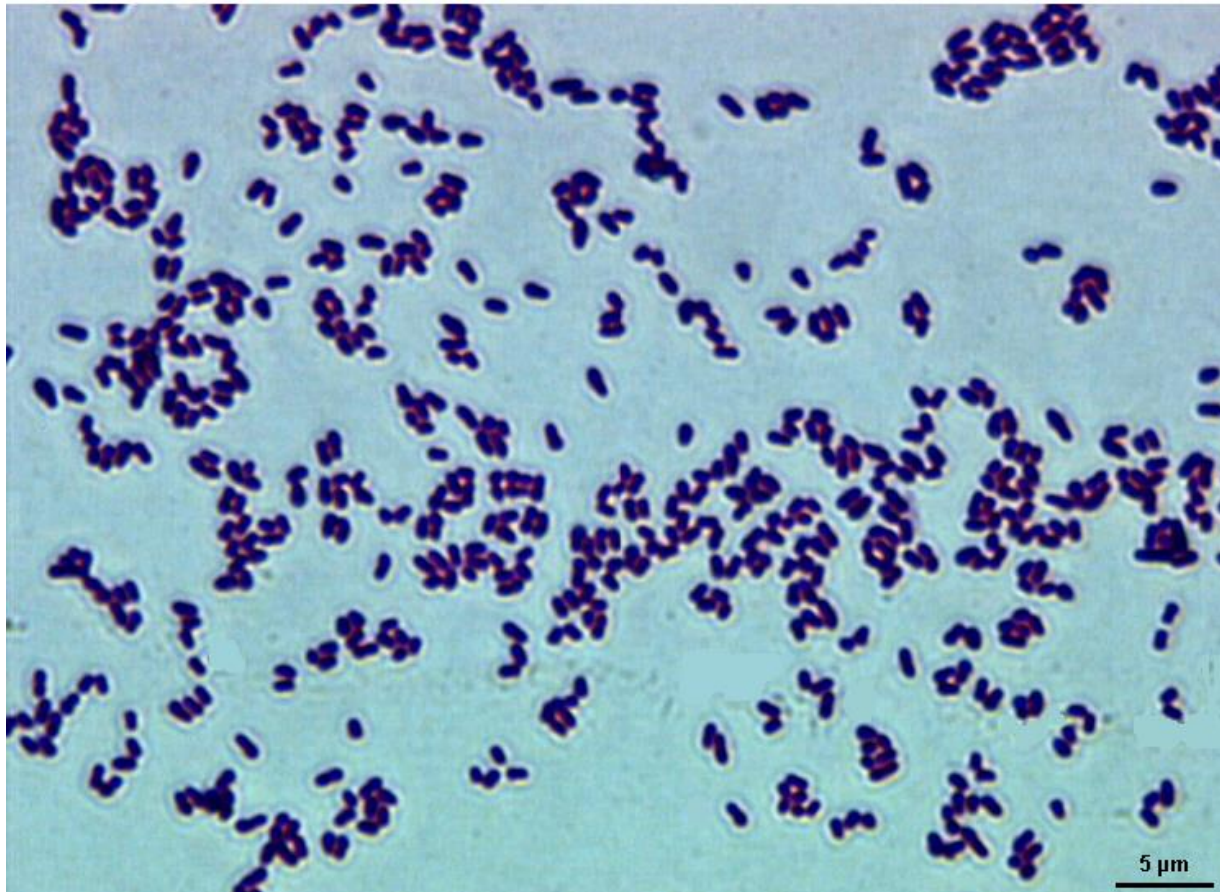
- (Removal of cells - centrifugation, decantation, filtration), not always for feed lysine
- Ionex
- Concentration and crystallization X requirements for wastewater treatment

# L-glutamic acid

*Corynebacterium glutamicum* (discovery in 1957)

G<sup>+</sup>, nonsporulating, immobile, requiring biotin

Both wild, mutant and recombinant strains are used



# Key factors influencing the fermentation of L-glutamic acid

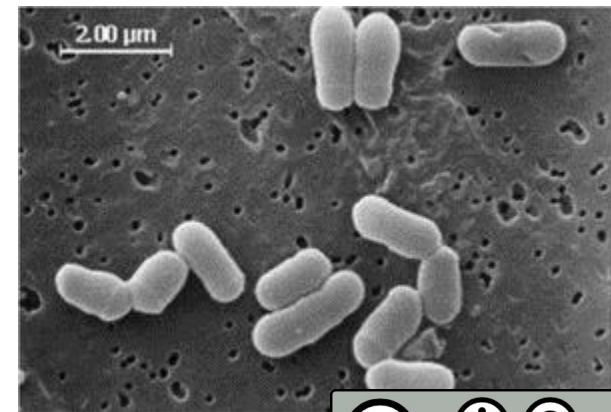
Presence of biotin for growth optimal for production at suboptimal concentration

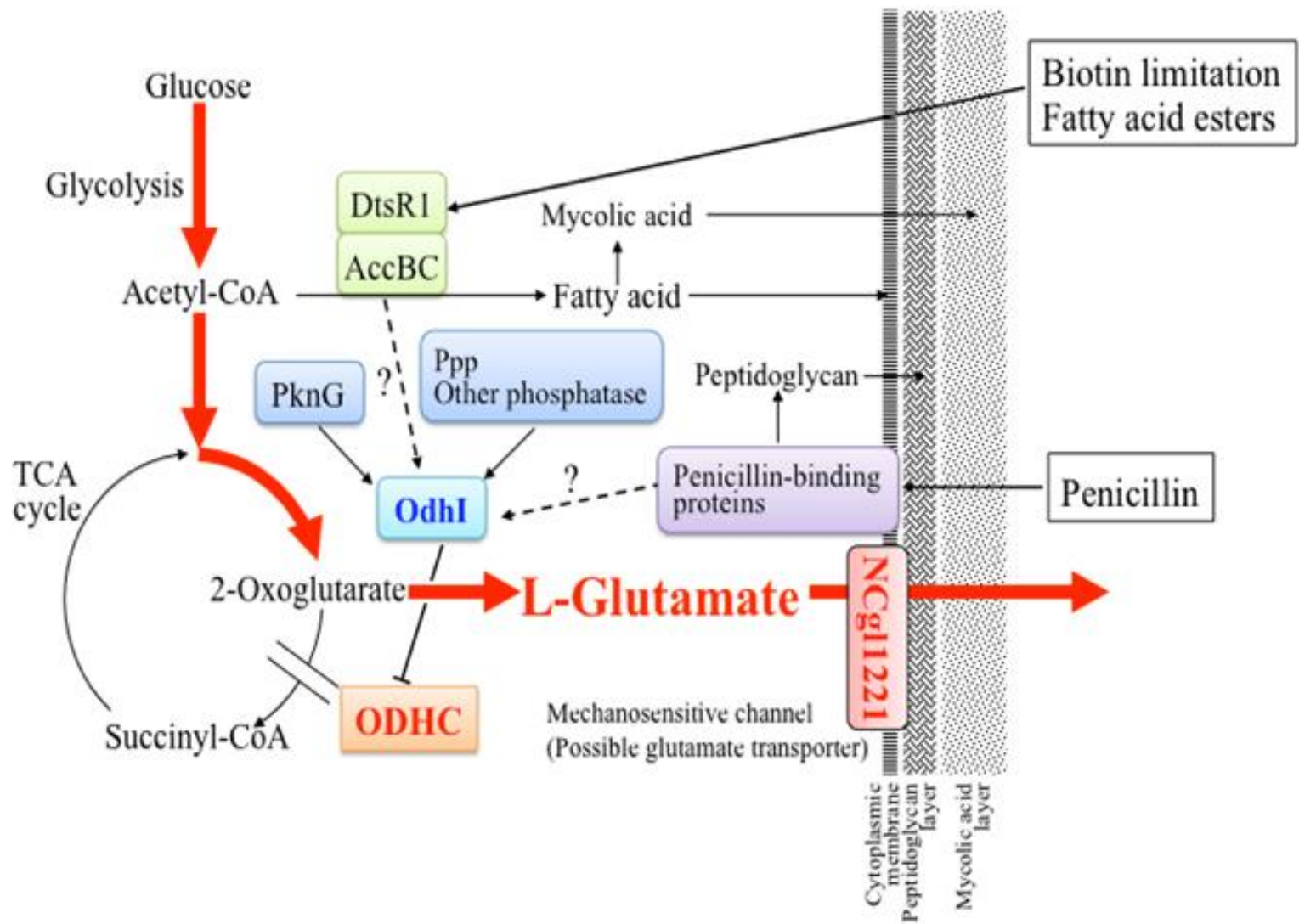
Adding penicillin or tween or cultivation of strains requiring oleic acids under limiting conditions - allowing acid transport from cells

Supply of oxygen

Carbon sources used: molasses, cereal hydrolysates

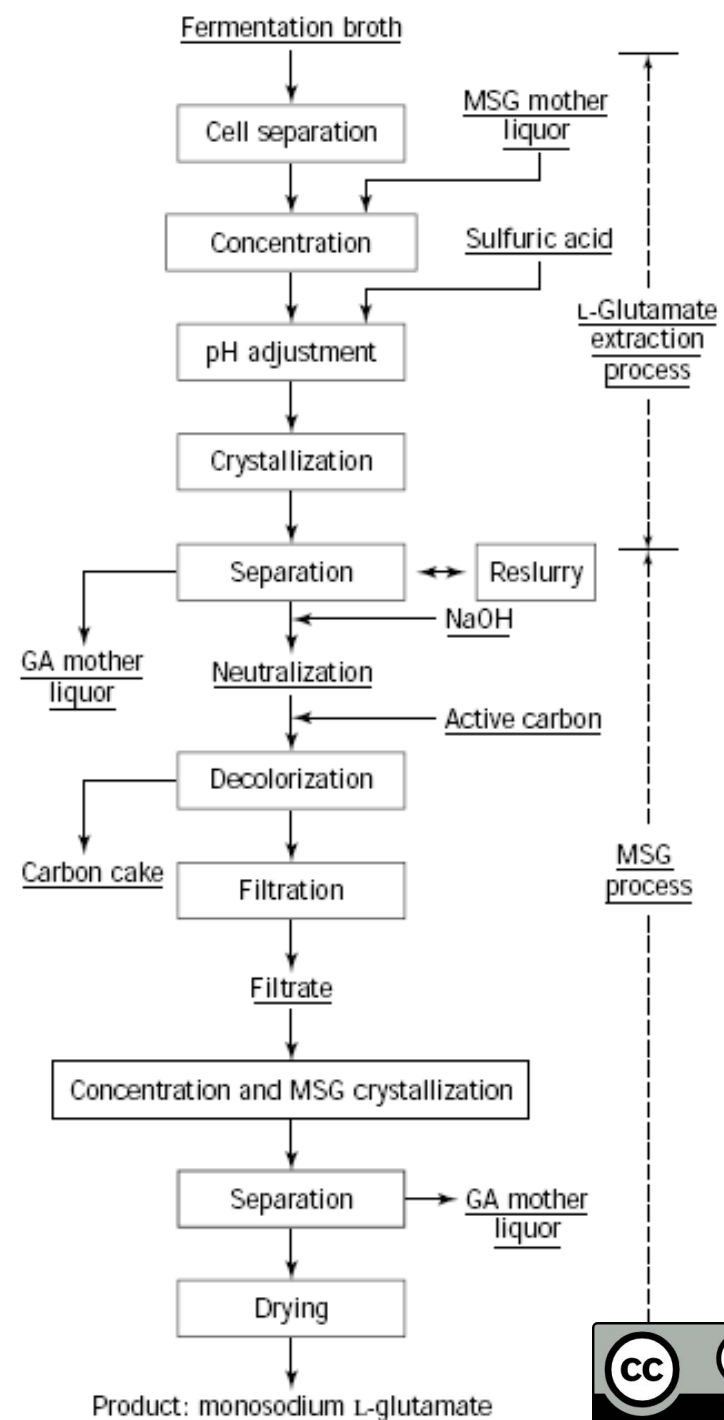
Multi-step inoculation, fed-batch cultivation, cultivation in air-lift bioreactors, cultivation time - 40-60h, 150g / l glutamate .



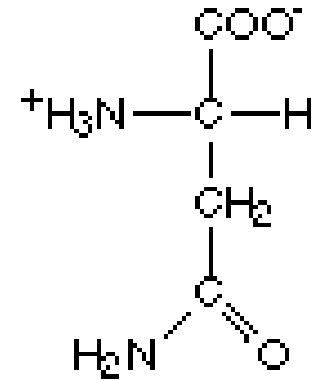


# Isolation of L-glutamic acid

- Centrifugation or filtration of biomass
- Concentrating the rest of the medium
- Crystallization
- Filtration
- Drying
- Yield 65-70% in isolation, remainder - use as fertilizer

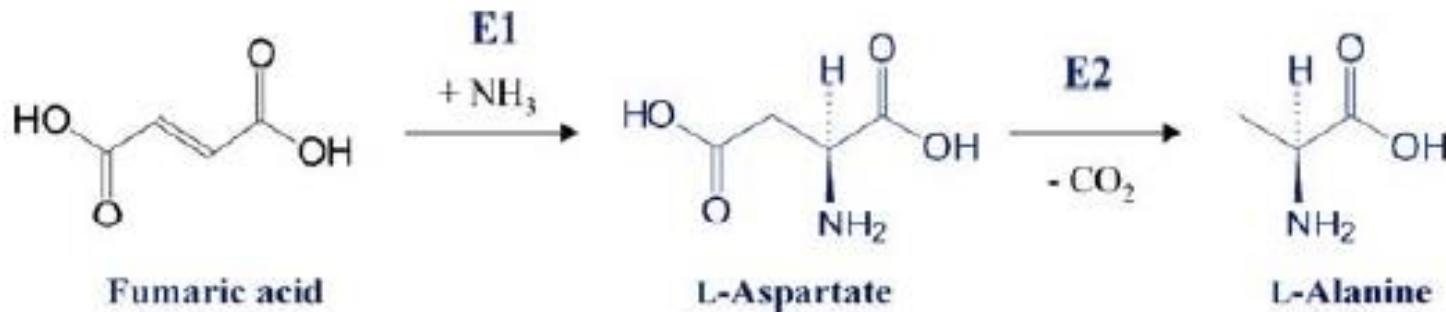


# L-aspartic acid



Enzyme catalysis - L-aspartate-ammonium lysate

Fumaric acid +  $\text{NH}_3 \rightarrow$  L-aspartic acid



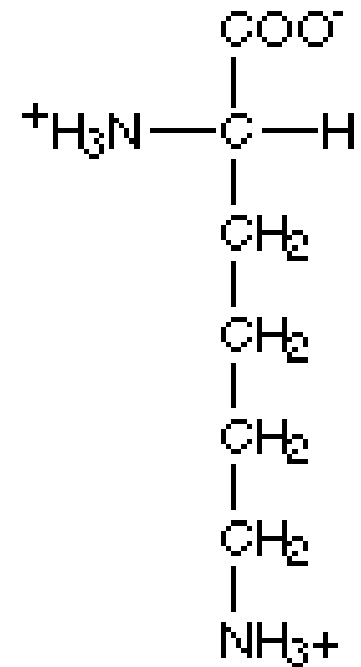
Enzyme from *E. coli*, immobilized, sometimes whole *E. coli* cells immobilized in the polyacrylamide gel

Product Separation - Crystallization after acidification

# L-lysine

*C. glutamicum* mutants:

- a) Auxotrophic mutants - auxotrophic to an amino acid with the same metabolic pathway as L-lysine (Thr, Leu, Met, Hse) - limitation of feedback inhibition
- b) Regulatory mutants - resistant to toxic L-lysine analogues - high concentrations of lysine do not inhibit the enzyme aspartate kinase
- c) Combined mutations

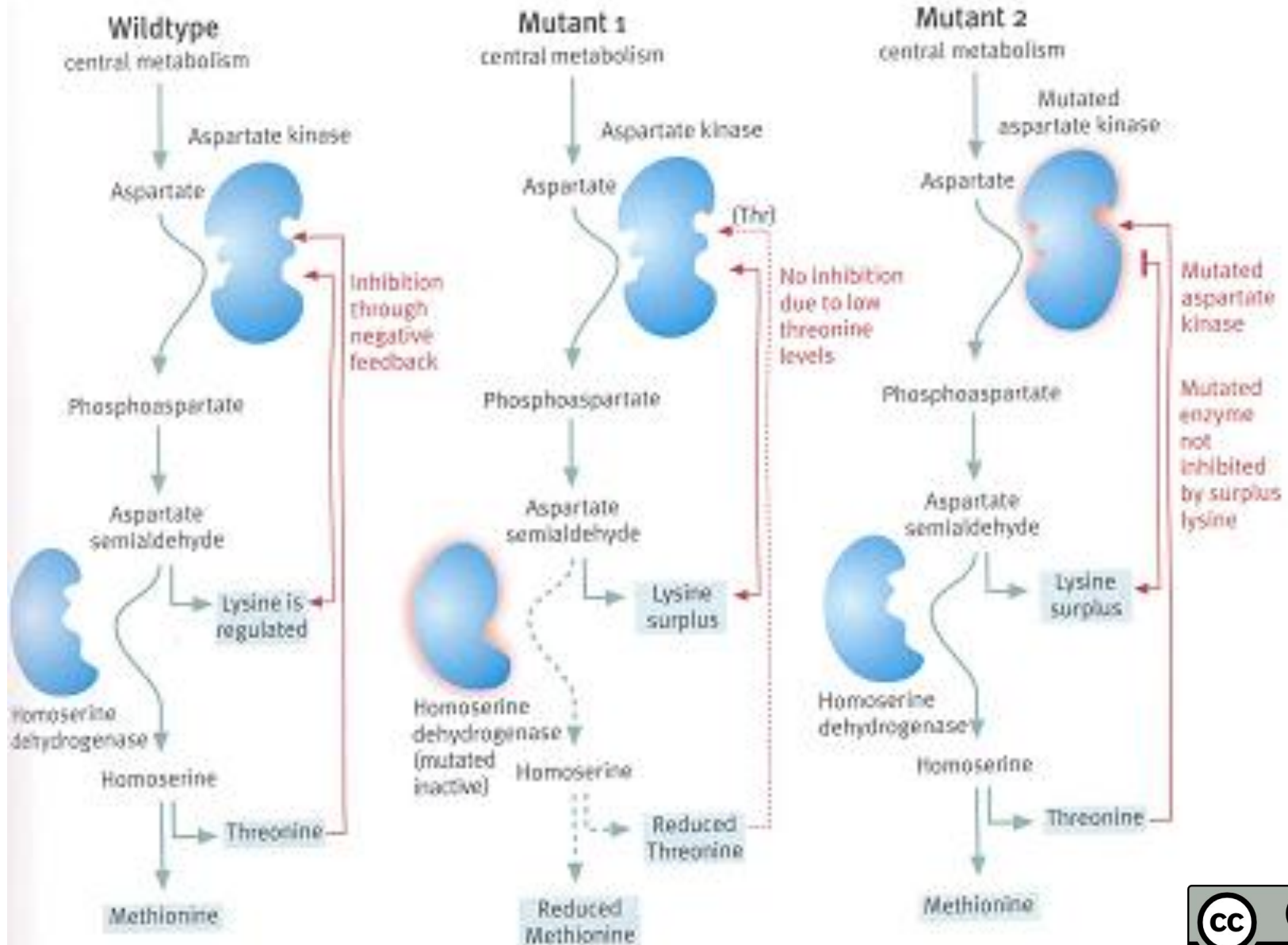


Lysine  
(lys)



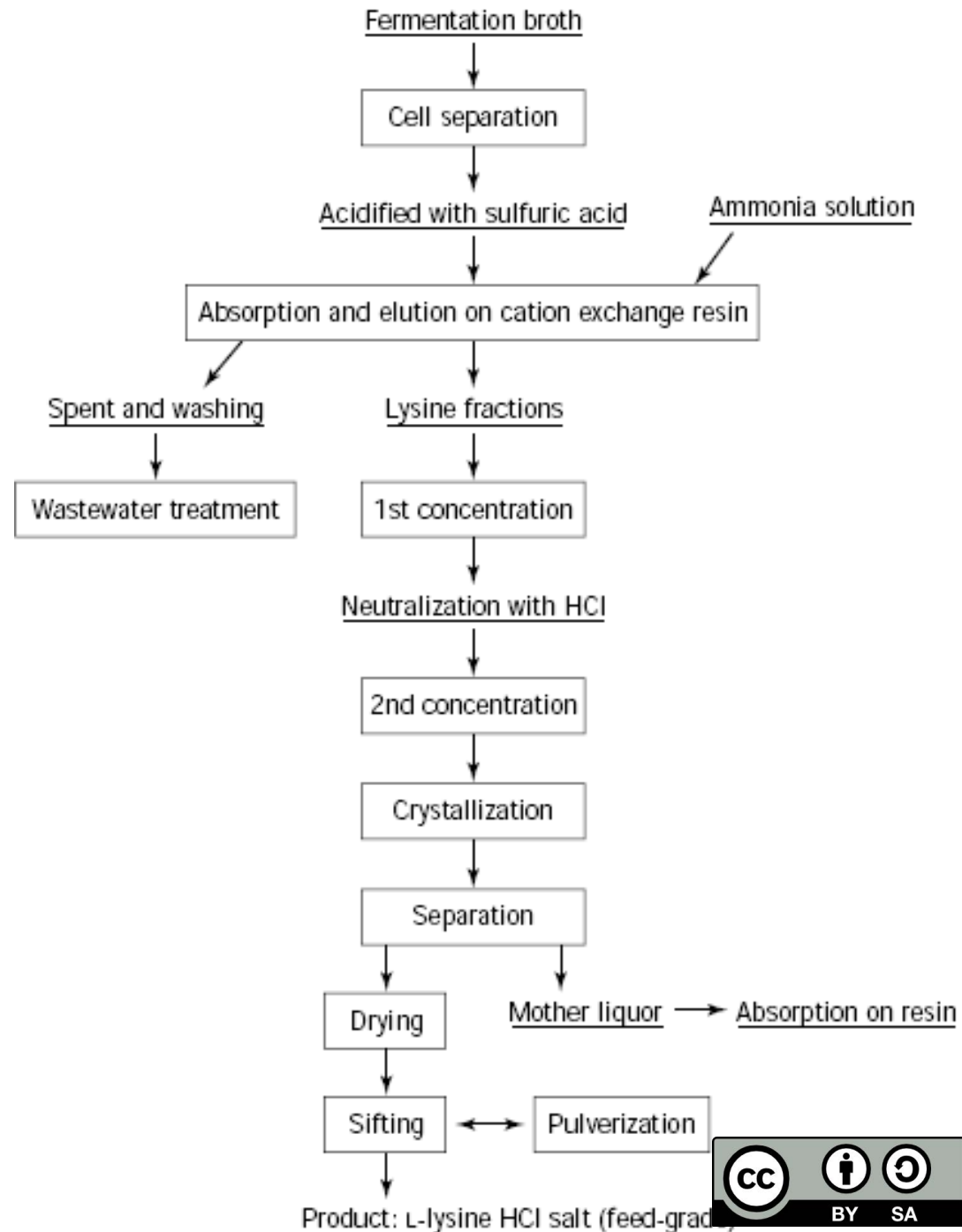
## Auxotrophic mutant

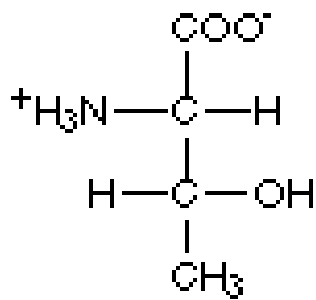
## Regulation mutant



# Cultivation

- Fed-batch, addition of ammonium sulphate to feed, carbon source limitation, 60h, final end. lysine 95 g / l
- Product isolation - cell removal, absorption of lysine to ion exchanger, crystallization or spray drying as L-lysine hydrochloride



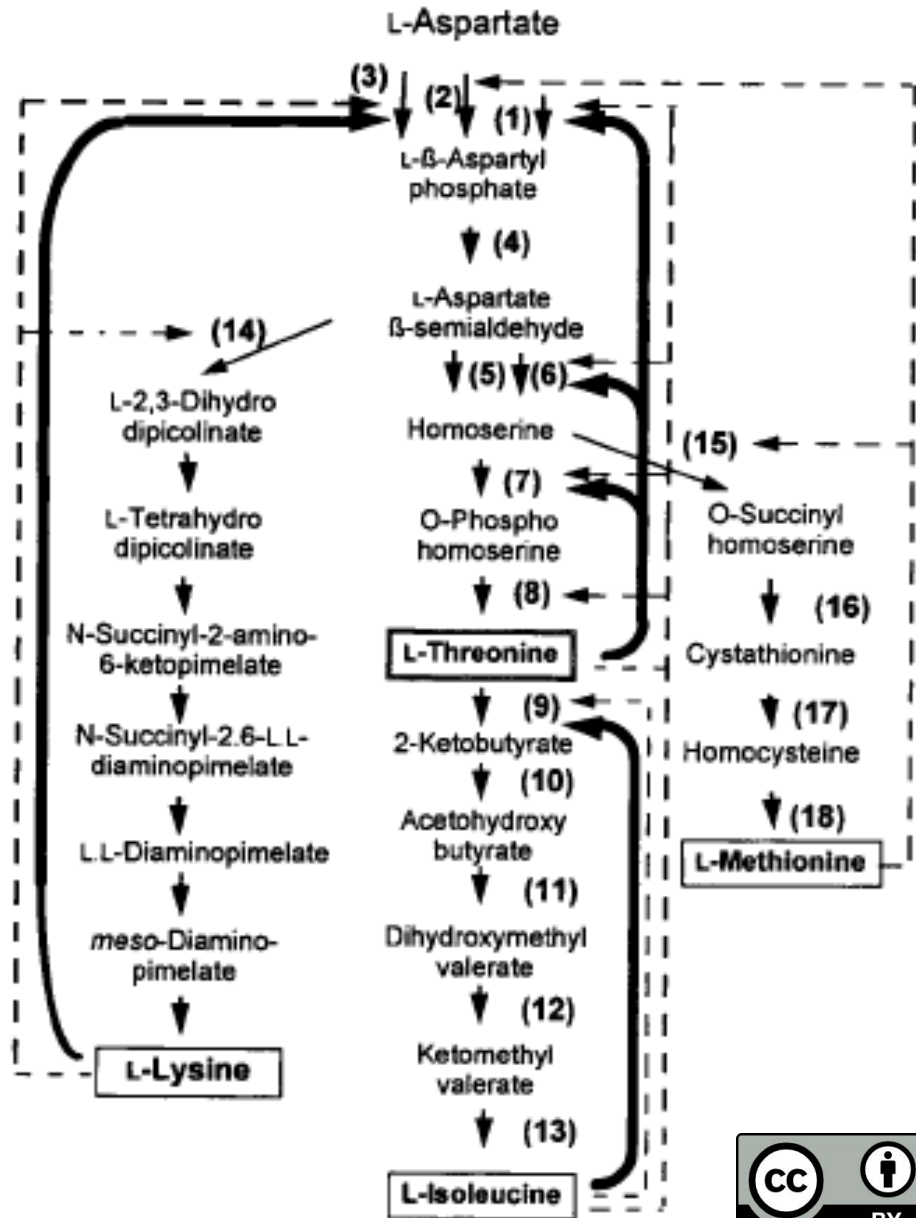


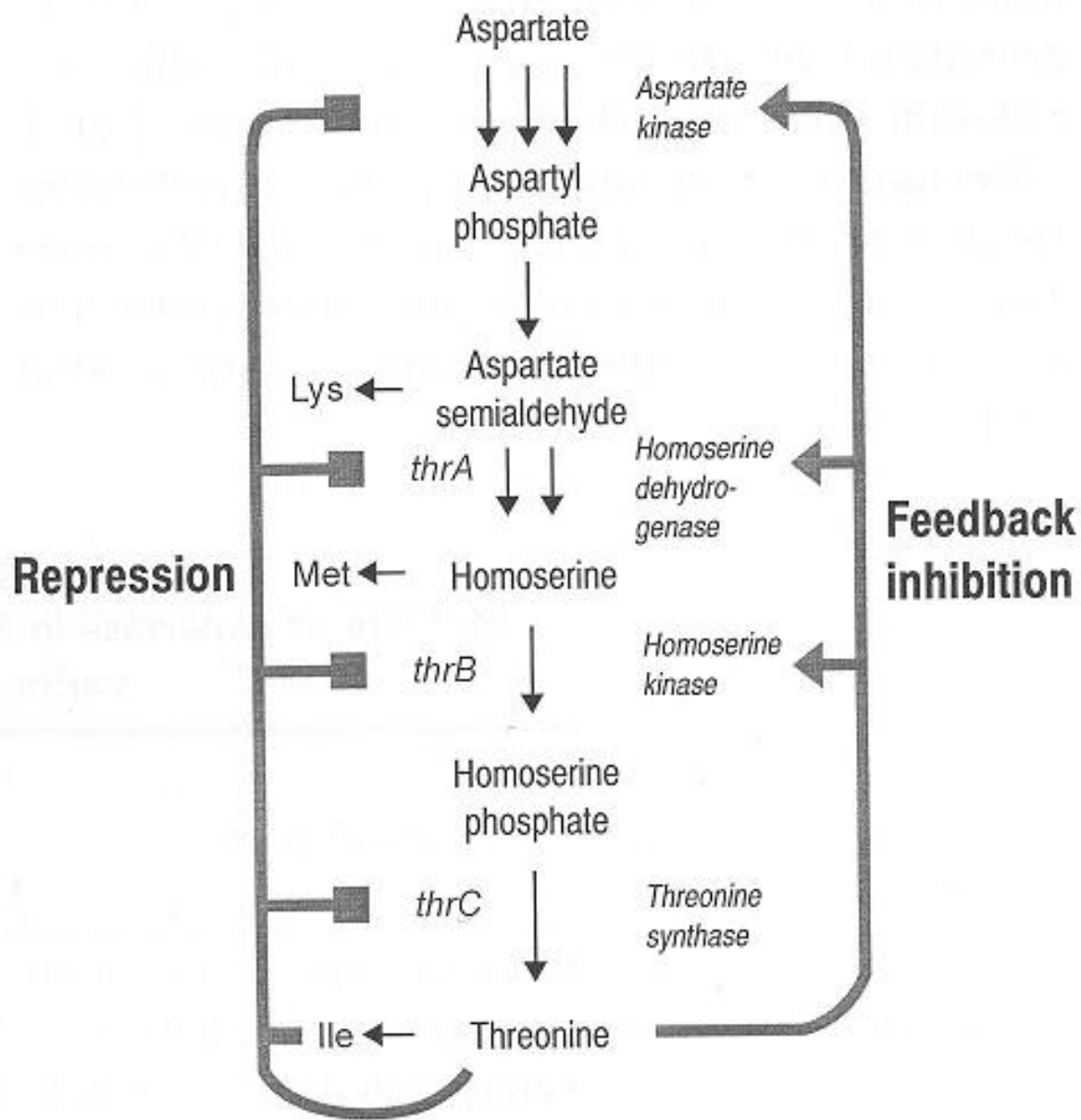
Threonine  
(thr)

# L-threonine

Recombinant *E. coli* strains  
The most successful *E. coli*  
K12 with the plasmid  
carrying the thr operon

Isolation of Thr from the  
filtrate after thickening,  
discoloration -  
crystallization

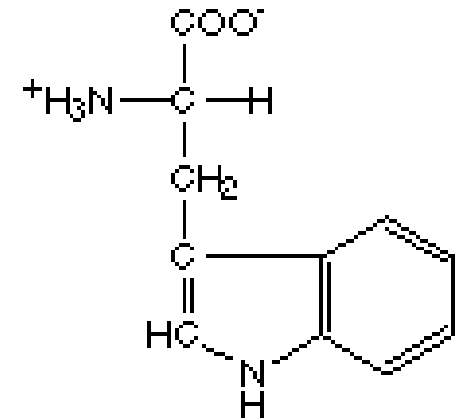




**Fig. 5.** Regulation of L-threonine biosynthesis in *Escherichia coli*. Only the regulation by L-threonine and L-isoleucine is shown.

# L-tryptophan

- Enzymatic production of indole and L-serine under the effect of tryptophanase enzyme
- Fermentation using precursors - indole (*C.glutamicum*) or anthranilic acid (*B.subtilis*, *B.amyloliquefaciens*) X toxic by-products
- Direct fermentation from sugar substrates using auxotrophic or regulatory mutants (*Brevibacterium flavum*, *C. glutamicum*, *B. subtilis*)



Tryptophan  
(trp)

## Amino Acid Production

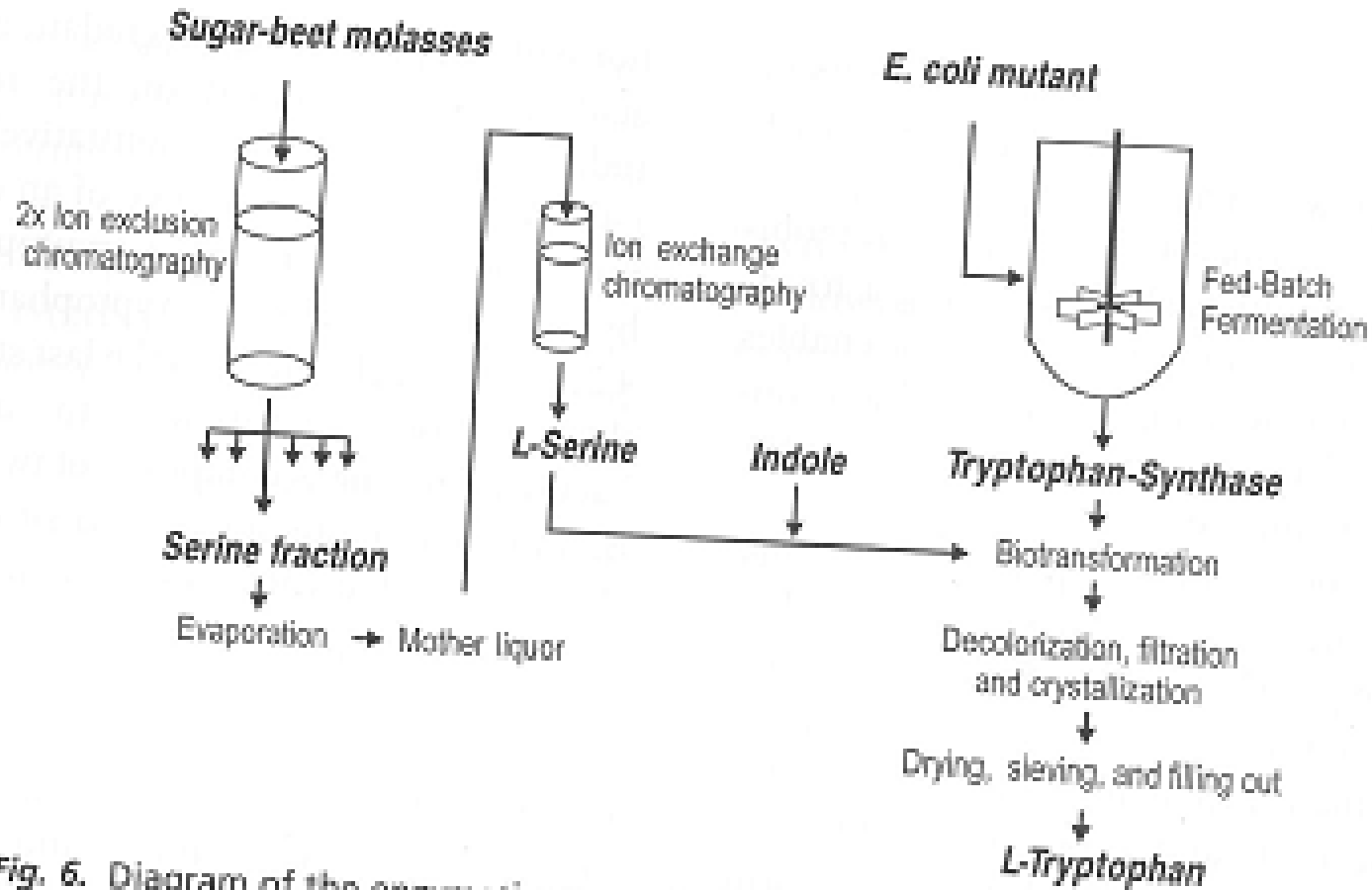


Fig. 6. Diagram of the enzymatic conversion of L-serine (obtained from molasses) with indole to L-tryptophan.

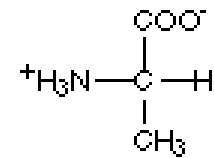
# Production of amino acids for the pharmaceutical and cosmetic industries

- Protein hydrolysates
- From chemically defined precursors using enzymes
- Fermentation from sugar substrates



## L-alanine

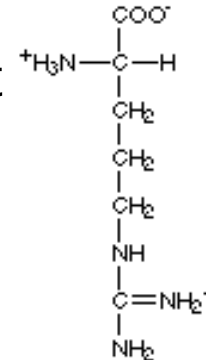
From L-aspartic acid using immobilized *Pseudomonas dacunhae* cells



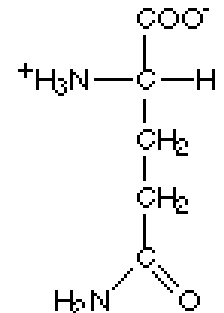
Alanine  
(ala)

## L-arginine

Fermentation of sugar substrates by regulatory mutant *C. glutamicum* and *B. subtilis*



Arginine  
(arg)



Glutamine  
(gln)

## L-glutamine

Using wild strains of Corynebacteria

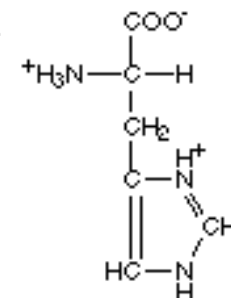
## L-histidine

*C. glutamicum* and *S. marcescens* - regulatory mutants

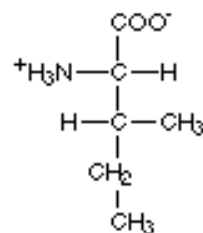
## L-isoleucine

Using *C. glutamicum* with a precursor of 2-ketobutyrate

Fermentation of saccharides by recombinant strains of *C. glutamicum*, *S. marcescens* and *E. coli*



Histidine  
(his)

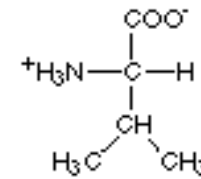


Isoleucine  
(ile)

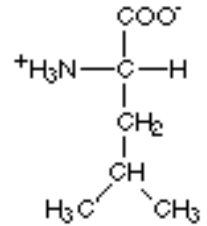
## L-leucine and L-valine

Production of L-leucine from 2-ketoisocaproate under the effect of *C. glutamicum* transaminase enzyme

Direct fermentation using *S. mutationscens* and corynebacteria control mutants



Valine  
(val)

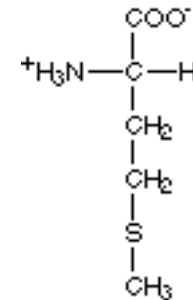


Leucine  
(leu)

## L-methionine

Enzymatic conversion of N-acetylmethionine using acylase from *Aspergillus oryzae*

Conversion of D, L-5- (2-methylthioethyl) hydantoin by the growth of *Pseudomonas*



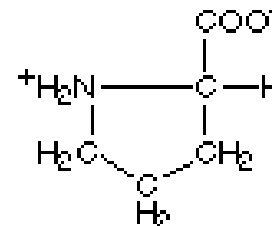
Methionine  
(met)

## L-proline

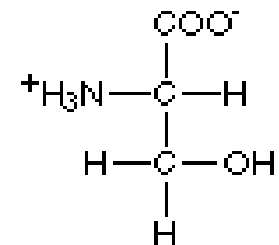
Control and combined mutants of *B. flavum*, *S. marcescens* and corynebacteria

## L-serine

From glycine and formaldehyde with serine hydroxymethyltransferase from *Klebsiella aerogenes*

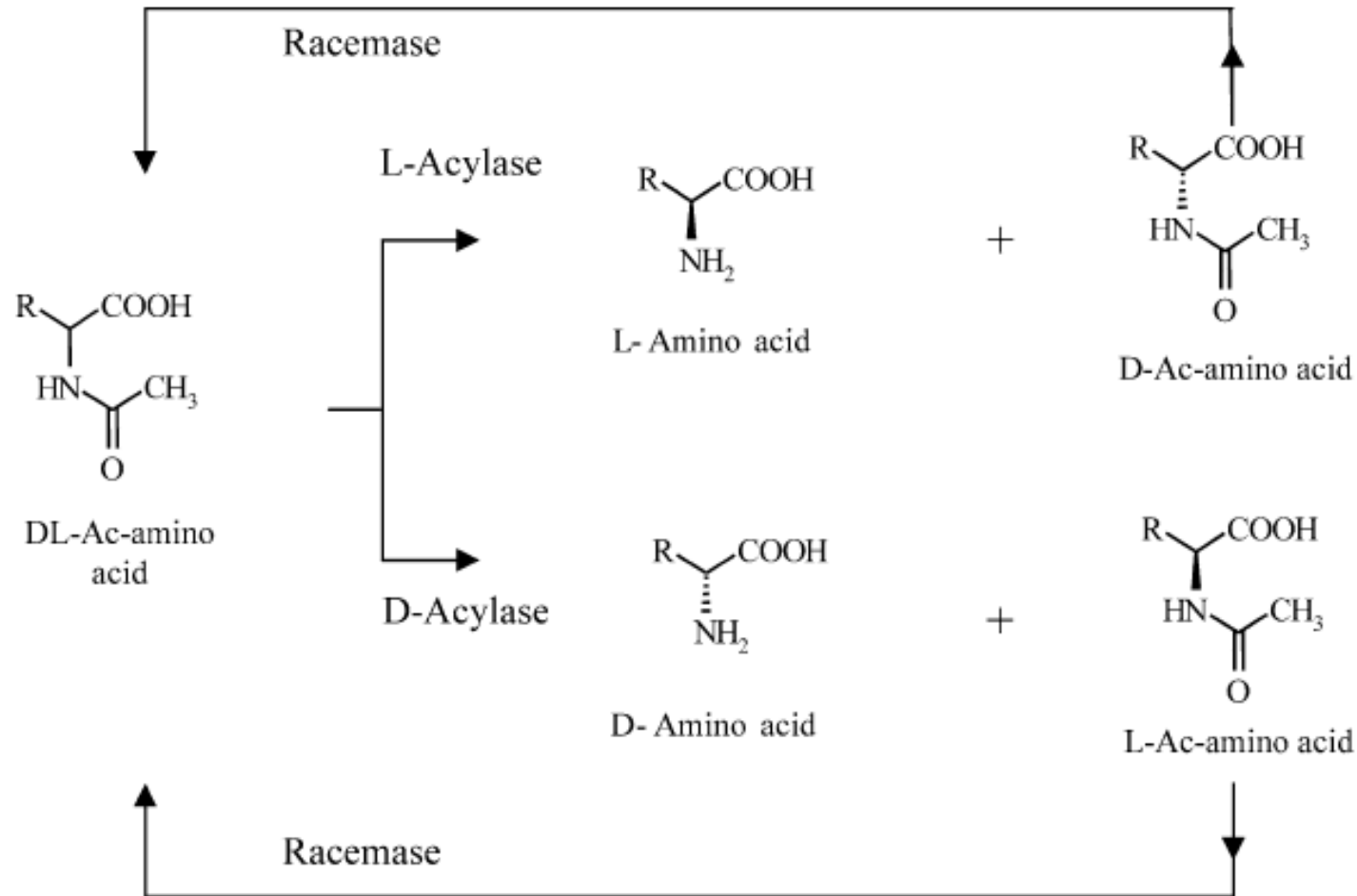


Proline  
(pro)



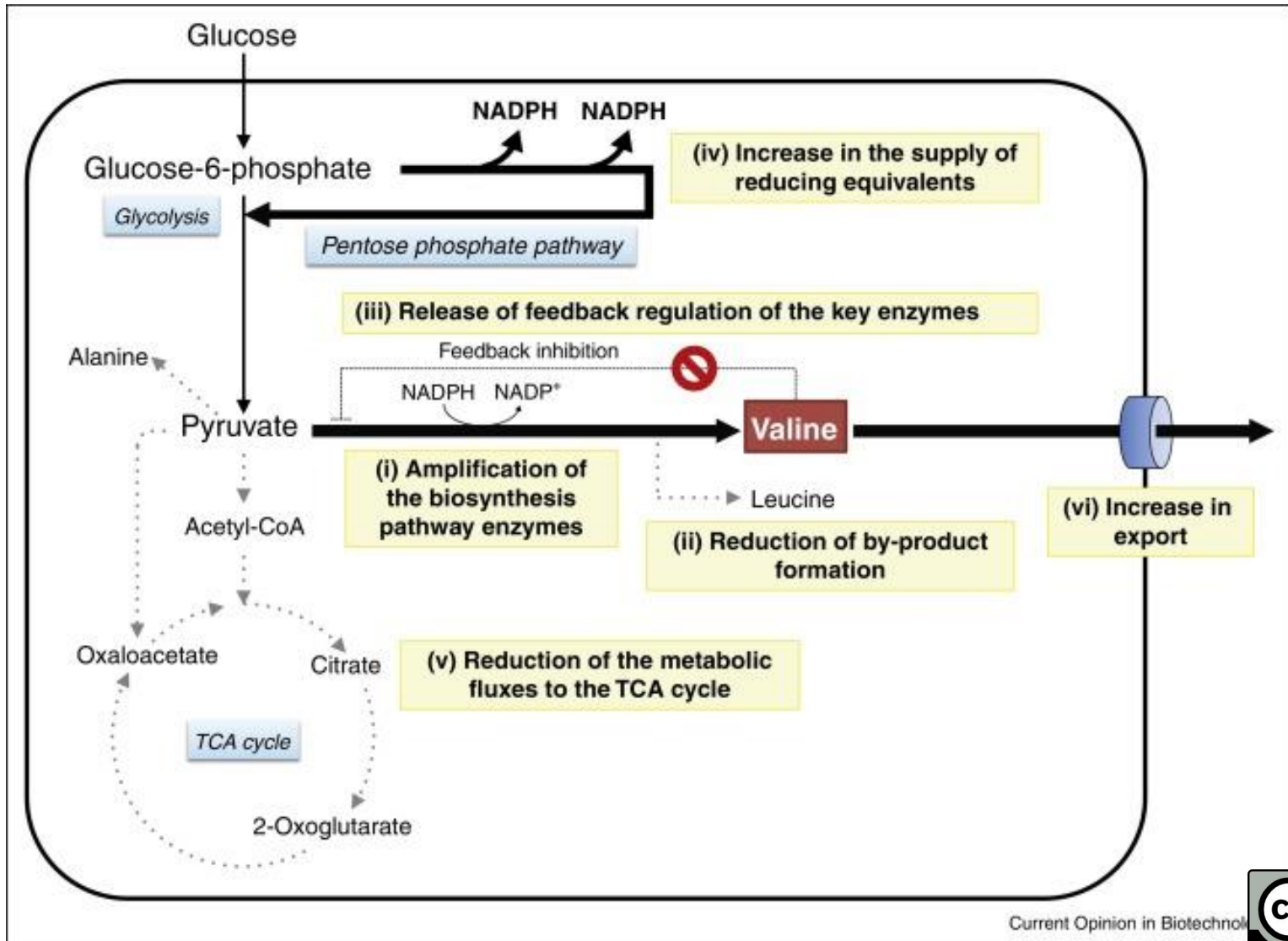
Serine  
(ser)

# Enzymatic production of L-methionine and L-valine with acylases

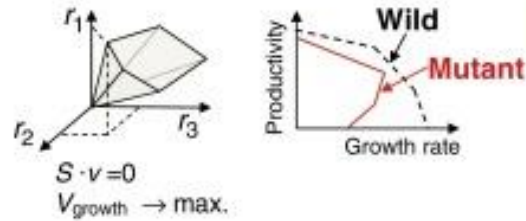


## Current strategies to boost amino acid production

Biosynthetic pathway enhancement, reduction of secondary metabolites, prevention of feedback inhibition, enhancement of NADPH production, reduction of secondary metabolic pathways, enhancement of cellular export

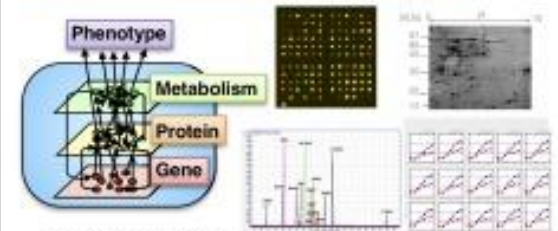


## 1. Metabolic pathway design



- Estimation of gene knockout strategies for optimized production

## 2. Genome-wide analyses



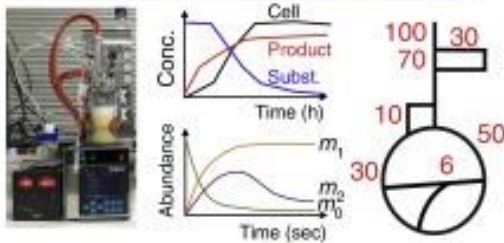
- Systems biology
- Transcriptome, proteome, metabolome, phenome, etc.

## 3. Breeding of host microbial cells



- Recombinant DNA techniques: gene overexpression and deletion
- Control of the gene expression networks

## 4. Evaluation of the created cells



- Culture experiments
- Metabolic flux analysis



EUROPEAN UNION  
European Structural and Investing Funds  
Operational Programme Research,  
Development and Education



**UNIVERSITY OF  
CHEMISTRY AND TECHNOLOGY  
PRAGUE**

# Biotechnology in Food Industry

Lecture XII

Petra Patáková

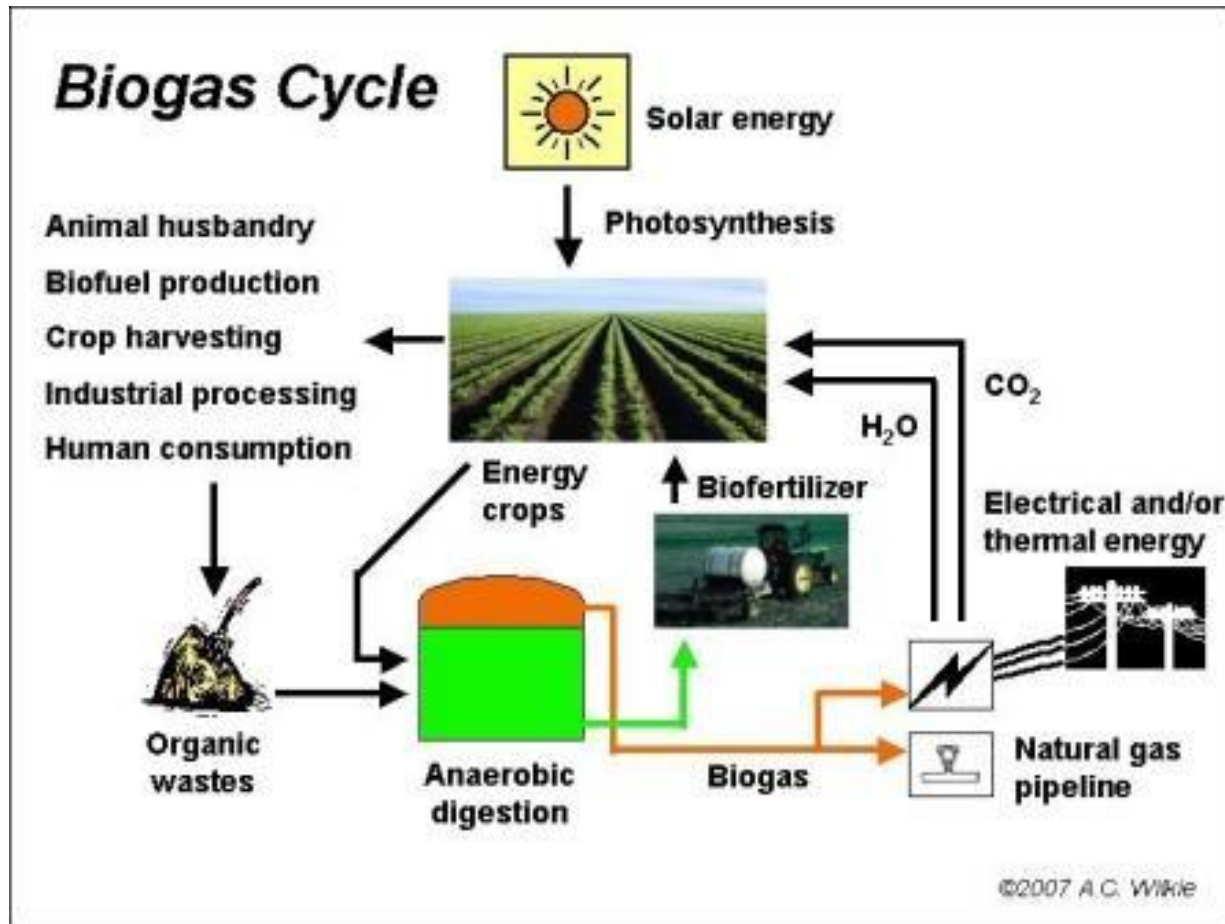
petra.patakova@vscht.cz

The published materials are intended for students of the University of Chemistry and Technology, Prague as a study material. Some text and image data contained therein are taken from public sources. In the case of insufficient quotations, the author's intention was not to intentionally infringe the possible author(s) rights to the original work.

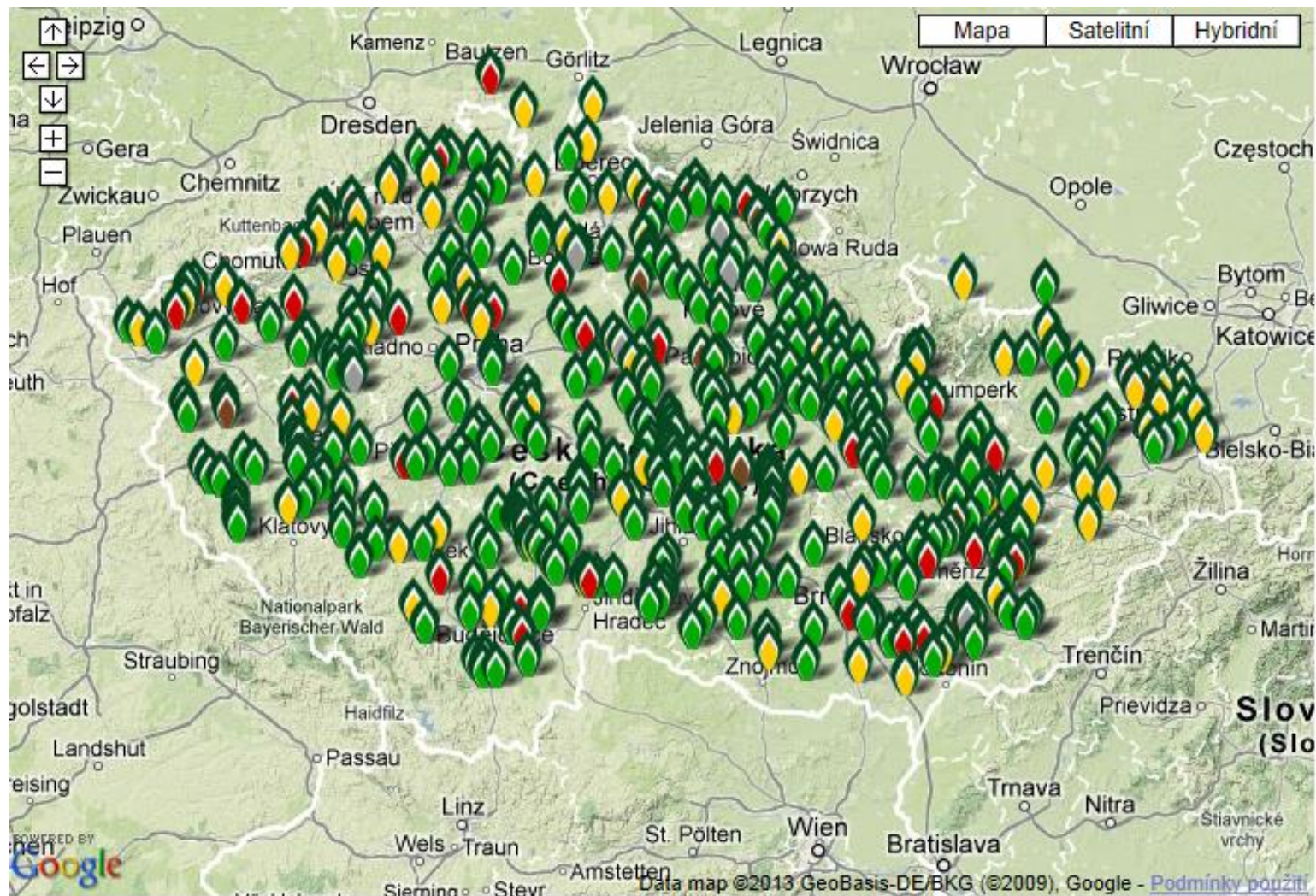
If you have any reservations, please contact the author(s) of the specific teaching material in order to remedy the situation.



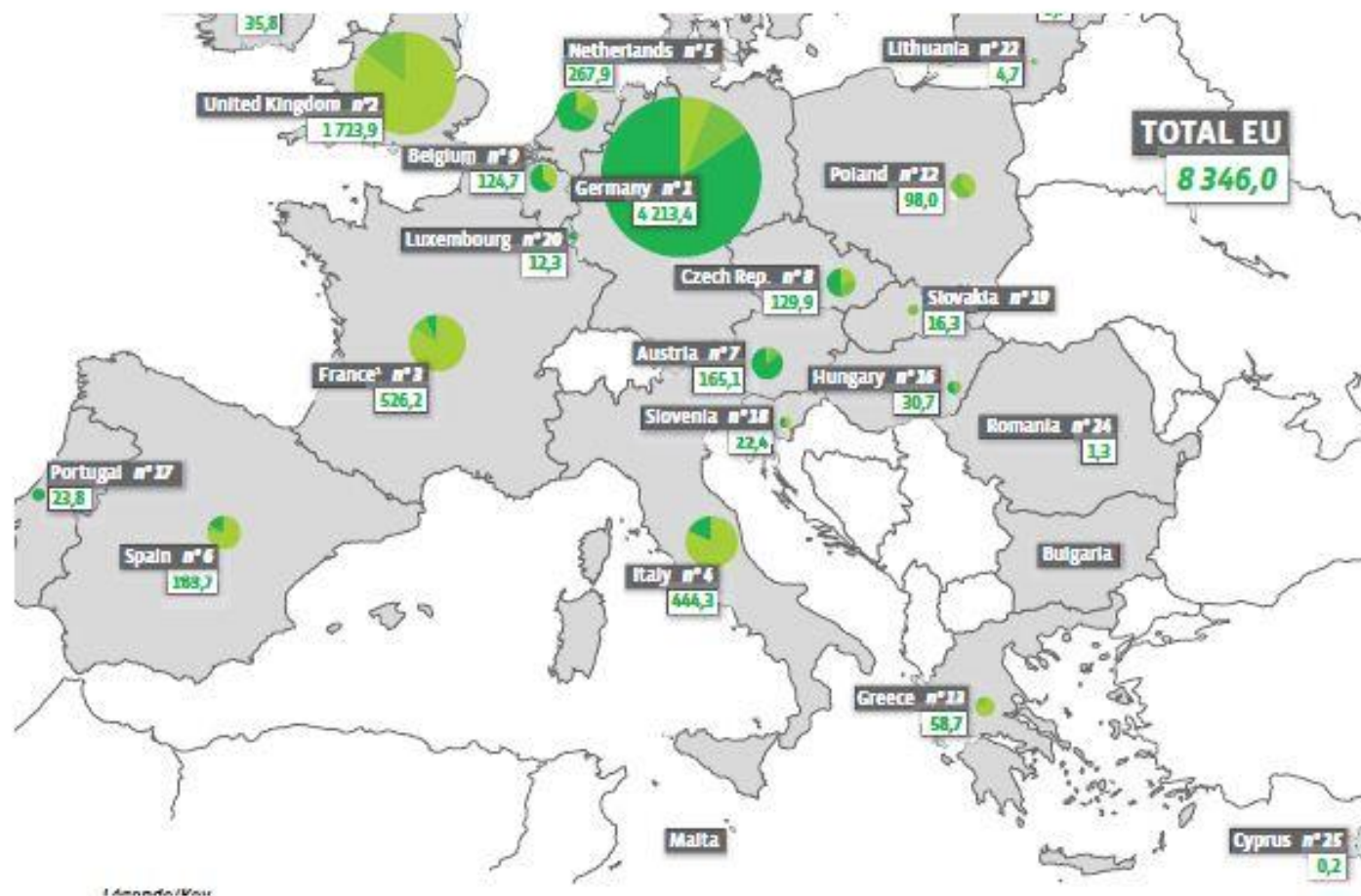
# Biogas







- ☒  BPS komunální
- ☒  BPS zemědělské
- ☒  ČOV
- ☒  BPS průmyslové
- ☒  Skládkový bioplyn



4 213,4 Les chiffres en vert indiquent la production totale en ktOE. Green figures show total production in ktOE.

■ Biogaz de décharges. Landfill gas.

■ Station d'épuration urbaine et industrielle.  
Urban sewage and industrial effluent sludge gas.

■ Autres biogaz. Other biogas.

\* Estimation.

1 - DOM non inclus. French overseas departments excluded.

Source: EurObserv'ER 2010.

Unité décentralisée de biogaz agricole, unité de méthanisation des déchets municipaux solides, unité centralisée de codigestion.  
Decentralised agricultural plant, municipal solid waste methanisation plant, centralised co-digestion plant.



# Anaerobic digestion of organic matter

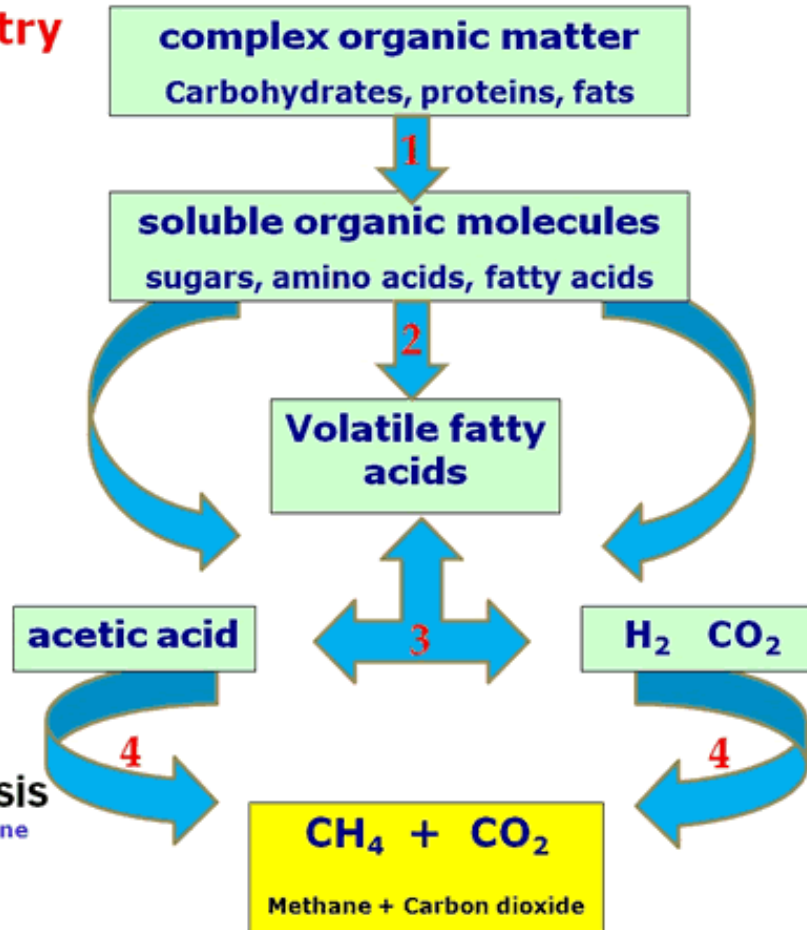
## The Biochemistry

**1 Hydrolysis**  
Complex organic  
molecules to soluble

**2 Acidogenesis**  
Small organic molecules  
to fatty acids

**3 Acetogenesis**  
Conversion to acetic acid &  
 $\text{CO}_2$

**4 Methanogenesis**  
Final conversion to methane  
[ $\text{CH}_4$ ]



# Types of substrate

- substrate - agricultural crop and animal waste, maize, communal waste, food and agricultural waste
- mixed culture of anaerobic microorganisms
- process - hydrolysis, acidogenesis, acetogenesis, methanogenesis
- the resulting product - biogas (55-70% CH<sub>4</sub> + CO<sub>2</sub> + hydrogen sulphide, ammonia, hydrogen ...)
- biogas treatment - removal of water, CO<sub>2</sub>, hydrogen sulfide ...
- by-product - digestate (fertilizer)
- use of biogas - heat production, heat production + electricity (cogeneration)

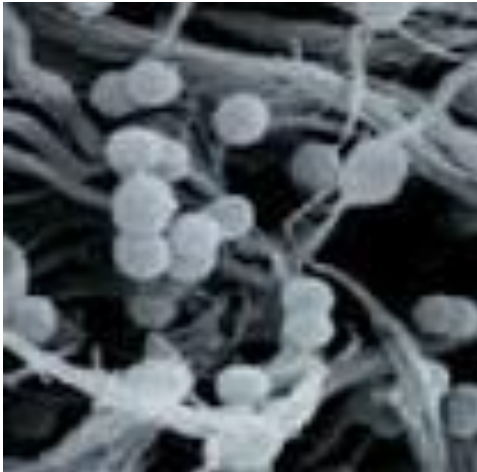
# Important parameters of anaerobic digestion

- Oxidation Reduction Potential:  
-100 to 100 mV for non-methanogens, -400 to -150 mV for methanogens
- Temperature (mesophilic or thermophilic digestion)
- Substrate
- pH
- C / N ratio
- Inhibitors
- Inoculum
- stirring

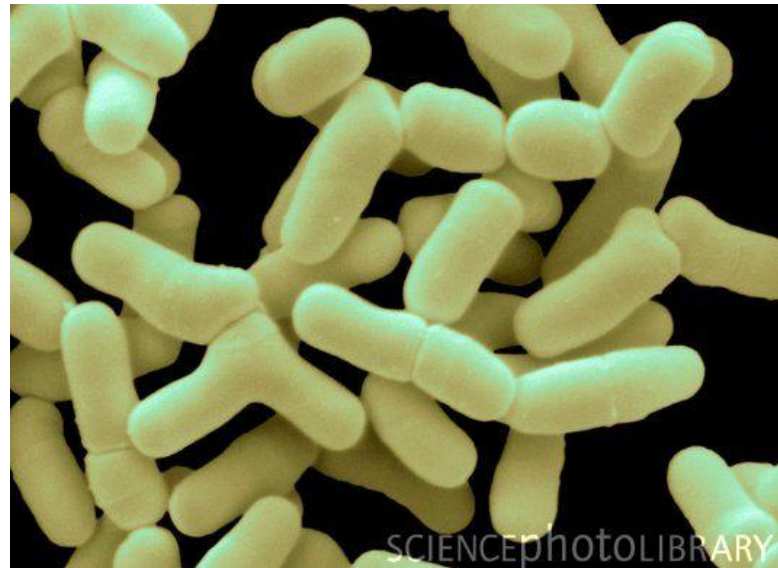
# Microbiology of anaerobic digestion

## I. Hydrolysis and acidogenesis

*Clostridium, Butyrivibrio, Ruminococcus, Bacteroides* – hydrolysis, fermenting bacteria  
*Propionibacterium, Acetivibrio, Bifidobacterium, Eubacterium, Lactobacillus, Selenomonas, Streptococcus...* - hydrogen producing acetogens



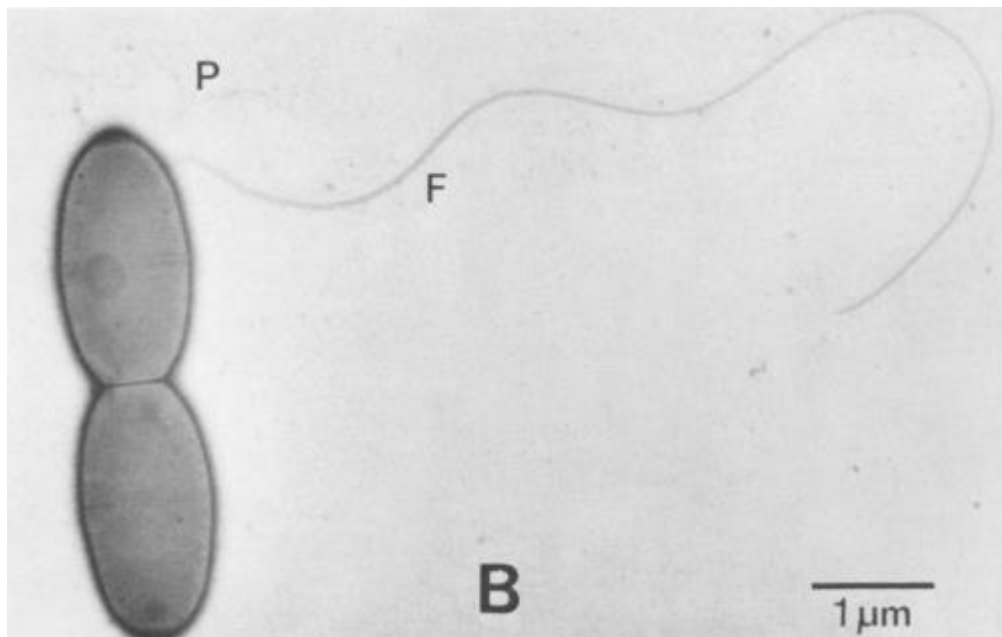
Ruminococcus



Bifidobacterium

## II. Acetogenesis

*Acetobacterium, Acetoanaerobium, Acetogenium, Butyribacterium, Clostridium, Eubacterium, Pelobacter*



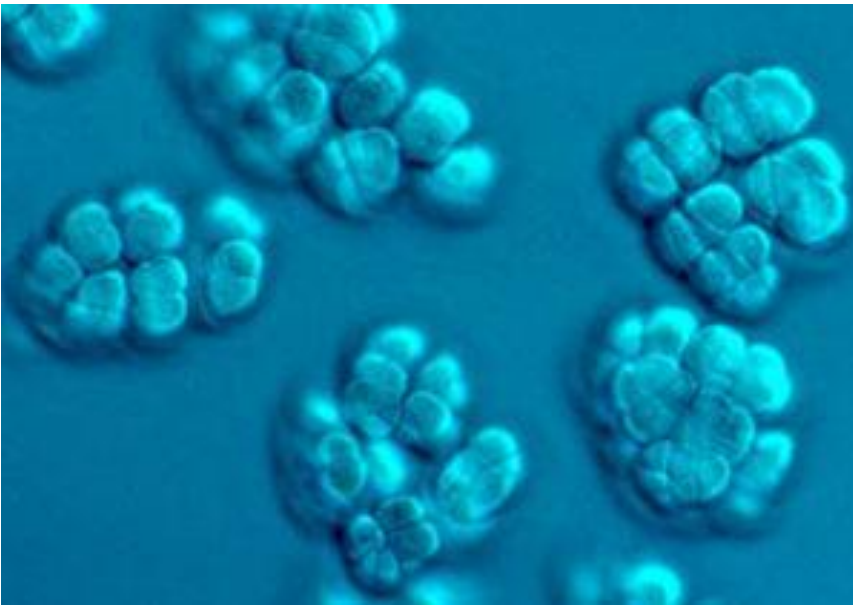
homoacetogens

*Acetobacterium*

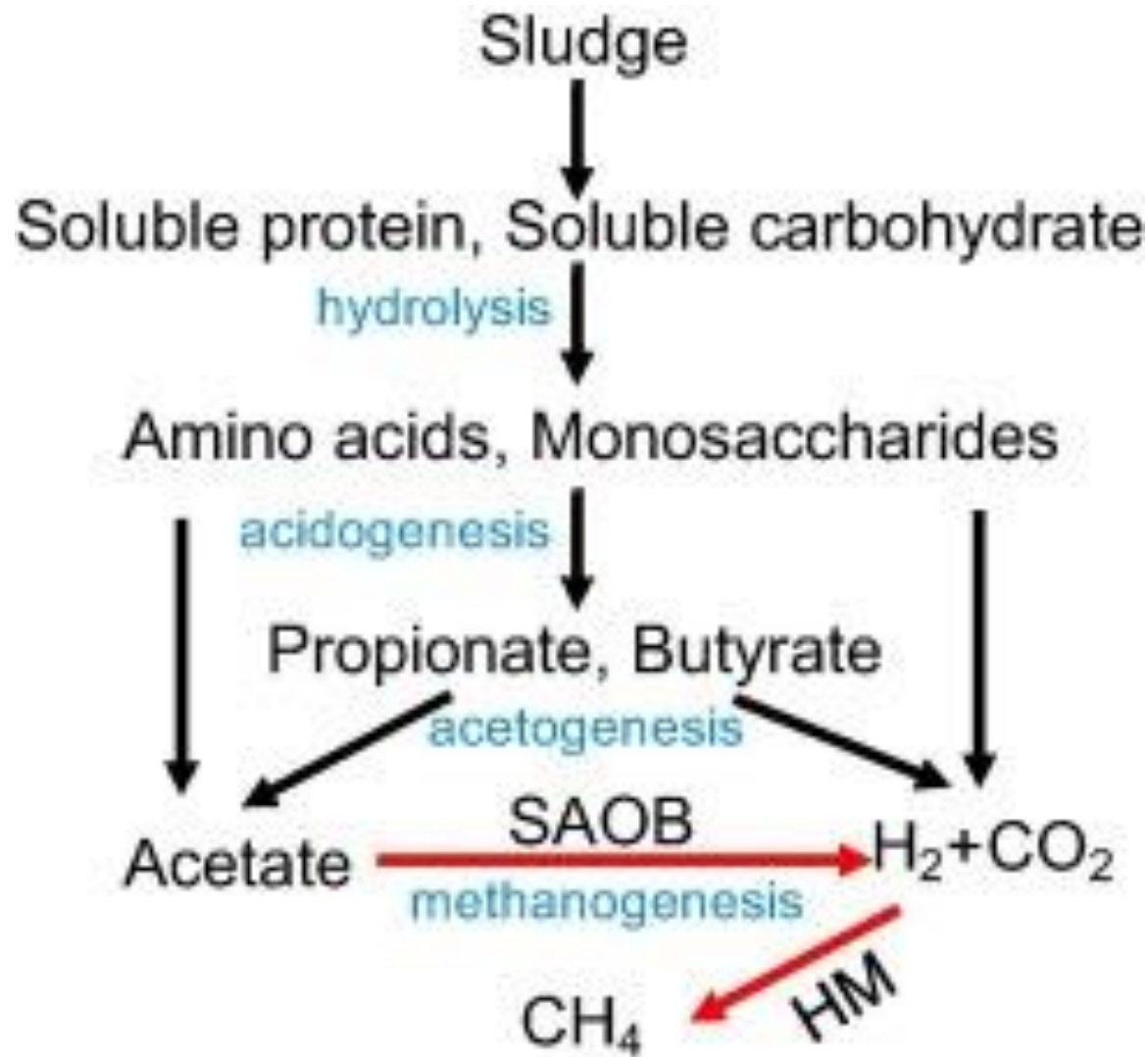


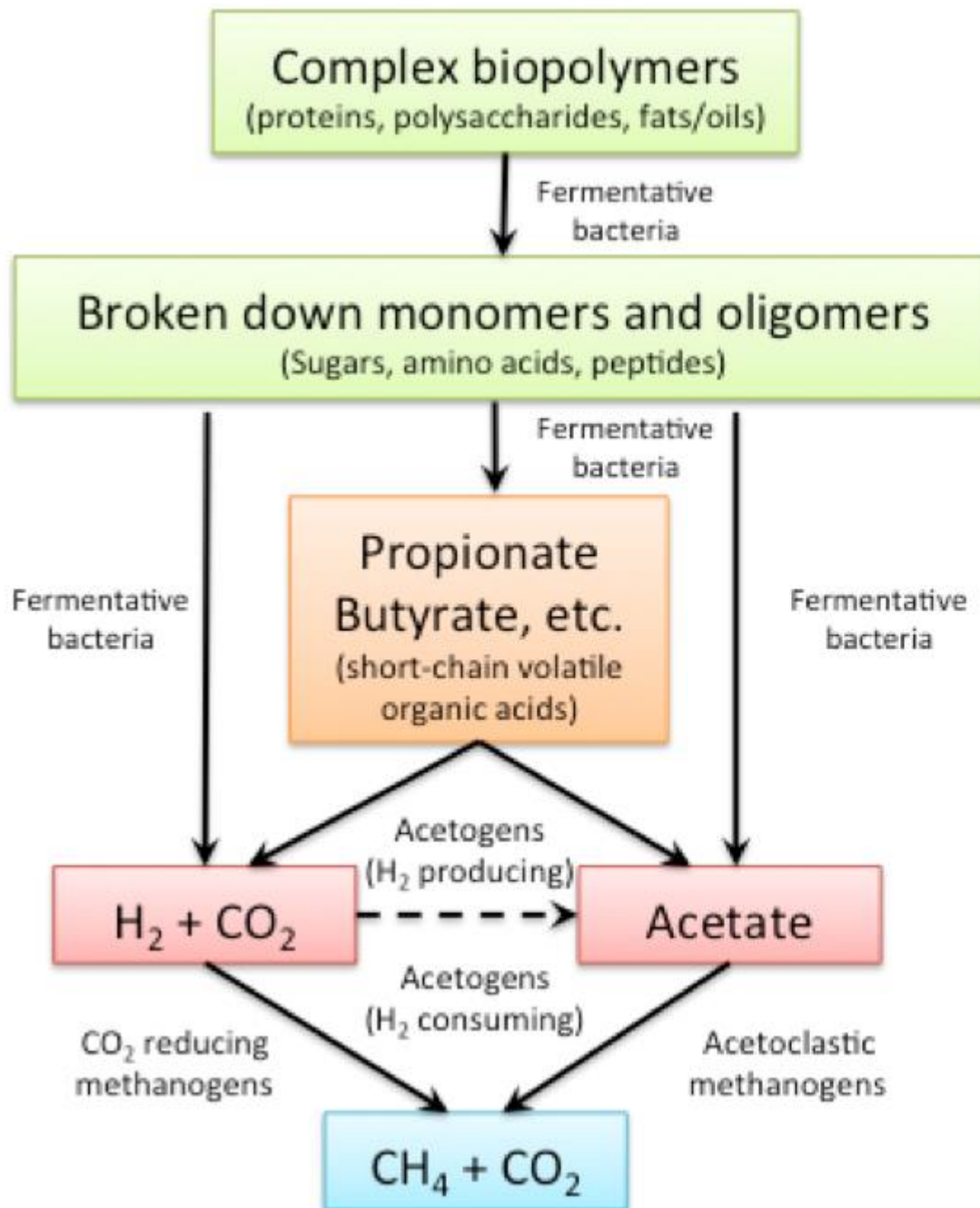
### III. Methanogenesis

*Methanosarcina, Methanotherix*



Methanosarcina





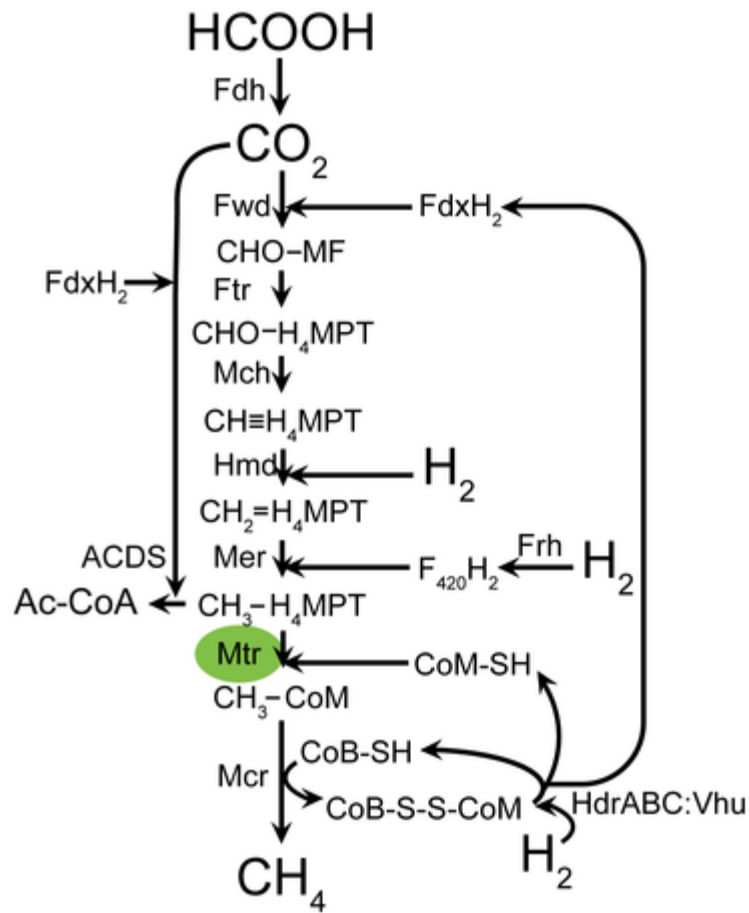
Phase 1  
Hydrolysis

Phase 2  
Acidogenesis

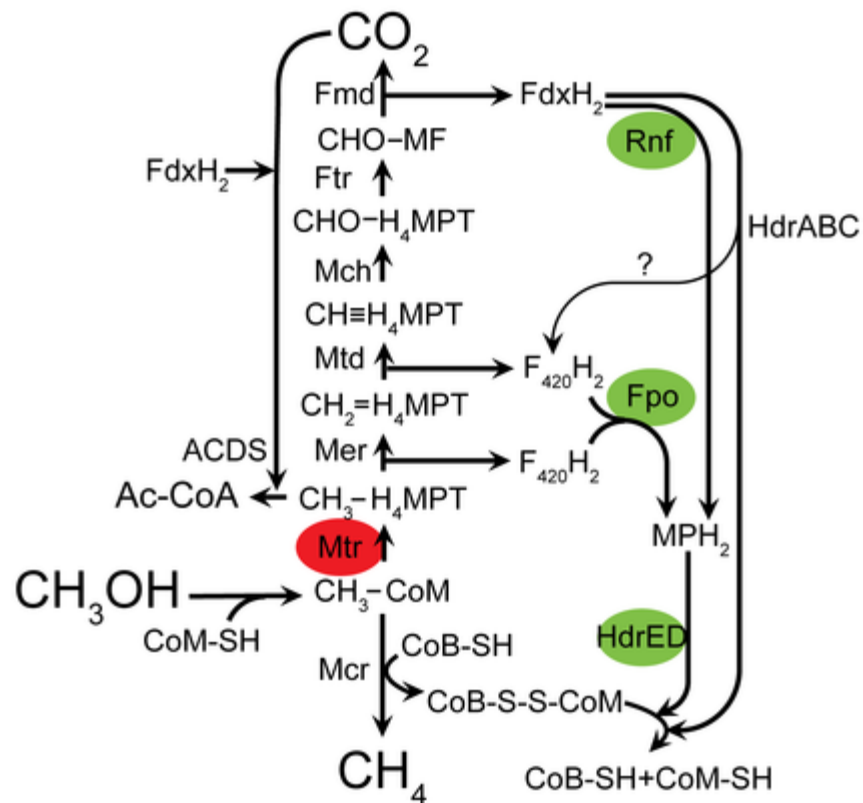
Phase 3  
Acetogenesis

Phase 4  
Methanogenesis

## A *Methanococcus*



## B *Methanosarcina*

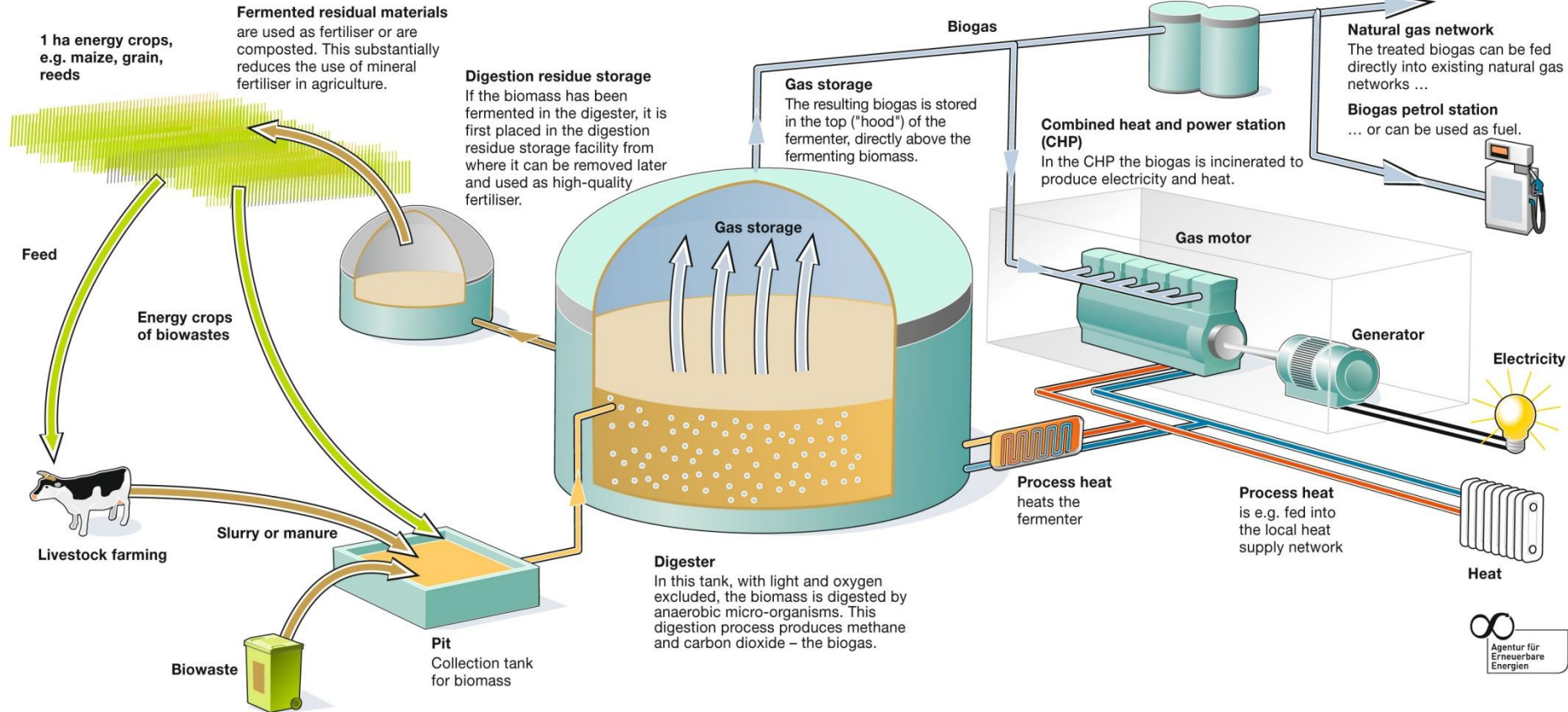


# Biogas production

- substrate – agricultural, plant, animal waste, maize, municipal waste
- Mixed culture of anaerobic microorganisms
- process – hydrolysis, acidogenesis, acetogenesis, methanogenesis
- Resulting product– biogas (55-70%  $\text{CH}_4$  +  $\text{CO}_2$  +  $\text{H}_2\text{S}$ , ammonia, hydrogen..)
- Biogas adjustment–dewatering, removal of  $\text{CO}_2$ ,  $\text{H}_2\text{S}$  ...
- by-product – digestate (fertilizer)
- use of biogas – heat generation, cogeneration (production of heat and electricity)

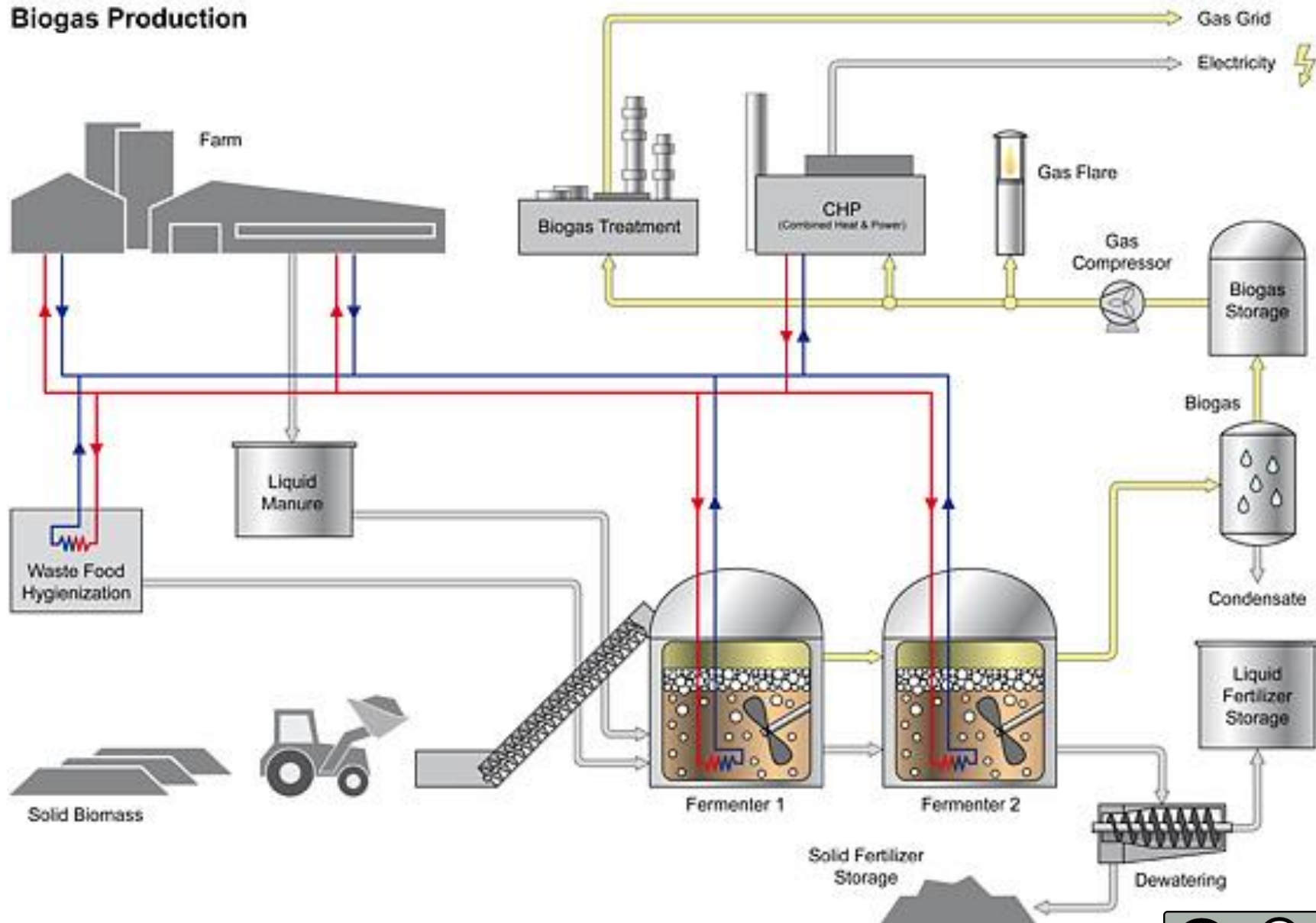
# Biogas system

Slurry and solid biomass are suitable for biogas production. A cow weighing 500 kg can be used to achieve e.g. a gas yield of maximum 1.5 cubic metre per day. In energy terms, this equates to around one litre heating oil. Regrowable raw materials supply between 6 000 cubic metre (meadow grass) and 12 000 cubic metre (silo maize/fodder beet) biogas per hectare arable land annually.



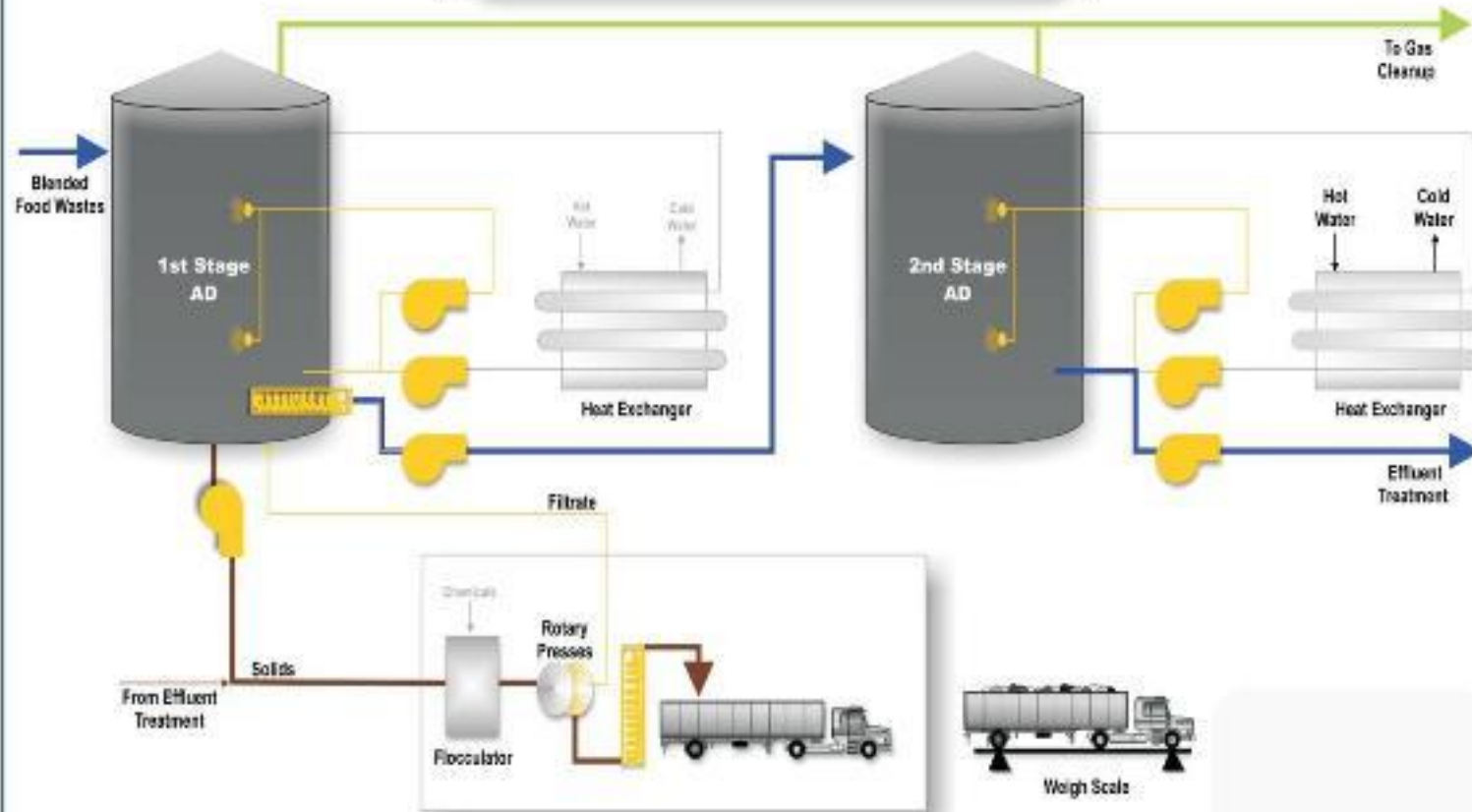


## Biogas Production





## Two Stage Digester Process



# Basic parts of Biogas plant

## 1. INFLUENT COLLECTING TANK

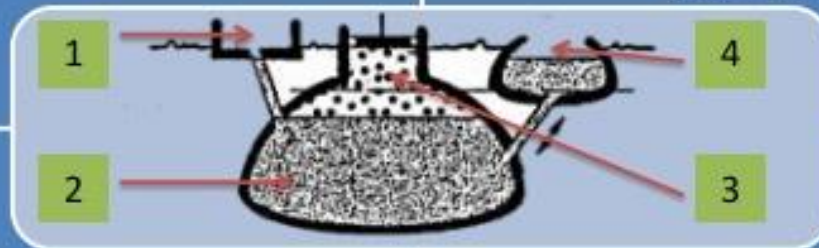
(Mixing tank) :

- To homogenize various substrates & to setup required consistency.

## 4. DISPLACEMENT PIT

(SLURRY STORAGE)

- The fully Digested slurry leaves the Digester through the Outlet pipe into it.



## 2. DIGESTER

- The bacteria multiply & produce Biogas into the Digester.
- Digester provides the required conditions for this process

## 3. GAS HOLDER & GAS PIPE

- The Biogas is stored until consumption into the Gasholder
- The gas pipe carries the Gas to the Desired place of Consumption.

\*Depending on the plant type & requirements Variations & Additions in components are possible

Sketch/Photo source: gtz/GATE

# Types of Biogas Plants

On the Basis of the type of construction the 3 well performing & Mature Designs suitable for Farming Households are:

## **A. FIXED-DOME PLANT :**

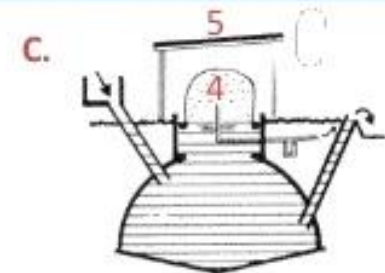
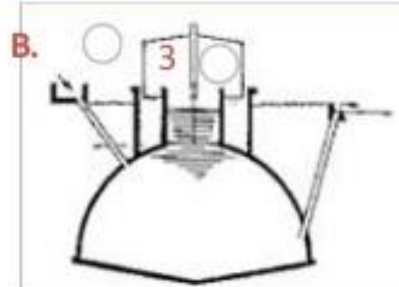
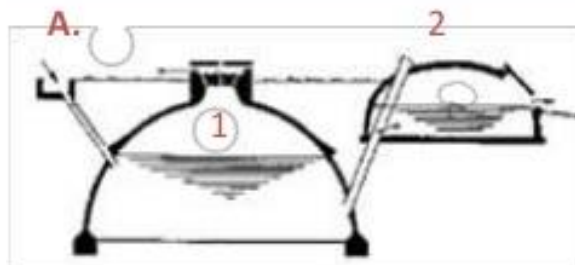
- Relatively low cost
- Long life (20yrs or more)
- Least Maintenance.
- Simple Mechanism
- No day/night temp Fluctuations so better Bacteriological processes
- Labor intensive – Employment
- Great care-design& workmanship.

## **B. FLOATING-DRUM PLANT :**

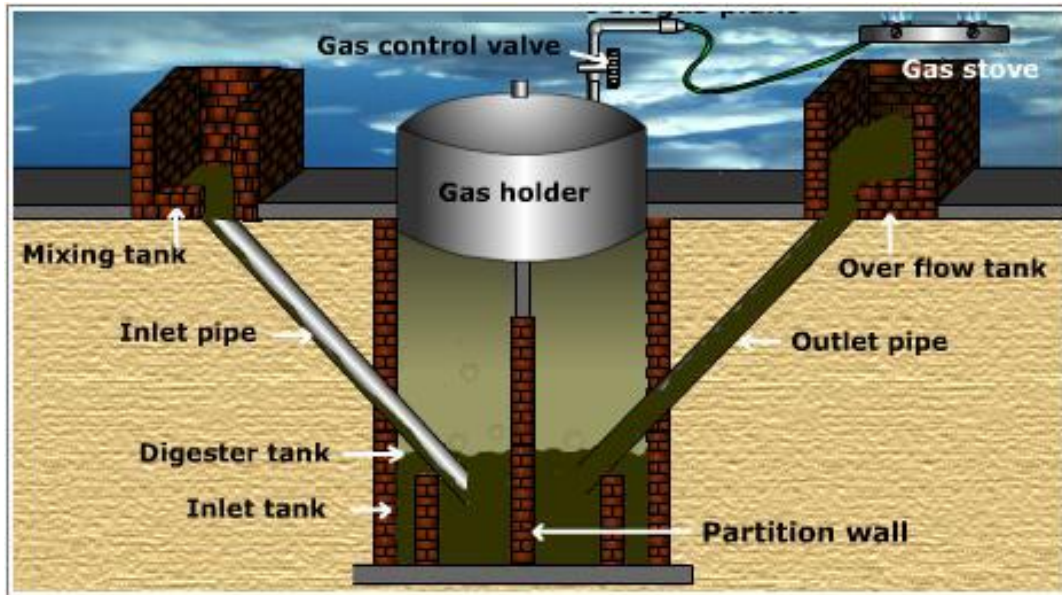
- Which rises according to the volume of gas production
- Easy to understand & operate
- Provide Gas at constant pressure
- Stored Gas-volume recognizable
- Expensive , Maintenance Intensive (painting, cleaning)
- Drum life is Short(abt 10years)

## **C. PLASTIC COVERED / BALLON PLANT:**

- Standardized prefabrication
- Suitable for high ground water table - high digester temp - warm climate
- Uncomplicated Cleaning, Emptying & Maintenance
- Low gas pressure, short life, damage, not repaired locally





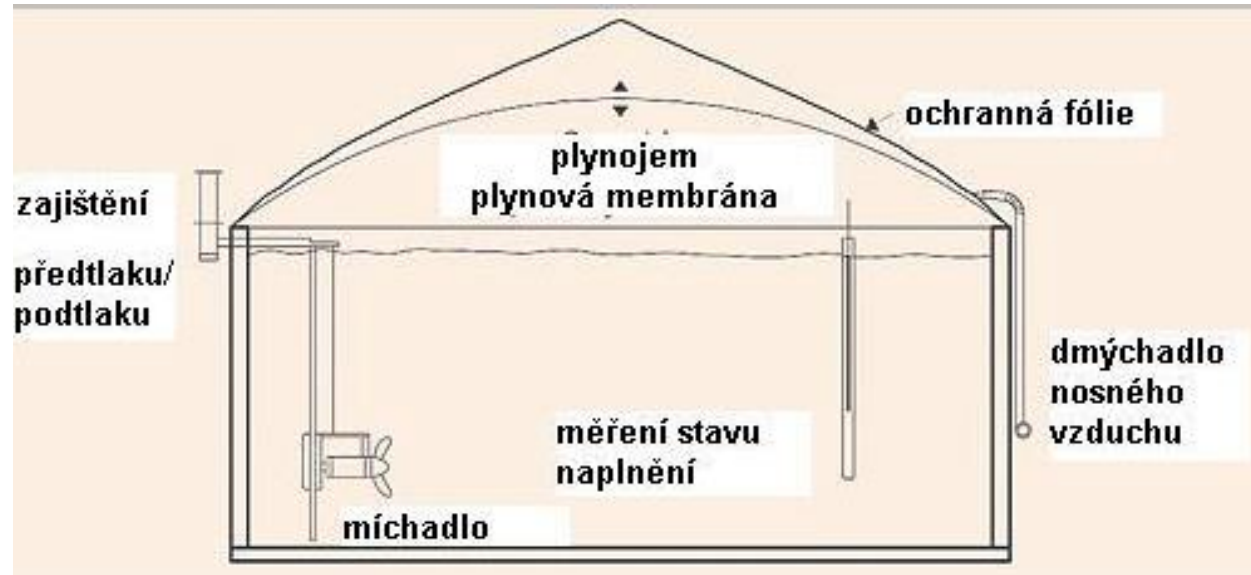






# Gas containers for biogas plants

1. Internal (part of bioreactor)
2. External – for storage of biogas double- membranes for pressure balancing



# Adjustment of biogas quality

Raw biogas –saturated with water, containing  $\text{H}_2\text{S}$ ,  $\text{CO}_2$ , other volatile compounds

- De-watering– condensation (cooling), adsorbtion on silicagel
- Removal of sulphur – activated coal (obtaining sulphur), biological way – *Thiobacillus* (aerobic – cca 2%, immobilization of bacteria)
- Removal of  $\text{CO}_2$  – absorbtion in  $\text{Ca}(\text{OH})_2$ , organic solvents, active coal, zeolites, membrane separation...



# Safety of biogas stations

Burner for combustion of  
bioagas excess—accident  
prevention,  
Maintenance of  
cogeneration unit ...



Burner





EUROPEAN UNION  
European Structural and Investing Funds  
Operational Programme Research,  
Development and Education



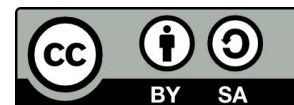
**UNIVERSITY OF  
CHEMISTRY AND TECHNOLOGY  
PRAGUE**

# Biotechnology in Food Industry

Lecture XIII

Petra Patáková  
[petra.patakova@vscht.cz](mailto:petra.patakova@vscht.cz)

The published materials are intended for students of the University of Chemistry and Technology, Prague as a study material. Some text and image data contained therein are taken from public sources. In the case of insufficient quotations, the author's intention was not to intentionally infringe the possible author(s) rights to the original work. If you have any reservations, please contact the author of the specific teaching material in order to remedy the situation.



# Waste disposal in food/biotechnological industry





# Degradation of waste compounds

- Aerobic systems – activated sludge or biofilm
- Anaerobic systems - consortium of microorganisms including methanogens
- Solid waste - composting



# Aerobic or anaerobic waste disposal?

## Aerobic

**Activated sludge** + water

More biomass

Convenient for mild  
poluted water

Low investment but high  
operation cost

Nitrification processes

Second stage for  
phosphorus removal

## Anaerobic

**Biogas** + sludge + water

Heavily poluted water

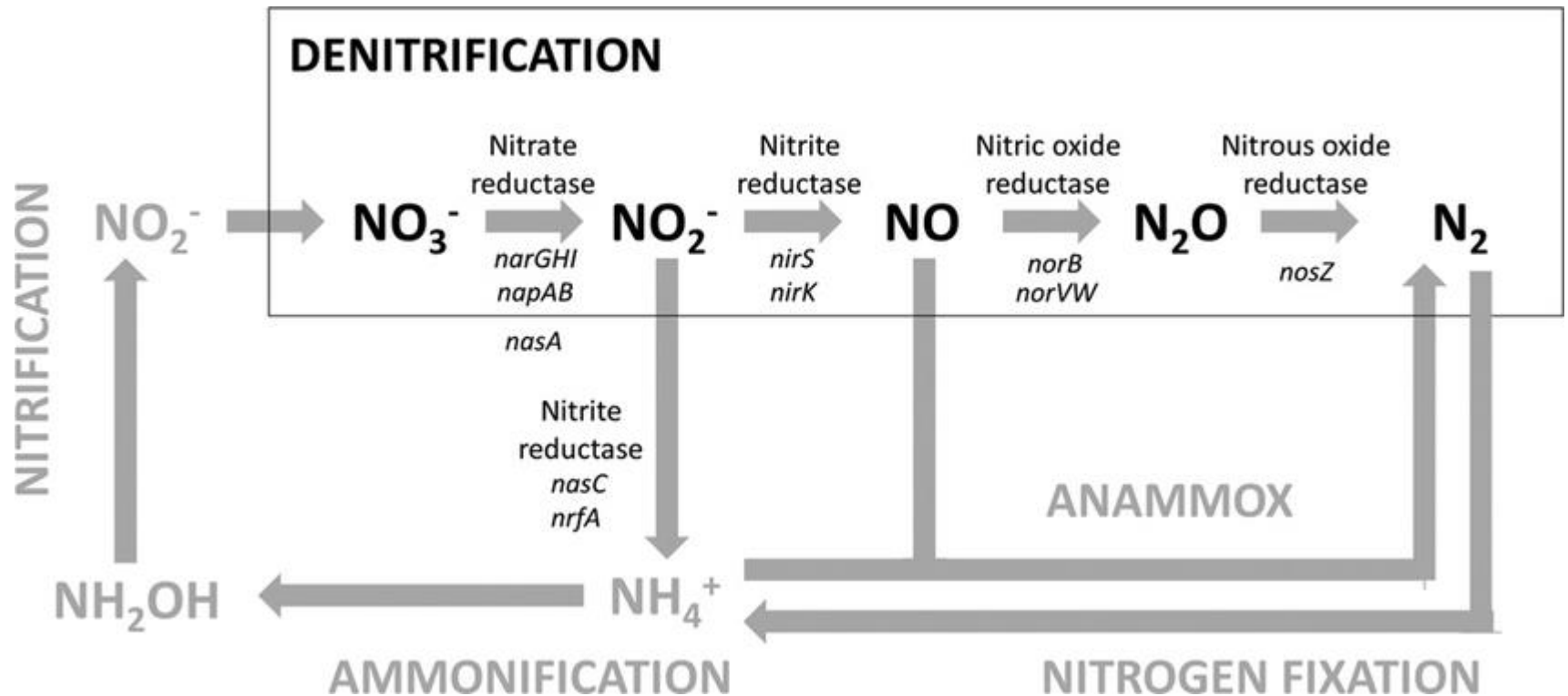
Can be combined wit aerobic  
treatment as first step

High investment but low  
operation cost

Denitrification processes

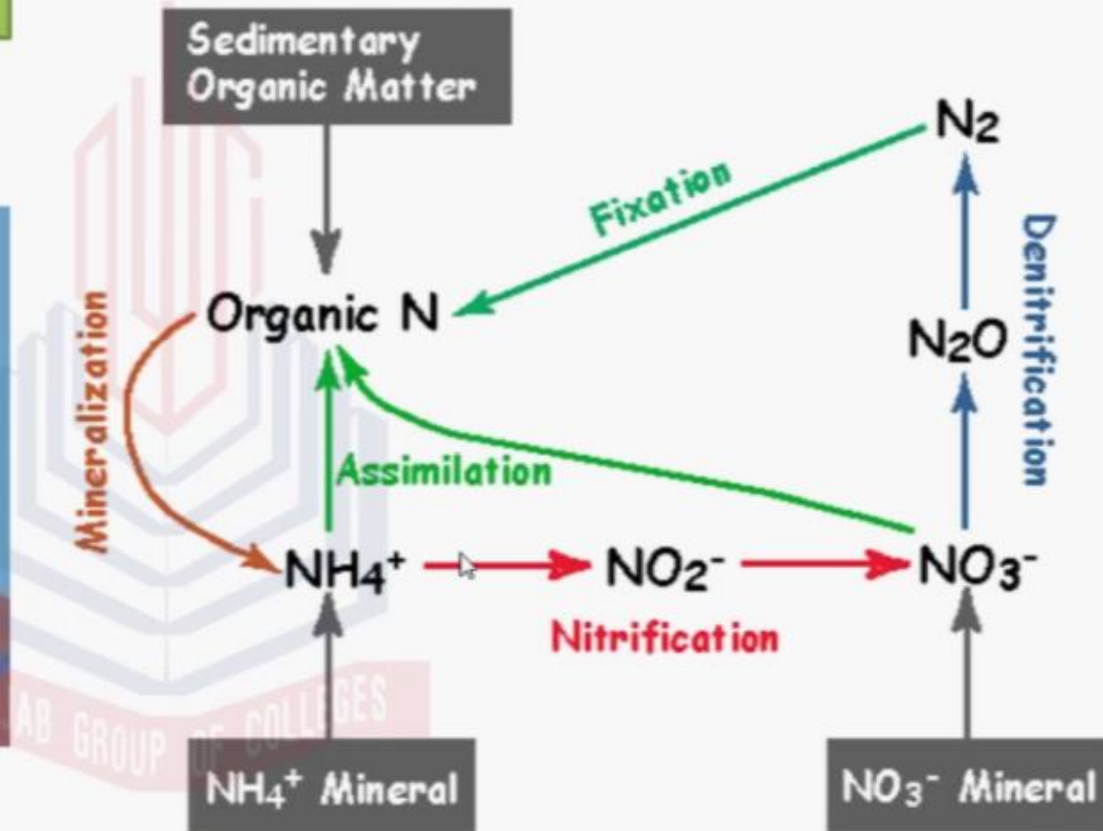
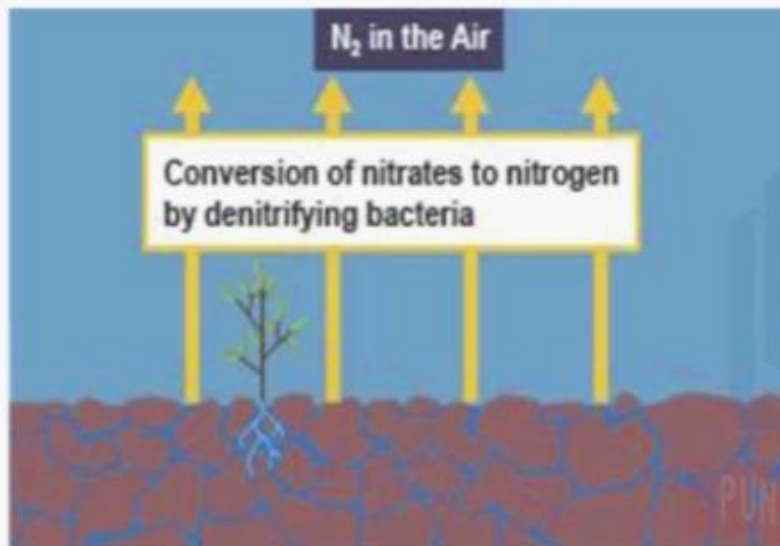
First stage for phosphorus  
removal

# Denitrification - process by which nitrites or nitrates are reduced to nitrogen gas

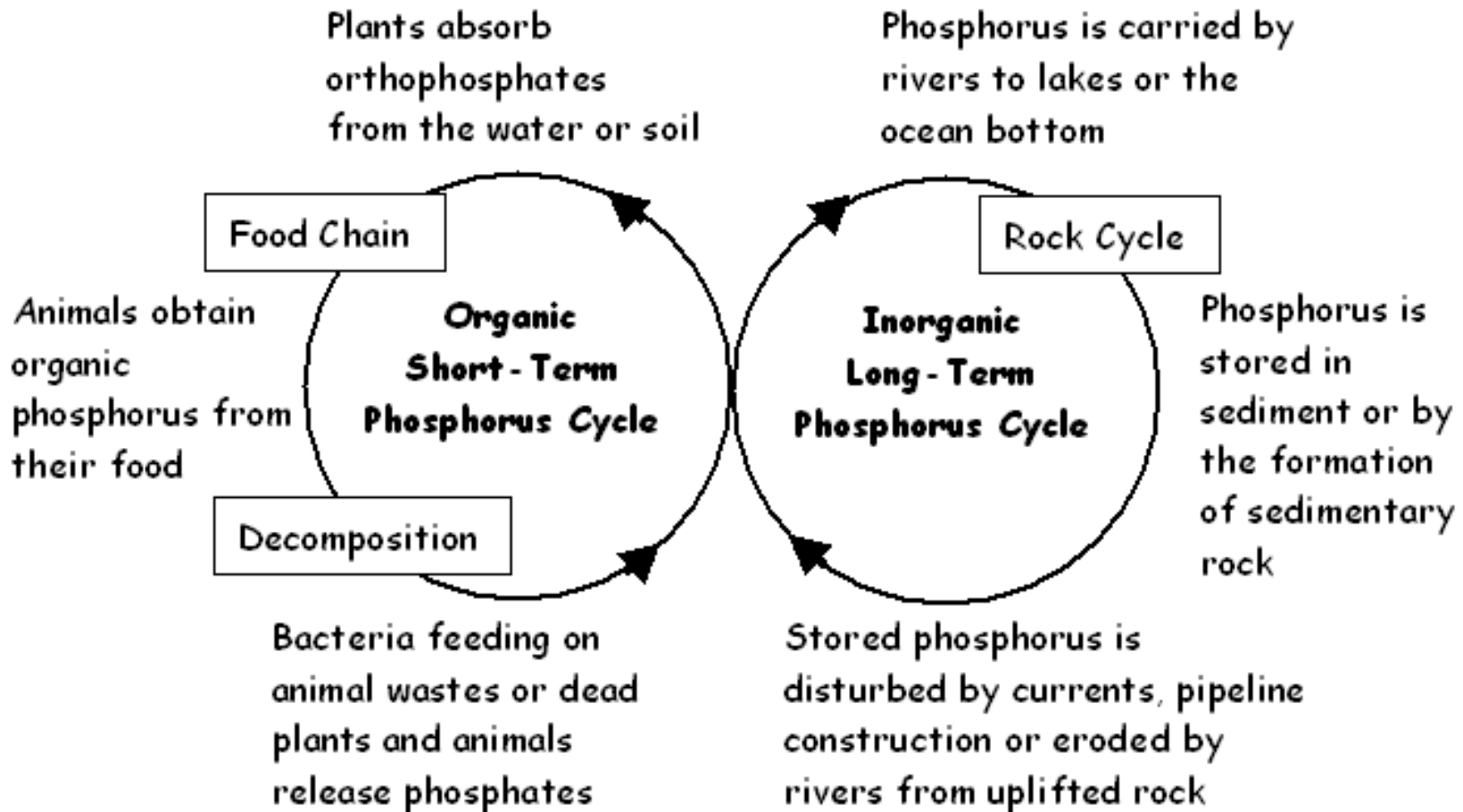




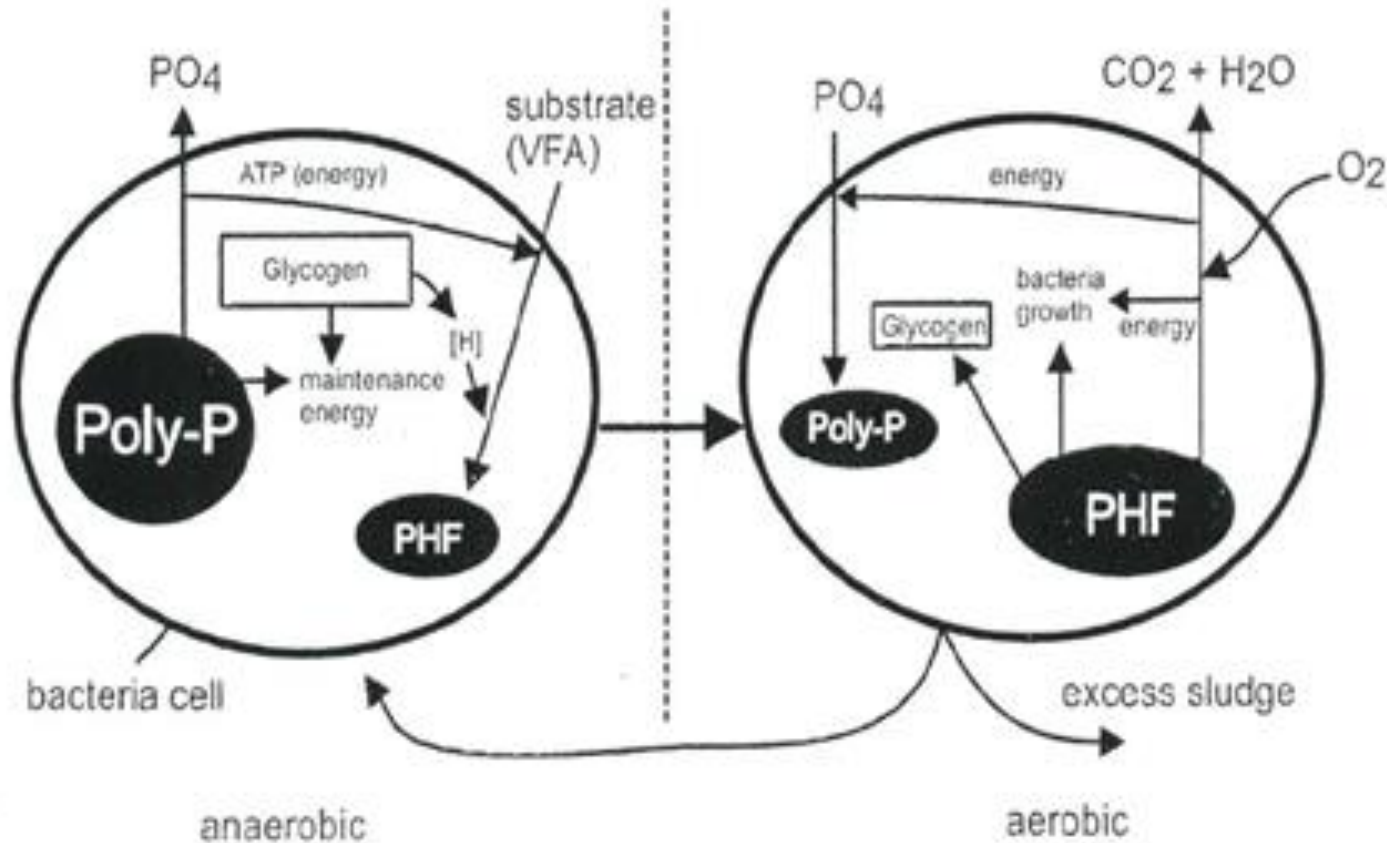
# Denitrification



# Phosphorus cycle



# Two-step biological reduction of phosphates



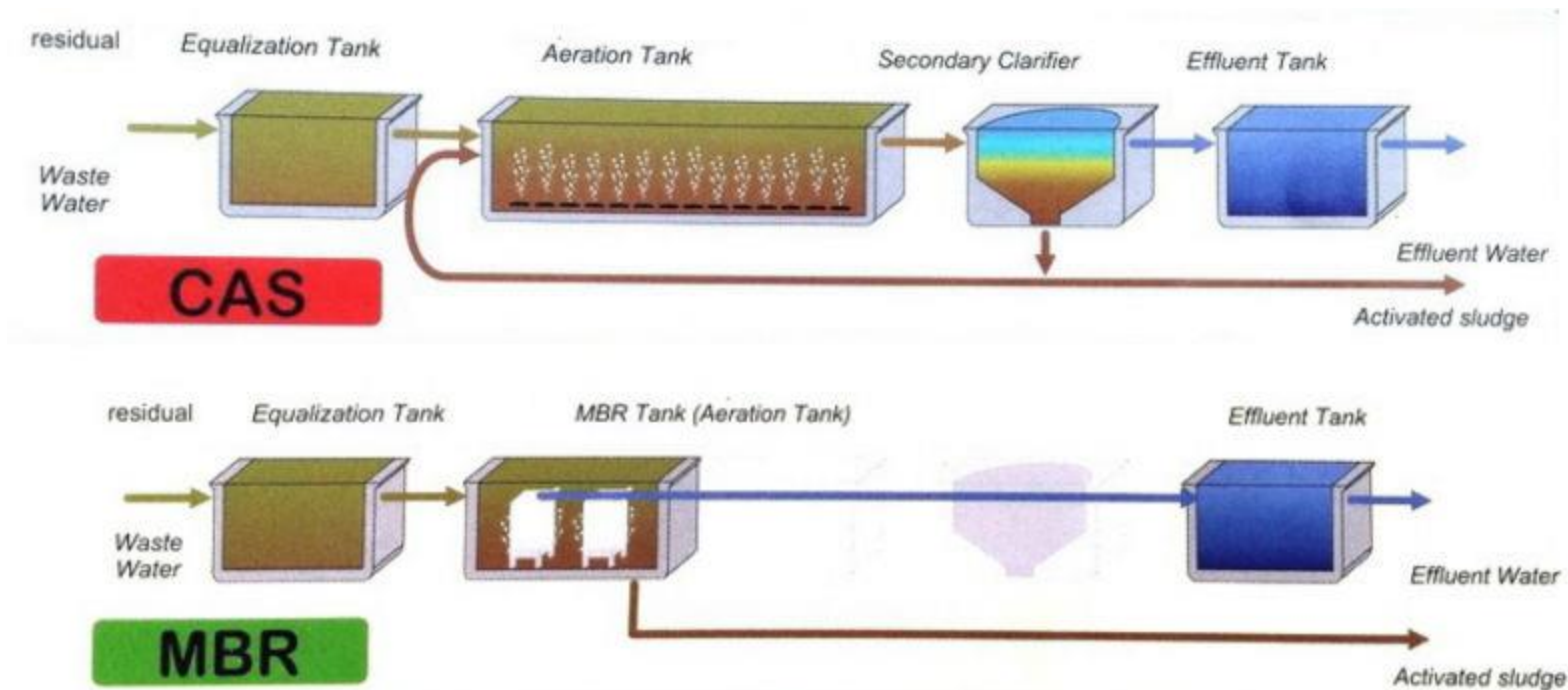
# Aerobic treatment of waste water

- Activated sludge formation (growth of biomass under aerobic conditions)
- Settling tank— separation of biomass from water by sedimentation



# Wastewater treatment

Mixing, aeration, decantation, recylation of sludge







Prague wastewater treatment – formation of activated sludge

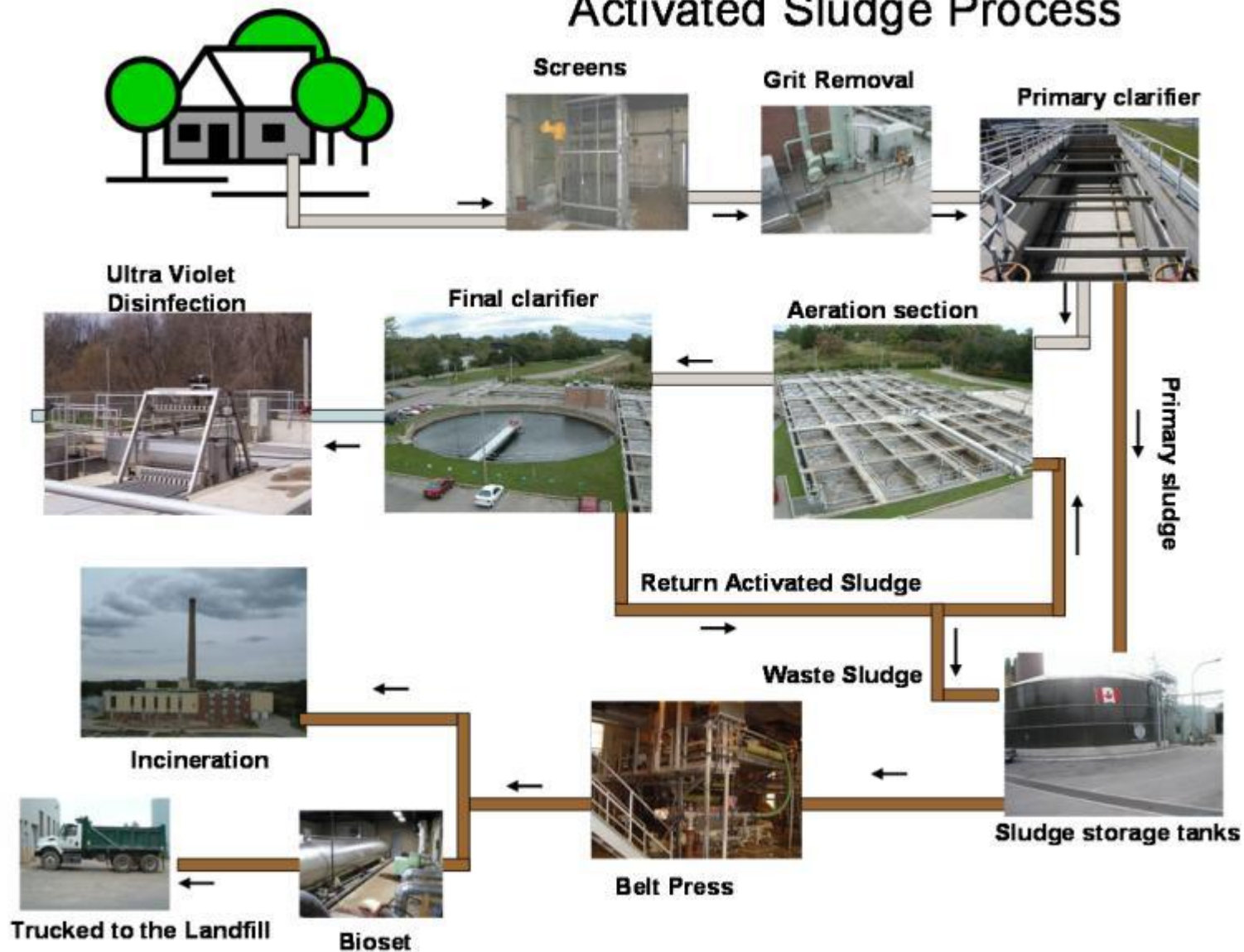




Prague waste water treatment – settling tank



# Activated Sludge Process



# Biofilm formation

- Adhesion to surface of convenient material
- Carrier with microbial population – rotation or trickling bed

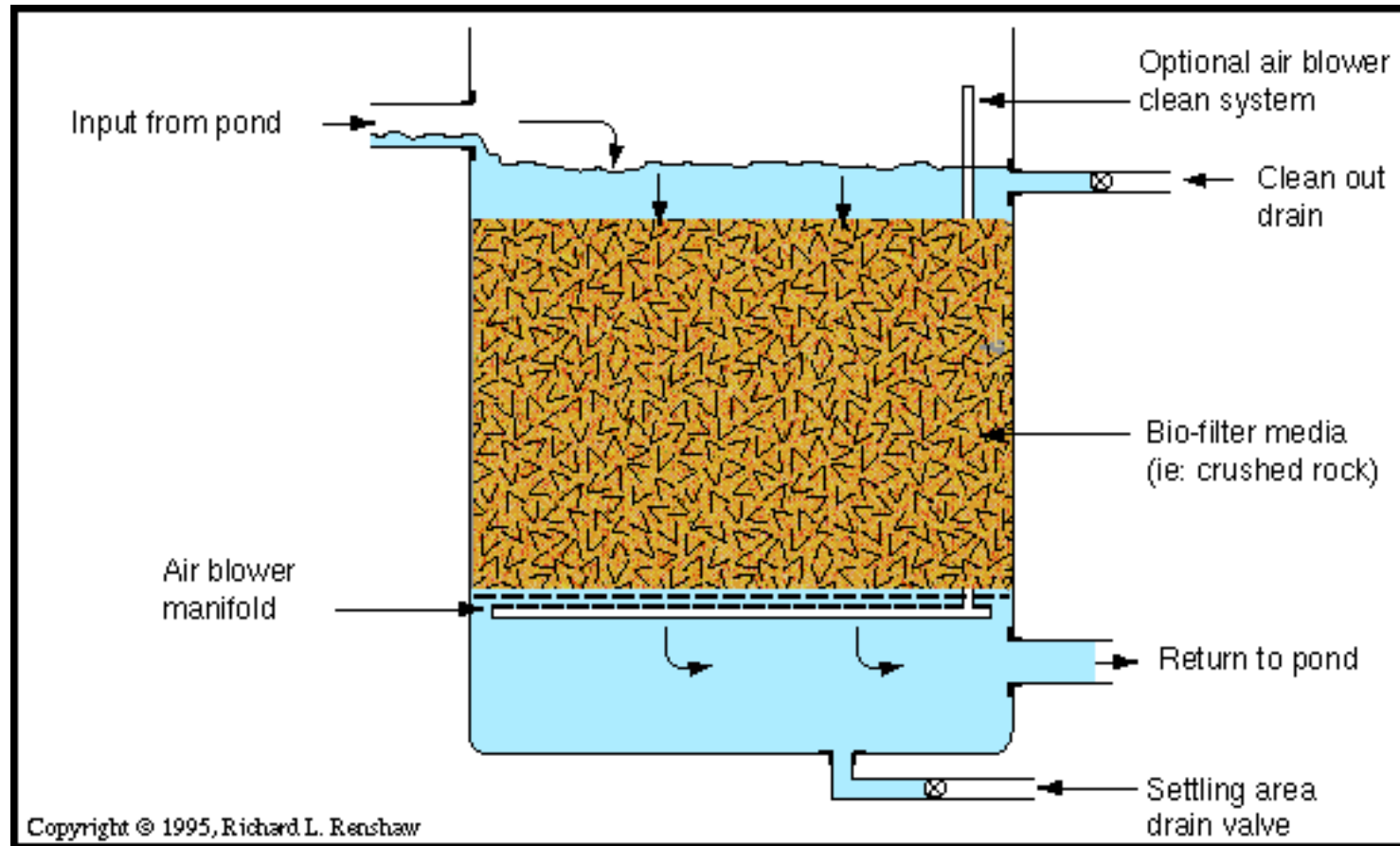
## Moving bed biofilter



carrier material

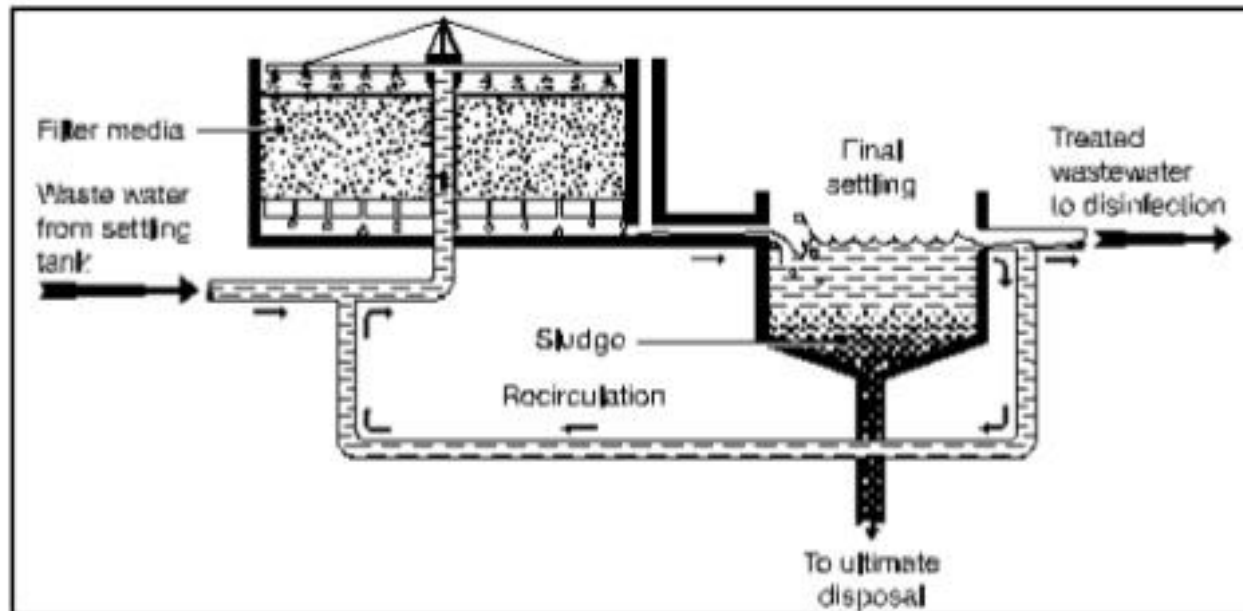


# Biofilter



# Trickling Filters

- The trickling filter or biofilter consists of a bed of permeable medium of either rock or plastic
- Microorganisms become attached to the media and form a biological layer or fixed film. Organic matter in the wastewater diffuses into the film, where it is metabolized. Periodically, portions of the film slough off the media

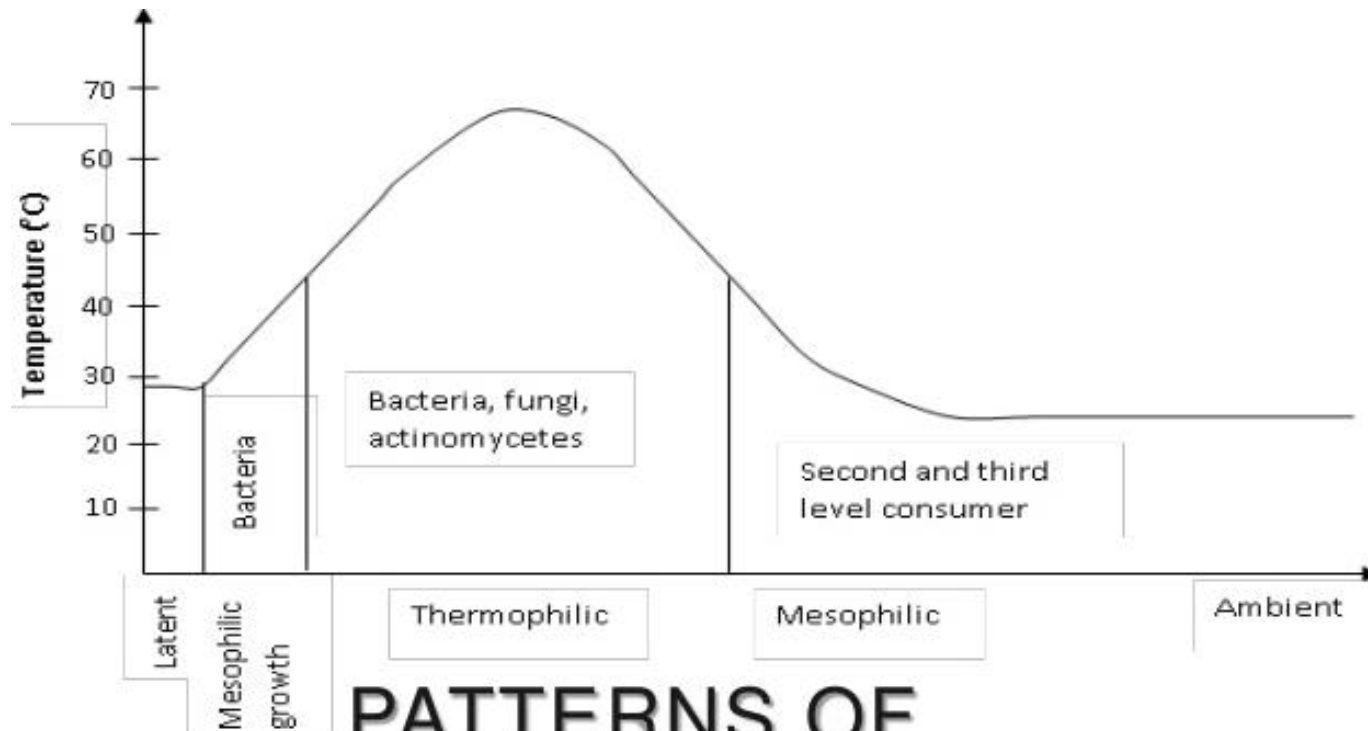


# Composting

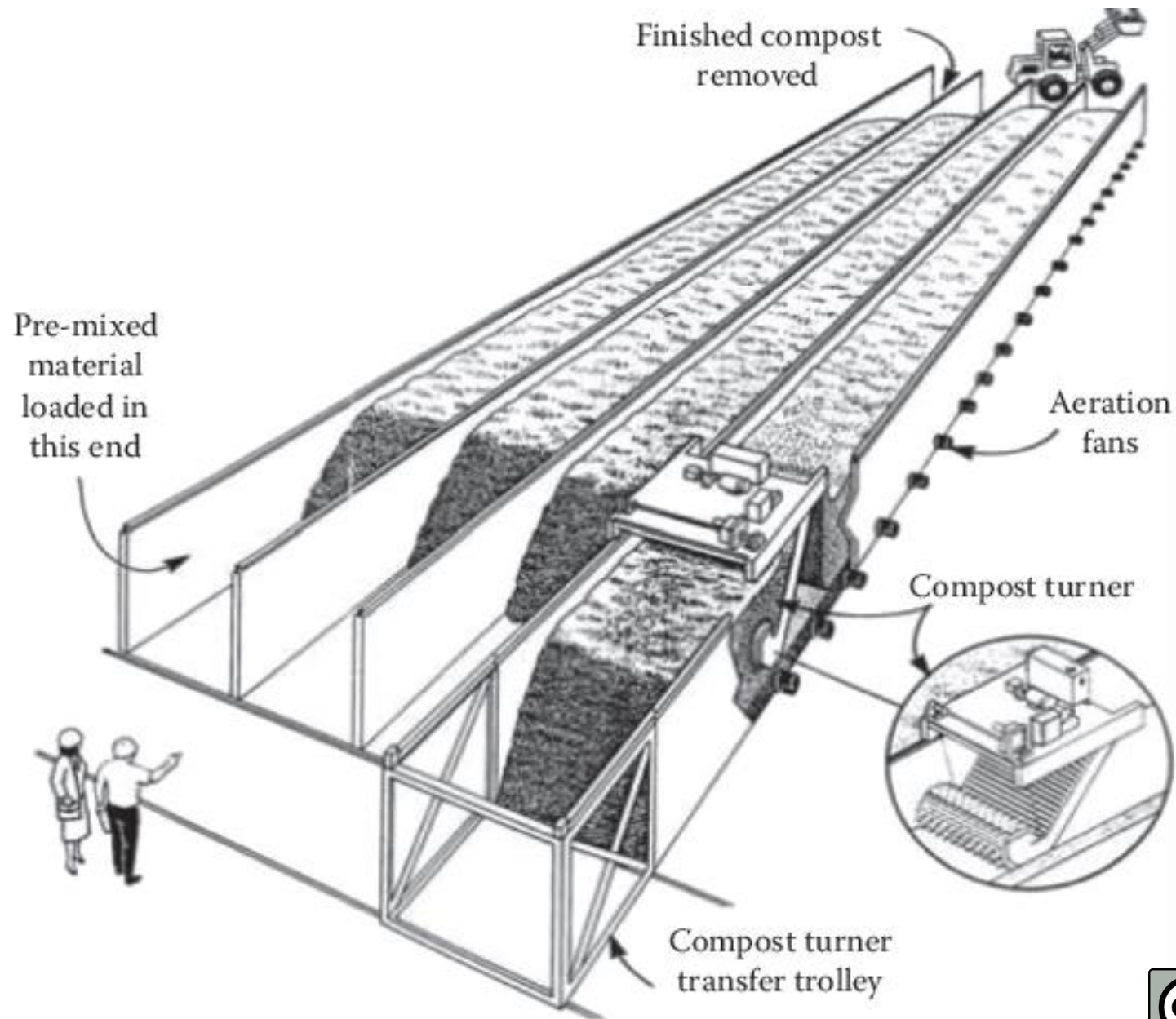
- Aerobic process for solid waste disposal
- Municipal waste, activated sludge, biological waste
- Degradation of dangerous compounds
- Volume reduction
- Drying
- Increase of temperature during composting – destroying pathogenic microorganisms



# Composting phases



**PATTERNS OF  
TEMPERATURE AND  
MICROBIAL GROWTH  
DURING  
COMPOSTING**





## Tedders of compost piles - aeration, mixing



# Tunnel composter





EUROPEAN UNION  
European Structural and Investing Funds  
Operational Programme Research,  
Development and Education



**UNIVERSITY OF  
CHEMISTRY AND TECHNOLOGY  
PRAGUE**

# Biotechnology in Food Industry

Lecture XIV

Petra Patáková  
[petra.patakova@vscht.cz](mailto:petra.patakova@vscht.cz)

The published materials are intended for students of the University of Chemistry and Technology, Prague as a study material. Some text and image data contained therein are taken from public sources. In the case of insufficient quotations, the author's intention was not to intentionally infringe the possible author(s) rights to the original work. If you have any reservations, please contact the author of the specific teaching material in order to remedy the situation.





# Winemaking



# Winemaking-vinification-eonology



**Viniculture** – planting, growing and harvesting vine grapes

**Wine-making** – production of wine from grapes

*Vitis vinifera* – species of genus *Vitis*

- thousands of different **varieties** of *Vitis vinifera* grapes
- **liana**
- the **fruit** is a **berry**, known as a **grape**

**Varieties:** wine x table grape

Vinyards: hot and sunny climates

- **to fully ripen**
- **develop a balance between acids and sugars**
- **latitude 30-50°**
- important factors are **climate**, **slope** and **soil**

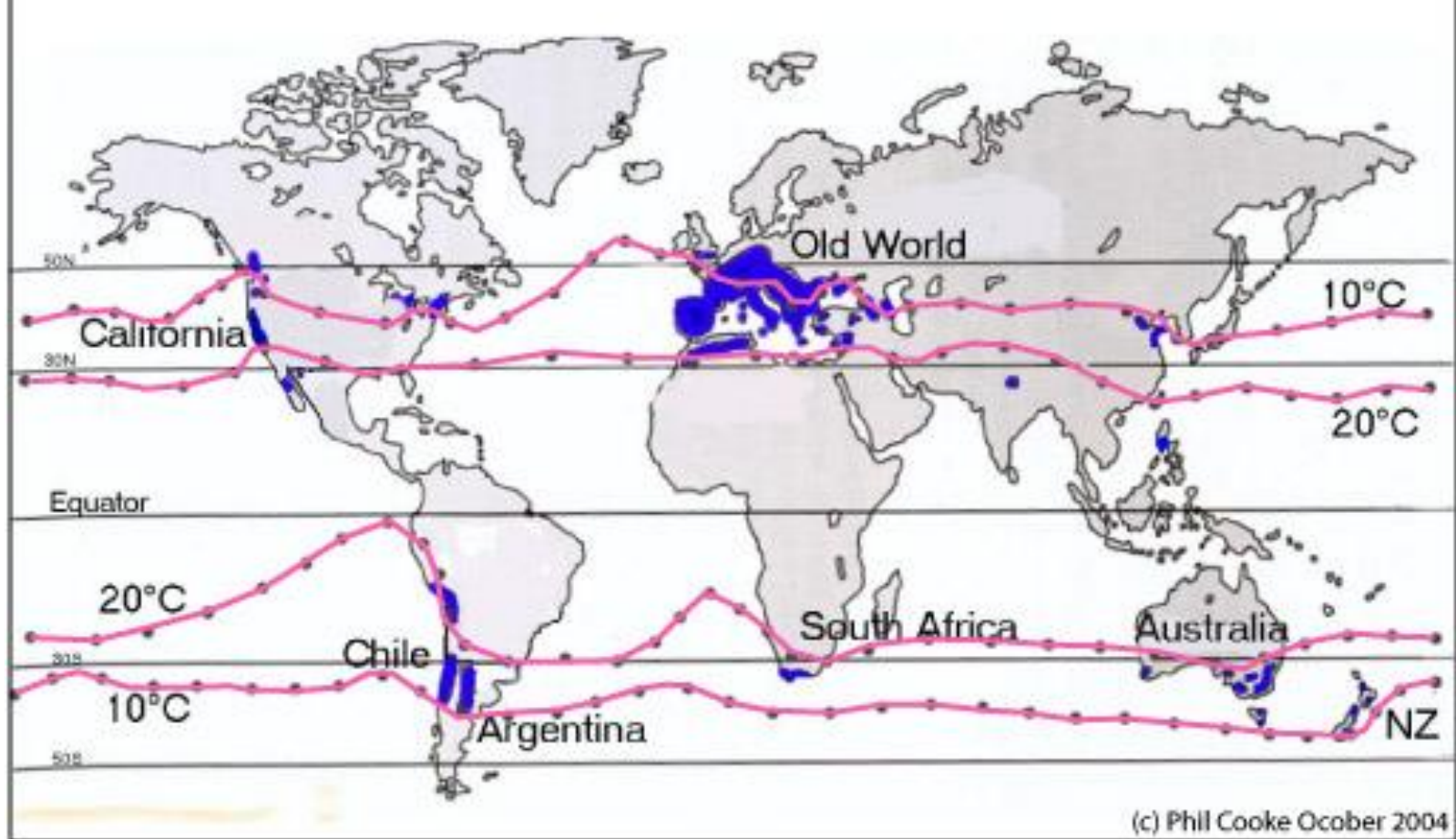
flatter terrain x slope - greater intensity of the sun's rays

Favorable soil conditions include: aeration, loose texture, good drainage and moderate fertility

- climates vary from country to country, selecting the best strain is an important decision in grape cultivation



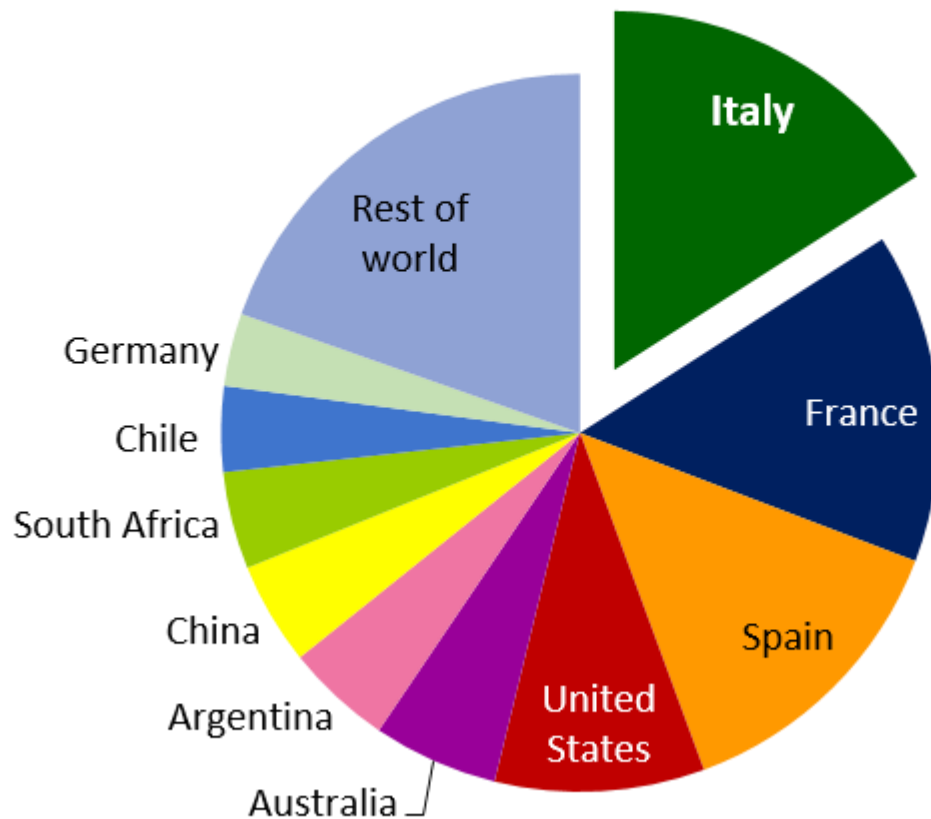
## The Wine Regions of The World & Annualised Isotherms





# World Wine Production, 2017

Top 10 shown



Volume in thousands of hectoliters; e.g., global production is around 247 million hl

|                      | 2013           | 2014           | 2015           | 2016           | 2017           |
|----------------------|----------------|----------------|----------------|----------------|----------------|
| <b>Italy</b>         | <b>54,000</b>  | <b>44,200</b>  | <b>50,000</b>  | <b>50,900</b>  | <b>39,300</b>  |
| France               | 42,100         | 46,500         | 47,000         | 45,200         | 36,700         |
| Spain                | 45,300         | 39,500         | 37,700         | 39,300         | 33,500         |
| United States        | 24,400         | 23,100         | 21,700         | 23,600         | 23,300         |
| Australia            | 12,300         | 11,900         | 11,900         | 13,100         | 13,900         |
| Argentina            | 15,000         | 15,200         | 13,400         | 9,400          | 11,800         |
| China                | 11,800         | 11,600         | 11,500         | 11,400         | 11,400         |
| South Africa         | 11,000         | 11,500         | 11,200         | 10,500         | 10,800         |
| Chile                | 12,800         | 9,900          | 12,900         | 10,100         | 9,500          |
| Germany              | 8,400          | 9,200          | 8,900          | 9,000          | 8,100          |
| Portugal             | 6,200          | 6,200          | 7,000          | 6,000          | 6,600          |
| Russia               | 5,300          | 4,900          | 5,600          | 5,600          | 5,600          |
| Romania              | 5,100          | 3,700          | 3,600          | 3,300          | 5,300          |
| Brazil               | 2,700          | 2,600          | 2,700          | 1,300          | 3,400          |
| Hungary              | 2,600          | 2,400          | 2,800          | 2,800          | 2,900          |
| <i>Rest of World</i> | <i>31,000</i>  | <i>27,100</i>  | <i>26,800</i>  | <i>27,300</i>  | <i>24,600</i>  |
| <b>World</b>         | <b>290,100</b> | <b>269,500</b> | <b>274,700</b> | <b>268,800</b> | <b>246,700</b> |

# ...from grapes to wine!

## WHITE GRAPES

[Albariño](#)  
[Aligoté](#)  
[Arneis](#)  
[Bourboulenc](#)  
[Chardonnay](#)  
[Chenin Blanc](#)  
[Clairette Blanche](#)  
[Colombard](#)  
[Fiano](#)  
[Garganega](#)  
[Gewürztraminer](#)  
[Grenache Blanc](#)  
[Grenache Gris](#)  
[Grüner Veltliner](#)  
[Kerner](#)  
[Maccabéo](#)  
[Marsanne](#)  
[Melon](#)  
[Morio-Muskat](#)  
[Müller-Thurgau](#)  
[Muscadelle](#)  
[Muscat](#)

[Neuburger](#)  
[Picpoul Blanc](#)  
[Pinot Blanc](#)  
[Pinot Gris](#)  
[Riesling](#)  
[Rousanne](#)  
[Sauvignon Blanc](#)  
[Scheurebe](#)  
[Semillon](#)  
[Terret Blanc](#)  
[Terret Gris](#)  
[Torrontes](#)  
[Ugni Blanc/Trebbiano](#)  
[Verdelho](#)  
[Verdicchio](#)  
[Vernaccia](#)  
[Vioigner](#)

## BLACK (RED) GRAPES

[Alicante Bouschet](#)  
[Aramon](#)  
[Barbera](#)  
[Black Corinth](#)  
[Cabernet Franc](#)  
[Cabernet Sauvignon](#)  
[Caignan](#)  
[Carmenère](#)  
[Carnelian](#)  
[Charbono](#)  
[Cinsault](#)  
[Corvina](#)  
[Counoise](#)  
[Duriff](#)  
[Gamay Noir](#)  
[Grenache](#)  
[Grignolino](#)  
[Gros Verdot](#)  
[Malbec](#)  
[Merlot](#)  
[Meunier](#)  
[Mission](#)  
[Mourvèdre/Mataro](#)  
[Muscardin](#)

[Negrette](#)  
[Nebbiolo](#)  
[Petit Verdot](#)  
[Petite Sirah](#)  
[Picpoul](#)  
[Pinot Noir](#)  
[Pinot Meunier](#)  
[Pinot St. George](#)  
[Pinotage](#)  
[Primitivo](#)  
[Rubired](#)  
[Ruby Cabernet](#)  
[St. Laurent](#)  
[Sangiovese](#)  
[Souzao](#)  
[Syrah/Shiraz](#)  
[Tempranillo](#)  
[Terret Noir](#)  
[Tinta Barroca](#)  
[Tinta Cão](#)  
[Tinta Negra Mole](#)  
[Vaccarese/Camarese](#)  
[Valdepeñas](#)  
[Valdiquié](#)  
[Zinfandel](#)

<http://www.rosettawines.com/main.asp?request=ARTICLES&article=7>

## Table grapes

- primarily intended for human consumption
- bigger berries, big stem, rigid and firm skin
- lower sugar and acids content

## Grapes for wine production

- Grapes suitable for must or juice production
- Black grapes – manufacturing of red wines
- White grapes – manufacturing of white wines



# Grapevine growing cycle



Influenced by: weather, Vitis varieties, vineyard locality

- ❑ Bud break – bud burst – shoots grow – flowering
- ❑ **green berry** – rapid increase in volume - production org. acids and precursor phenolic and aromatic substances
- ❑ **Slow berry growth** - change colour, softening – sugar accumulation, fenol. and arom. substances – acidity decreasing
- ❑ **Final ripening** – sugar and anthocyanes accumulation – acidity and tanines decrease
- ❑ **over-ripening of grapes and the shrinkage of the berry** – gradual drying of stems, flow of water and nutrients stops, water evaporation, increase in sugar content...

# Harvesting

picking of grape clusters from the [grapevines](#)

- ❑ **Term dependent on: variety, locality, climate, grapes health, desired product ( white grapes earlier to prevent losses in acidity, black latter – phenolic maturity )**
- ❑ **Optimum maturity:**
  - ❑ determination of sugar, polyphenol and acid concentration





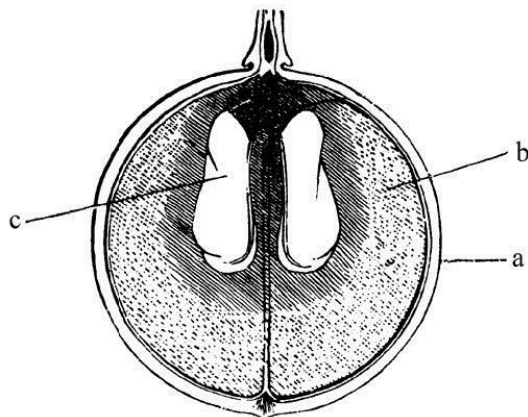
## Grape bunch morphology

Cluster - stem and berry

Berries - 95 – 98 % from total cluster mass.

## Berry morphology

Skin, pulp, seeds



Bobule na průřezu

a) slupka; b) dužnina; c) pečičky;



# Berry composition

## Skin (6-12% hm.)

- ☐ Wax layer on a berry surface (protective fce)
- ☐ cellulose, hemicellulose, pectine rigidity and flexibility
- ☐ tanines (more in black varieties)
- ☐ Aromatic substances and pigments (flavones and anthocyanes dyes)

## Seeds (2-5% hm.)

- ☐ In average 2-3 seeds in 1 berry
- ☐ oil
- ☐ tanines

## Pulp (83-92% hm.)

- ☐ Sugar and acids – concentrated in the middle





**Harvest**  
**Destemming**  
**Crushing**

**Maceration**  
**Pressing**



**Alcohol fermentation**

**Malo-Lactic fermentation**

**Racking to remove the lees**

**Clarification/Stabilisation/Preservation**

**Maturation**

**Filtration**

**Bottling**

**Ageing**

# Harvest

**Hand picking** (gentler handling, bunch selection) **x Mechanical harvest**

Video - <https://www.youtube.com/watch?v=yCLoXZssTe4>

## Transportation from vineyard to winery

**Partially desintegrated berries -risk of oxidation, contamination**

- **Temperature at harvest (as cool as possible, active cooling in hot region)**
- **As quick as possible**
- **First addition of SO<sub>2</sub>**



# Destemming - crushing

**Destemming** – removal of stems

**Crushing** –release of juice

**Stems** – harsh and astringent polyphenols – mainly catechins and leucoanthocyanins

**Crushing with stems** – extraction of sensorically unwanted substances

**Destemming and crushing in one step**

Specialized tools – destemmer -

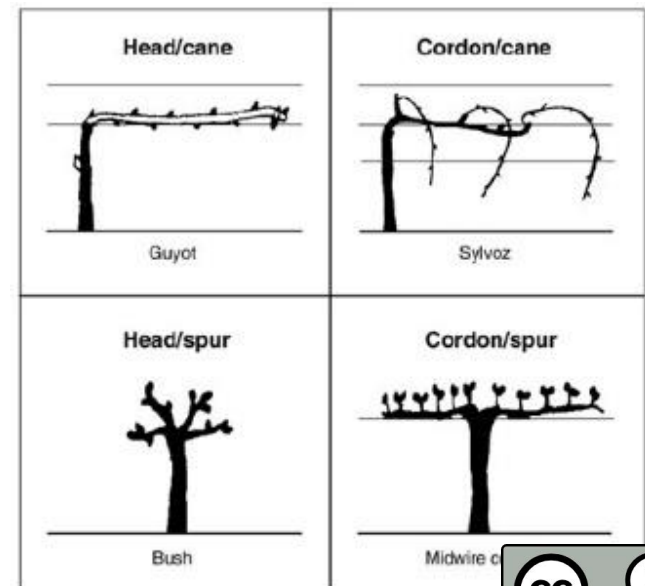
<https://www.youtube.com/watch?v=KPygrlCeRRQ>

Addition of of SO<sub>2</sub> into crushed grapes – suppression of natural MO,  
increase in pigments solubility

# Grape must composition

Factors with highest impact:

- ☐ Vitis variety, vineyard location, soil type + composition
- ☐ weather (rain falls, temperature)
- ☐ mechanization, grape vine training
- ☐ grapes processing (destemming, crushing, pressing...)





# Složení hroznového moštu

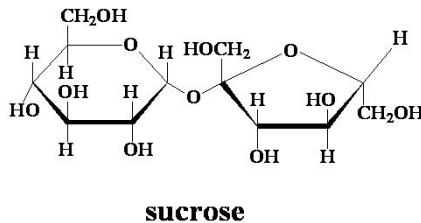
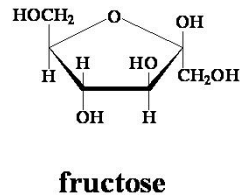
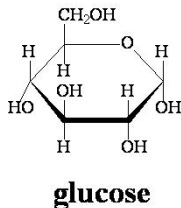
- **sugars**
- **Organic acids**
- **N-substances**
- **phenols**
- **aromatic substances**
- **mineral substances**
- **pectines**
- **vitamins**
- **Natural pigments**
- **fat, oil, wax**



# Chemistry of grape must

## Sugars - carbohydrates

- ❑ sucrose – minority – sugar transporter
- ❑ Glucose and fructose – main sugars, fermentable
- ❑ Pentoses – non-fermentable (arabinose, xylose), low content
- ❑ polysaccharides – fermentable after enzymatic cleavage



## Sugar content – must density

- ☐ Hydrometer scales to measure density
- ☐ 4 different scales are used internationally

°Brix - Antoine Brix

°Balling – Karl Balling

°Baumé - Antoine Baumé – used in Australia

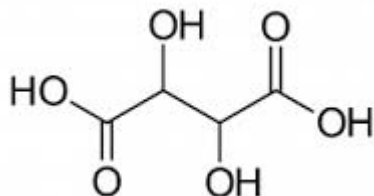
°Oechsle - Ferdinand Oechsle – used in Germany

- ☐ historically, different reference temperatures used for settings
- ☐ nowadays – Brix and Balling are identical setted for 20°C
- ☐ 1° on the Baume' Scale is equivalent to 1.81Brix (or Balling)
- ☐ Oeschle - difference in weight of one liter of must compared to one liter of water

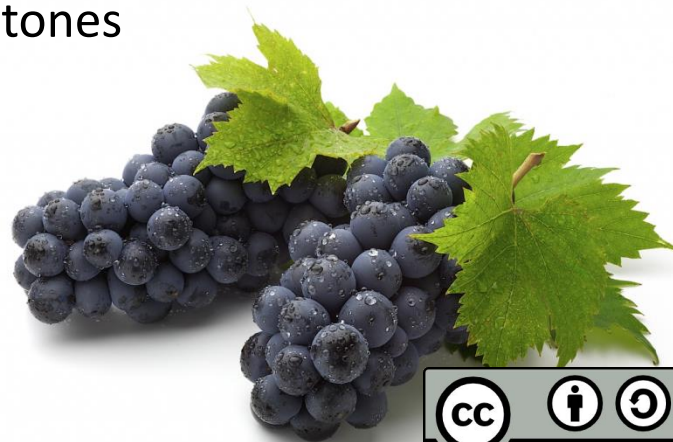
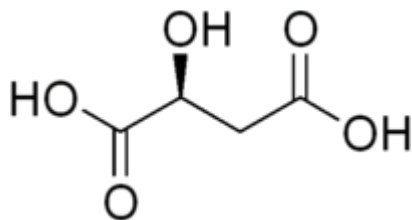
# Chemistry of grape must

## Organic acids

- ❑ Decrease during ripening to 6-12 g/l
- ❑ 70-90 % - tartaric and malic acids – major acids in grape must
- ❑ Lower content: citric acid, succinic acid, lactic, formic and acetic acid
- ❑ Tartaric acid – responsible for majority of acidic taste of must and wine



- ❑ Malic acid - sharp, harsh and immature taste tones



# Chemistry of grape must

## Nitrogen substances

- ❑ 240-1600 mg/L total amount of nitrogen
- ❑ **aminoacids** – nutrients for yeasts + precursor of aromatic substances
- ❑ **peptides** – aromatic compounds + wine body (light to full)
- ❑ **proteins** - enzymes + wine stability
- ❑ **ammonium inos, amines, nitrates, nitrites**



# Chemistry of grape must

## Fenolic substances

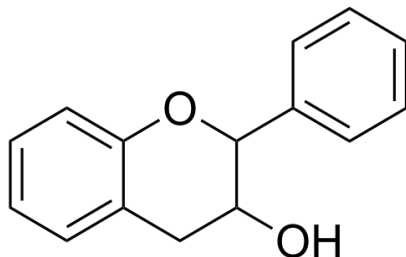
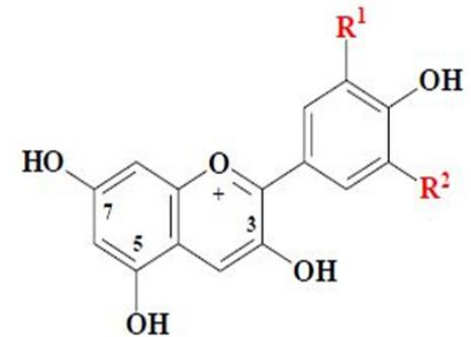
- ❑ Big difference in content in black and white grapes
- ❑ Highest amount: stems, seeds, skin
- ❑ Responsible for colour, bitterness, astringency, antioxidative properties

### → antocyanes

- ❑ Pigments in red and rose wines
- ❑ Mostly in a form of glycosides

### → tanines

- ❑ flavan-3-oly: catechin, epikatechin, prokyanidines





# Chemistry of grape must

## Aroma and flavour

- ❑ **Varietal aroma (odrůdové aroma), grape-derived**
- ❑ Free form of aromatic substances – may be lost or decreased during fermentation
- ❑ **Chemically bound aromatic substances** – aroma develops during fermentation and wine aging

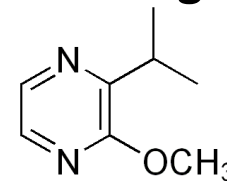
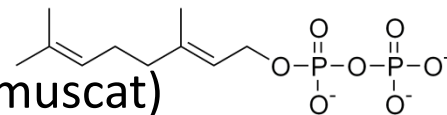
❑ **Esters** - reaction of acids and alcohol in the wine

❑ **monoterpenes** – two isoprene units - floral aroma (muscat)

❑ **norisoprenoides** - C<sub>13</sub>-norisoprenoids - Carotenoid derived aromatic compounds, spice notes (**Chardonnay**)

❑ **Methoxypyrazines** – mostly undesirable, green flavour of **Sauvignon Blanc**

❑ **volatile phenols** –unpleasant aroma



## ❑ Inorganic constituents

**soil (geografy), weather, nutrients addition -fertilizers**

kationty:  $K^+$ ,  $Mg^{2+}$ ,  $Ca^{2+}$ ,  $Na^+$

anionty:  $CO_3^{2-}$ ,  $PO_4^{3-}$ ,  $SO_4^{2-}$ ,  $Cl^-$

## ❑ Pectins

Polysacharide rich in galacturonic acid

Decreases exctraction efficiency

Complicate sedimentation and filtration

Possible adition of pectolytic enzymes



## ❑ Vitamins

**C (60-80 mg/l), tiamin (B1, 150-300 mg/l), panthotenic acid (B5),  
biotin,folic acid , cobalamin (B12)**

## ❑ Natural pigments

**white grapes → chloroplasts (chlorophyl) and chromoplasts  
(carotenoids, xanthophyles)**

**black grapes → anthocyanes**

## Crushed grapes:

White wine ➡ pressing

Red wine ➡ maceration, start fermentation

**Pressing** - separation of liquid part from solid grape residues (grape pomace)

### ☐ factors influencing the process:

Pressure pressing – efficiency of pressing , the lower the better  
type of press (mechanical, hydraulic, pneumatic)

Degree of crushing grapes

speed of pressing – prolonged for high quality wine

vine variety,

grape maturity

presses: mechanical, hydraulic, pneumatic

**Must yield** = approx. 70%

**Whole bunch pressing** - white wine from red grapes

- juicy, low phenolic, fine wines

# Treatment/adjustment of must composition

## Settling/clarification

Juice from the press is drained into tanks, where skin, stalks and pip fragments settle down.

- ☐ Carried out under decreased temperature
- ☐ Approx 24h
- ☐ Rate of grape solids remained depends on desired product
- ☐ Modern clarification: filtration or centrifugation



# Adjustment of must composition

**Adjustment** - take into consideration legislation of particular state/region

## Sugar content

- ❑ Addition of saccharose
- ❑ Addition of concentrated must: vacuum evaporation 70°C, lyophilization, reverse osmosis
- ❑ Dependent on the climate of particular region:
  - warm – high sugar content
  - cool – low sugar content



# Adjustment of must acidity

**Target: to reach harmonic balance between acidity and sweetness**

**❑ optimal acidity 6-7 g/l (for tartaric acid) - pH 3,1-3,4**

**❑ Higher pH → risk of contamination – needs more SO<sub>2</sub>, low colour stability, wines without freshness**

**❑ Changes in acidity:**

**fermentation based production of organic acids**

**precipitation of tartarate**

**malolactic fermentation**

**Blending/coupage – mixing different musts with distinct acidity**

**Tartaric acid addition**

**CaCO<sub>3</sub> – precipitation of calcium tartarate**





# Must adjustment

## Application of sulfur dioxide SO<sub>2</sub>

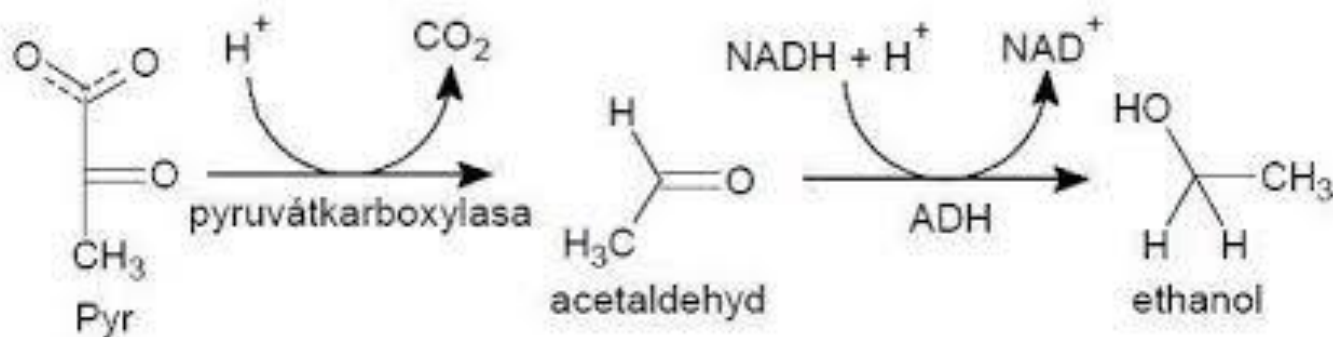
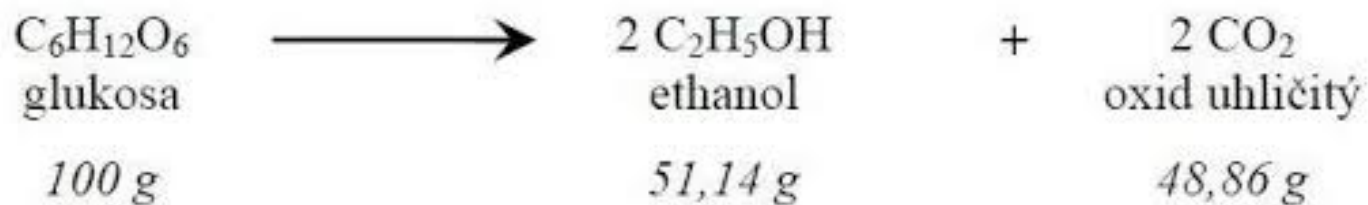
- ☐ Preventive action against contaminant MO (bacteria generally more sensitive than yeast)
- ☐ **stabilization** – support proteins coagulation
- ☐ **antioxidant** – prevents oxidation by reaction with O<sub>2</sub>
- ☐ **antienzymatic effect** – inhibition of oxidative enzymes
- ☐ Amount in wine given by legislation – higher amount: negative health impact, negatively influences wine taste and aroma

## Ways of SO<sub>2</sub> application

- ☐ Burning of sulfur plates
- ☐ Potassium metabisulfite K<sub>2</sub>S<sub>2</sub>O<sub>5</sub> (powder) – decreases acidity via tartarate precipitation
- ☐ gaseous / liquid SO<sub>2</sub> from pressure bottle



# Fermentation



# Alkoholové kvašení

---

- ☐ theoretic yield EtOH: 51% w/w – derived from ethanol fermentation equation!
- ☐ 5-8% sugar utilized for cell growth and side products
- ☐ Side fermentation products produced directly by MO metabolism:
  - ☐ Glycerol
  - ☐ Lactic acid
  - ☐ Acetic acid
  - ☐ Succinic acid
  - ☐ Citric acid



# Secondary products of fermentation process

---

Highly impacted by producing MO used

- ❑ **Higher alcohols** – n-propanol, amylalcohol, isobutanol (fusel alcohol)
  - **From pyruvate or by aminoacids deamination**
  - **pozitive as well as negative impact on aroma**
  - **Discusion about its cause of hangover syptoms**
  
- ❑ **Esters** – reaction of alchoholes with organic acids
  - Fruity tones**
  
- ❑ **aldehydes, ketones, acetoin, diacetyl (buttery flavour), etc.**



# Producing microorganisms

---

- ❑ *Saccharomyces cerevisiae*, *Saccharomyces bayanus*
- ❑ More than 15 yeast genus (eg. *Brettanomyces*, *Candida*, *Saccharomycodes*)
- ❑ Industrial strains are selected for wine production:
  - according to fermentation rate
  - yeast activity
  - ethanol tolerance
  - fermentation under decreased temperature
  - production of sensorically active compounds



# Producing MO

---

Different varieties selected for distinct wine types

Pure strains x mixture of more strains

cultured stock yeast x wild yeasts

Cultured stock yeast are stored in form of „**active dry yeast**“ - need to be activated before use.





# Factors affecting alcoholic fermentation

---

- ☐ Temperature (optimum 20-27°C)
- ☐ Nutrients
- ☐ Sugar content – higher concentration slows down proliferation – higher osmotic pressure
- ☐ Ethanol concentration
  - Wild type yeasts – up to 4% v/v
  - *S. cerevisiae* – up to 12-13% v/v
  - *S. bayanus* – up to 15-16% v/v
- ☐ CO<sub>2</sub> pressure (partial ventilation during fermentation)
- ☐ activadores (vitamines, minerals) × inhibidores (pesticides, tanines... )
- ☐ Presence of O<sub>2</sub>



# Industrial fermentation

carried out in **Controlled vessels**

## Stainless steel

- Temperature regulation – double coat or internal cooler
- CO<sub>2</sub> pressure regulation – active exhaustion
- Regular regime for „cap“ immersion into liquid - to ensure contact between skins and wine



# Fermentation

---

Fermentation timing vary from 2 days to 3 weeks – according to wine style

**After fermentation is completed:**

- **white wine** – settling down the leeds (Gross lees) – racking (draw off)
- **red wine** – free run wine removed from vessel – pressing the residual grape mass (pomace)

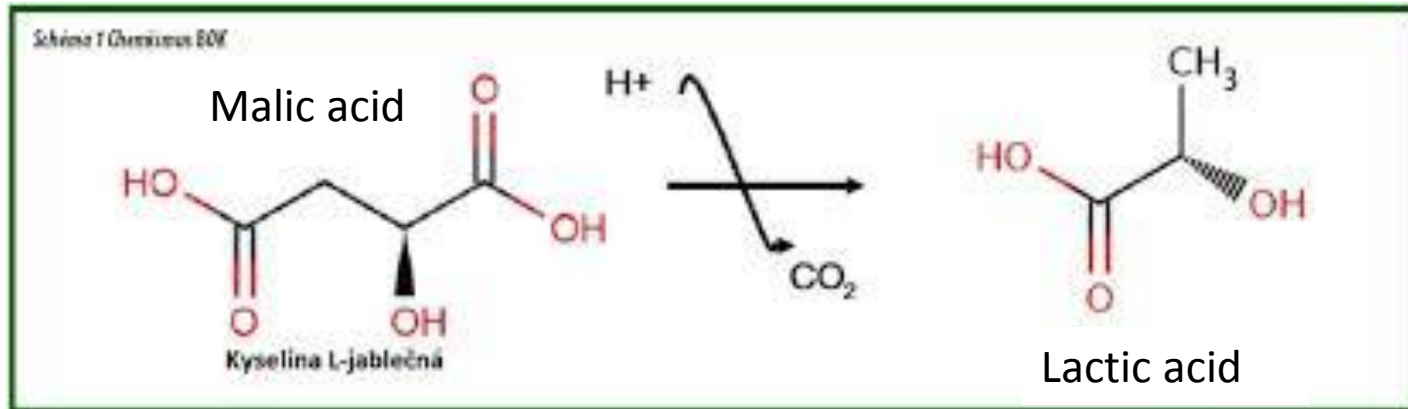
„extended maceration“ – prolonged contact with seeds, skins, yeast after fermentation) – eg. Bordeaux wines



# Malo-Lactic fermentation

## Biotransformation of malic acid to lactic acid

Lactic acid bacteria fermentation – spontaneous x controlled



- **spontaneous process** – can cause negative sensorical profile
  - starts spontaneously under higher temperatures and lower SO<sub>2</sub> content

- **controlled process** - inoculation by selected strains, mostly *Oenococcus oeni*



# Malo-Lactic fermentation

---

Lactic acid – more pleasant taste than malic acid (imature, sharp tones)

- **decrease in acidity, harmonization of acids, sugar and EtOH**
- **improves fullnes of wine body**
- **positive effect only for some types of wine (preferably red)**
- **warm regions – spontaneous process - undesirable –decreasing acidity + increased danger of contamination owing to pH**



# Clarification, Stabilization and Preservation

---

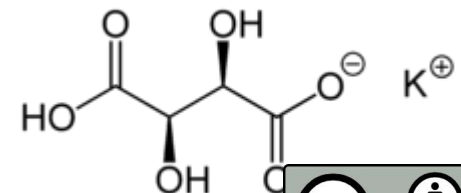
**Clarification** – wine clarity – consumers requirement

Two fractions to give rise turbidity:

- 1) gross particles – yeast, debris, grape residues
- 2) macromolecules – e.g. proteins, tanines, pectines, gums, metallo- colloids

**Stabilization** process

- to stabilize substances diluted in wine for a reasonable shelf-live (a minimum 1 year)
- if left for very long period – it would stabilize itself
- an effort to expedite stabilization employing specialized procedures
- when carried out improperly – can lower wine quality



# Clarification, Stabilization and Preservation

---

## gross particles

- yeast, debris, grape residues
- removal by filtration or sedimentation
- filtration serves to clarify – not to stabilize!

macromolecules – tends to increase turbidity via reactions in bottle

**Clarification/fining** – complex process – the main principle is based on electrostatic attraction

- fining agent carry particular charge and react with oppositely charged wine constituent to form precipitate
- chemical reaction as well as absorption process can take place





# Clarification, Stabilization and Preservation

---

Fining procedure:

**Mix fining agent in wine → suspension → sedimentation →  
racking → filtration**



# Clarification, Stabilization and Preservation

---

Fining agents:

- 1) Proteins such as gelatine, casein, albumen etc.
- 2) Earth such as bentonite, kaolin
- 3) Polysaccharides alginates, arabic-gum
- 4) Carbons
- 5) Synthetic polymers – nylon, polyvinyl polypyrrolidone (PVPP)
- 6) Silicone dioxide gel
- 7) Tannines
- 8) Miscellaneous, eg. Metal chelators, enzymes, ...



# Fining agents

---

## Proteinaceous fining agents

- adjust polyphenol content of wine by hydrogen bonding between the phenolic hydroxyl and carbonyl oxygen of peptide bond
- Decreases bitter and astringent substances

### Casein

- Heterogenous phosphoprotein from milk
- Obtained by coagulating milk

### Egg white

- One of the oldest fining agent
- active substance – egg albumin

### Gelatin

Produced by hydrolysis of multi-stranded collagen



# Fining agents - continuing

---

## Agar

- polysaccharide from algae (linear polymer of galactose)
- negative charge – removing of protein based turbidity

## Active coal

- big surface – colour adjustment, defect of flavour

## Polyvinyl polypyrrolidone

- Synthetic, insoluble plastic
- Remove low molecular weight phenolics

## Bentonite

- Natural, clay-like material of volcanic origin
  - negative charge – proteinaceous cloudiness
  - different types: Ca-bentonit, Na-bentonit, Ca-Na-bentonit
- Not recommended for red wines- removes colour



# Tartaric acid

---

**Precipitate of salt of taratric acid** – represents major non-biological instability

- the biggest complaint in wine trade
- Can not be fully prevented
- Cryslats are harmless

## Stabilisation:

**Static cold stabilisation**: wine is chilled down to freezing point (approx.  $-4^{\circ}\text{C}$ ) and stored insulated for 7-14 days

**Contact cold stabilisation**: addition of KHT (potassium bitartarate) crystals to promote crystalization via increase in nucleation sites

**Ion-exchange stabilisation** – most frequent replacing  $\text{K}^{+}$  with  $\text{Na}^{+}$

# Wine preservation

---

## Ethanol and tannines

- natural preservatives
- do not ensure 100% protection
- residual sugar serves as an energy source
- Lactic acid bacteria, acetic acid producing bacteria

## Most frequent is application of SO<sub>2</sub>

## Another possibilities (prior bottling):

sorbic acid – prevents yeast proliferation

sterile filtration prior bottling

pasteration (65 – 70°C)



All the procedures must be carried out - to preserve the quality of wine while maintaining product stability





# Wine maturation and ageing



# Wine maturation and ageing

---

## Family of interlinked chemical changes

Maturation – bulk storage period

Ageing – smaller container storage period (bottles)

„mature“ wine is „ripe“ to bottel, in which it „age“



# Wine maturation and ageing

---

## Maturation

Mostly: oxidative reactions

- extraction during maturation in wooden barrels

- non-oxidative polymerisation

White wines - kept mostly under reducing atmosphere

Red wine - prospects of micro-oxidation (occures naturally when aged in wooden vat)

- maturation in oak barrel: month – years

- extraction of sensorically active compounds (mostly phenols and aldehydes)

**barrique:** at least 3 month in oak barrel of defined volume (210 – 250L) used not more than 36 month

---

## Mikro-oxygenation

Relatively new (1991)

Widely popular - oxygenation of fully fermented wine

A small dose of oxygen is supplied into wine as microscopic bubbles

Action of oxygen is complicated and influenced by many factors

the main effect – modification of phenolic compounds resulting in:

„smoother“ and „softer“ wine





**Harvest**  
**Destemming**  
**Crushing**

**Maceration**  
**Pressing**



**Alcohol fermentation**

**Malo-Lactic fermentation**

**Racking to remove the lees**

**Clarification/Stabilisation/Preservation**

**Maturation**

**Filtration**

**Bottling**

**Ageing**

# White wine technology

---

- ☐ Grape ripenes given by aromatic ripenes and sugar and acids contents
- ☐ Destemming
- ☐ Crushing
- ☐ Pressing
- ☐ Short maceration – if required, lower temperature
- ☐ Sedimentation (filtration)
- ☐ Must adjustment
- ☐ Fermentation: optimal temp: 15-18°C (fresh 13-18°C)
- ☐ Malo-Lactic fermentation mostly undesirable
- ☐ Reductive atmosphere
- ☐ .....

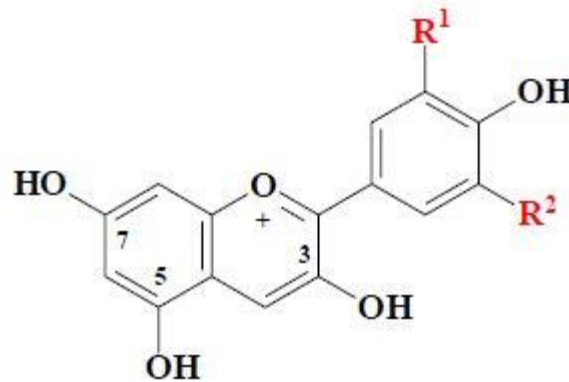


# Red wine technology

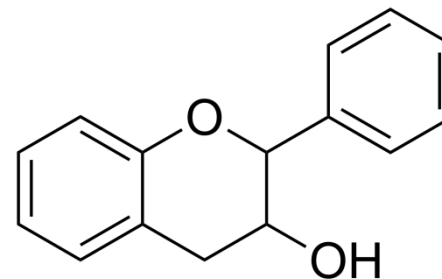
Key role – „fenolic ripenes of grapes“

Phenolic compounds more important than aromatic ones

Pigments – ANTHOCYANES



Taste – TANNINES (flavan-3-oly)





# Red wine technology

---

- ☐ Destemming
- ☐ Crushing
- ☐ Must composition adjustment
- ☐ Fermentation and maceration
- ☐ Maceration – higher temperature (28-30°C), if required – „extended maceration“
- ☐ Pressing
- ☐ Malo-Lactic fermentation
- ☐ Micro-oxidative atmosphere
- ☐ Maturation in oak barrels
- ☐ .....



# Rose wine technology

---

**Rose wine is produced from black grapes using white wine technology**

- **Lower content of anthocyanes and tannines**
- **Short maceration under low temperature**
- **Colour rose, pink, salmon-like**
- **Malo-Lactic fermentation applied according to specific requirement**





**Na zdraví! Santé! Salud! Egészségére!  
Cheers!**